

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
UBS	Credit Suisse Commodity Return Strategy Fund Class A	22544R107	CRSAX	4.75		.25	1.08000		BUY,SELL
UBS	Credit Suisse Commodity Return Strategy Fund Class C	22544R206	CRSCX		1.00	1.00	1.83000		SELL ONLY
UBS	Credit Suisse Commodity Return Strategy Fund Class I	22544R305	CRSOX				0.83000		SELL ONLY
UBS	Credit Suisse Floating Rate High Income Fund A	22540S877	CHIAX	4.75		.25	1.06000		SELL ONLY
UBS	Credit Suisse Floating Rate High Income Fund C	22540S851	CHICX		1.00	1.00	1.81000		SELL ONLY
UBS	Credit Suisse Floating Rate High Income Fund Institutional Class	22540S836	CSHIX				0.81000		SELL ONLY
UBS	Credit Suisse Strategic Income Fund Class A Shares	22540S760	CSOAX	4.75		.25	1.33000		SELL ONLY
UBS	Credit Suisse Strategic Income Fund Class C Shares	22540S752	CSOCX		1.00	1.00	2.08000		SELL ONLY
UBS	Credit Suisse Strategic Income Fund Class I Shares	22540S745	CSOIX				1.08000		SELL ONLY
UBS Asset Management	PACE Alternative Strategies Investments Class A	69373W707	PASIX	5.50		.25	3.33000		SELL ONLY
UBS Asset Management	PACE Alternative Strategies Investments Class P	69373W202	PASPX				3.06000		SELL ONLY
UBS Asset Management	PACE Global Fixed Income Investments Class A	69373W103	PWFAX	3.75		.25	1.30000		SELL ONLY
UBS Asset Management	PACE Global Fixed Income Investments Class P	69373W624	PCGLX				1.09000		SELL ONLY
UBS Asset Management	PACE Global Real Estate Securities Investments Class A	69373W855	PREAX	5.50		.25	1.89000		SELL ONLY
UBS Asset Management	PACE Global Real Estate Securities Investments Class P	69373W806	PREQX				1.85000		SELL ONLY
UBS Asset Management	PACE High Yield Investments Class A	69373W780	PHIAX	3.75		.25	1.26000		SELL ONLY
UBS Asset Management	PACE High Yield Investments Class P	69373W830	PHYPX				1.08000		SELL ONLY
UBS Asset Management	PACE Intermediate Fixed Income Investments Class A	69373W384	PIFAX	3.75		.25	1.11000		SELL ONLY

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UBS Asset Management	PACE Intermediate Fixed Income Investments Class P	69373W350	PCIFX				0.88000		SELL ONLY
UBS Asset Management	PACE International Emerging Markets Equity Investments Class A	69373W178	PWEAX	5.50		.25	1.61000		SELL ONLY
UBS Asset Management	PACE International Emerging Markets Equity Investments Class P	69373W293	PCEMX				1.39000		SELL ONLY
UBS Asset Management	PACE International Equity Investments Class A	69373W277	PWGA X	5.50		.25	2.01000		SELL ONLY
UBS Asset Management	PACE International Equity Investments Class P	69373W244	PCIEX				1.73000		SELL ONLY
UBS Asset Management	PACE Large Co Growth Equity Investments Class A	69373W228	PLAAX	5.50		.25	1.14000		SELL ONLY
UBS Asset Management	PACE Large Co Growth Equity Investments Class P	69373W442	PCLCX				0.91000		SELL ONLY
UBS Asset Management	PACE Large Co Value Equity Investments Class A	69373W459	PCPAX	5.50		.25	1.13000		SELL ONLY
UBS Asset Management	PACE Large Co Value Equity Investments Class P	69373W491	PCLVX				0.89000		SELL ONLY
UBS Asset Management	PACE Mortgage-Backed Securities Fixed Income Investments Class A	69373W517	PFAX	3.75		.25	2.19000		SELL ONLY
UBS Asset Management	PACE Mortgage-Backed Securities Fixed Income Investments Class P	69373W772	PCGTX				1.99000		SELL ONLY
UBS Asset Management	PACE Municipal Fixed Income Investments Class A	69373W525	PMUAX	2.25		.25	0.99000		SELL ONLY
UBS Asset Management	PACE Municipal Fixed Income Investments Class P	69373W566	PCMNX				0.73000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Growth Equity Investments Class A	69373W574	PQUAX	5.50		.25	1.28000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Growth Equity Investments Class P	69373W632	PCSGX				1.11000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Value Equity Investments Class A	69373W640	PEVAX	5.50		.25	1.28000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Value Equity Investments Class P	69373W681	PCSVX				1.09000		SELL ONLY
UBS Asset Management	PACE Strategic Fixed Income Investments Class A	69373W699	PBNAX	3.75		.25	1.15000		SELL ONLY

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UBS Asset Management	PACE Strategic Fixed Income Investments Class P	69373W756	PCSIX				0.91000		SELL ONLY
UBS Asset Management	UBS Global Allocation Fund Class A	90262H627	BNGLX	5.50		.25	1.85000		SELL ONLY
UBS Asset Management	UBS Global Allocation Fund Class P	90262H585	BPGLX				1.56000		SELL ONLY
UBS Asset Management	UBS International Sustainable Equity Fund Class A	90262H395	BNIEX	5.50		.25	1.58000		SELL ONLY
UBS Asset Management	UBS International Sustainable Equity Fund Class P	90262H361	BNUEX				1.24000		SELL ONLY
UBS Asset Management	UBS Multi Income Bond Fund Class A	90267D811	UTBAX	3.75		.25	2.45000		SELL ONLY
UBS Asset Management	UBS Multi Income Bond Fund Class P	90267D787	UTBPX				2.24000		SELL ONLY
UBS Asset Management	UBS U.S. Allocation Fund Class A	90262E103	PWTAX	5.50		.25	1.03000		SELL ONLY
UBS Asset Management	UBS U.S. Allocation Fund Class P	90262E400	PWTYX				0.77000		SELL ONLY
UBS Asset Management	UBS Ultra Short Income Fund Class A	902656107	USIAX			.10	0.53000		SELL ONLY
UBS Asset Management	UBS Ultra Short Income Fund Class P	902656206	USIPX				0.42000		SELL ONLY
Value Line	Value Line Asset Allocation Fund Institutional Class	920395209	VLAIX			.00	0.82000		SELL ONLY
Value Line	Value Line Asset Allocation Fund Investor Class	920395100	VLAAX			.25	1.06000		SELL ONLY
Value Line	Value Line Capital Appreciation Fund, Inc. Institutional Class	920440203	VLIIX			.00	0.88000		SELL ONLY
Value Line	Value Line Capital Appreciation Fund, Inc. Investor Class	920440104	VALIX			.25	1.08000		SELL ONLY
Value Line	Value Line Larger Companies Focused Fund Institutional Class	920447208	VLLIX			.00	1.19000		SELL ONLY
Value Line	Value Line Larger Companies Focused Fund Investor Class	920447109	VALLX			.25	1.14000		SELL ONLY
Value Line	Value Line Mid Cap Focused Fund	920423100	VLIFX			.25	1.08000		SELL ONLY
Value Line	Value Line Mid Cap Focused Fund Institutional Class	920423209	VLMIX			.00	0.87000		SELL ONLY

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Value Line	Value Line Select Growth Fund	920457108	VALSX			.25	1.14000		SELL ONLY
Value Line	Value Line Select Growth Fund Institutional Class	920457306	VILSX			.00	1.03000		SELL ONLY
Value Line	Value Line Small Cap Opportunities Fund Institutional Class	920454204	VLEIX			.00	0.97000		SELL ONLY
Value Line	Value Line Small Cap Opportunities Fund Investor Class	920454105	VLEOX			.25	1.18000		SELL ONLY
VanEck	VanEck CM Commodity Index Fund Class A	921075313	CMCAX	5.75		.25	1.31000		SELL ONLY
VanEck	VanEck CM Commodity Index Fund Class Y	921075289	CMCYX			.00	0.91000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class A	921075875	GBFAX	5.75		.25	1.59000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class Y	921075370	EMRYX			.00	1.23000		SELL ONLY
VanEck	VanEck Global Resources Fund ? Class A	921075750	GHAAX	5.75		.25	1.49000		SELL ONLY
VanEck	VanEck Global Resources Fund ? Class Y	921075362	GHAYX			.00	1.18000		SELL ONLY
VanEck	VanEck International Investors Gold Fund Class A	921075503	INIVX	5.75		.25	1.42000		SELL ONLY
VanEck	VanEck International Investors Gold Fund Class C	921075776	IIGCX		1.00	1.00	2.26000		SELL ONLY
VanEck	VanEck International Investors Gold Fund Class Y	921075388	INIYX			.00	1.13000		SELL ONLY
Vanguard	Vanguard 500 Index Fund Admiral Shares	922908710	VFIAX				0.04000		SELL ONLY
Vanguard	Vanguard Baillie Gifford Global Positive Impact Stock Fund Investor Shares	92205M408	VBPIX				0.59000		SELL ONLY
Vanguard	Vanguard Balanced Index Fund Admiral Shares	921931200	VBIAX				0.07000		SELL ONLY
Vanguard	Vanguard Balanced Index Fund Institutional Shares	921931309	VBAIX				0.06000		SELL ONLY
Vanguard	Vanguard California Intermediate-Term Tax-Exempt Fund Admiral Shares	922021407	VCADX				0.09000		SELL ONLY
Vanguard	Vanguard California Intermediate-Term Tax-Exempt Fund Investor Shares	922021308	VCAIX				0.14000		SELL ONLY

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Vanguard	Vanguard California Long-Term Tax-Exempt Fund Admiral Shares	922021506	VCLAX				0.09000		SELL ONLY
Vanguard	Vanguard California Long-Term Tax-Exempt Fund Investor Shares	922021100	VCITX				0.14000		SELL ONLY
Vanguard	Vanguard Capital Opportunity Fund Admiral Shares	922038500	VHCAX				0.35000		SELL ONLY
Vanguard	Vanguard Capital Opportunity Fund Investor Shares	922038302	VHCOX				0.43000		SELL ONLY
Vanguard	Vanguard Commodity Strategy Fund Admiral Shares	921939708	VCMDX				0.16000		SELL ONLY
Vanguard	Vanguard Communication Services Index Fund Admiral Class	92204A777	VTCAAX				0.09000		SELL ONLY
Vanguard	Vanguard Consumer Discretionary Index Fund Admiral Shares	92204A868	VCDAX				0.09000		SELL ONLY
Vanguard	Vanguard Consumer Staples Index Fund Class Admiral Shares	92204A850	VCSAX				0.09000		SELL ONLY
Vanguard	Vanguard Core Bond Fund Admiral Shares	922020839	VCOBX				0.10000		SELL ONLY
Vanguard	Vanguard Core Bond Fund Investor Shares	922020847	VCORX				0.20000		SELL ONLY
Vanguard	Vanguard Core-Plus Bond Fund Admiral Shares	922020789	VCPAX				0.20000		SELL ONLY
Vanguard	Vanguard Core-Plus Bond Fund Investor Shares	922020797	VCPIX				0.30000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund Admiral Shares	921943809	VTMGX				0.05000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund Institutional Plus Shares	92206J206	VDIPX				0.02000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund Institutional Shares	921943882	VTMNX				0.03000		SELL ONLY
Vanguard	Vanguard Diversified Equity Fund Investor Shares	921939401	VDEQX				0.35000		SELL ONLY
Vanguard	Vanguard Dividend Appreciation Index Fund Admiral Shares	921908828	VDADX				0.07000		SELL ONLY
Vanguard	Vanguard Dividend Growth Fund Investor Shares	921908604	VDIGX				0.22000		SELL ONLY

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Vanguard	Vanguard Emerging Markets Bond Fund Admiral Shares	922020813	VEGBX				0.35000		SELL ONLY
Vanguard	Vanguard Emerging Markets Bond Fund Investor Shares	922020821	VEMBX				0.50000		SELL ONLY
Vanguard	Vanguard Emerging Markets Government Bond Index Fund Admiral Shares	921946802	VGAVX				0.20000		SELL ONLY
Vanguard	Vanguard Emerging Markets Government Bond Index Fund Institutional Shares	921946703	VGIVX				0.13000		SELL ONLY
Vanguard	Vanguard Emerging Markets Select Stock Fund Investor Shares	921939500	VMMSX				0.75000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index Fund Admiral Shares	922042841	VEMAX				0.13000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index Fund Institutional Plus Shares	922042650	VEMRX				0.07000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index Fund Institutional Shares	922042601	VEMIX				0.09000		SELL ONLY
Vanguard	Vanguard Energy Fund Admiral Shares	921908802	VGELX				0.37000		SELL ONLY
Vanguard	Vanguard Energy Fund Investor Shares	921908109	VGEXX				0.45000		SELL ONLY
Vanguard	Vanguard Energy Index Fund Admiral Shares	92204A843	VENAX				0.09000		SELL ONLY
Vanguard	Vanguard Equity-Income Fund Admiral Shares	921921300	VEIRX				0.18000		SELL ONLY
Vanguard	Vanguard Equity-Income Fund Investor Shares	921921102	VEIPX				0.27000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Admiral Shares	922042809	VEUSX				0.08000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Institutional Plus Shares	922042627	VEUPX				0.05000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Institutional Shares	922042502	VESIX				0.06000		SELL ONLY
Vanguard	Vanguard Explorer Fund Admiral Shares	921926200	VEXRX				0.33000		SELL ONLY
Vanguard	Vanguard Explorer Fund Investor Class	921926101	VEXPX				0.44000		SELL ONLY
Vanguard	Vanguard Explorer Value Fund Investor Shares	92206C748	VEVFX				0.54000		SELL ONLY

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Vanguard	Vanguard Extended Duration Treasury Index Fund Institutional Shares	921910881	VEDTX				0.05000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Admiral Shares	922908694	VEXAX				0.05000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Institutional Plus Shares	922908371	VEMPX				0.04000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Institutional Shares	922908884	VIEIX				0.05000		SELL ONLY
Vanguard	Vanguard Financials Index Fund Admiral Shares	92204A835	VFAIX				0.09000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fund Admiral Shares	921937736	VFWAX				0.08000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fund Institutional Plus Shares	922042635	VFWPX				0.03000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fund Institutional Shares	922042783	VFWSX				0.05000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Small Capital Index Fund Admiral Shares	922042593	VFSAX				0.17000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Small-Cap Index Fund Institutional Shares	922042726	VFSNX				0.10000		SELL ONLY
Vanguard	Vanguard FTSE Social Index Fund Admiral	921910717	VFTAX				0.13000		SELL ONLY
Vanguard	Vanguard FTSE Social Index Fund Institutional Shares	921910402	VFTNX				0.07000		SELL ONLY
Vanguard	Vanguard Global Capital Cycles Fund Investor Shares	921908208	VGPMX				0.44000		SELL ONLY
Vanguard	Vanguard Global Credit Bond Fund Admiral Shares	92203J852	VGCAIX				0.25000		SELL ONLY
Vanguard	Vanguard Global Credit Bond Fund Investor Shares	92203J860	VGCIIX				0.35000		SELL ONLY
Vanguard	Vanguard Global Environmental Opportunities Stock Fund Admiral Shares	921939880	VEOAX				0.58000		SELL ONLY
Vanguard	Vanguard Global Environmental Opportunities Stock Fund Investor Shares	921939807	VEOIX				0.73000		SELL ONLY

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Vanguard	Vanguard Global Equity Fund Investor Shares	922038203	VHGEX				0.39000		SELL ONLY
Vanguard	Vanguard Global ESG Select Stock Fund Admiral Shares	921908794	VESGX				0.48000		SELL ONLY
Vanguard	Vanguard Global ESG Select Stock Fund Investor Shares	921908810	VEIGX				0.58000		SELL ONLY
Vanguard	Vanguard Global ex-U.S. Real Estate Index Fund Admiral Shares	922042668	VGRLX				0.12000	0.25	SELL ONLY
Vanguard	Vanguard Global ex-U.S. Real Estate Index Fund Institutional Shares	922042684	VGRNX				0.11000	0.25	SELL ONLY
Vanguard	Vanguard Global Minimum Volatility Fund Admiral Shares	921946869	VMNVX				0.14000		SELL ONLY
Vanguard	Vanguard Global Minimum Volatility Fund Investor Shares	921946877	VMVFX				0.21000		SELL ONLY
Vanguard	Vanguard Global Wellesley Income Fund Admiral Shares	921910741	VGYAX				0.30000		SELL ONLY
Vanguard	Vanguard Global Wellesley Income Fund Investor Shares	921910758	VGWIX				0.43000		SELL ONLY
Vanguard	Vanguard Global Wellington Fund Admiral Shares	921910766	VGWA X				0.30000		SELL ONLY
Vanguard	Vanguard Global Wellington Fund Investor Shares	921910774	VGWLX				0.43000		SELL ONLY
Vanguard	Vanguard GNMA Fund Admiral Shares	922031794	VFIJX				0.11000		SELL ONLY
Vanguard	Vanguard GNMA Fund Investor Shares	922031307	VFIIX				0.21000		SELL ONLY
Vanguard	Vanguard Growth and Income Fund Admiral Shares	921913208	VGIAX				0.24000		SELL ONLY
Vanguard	Vanguard Growth and Income Fund Investor Shares	921913109	VQNPX				0.36000		SELL ONLY
Vanguard	Vanguard Growth Index Fund Admiral Shares	922908660	VIGAX				0.05000		SELL ONLY
Vanguard	Vanguard Growth Index Fund Institutional Shares	922908868	VIGIX				0.04000		SELL ONLY
Vanguard	Vanguard Health Care Fund Admiral Shares	921908885	VGHAX				0.32000		SELL ONLY
Vanguard	Vanguard Health Care Fund Investor Shares	921908307	VGHCX				0.37000		SELL ONLY

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Vanguard	Vanguard Health Care Index Fund Admiral Shares	92204A827	VHCIX				0.09000		SELL ONLY
Vanguard	Vanguard High Dividend Yield Index Fund Admiral	921946786	VHYAX				0.08000		SELL ONLY
Vanguard	Vanguard High-Yield Corporate Fund Admiral Shares	922031760	VWEAX				0.12000		SELL ONLY
Vanguard	Vanguard High-Yield Corporate Fund Investor Shares	922031208	VWEHX				0.22000		SELL ONLY
Vanguard	Vanguard High-Yield Tax-Exempt Fund	922907407	VWAHX				0.17000		SELL ONLY
Vanguard	Vanguard High-Yield Tax-Exempt Fund Admiral Shares	922907845	VWALX				0.09000		SELL ONLY
Vanguard	Vanguard Industrials Index Fund Admiral Shares	92204A819	VINAX				0.09000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fund Admiral Shares	922031737	VAIPX				0.10000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fund Institutional Shares	922031745	VIPIX				0.07000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fund Investor Shares	922031869	VIPSX				0.20000		SELL ONLY
Vanguard	Vanguard Information Technology Index Fund Admiral Shares	92204A793	VITAX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Index Fund Institutional Plus Shares	922040209	VIIIX				0.02000		SELL ONLY
Vanguard	Vanguard Institutional Index Fund Institutional Shares	922040100	VINIX				0.03500		SELL ONLY
Vanguard	Vanguard Institutional Total Stock Market Index Fund Institutional Plus Shares	922040407	VITPX				0.02000		SELL ONLY
Vanguard	Vanguard Institutional Total Stock Market Index Fund Institutional Shares	922040308	VITNX				0.03000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index Fund Admiral Shares	921937801	VBILX				0.06000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index Fund Institutional Plus Shares	921937751	VBIUX				0.03000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index Fund Institutional Shares	921937884	VBIMX				0.04000		SELL ONLY

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Vanguard	Vanguard Intermediate-Term Corporate Bond Index Fund Admiral Shares	92206C854	VICSX				0.06000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Corporate Bond Index Fund Institutional Shares	92206C862	VICBX				0.04000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares	922031810	VFIDX				0.09000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares	922031885	VFICX				0.20000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares	922907878	VWIUX				0.09000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Tax-Exempt Fund Investor Shares	922907209	VWITX				0.17000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Fund Admiral Shares	922031828	VFIUX				0.10000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Fund Investor Shares	922031802	VFITX				0.20000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Index Fund Admiral Shares	92206C888	VSIGX				0.06000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Index Fund Institutional Shares	92206C805	VIIGX				0.04000		SELL ONLY
Vanguard	Vanguard International Core Stock Fund Admiral Shares	922038880	VZICX				0.38000		SELL ONLY
Vanguard	Vanguard International Core Stock Fund Investor Shares	922038807	VWICX				0.48000		SELL ONLY
Vanguard	Vanguard International Dividend Appreciation Index Fund Admiral Shares	921946844	VIAAX				0.16000	0.25	SELL ONLY
Vanguard	Vanguard International Dividend Growth Fund Investor Shares	921946729	VIDGX				0.55000		SELL ONLY
Vanguard	Vanguard International Explorer Fund Investor Shares	921946208	VINEX				0.45000		SELL ONLY
Vanguard	Vanguard International Growth Fund Admiral Shares	921910501	VWILX				0.26000		SELL ONLY
Vanguard	Vanguard International Growth Fund Investor Shares	921910204	VWIGX				0.38000		SELL ONLY
Vanguard	Vanguard International High Dividend Yield Fund Admiral Shares	921946828	VIHAX				0.17000	0.25	SELL ONLY

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Vanguard	Vanguard International Value Fund Investor Shares	921939203	VTRIX				0.36000		SELL ONLY
Vanguard	Vanguard Large Cap Index Fund Admiral Shares	922908579	VLCAX				0.05000		SELL ONLY
Vanguard	Vanguard Large Cap Index Fund Institutional Shares	922908561	VLISX				0.04000		SELL ONLY
Vanguard	Vanguard LifeStrategy Conservative Growth Fund Investor Shares	921909305	VSCGX				0.12000		SELL ONLY
Vanguard	Vanguard LifeStrategy Growth Fund Investor Shares	921909503	VASGX				0.14000		SELL ONLY
Vanguard	Vanguard LifeStrategy Income Fund Investor Shares	921909206	VASIX				0.11000		SELL ONLY
Vanguard	Vanguard LifeStrategy Moderate Growth Fund Investor Shares	921909404	VSMGX				0.13000		SELL ONLY
Vanguard	Vanguard Limited-Term Tax-Exempt Fund	922907704	VMLTX				0.17000		SELL ONLY
Vanguard	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares	922907886	VMLUX				0.09000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Admiral Shares	921937652	VBLAX				0.06000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Institutional Plus Shares	921937744	VBLIX				0.03000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Institutional Shares	921937876	VBLLX				0.04000		SELL ONLY
Vanguard	Vanguard Long-Term Corporate Bond Index Fund Admiral Shares	92206C789	VLTCX				0.06000		SELL ONLY
Vanguard	Vanguard Long-Term Corporate Bond Index Fund Institutional Shares	92206C797	VLCIX				0.04000		SELL ONLY
Vanguard	Vanguard Long-Term Investment-Grade Fund Admiral Shares	922031778	VWETX				0.10000		SELL ONLY
Vanguard	Vanguard Long-Term Investment-Grade Fund Investor Shares	922031109	VWESX				0.21000		SELL ONLY
Vanguard	Vanguard Long-Term Tax-Exempt Fund	922907308	VWLTX				0.17000		SELL ONLY
Vanguard	Vanguard Long-Term Tax-Exempt Fund Admiral Shares	922907860	VWLUX				0.09000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Fund Admiral Shares	922031786	VUSUX				0.10000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Long-Term Treasury Fund Investor Shares	922031505	VUSTX				0.20000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Index Fund Admiral Shares	92206C821	VLGSX				0.06000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Index Fund Institutional Shares	92206C839	VLGIX				0.04000		SELL ONLY
Vanguard	Vanguard Market Neutral Fund Institutional Shares	92205G203	VMNIX				1.34000		SELL ONLY
Vanguard	Vanguard Market Neutral Fund Investor Shares	92205G104	VMNFX				1.40000		SELL ONLY
Vanguard	Vanguard Massachusetts Tax-Exempt Fund Investor Shares	92204X108	VMATX				0.09000		SELL ONLY
Vanguard	Vanguard Materials Index Fund Admiral Shares	92204A785	VMIAX				0.09000		SELL ONLY
Vanguard	Vanguard Mega Cap Growth Index Fund Institutional Shares	921910782	VMGAX				0.06000		SELL ONLY
Vanguard	Vanguard Mega Cap Index Fund Institutional Shares	921910857	VMCTX				0.06000		SELL ONLY
Vanguard	Vanguard Mega Cap Value Index Fund Institutional Shares	921910824	VMVLX				0.06000		SELL ONLY
Vanguard	Vanguard Mid-Cap Growth Fund Investor Shares	921946307	VMGRX				0.39000		SELL ONLY
Vanguard	Vanguard Mid-Cap Growth Index Fund Admiral Shares	921937728	VMGMX				0.07000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Admiral Shares	922908645	VIMAX				0.05000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Institutional Plus Shares	922908389	VMCPX				0.03000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Institutional Shares	922908835	VMCIX				0.04000		SELL ONLY
Vanguard	Vanguard Mid-Cap Value Index Fund Admiral Shares	921937694	VMVAX				0.07000		SELL ONLY
Vanguard	Vanguard Mortgage-Backed Securities Index Fund Admiral Shares	92206C755	VMBSX				0.06000		SELL ONLY
Vanguard	Vanguard Mortgage-Backed Securities Index Fund Institutional Shares	92206C763	VMBIX				0.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Multi-Sector Income Bond Fund Admiral Shares	922020763	VMSAX				0.30000		SELL ONLY
Vanguard	Vanguard Multi-Sector Income Bond Fund Investor Shares	922020771	VMSIX				0.45000		SELL ONLY
Vanguard	Vanguard New Jersey Long-Term Tax-Exempt Fund Admiral Shares	92204F305	VNJUX				0.09000		SELL ONLY
Vanguard	Vanguard New Jersey Long-Term Tax-Exempt Fund Investor Shares	92204F206	VNJTX				0.17000		SELL ONLY
Vanguard	Vanguard New York Long-Term Tax-Exempt Fund Admiral Shares	92204H301	VNYUX				0.09000		SELL ONLY
Vanguard	Vanguard New York Long-Term Tax-Exempt Fund Investor Shares	92204H103	VNYTX				0.14000		SELL ONLY
Vanguard	Vanguard Ohio Long-Term Tax-Exempt Fund	921929204	VOHIX				0.09000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Fund Admiral Shares	922042700	VPADX				0.09000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Fund Institutional Shares	922042403	VPKIX				0.07000		SELL ONLY
Vanguard	Vanguard Pennsylvania Long-Term Tax-Exempt Fund Admiral Shares	92204L302	VPALX				0.09000		SELL ONLY
Vanguard	Vanguard Pennsylvania Long-Term Tax-Exempt Fund Investor Shares	92204L104	VPAIX				0.14000		SELL ONLY
Vanguard	Vanguard PRIMECAP Core Fund Investor Shares	921921508	VPCCX				0.43000		SELL ONLY
Vanguard	Vanguard PRIMECAP Fund Admiral Shares	921936209	VPMAX				0.29000		SELL ONLY
Vanguard	Vanguard PRIMECAP Fund Investor Shares	921936100	VPMCX				0.37000		SELL ONLY
Vanguard	Vanguard Real Estate Index Fund Admiral Shares	921908877	VGSLX				0.13000		SELL ONLY
Vanguard	Vanguard Real Estate Index Fund Institutional Shares	921908869	VGSNX				0.11000		SELL ONLY
Vanguard	Vanguard Russell 1000 Growth Index Fund Institutional Shares	92206C672	VRGW X				0.06000		SELL ONLY
Vanguard	Vanguard Russell 1000 Index Fund Institutional Shares	92206C722	VRNIX				0.06000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Russell 1000 Value Index Fund Institutional Shares	92206C698	VRVIX				0.06000		SELL ONLY
Vanguard	Vanguard Russell 2000 Growth Index Fund Institutional Shares	92206C615	VRTGX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 2000 Index Fund Institutional Shares	92206C656	VRTIX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 2000 Value Index Fund Institutional Shares	92206C631	VRTVX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 3000 Index Fund Institutional Shares	92206C581	VRTTX				0.07000		SELL ONLY
Vanguard	Vanguard S&P 500 Growth Index Fund Institutional Shares	921932604	VSPGX				0.05000		SELL ONLY
Vanguard	Vanguard S&P 500 Value Index Fund Institutional Shares	921932802	VSPVX				0.05000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Growth Index Fund Institutional Shares	921932851	VMFGX				0.03000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Index Fund Institutional Shares	921932877	VSPMX				0.03000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Value Index Fund Institutional Shares	921932836	VMFVX				0.03000		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Index Fund Institutional Shares	921932810	VSMSX				0.03000		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Value Index Fund Institutional Shares	921932760	VSMVX				0.03000		SELL ONLY
Vanguard	Vanguard Selected Value Fund Investor Shares	921946109	VASVX				0.42000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Admiral Shares	921937702	VBIRX				0.06000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Institutional Plus Shares	921937769	VBIPX				0.03000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Institutional Shares	921937777	VBITX				0.04000		SELL ONLY
Vanguard	Vanguard Short-Term Corporate Bond Index Fund Admiral Shares	92206C607	VSCSX				0.06000		SELL ONLY
Vanguard	Vanguard Short-Term Corporate Bond Index Fund Institutional Shares	92206C508	VSTBX				0.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Short-Term Federal Fund Admiral Shares	922031844	VSGDX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Federal Fund Investor Shares	922031604	VSGBX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	922020706	VTAPX				0.06000		SELL ONLY
Vanguard	Vanguard Short-Term Inflation-Protected Securities Index Fund Institutional Shares	922020607	VTSPX				0.03000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund Admiral Shares	922031836	VFSUX				0.09000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund Institutional Shares	922031877	VFSIX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund Investor Shares	922031406	VFSTX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Fund Admiral Shares	922031851	VFIRX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Fund Investor Shares	922031703	VFISX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Index Fund Admiral Shares	92206C300	VSBSX				0.06000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Index Fund Institutional Shares	92206C201	VSBIK				0.04000		SELL ONLY
Vanguard	Vanguard Small Cap Index Fund Admiral Shares	922908686	VSMAX				0.05000		SELL ONLY
Vanguard	Vanguard Small Cap Value Index Fund Admiral Shares	921937686	VSIAX				0.07000		SELL ONLY
Vanguard	Vanguard Small-Cap Growth Index Fund Admiral Shares	921937710	VSGAX				0.07000		SELL ONLY
Vanguard	Vanguard Small-Cap Growth Index Fund Institutional Shares	922908819	VSGIX				0.06000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Institutional Plus Shares	922908397	VSCPX				0.03000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Institutional Shares	922908876	VSCIX				0.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Small-Cap Value Index Fund Institutional Shares	922908785	VSIIIX				0.06000		SELL ONLY
Vanguard	Vanguard Star Fund Investor Shares	921909107	VGSTX				0.30000		SELL ONLY
Vanguard	Vanguard Strategic Equity Fund Investor Shares	922038104	VSEQX				0.17000		SELL ONLY
Vanguard	Vanguard Strategic Small-Cap Equity Fund Investor Shares	922038609	VSTCX				0.21000		SELL ONLY
Vanguard	Vanguard Target Retirement 2020 Fund	92202E805	VTWNX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2025 Fund	92202E409	VTTVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2030 Fund	92202E888	VTHRX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2035 Fund	92202E508	VTTHX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2040 Fund	92202E870	VFORX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2045 Fund	92202E607	VTIVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2050 Fund	92202E862	VFIFX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2055 Fund	92202E847	VFFVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2060 Fund	92202E839	VTTSX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2065 Fund	92202E680	VLXVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2070 Fund	92202E664	VSVNX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement Income Fund	92202E102	VTINX				0.08000		SELL ONLY
Vanguard	Vanguard Tax-Exempt Bond Index Fund Admiral Shares	922907753	VTEAX				0.07000		SELL ONLY
Vanguard	Vanguard Tax-Managed Balanced Fund Admiral Shares	921943304	VTMFEX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Capital Appreciation Fund Admiral Shares	921943866	VTCLX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Capital Appreciation Fund Institutional Shares	921943601	VTCIX				0.06000		SELL ONLY
Vanguard	Vanguard Tax-Managed Small Cap Fund Admiral Shares	921943403	VTMSX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Small-Cap Fund Institutional Shares	921943502	VTSIX				0.06000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund Admiral Shares	921937603	VBTLX				0.04000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund Institutional Plus Shares	921937785	VBMPX				0.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Total Bond Market Index Fund Institutional Shares	921937504	VBPIX				0.02500		SELL ONLY
Vanguard	Vanguard Total International Bond Index Fund Admiral? Shares	92203J308	VTABX				0.10000		SELL ONLY
Vanguard	Vanguard Total International Bond Index Fund Institutional Shares	92203J209	VTIFX				0.06000		SELL ONLY
Vanguard	Vanguard Total International Stock Index Fund Admiral Shares	921909818	VTIAX				0.09000		SELL ONLY
Vanguard	Vanguard Total International Stock Index Fund Institutional Plus Shares	921909776	VTPSX				0.05000		SELL ONLY
Vanguard	Vanguard Total International Stock Index Fund Institutional Shares	921909784	VTSNX				0.06000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund Admiral Shares	922908728	VTMAX				0.04000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund Institutional Plus Shares	922908355	VSMPX				0.02000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund Institutional Shares	922908801	VITMX				0.03000		SELL ONLY
Vanguard	Vanguard Total World Stock Index Fund Admiral	922042619	VTWAX				0.09000		SELL ONLY
Vanguard	Vanguard Total World Stock Index Fund Institutional Shares	922042759	VTWIX				0.07000		SELL ONLY
Vanguard	Vanguard U.S. Growth Fund Admiral? Shares	921910600	VWUAX				0.25000		SELL ONLY
Vanguard	Vanguard U.S. Growth Fund Investor Shares	921910105	VWUSX				0.35000		SELL ONLY
Vanguard	Vanguard U.S. Multifactor Fund Admiral? Shares	921935888	VFMFX				0.18000		SELL ONLY
Vanguard	Vanguard Ultra Short-Term Tax-Exempt Fund	922907100	VWSTX				0.17000		SELL ONLY
Vanguard	Vanguard Ultra Short-Term Tax-Exempt Fund Class Admiral	922907803	VWSUX				0.09000		SELL ONLY
Vanguard	Vanguard Ultra-Short-Term Bond Fund Admiral Shares	922031711	VUSFX				0.09000		SELL ONLY
Vanguard	Vanguard Ultra-Short-Term Bond Fund Investor Shares	922031729	VUBFX				0.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Utilities Index Fund Admiral Shares	92204A769	VUIAX				0.09000		SELL ONLY
Vanguard	Vanguard Value Index Fund Admiral Shares	922908678	VVIAX				0.05000		SELL ONLY
Vanguard	Vanguard Value Index Fund Institutional Shares	922908850	VIVIX				0.04000		SELL ONLY
Vanguard	Vanguard Wellesley? Income Fund Admiral? Shares	921938205	VWIAAX				0.16000		SELL ONLY
Vanguard	Vanguard Wellesley? Income Fund Investor Shares	921938106	VWINX				0.23000		SELL ONLY
Vanguard	Vanguard Wellington Fund Admiral Shares	921935201	VWENX				0.17000		SELL ONLY
Vanguard	Vanguard Wellington Fund Investor Shares	921935102	VWELX				0.25000		SELL ONLY
Vanguard	Vanguard Windsor Fund Investor Shares	922018106	VWNDX				0.36000		SELL ONLY
Vanguard	Vanguard Windsor II Fund Admiral Shares	922018304	VWNAX				0.23000		SELL ONLY
Vanguard	Vanguard Windsor II Fund Investor Share	922018205	VWNFX				0.32000		SELL ONLY
Vanguard	Vanguard Windsor? Fund Admiral? Shares	922018403	VWNEX				0.26000		SELL ONLY
Victory Capital Management Inc.	New York Bond Fund Institutional Shares	903287753	UNYIX			.00	0.82000		SELL ONLY
Victory Capital Management Inc.	Victory 500 Index Fund Member Shares	903288884	USSPX			.00	0.23000		SELL ONLY
Victory Capital Management Inc.	Victory 500 Index Fund Reward Shares	903288769	USPRX			.00	0.19000		SELL ONLY
Victory Capital Management Inc.	Victory Aggressive Growth Fund	903288405	USAUX			.00	0.64000		SELL ONLY
Victory Capital Management Inc.	Victory Aggressive Growth Fund Institutional Shares	903288686	UIAGX			.00	0.87000		SELL ONLY
Victory Capital Management Inc.	Victory Amt-Free Municipal Fund Class C	92648C504	MNBCX		1.00	1.00	1.60000		SELL ONLY
Victory Capital Management Inc.	Victory Amt-Free Municipal Fund Class Y	92648C603	PBYMX			.00	0.67000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory California Bond Fund	903289502	USCBX			.00	0.56000		SELL ONLY
Victory Capital Management Inc.	Victory California Bond Fund Class A	90291A718	UXABX	2.25		.25	1.52000		SELL ONLY
Victory Capital Management Inc.	Victory California Bond Fund Institutional Shares	903287761	UCBIX			.00	0.64000		SELL ONLY
Victory Capital Management Inc.	Victory Capital Growth Fund	903288785	USCGX				1.09000		SELL ONLY
Victory Capital Management Inc.	Victory Capital Growth Fund Institutional Shares	903287811	UICGX				1.83000		SELL ONLY
Victory Capital Management Inc.	Victory Core Plus Intermediate Bond Fund	903288835	USIBX			.00	0.64000		SELL ONLY
Victory Capital Management Inc.	Victory Core Plus Intermediate Bond Fund C Shares	903287639	UITCX		1.00	1.00	1.68000		SELL ONLY
Victory Capital Management Inc.	Victory Core Plus Intermediate Bond Fund Class A	90291A775	UITBX	2.25		.25	0.88000		BUY,SELL
Victory Capital Management Inc.	Victory Core Plus Intermediate Bond Fund Institutional Shares	903288595	UIITX			.00	0.56000		SELL ONLY
Victory Capital Management Inc.	Victory Core Plus Intermediate Bond Fund R6 Shares	90291A601	URIBX			.00	0.43000		SELL ONLY
Victory Capital Management Inc.	Victory Cornerstone Aggressive Fund	903288280	UCAGX			.00	1.22000		SELL ONLY
Victory Capital Management Inc.	Victory Cornerstone Conservative Fund	903288314	USCCX			.00	0.60000		SELL ONLY
Victory Capital Management Inc.	Victory Cornerstone Equity Fund	903288272	UCEQX			.00	0.59000		SELL ONLY
Victory Capital Management Inc.	Victory Cornerstone Moderate Fund	903287886	USBSX			.00	1.19000		SELL ONLY
Victory Capital Management Inc.	Victory Cornerstone Moderately Aggressive Fund	903287209	USCRX			.00	1.15000		SELL ONLY
Victory Capital Management Inc.	Victory Cornerstone Moderately Conservative Fund	903288298	UCMCX			.00	1.31000		SELL ONLY
Victory Capital Management Inc.	Victory Diversified Stock Fund Class A	926464603	SRVEX	5.75		.25	1.05000		SELL ONLY
Victory Capital Management Inc.	Victory Diversified Stock Fund Class C	926464157	VDSCX		1.00	1.00	4.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Diversified Stock Fund Class I	92646A856	VDSIX			.00	0.85000		SELL ONLY
Victory Capital Management Inc.	Victory Diversified Stock Fund Class R	926464421	GRINX			.50	1.35000		SELL ONLY
Victory Capital Management Inc.	Victory Diversified Stock Fund Class R6	92646A435	VDSRX			.00	1.12000		SELL ONLY
Victory Capital Management Inc.	Victory Diversified Stock Fund Class Y	92646A641	VDSYX			.00	1.11000		SELL ONLY
Victory Capital Management Inc.	Victory Emerging Markets Fund	903287803	USEMX			.00	1.56000		SELL ONLY
Victory Capital Management Inc.	Victory Emerging Markets Fund Institutional Shares	903288629	UIEMX			.00	1.40000		SELL ONLY
Victory Capital Management Inc.	Victory Extended Market Index Fund	903288827	USMIX			.00	0.35000		SELL ONLY
Victory Capital Management Inc.	Victory Floating Rate Fund Class A	92647K572	RSFLX	2.25		.25	1.16000		SELL ONLY
Victory Capital Management Inc.	Victory Floating Rate Fund Class C	92647K564	RSFCX		1.00	1.00	1.96000		SELL ONLY
Victory Capital Management Inc.	Victory Floating Rate Fund Class Y	92647K549	RSFYX				0.89000		SELL ONLY
Victory Capital Management Inc.	Victory Fund for Income Class A	926464751	IPFIX	2.25		.25	0.97000		BUY,SELL
Victory Capital Management Inc.	Victory Fund for Income Class C	926464173	VFFCX		1.00	1.00	2.37000		SELL ONLY
Victory Capital Management Inc.	Victory Fund for Income Class I	92646A658	VFFIX			.00	0.71000		SELL ONLY
Victory Capital Management Inc.	Victory Fund for Income Class R	926464397	GGIFX			.25	1.02000		SELL ONLY
Victory Capital Management Inc.	Victory Fund for Income Class R6	92646A112	VFFRX			.00	0.69000		SELL ONLY
Victory Capital Management Inc.	Victory Fund for Income Class Y	92646A625	VFFYX			.00	0.72000		SELL ONLY
Victory Capital Management Inc.	Victory Global Energy Transition Fund Class A	92647Q678	RSNRX	5.75		.25	1.54000		SELL ONLY
Victory Capital Management Inc.	Victory Global Energy Transition Fund Class C	92647Q660	RGNCX		1.00	1.00	3.36000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Global Energy Transition Fund Class Y	92647Q645	RSNYX			.00	1.21000		SELL ONLY
Victory Capital Management Inc.	Victory Global Equity Income Fund Fund Shares	903287845	UGEIX			.00	1.18000		SELL ONLY
Victory Capital Management Inc.	Victory Global Managed Volatility Fund Fund Shares	903288231	UGMVX			.00	1.15000		SELL ONLY
Victory Capital Management Inc.	Victory Global Managed Volatility Fund Institutional Shares	903288710	UGOFX			.00	0.79000		SELL ONLY
Victory Capital Management Inc.	Victory Government Securities Fund	903287506	USGNX			.00	0.51000		SELL ONLY
Victory Capital Management Inc.	Victory Government Securities Fund Institutional Shares	903287787	UIGSX			.00	0.41000		SELL ONLY
Victory Capital Management Inc.	Victory Government Securities Fund R6 Shares	90291A205	URGSX			.00	0.37000		SELL ONLY
Victory Capital Management Inc.	Victory Growth & Income Fund	903288801	USGRX			.00	0.86000		SELL ONLY
Victory Capital Management Inc.	Victory Growth & Income Fund Institutional Shares	903287795	UIGIX			.00	1.32000		SELL ONLY
Victory Capital Management Inc.	Victory Growth and Tax Strategy Fund	903287407	USBLX				0.50000		SELL ONLY
Victory Capital Management Inc.	Victory Growth and Tax Strategy Fund Class A	903287670	UGTAX	2.25		.25	0.91000		SELL ONLY
Victory Capital Management Inc.	Victory Growth and Tax Strategy Fund Class C	903287621	UGTCX		1.00	1.00	1.72000		SELL ONLY
Victory Capital Management Inc.	Victory Growth and Tax Strategy Fund Institutional Shares	903287696	UGTIX				0.55000		SELL ONLY
Victory Capital Management Inc.	Victory Growth Fund	903288108	USAAX			.00	0.89000		SELL ONLY
Victory Capital Management Inc.	Victory Growth Fund Institutional Shares	903288678	UIGRX			.00	0.92000		SELL ONLY
Victory Capital Management Inc.	Victory High Income Fund	903288843	USHYX			.00	0.79000		SELL ONLY
Victory Capital Management Inc.	Victory High Income Fund Institutional Shares	903288579	UIHIX			.00	0.72000		SELL ONLY
Victory Capital Management Inc.	Victory High Income Fund R6 Shares	90291A403	URHIX			.00	1.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory High Income Municipal Fund Class C	92648C272	HICMX		1.00	1.00	1.62000		SELL ONLY
Victory Capital Management Inc.	Victory High Income Municipal Fund Class Y	92648C264	HIMYX			.00	0.68000		SELL ONLY
Victory Capital Management Inc.	Victory High Yield Fund Class A	92647K481	GUHYX	2.25		.25	1.13000		SELL ONLY
Victory Capital Management Inc.	Victory High Yield Fund Class C	92647K473	RHYCX		1.00	1.00	1.86000		SELL ONLY
Victory Capital Management Inc.	Victory High Yield Fund Class R	92647K465	RHYKX			.50	1.38000		SELL ONLY
Victory Capital Management Inc.	Victory High Yield Fund Class Y	92647K457	RSYYX				0.89000		SELL ONLY
Victory Capital Management Inc.	Victory Income Fund	903288207	USAIX			.00	0.59000		SELL ONLY
Victory Capital Management Inc.	Victory Income Fund Class A	90291A791	UINCX	2.25		.25	0.90000		SELL ONLY
Victory Capital Management Inc.	Victory Income Fund Institutional Shares	903288611	UIINX			.00	0.53000		SELL ONLY
Victory Capital Management Inc.	Victory Income Fund R6 Shares	90291A106	URIFX			.00	0.52000		SELL ONLY
Victory Capital Management Inc.	Victory Income Stock Fund	903288603	USISX			.00	0.75000		SELL ONLY
Victory Capital Management Inc.	Victory Income Stock Fund Institutional Shares	903288660	UIISX			.00	0.76000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Discovery Fund Class A	92647K606	MMEAX	5.75		.25	1.59000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Discovery Fund Class C	92647K705	MMECX		1.00	1.00	5.42000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Discovery Fund Class Y	92647K887	MMEYX			.00	1.41000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Mid-Cap Value Fund Class A	92646A229	MAIMX	5.75		.25	1.33000		BUY,SELL
Victory Capital Management Inc.	Victory Integrity Mid-Cap Value Fund Class C	92647Q256	MCIMX		1.00	1.00	15.55000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Mid-Cap Value Fund Class R6	92647K598	MRIMX			.00	0.86000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Integrity Mid-Cap Value Fund Class Y	92646A211	MYIMX			.00	1.00000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Small/Mid-Cap Value Fund Class A	92646A195	MAISX	5.75		.25	1.47000		BUY,SELL
Victory Capital Management Inc.	Victory Integrity Small/Mid-Cap Value Fund Class R6	92647K739	MIRSX			.00	0.90000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Small/Mid-Cap Value Fund Class Y	92646A187	MYISX			.00	1.02000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Small-Cap Value Fund Class A	92647K820	VSCVX	5.75		.25	1.43000		BUY,SELL
Victory Capital Management Inc.	Victory Integrity Small-Cap Value Fund Class C	92647K812	MCV SX		1.00	1.00	2.67000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Small-Cap Value Fund Class R	92647K796	MRV SX			.50	1.97000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Small-Cap Value Fund Class R6	92647K788	MVSSX			.00	0.96000		SELL ONLY
Victory Capital Management Inc.	Victory Integrity Small-Cap Value Fund Class Y	92647K770	VSVIX			.00	1.06000		SELL ONLY
Victory Capital Management Inc.	Victory International Fund	903287308	USIFX				1.06000		SELL ONLY
Victory Capital Management Inc.	Victory International Fund Institutional Shares	903288637	UIIFX				1.01000		SELL ONLY
Victory Capital Management Inc.	Victory International Fund R6 Shares	903288181	URITX				1.37000		SELL ONLY
Victory Capital Management Inc.	Victory Investment Grade Convertible Fund Class A	926464538	SBFCX	2.25		.25	1.27000		SELL ONLY
Victory Capital Management Inc.	Victory Investment Grade Convertible Fund Class I	92646A781	VICIX			.00	0.95000		SELL ONLY
Victory Capital Management Inc.	Victory Low Duration Bond Fund Class A	92647K390	RLDAX	2.25		.25	0.98000		SELL ONLY
Victory Capital Management Inc.	Victory Low Duration Bond Fund Class C	92647K382	RLDCX		1.00	1.00	2.28000		SELL ONLY
Victory Capital Management Inc.	Victory Low Duration Bond Fund Class Y	92647K366	RSDYX			.00	0.70000		SELL ONLY
Victory Capital Management Inc.	Victory Market Neutral Income Fund Class A	92647P639	CBHAX	5.75		.25	0.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Market Neutral Income Fund Class C	92647P647	CBHCX		1.00	1.00	1.77000		SELL ONLY
Victory Capital Management Inc.	Victory Market Neutral Income Fund Class I	92647P654	CBHIX			.00	0.58000		SELL ONLY
Victory Capital Management Inc.	Victory Mid-Cap Core Growth Fund Class A	92647K879	MGOAX	5.75		.25	1.28000		BUY,SELL
Victory Capital Management Inc.	Victory Mid-Cap Core Growth Fund Class C	92647K861	MGOTX		1.00	1.00	2.95000		SELL ONLY
Victory Capital Management Inc.	Victory Mid-Cap Core Growth Fund Class R6	92647K846	MGOSX			.00	0.88000		SELL ONLY
Victory Capital Management Inc.	Victory Mid-Cap Core Growth Fund Class Y	92647K838	MGOYX			.00	1.00000		SELL ONLY
Victory Capital Management Inc.	Victory Multi-Cap Fund Class A	92646A294	MNNAX	5.75		.25	1.24000		SELL ONLY
Victory Capital Management Inc.	Victory Multi-Cap Fund Class C	92646A286	MNNCX		1.00	1.00	2.43000		SELL ONLY
Victory Capital Management Inc.	Victory Multi-Cap Fund Class Y	92646A260	MNNYX			.00	0.95000		SELL ONLY
Victory Capital Management Inc.	Victory Nasdaq 100 Index Fund C Shares	903287613	UCNQX		1.00	1.00	1.59000		SELL ONLY
Victory Capital Management Inc.	Victory Nasdaq 100 Index Fund Class A	903287662	UANQX	2.25		.25	0.76000		SELL ONLY
Victory Capital Management Inc.	Victory Nasdaq 100 Index Fund Institutional Shares	903287688	UINQX			.00	0.43000		SELL ONLY
Victory Capital Management Inc.	Victory Nasdaq 100 Index Fund R6 Shares	90291A700	URNQX			.00	0.29000		SELL ONLY
Victory Capital Management Inc.	Victory NASDAQ-100 Index Fund	903288819	USNQX			.00	0.42000		SELL ONLY
Victory Capital Management Inc.	Victory New York Bond Fund	903289700	USNYX			.00	0.77000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Active Credit Fund Class A	92648C108	RCRAX	2.25		.25	1.72000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Active Credit Fund Class C	92648C207	RCRCX		1.00	1.00	2.51000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Active Credit Fund Class Y	92648C306	RCRYX			.00	1.53000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Pioneer Balanced Fund Class A	92648C702	AOBLX	2.25		.25	0.93000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Balanced Fund Class C	92648C801	PCBCX		1.00	1.00	1.68000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Balanced Fund Class R6	92648C884	PCBKX			.00	0.63000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Balanced Fund Class Y	92648C876	AYBLX			.00	0.73000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Bond Fund Class A	92648C868	PIOBX	2.25		.25	0.81000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Bond Fund Class C	92648C850	PCYBX		1.00	1.00	1.45000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Bond Fund Class R6	92648C843	PBFKX			.00	0.36000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Bond Fund Class Y	92648C835	PICYX			.00	0.47000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Cat Bond Fund Class A	92648C827	ACBAX	4.50		.25	1.70000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Cat Bond Fund Class R6	92648C819	ACBKX			.00	1.33000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Cat Bond Fund Class Y	92648C793	CBYYX			.00	1.46000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Core Equity Fund Class A	92648C785	PIOTX	5.75		.25	0.88000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Core Equity Fund Class C	92648C777	PCOTX		1.00	1.00	1.67000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Core Equity Fund Class R6	92648C769	PCEKX			.00	0.59000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Core Equity Fund Class Y	92648C751	PVFYX			.00	0.69000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Disciplined Growth Fund Class A	92648C744	PINDX	5.75		.25	0.99000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Disciplined Growth Fund Class C	92648C736	INDCX		1.00	1.00	1.79000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Disciplined Growth Fund Class Y	92648C710	INYDX			.00	0.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Pioneer Disciplined Value Class A	92648C694	CVFCX	5.75		.25	0.87000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Disciplined Value Class C	92648C686	CVCFX		1.00	1.00	1.62000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Disciplined Value Class R6	92648C678	CVKFX			.00	0.53000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Disciplined Value Class Y	92648C660	CVFYX			.00	0.61000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equ Premium Income Fund Class A	92648C595	PMARX	4.50		.25	1.40000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equ Premium Income Fund Class C	92648C587	PRRCX		1.00	1.00	2.14000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equ Premium Income Fund Class R6	92648C579	FLEKX			.00	1.05000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equ Premium Income Fund Class Y	92648C561	PMYRX			.00	1.14000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equity Income Fund Class A	92648C652	PEQIX	5.75		.25	1.02000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Equity Income Fund Class C	92648C645	PCEQX		1.00	1.00	1.78000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equity Income Fund Class R6	92648C629	PEQKX			.00	0.70000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Equity Income Fund Class Y	92648C611	PYEQX			.00	0.82000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Floating Rate Fund Class A	92648C553	FLARX	4.50		.25	1.18000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Floating Rate Fund Class C	92648C546	FLRCX		1.00	1.00	1.85000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Floating Rate Fund Class Y	92648C520	FLYRX			.00	0.89000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Fund Class A	92648C512	PIODX	5.75		.25	0.97000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Fund Class C	92648C496	PCODX		1.00	1.00	1.76000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Fund Class R6	92648C470	PIOKX			.00	0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Pioneer Fund Class Y	92648C462	PYODX			.00	0.79000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Fundamental Growth Fund Class A	92648C454	PIGFX	5.75		.25	1.01000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Fundamental Growth Fund Class C	92648C447	FUNCX		1.00	1.00	1.74000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Fundamental Growth Fund Class R6	92648C421	PFGKX			.00	0.67000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Fundamental Growth Fund Class Y	92648C413	FUNYX			.00	0.78000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Global Equity Fund Class A	92648C397	GLOX	5.75		.25	1.13000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Global Equity Fund Class C	92648C389	GCSLX		1.00	1.00	1.89000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Global Equity Fund Class R6	92648C371	PGEKX			.00	0.77000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Global Equity Fund Class Y	92648C363	PGSYX			.00	0.88000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer High Yield Fund Class A	92648C256	TAHYX	4.50		.25	1.18000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer High Yield Fund Class C	92648C249	PYICX		1.00	1.00	1.93000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer High Yield Fund Class Y	92648C231	TYHYX			.00	0.90000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer International Equ Fund Class A	92648C223	PIIFX	5.75		.25	1.17000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer International Equ Fund Class C	92648C215	PCITX		1.00	1.00	1.88000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer International Equ Fund Class R6	92648C199	PIEKX			.00	0.78000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer International Equ Fund Class Y	92648C181	INVYX			.00	0.91000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Mid Cap Value Fund Class A	92648C140	PCGRX	5.75		.25	1.19000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Mid Cap Value Fund Class C	92648C132	PCCGX		1.00	1.00	2.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Pioneer Mid Cap Value Fund Class R6	92648C124	PMCKX			.00	0.90000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Mid Cap Value Fund Class Y	92648C116	PYCGX			.00	0.99000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Income Fund Class A	92648H107	PMAIX	2.25		.25	0.85000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Income Fund Class C	92648H206	PMACX		1.00	1.00	1.61000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Income Fund Class R6	92648H305	PMFKX			.00	0.55000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Income Fund Class Y	92648H404	PMFYX			.00	0.66000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Ultrashort Income Fund Class A	92648H503	MAFRX			.20	0.60000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Ultrashort Income Fund Class C	92648H602	MAUCX		1.00	.50	0.90000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Ultrashort Income Fund Class R6	92648H701	MAUKX			.00	0.37000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Multi-Asset Ultrashort Income Fund Class Y	92648H800	MYFRX			.00	0.46000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Securitized Income Fund Class A	92648H883	SIFFX	2.25		.25	1.08000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Securitized Income Fund Class Y	92648H875	SYFFX			.00	0.88000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Select Mid Cap Growth Fund Class A	92648H867	PGOFX	5.75		.25	1.01000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Select Mid Cap Growth Fund Class C	92648H859	GOFCX		1.00	1.00	1.82000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Select Mid Cap Growth Fund Class R6	92648H842	PSMKX			.00	0.69000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Select Mid Cap Growth Fund Class Y	92648H834	GROYX			.00	0.80000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Short Term Income Fund Class A	92648H826	STABX			.20	0.73000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Short Term Income Fund Class C	92648H818	STIIX		1.00	.50	1.01000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Pioneer Short Term Income Fund Class R6	92648H792	STIKX			.00	0.44000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Short Term Income Fund Class Y	92648H784	PSHYX			.00	0.55000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Solutions Balanced Fund Class A	92648H776	PIALX	5.75		.25	1.05000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Solutions Balanced Fund Class C	92648H768	PIDCX		1.00	1.00	1.78000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Solutions Balanced Fund Class Y	92648H750	IMOYX			.00	0.86000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Strategic Income Fund Class A	92648H743	PSRAX	4.50		.25	1.13000		BUY,SELL
Victory Capital Management Inc.	Victory Pioneer Strategic Income Fund Class C	92648H735	PSRCX		1.00	1.00	1.75000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Strategic Income Fund Class R6	92648H719	STRKX			.00	0.65000		SELL ONLY
Victory Capital Management Inc.	Victory Pioneer Strategic Income Fund Class Y	92648H693	STRYX			.00	0.75000		SELL ONLY
Victory Capital Management Inc.	Victory Precious Metals and Minerals Fund	903287100	USAGX				1.14000		SELL ONLY
Victory Capital Management Inc.	Victory Precious Metals and Minerals Fund Class A	90291A882	UPMMX	5.75		.25	1.40000		SELL ONLY
Victory Capital Management Inc.	Victory Precious Metals and Minerals Fund Institutional Shares	903288561	UIPMX				1.10000		SELL ONLY
Victory Capital Management Inc.	Victory RS Global Fund Class A	92647Q769	RSGGX	5.75		.25	1.14000		SELL ONLY
Victory Capital Management Inc.	Victory RS Global Fund Class C	92647Q751	RGGCX		1.00	1.00	1.98000		SELL ONLY
Victory Capital Management Inc.	Victory RS Global Fund Class R	92647Q744	RGGKX			.50	1.56000		SELL ONLY
Victory Capital Management Inc.	Victory RS Global Fund Class R6	92647Q272	RGGRX			.00	0.71000		SELL ONLY
Victory Capital Management Inc.	Victory RS Global Fund Class Y	92647Q736	RGGYX			.00	0.84000		SELL ONLY
Victory Capital Management Inc.	Victory RS Growth Fund Class A	92647K275	RSGRX	5.75		.25	1.17000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory RS Growth Fund Class C	92647K267	RGWCX		1.00	1.00	3.52000		SELL ONLY
Victory Capital Management Inc.	Victory RS Growth Fund Class Y	92647K242	RGRYX			.00	0.98000		SELL ONLY
Victory Capital Management Inc.	Victory RS International Fund Class A	92647Q728	GUBGX	5.75		.25	1.38000		SELL ONLY
Victory Capital Management Inc.	Victory RS International Fund Class C	92647Q710	RIGCX		1.00	1.00	2.53000		SELL ONLY
Victory Capital Management Inc.	Victory RS International Fund Class R	92647Q694	RIGKX			.50	2.51000		SELL ONLY
Victory Capital Management Inc.	Victory RS International Fund Class R6	92647Q264	RSIRX			.00	0.92000		SELL ONLY
Victory Capital Management Inc.	Victory RS International Fund Class Y	92647Q686	RSIGX			.00	1.05000		SELL ONLY
Victory Capital Management Inc.	Victory RS Investors Fund Class A	92647Q637	RSINX	5.75		.25	1.62000		SELL ONLY
Victory Capital Management Inc.	Victory RS Investors Fund Class C	92647Q629	RIVCX		1.00	1.00	3.00000		SELL ONLY
Victory Capital Management Inc.	Victory RS Investors Fund Class Y	92647Q595	RSIYX			.00	1.40000		SELL ONLY
Victory Capital Management Inc.	Victory RS Large Cap Alpha Fund Class A	92647Q587	GPAFX	5.75		.25	0.91000		SELL ONLY
Victory Capital Management Inc.	Victory RS Large Cap Alpha Fund Class C	92647Q579	RCOCX		1.00	1.00	2.85000		SELL ONLY
Victory Capital Management Inc.	Victory RS Large Cap Alpha Fund Class Y	92647Q553	RCEYX			.00	0.73000		SELL ONLY
Victory Capital Management Inc.	Victory RS Mid Cap Growth Fund Class A	92647K234	RSMOX	5.75		.25	1.45000		SELL ONLY
Victory Capital Management Inc.	Victory RS Mid Cap Growth Fund Class C	92647K226	RMOCX		1.00	1.00	4.03000		SELL ONLY
Victory Capital Management Inc.	Victory RS Mid Cap Growth Fund Class R6	92647Q389	RMORX			.00	2.03000		SELL ONLY
Victory Capital Management Inc.	Victory RS Mid Cap Growth Fund Class Y	92647K192	RMOYX			.00	1.17000		SELL ONLY
Victory Capital Management Inc.	Victory RS Partners Fund Class A	92647Q546	RSPFX	5.75		.25	1.33000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory RS Partners Fund Class R	92647Q538	RSPKX			.50	2.42000		SELL ONLY
Victory Capital Management Inc.	Victory Rs Partners Fund Class R6	92647Y309	RPPRX			.00	1.28000		SELL ONLY
Victory Capital Management Inc.	Victory RS Partners Fund Class Y	92647Q520	RSPYX			.00	1.05000		SELL ONLY
Victory Capital Management Inc.	Victory RS Science and Technology Fund Class A	92647Q504	RSIFX	5.75		.25	1.48000		SELL ONLY
Victory Capital Management Inc.	Victory RS Science and Technology Fund Class C	92647Q603	RINCX		1.00	1.00	2.57000		SELL ONLY
Victory Capital Management Inc.	Victory RS Science and Technology Fund Class Y	92647Q801	RIFYX			.00	1.29000		SELL ONLY
Victory Capital Management Inc.	Victory RS Select Growth Fund Class A	92647K184	RSDGX	5.75		.25	1.53000		SELL ONLY
Victory Capital Management Inc.	Victory RS Select Growth Fund Class C	92647K176	RSGFX		1.00	1.00	3.68000		SELL ONLY
Victory Capital Management Inc.	Victory RS Select Growth Fund Class R6	92647Q397	RSSRX			.00	5.43000		SELL ONLY
Victory Capital Management Inc.	Victory RS Select Growth Fund Class Y	92647K150	RSSYX			.00	1.30000		SELL ONLY
Victory Capital Management Inc.	Victory RS Small Cap Growth Fund Class A	92647Q108	RSEGX	5.75		.25	1.41000		BUY,SELL
Victory Capital Management Inc.	Victory RS Small Cap Growth Fund Class C	92647Q207	REGW X		1.00	1.00	2.55000		SELL ONLY
Victory Capital Management Inc.	Victory RS Small Cap Growth Fund Class R6	92647Q363	RSEJX			.00	1.09000		SELL ONLY
Victory Capital Management Inc.	Victory RS Small Cap Growth Fund Class Y	92647Q405	RSYEX			.00	1.15000		SELL ONLY
Victory Capital Management Inc.	Victory RS Value Fund Class A	92647Q512	RSVAX	5.75		.25	1.33000		SELL ONLY
Victory Capital Management Inc.	Victory RS Value Fund Class C	92647Q496	RVACX		1.00	1.00	3.23000		SELL ONLY
Victory Capital Management Inc.	Victory RS Value Fund Class Y	92647Q470	RSVYX			.00	1.07000		SELL ONLY
Victory Capital Management Inc.	Victory S&P 500 Index Fund Class A	92646A252	MUXAX	2.25		.15	0.53000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory S&P 500 Index Fund Class R	92646A245	MUXRX			.50	0.87000		SELL ONLY
Victory Capital Management Inc.	Victory S&P 500 Index Fund Class Y	92646A237	MUXYX			.00	0.43000		SELL ONLY
Victory Capital Management Inc.	Victory Science & Technology Fund	903288876	USSCX			.00	0.96000		SELL ONLY
Victory Capital Management Inc.	Victory Science & Technology Fund Class A	90291A874	USTCX	5.75		.25	1.28000		SELL ONLY
Victory Capital Management Inc.	Victory Short Term Bond Fund R6 Shares	90291A304	URSBX				0.33000		SELL ONLY
Victory Capital Management Inc.	Victory Short-Term Bond Fund	903288702	USSBX				0.57000		SELL ONLY
Victory Capital Management Inc.	Victory Short-Term Bond Fund Class A	90291A767	UASBX	2.25		.25	0.78000		BUY,SELL
Victory Capital Management Inc.	Victory Short-Term Bond Fund Institutional Shares	903288587	UISBX				0.47000		SELL ONLY
Victory Capital Management Inc.	Victory Small Cap Stock Fund	903288850	USCAX			.00	1.01000		SELL ONLY
Victory Capital Management Inc.	Victory Small Cap Stock Fund Institutional Shares	903288645	UISCX			.00	0.95000		SELL ONLY
Victory Capital Management Inc.	Victory Sustainable World Fund Class A	90291A841	USWG X	5.75		.25	1.77000		SELL ONLY
Victory Capital Management Inc.	Victory Sustainable World Fund Institutional Shares	903287779	UIWGX				1.44000		SELL ONLY
Victory Capital Management Inc.	Victory Sustainable World Fund Shares	903287704	USAWX				1.07000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Established Value Fund Class A	926464231	VETAX	5.75		.25	0.89000		BUY,SELL
Victory Capital Management Inc.	Victory Sycamore Established Value Fund Class C	92646A401	VEVCX		1.00	1.00	1.70000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Established Value Fund Class I	92646A831	VEVIX			.00	0.58000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Established Value Fund Class R	926464371	GETGX			.50	1.09000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Established Value Fund Class R6	92646A427	VEVRX			.00	0.54000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Sycamore Established Value Fund Class Y	92646A633	VEVYX			.00	0.66000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Small Company Opportunity Fund Class A	926464835	SSGSX	5.75		.25	1.23000		BUY,SELL
Victory Capital Management Inc.	Victory Sycamore Small Company Opportunity Fund Class I	92646A815	VSOIX			.00	0.90000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Small Company Opportunity Fund Class R	926464389	GOGFX			.50	1.44000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Small Company Opportunity Fund Class Y	92646A559	VSOYX			.00	0.95000		SELL ONLY
Victory Capital Management Inc.	Victory Sycamore Small Company Opportunity Fund R6	92647K630	VSORX			.00	0.85000		SELL ONLY
Victory Capital Management Inc.	Victory Target Retirement 2030 Fund	903288744	URTRX			.00	0.55000		SELL ONLY
Victory Capital Management Inc.	Victory Target Retirement 2040 Fund	903288736	URFRX			.00	0.57000		SELL ONLY
Victory Capital Management Inc.	Victory Target Retirement 2050 Fund	903288728	URFFX			.00	0.58000		SELL ONLY
Victory Capital Management Inc.	Victory Target Retirement 2060 Fund	903288215	URSIX			.00	0.68000		SELL ONLY
Victory Capital Management Inc.	Victory Target Retirement Income Fund	903288694	URINX			.00	0.55000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Intermediate-Term Fund	903289205	USATX			.00	0.48000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Intermediate-Term Fund Institutional Shares	903287720	UITIX			.00	0.51000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Long-Term Fund	903289106	USTEX				0.45000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Long-Term Fund Class A	90291A742	UTELX	2.25		.25	1.40000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Long-Term Fund Institutional Shares	903287738	ULTIX				0.55000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Short-Term Fund	903289304	USSTX			.00	0.47000		SELL ONLY
Victory Capital Management Inc.	Victory Tax Exempt Short-Term Fund Institutional Shares	903287712	USTIX			.00	0.63000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital Management Inc.	Victory Trivalent International Fund-Core Equity Class A	92646A179	MAICX	5.75		.25	1.83000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Fund-Core Equity Class I	92646A153	MICIX			.00	1.43000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Fund-Core Equity Class R6	92646A120	MAIRX			.00	0.96000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Fund-Core Equity Class Y	92646A146	MICYX			.00	1.16000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Small-Cap Fund Class A	92647K101	MISAX	5.75		.25	1.70000		BUY,SELL
Victory Capital Management Inc.	Victory Trivalent International Small-Cap Fund Class C	92647K200	MCISX		1.00	1.00	3.49000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Small-Cap Fund Class I	92647K309	MISIX			.00	1.17000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Small-Cap Fund Class R6	92647K408	MSSIX			.00	1.02000		SELL ONLY
Victory Capital Management Inc.	Victory Trivalent International Small-Cap Fund Class Y	92647K507	MYSIX			.00	1.11000		SELL ONLY
Victory Capital Management Inc.	Victory Ultra Short-Term Bond Fund Class A	903287654	USBAX			.25	5.13000		SELL ONLY
Victory Capital Management Inc.	Victory Ultra Short-Term Bond Fund Institutional Shares	903288199	UUSIX				0.57000		SELL ONLY
Victory Capital Management Inc.	Victory Ultra Short-Term Bond Fund R6 Shares	90291A809	URUSX				0.58000		SELL ONLY
Victory Capital Management Inc.	Victory Ultra Short-Term Bond Fund Shares	903288348	UUSTX			.00	0.59000		SELL ONLY
Victory Capital Management Inc.	Victory Value Fund	903288777	UVALX			.00	0.95000		SELL ONLY
Victory Capital Management Inc.	Victory Value Fund Institutional Shares	903288652	UIVAX			.00	0.91000		SELL ONLY
Victory Capital Management Inc.	Victory Virginia Bond Fund	903289874	USVAX			.00	0.59000		SELL ONLY
Victory Capital Management Inc.	Victory Virginia Bond Fund Class A	90291A759	UVABX	2.25		.25	0.91000		SELL ONLY
Victory Capital Management Inc.	Victory Virginia Bond Fund Institutional Shares	903287746	UVAIX			.00	0.61000		SELL ONLY
Virtus	The Merger Fund? Class A	589509108	MERFX	5.50		.25	1.67000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	The Merger Fund? Class I	589509207	MERIX				1.37000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class A	92835M489	GAFAX	5.75		.25	1.81000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class C	92835M471	GAFCX		1.00	1.00	2.60000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class I	92835M463	GAFYX				1.58000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class R6	92835M455	GAFNX				1.49000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class A	92835M448	AMFAX	5.75		.25	1.82000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class C	92835M430	ASFCX		1.00	1.00	2.54000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class I	92835M422	ASFYX				1.57000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class R6	92835M414	AMFNX				1.44000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class A	92837F524	SVIIX	5.50		.25	1.25000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class C	92837F516	SVIFX		1.00	1.00	2.04000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class I	92837F490	STVTX				1.10000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class R6	92837F482	STVZX				0.88000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class A	92837F474	SAMVX	5.50		.25	1.29000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class C	92837F466	SMVFX		1.00	1.00	2.00000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class I	92837F458	SMVTX				1.01000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class R6	92837F441	SMVZX				0.88000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class A	92837F433	SASVX	5.50		.25	1.44000		BUY,SELL
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class C	92837F425	STCEX		1.00	1.00	2.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class I	92837F417	SCETX				1.19000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class R6	92837X665	VVERX				1.01000		SELL ONLY
Virtus	Virtus Convertible Fund Class A	92838V791	ANZAX	5.50		.25	1.09000		SELL ONLY
Virtus	Virtus Convertible Fund Class C	92838V817	ANZCX		1.00	1.00	1.84000		SELL ONLY
Virtus	Virtus Convertible Fund Class R6	92838V353	VAADX				0.76000		SELL ONLY
Virtus	Virtus Convertible Fund Institutional Class	92838V833	ANNPX				0.84000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class A	92828R826	PGUAX	5.50		.25	1.25000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class C	92828R818	PGUCX		1.00	1.00	2.02000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class I	92828R792	PGIUX				0.98000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class R6	92828W221	VGIRX				0.88000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class A	92828R412	VGSAX	5.50		.25	3.42000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class C	92828R396	VGSCX		1.00	1.00	2.16000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class I	92828R388	VGISX				1.16000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class R6	92828W239	VRGEX				1.05000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class A	92828R875	PDPAX	5.50		.25	1.76000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class C	92828R867	PDPCX		1.00	1.00	2.61000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class I	92828R313	VADIX				1.48000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class R6	92828Y623	VAABX				1.39000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class A	92828R586	PHRAX	5.50		.25	1.37000		BUY,SELL
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class C	92828R560	PHRCX		1.00	1.00	2.08000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class I	92828R552	PHRIX				1.10000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class R6	92828W528	VRREX				0.95000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Energy Fund Class A	92835M729	VLPAX	5.50		.25	1.73000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Energy Fund Class C	92835M711	VLPCX		1.00	1.00	2.51000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Energy Fund Class I	92835M695	VLPIX				1.48000		SELL ONLY
Virtus	Virtus Duff & Phelps Water Fund Class A	92838V494	AWTAX	5.50		.25	1.48000		SELL ONLY
Virtus	Virtus Duff & Phelps Water Fund Class C	92838V486	AWTCX		1.00	1.00	2.24000		SELL ONLY
Virtus	Virtus Duff & Phelps Water Fund Institutional Class	92838V460	AWTIX				1.23000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund Class A	92837N808	AOTAX	5.50		.25	1.50000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund Class C	92837N881	AOTCX		1.00	1.00	2.30000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund Institutional Class	92837N840	AOTIX				1.22000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund R6	92837N865	AEMOX				1.11000		SELL ONLY
Virtus	Virtus Global Allocation Fund Class A	92838V767	PALAX	5.50		.25	0.98000		SELL ONLY
Virtus	Virtus Global Allocation Fund Class C	92838V775	PALCX		1.00	1.00	1.74000		SELL ONLY
Virtus	Virtus Global Allocation Fund Class R6	92838V551	AGASX				0.63000		SELL ONLY
Virtus	Virtus Global Allocation Fund Institutional Class	92838V759	PALLX				0.72000		SELL ONLY
Virtus	Virtus Income & Growth Fund Class A	92837N584	AZNAX	5.50		.25	1.14000		BUY,SELL
Virtus	Virtus Income & Growth Fund Class C	92837N576	AZNCX		1.00	1.00	1.90000		SELL ONLY
Virtus	Virtus Income & Growth Fund Institutional Class	92837N535	AZNIX				0.90000		SELL ONLY
Virtus	Virtus International Small-Cap Fund Class A	92838V601	AOPAX	5.50		.25	1.87000		SELL ONLY
Virtus	Virtus International Small-Cap Fund Class C	92838V502	AOPCX		1.00	1.00	2.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus International Small-Cap Fund Class R6	92838V577	AIISX				1.49000		SELL ONLY
Virtus	Virtus International Small-Cap Fund Institutional Class	92838V205	ALOIX				1.59000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class A	92828N510	PSTAX	5.50		.25	1.21000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class C	92828N486	SSTFX		1.00	1.00	2.02000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class I	92828N478	PLXGX				0.99000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class R6	92828N270	VCGRX				0.90000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class A	92828Y664	VDMAX	5.50	1.00	.25	4.77000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class C	92828Y656	VDMCX		1.00	1.00	5.44000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class I	92828Y649	VIDMX				4.50000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class R6	92828Y631	VDMRX				4.42000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class A	92828W627	VAESX	5.50		.25	1.78000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class C	92828W619	VCESX		1.00	1.00	2.47000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class I	92828W593	VIESX				1.51000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class R6	92828W189	VRESX				1.41000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class A	92828N874	PDIAX			.25	1.37000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class C	92828N858	PGICX		1.00	1.00	2.19000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class I	92828N841	PXIIX				1.11000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class R6	92828N239	VECRX				1.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus KAR Global Small-Cap Fund Class A	92837N741	RGSAX	5.50		.25	1.66000		SELL ONLY
Virtus	Virtus KAR Global Small-Cap Fund Class C	92837N733	RGSCX		1.00	1.00	2.42000		SELL ONLY
Virtus	Virtus KAR Global Small-Cap Fund Institutional Class	92837N683	DGSCX				1.38000		SELL ONLY
Virtus	Virtus KAR Health Sciences Fund Class A	92837N667	RAGHX	5.50		.25	1.40000		SELL ONLY
Virtus	Virtus KAR Health Sciences Fund Class C	92837N659	RCGHX		1.00	1.00	2.16000		SELL ONLY
Virtus	Virtus KAR Health Sciences Fund Institutional Class	92837N618	HLHIX				1.15000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class A	92828W841	VISAX	5.50		.25	1.45000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class C	92828W833	VCISX		1.00	1.00	2.20000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class I	92828W825	VIISX				1.18000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class R6	92828W551	VRISX				1.08000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class A	92828N114	VMACX	5.50		.25	1.29000		BUY,SELL
Virtus	Virtus KAR Mid-Cap Core Fund Class C	92828N122	VMCCX		1.00	1.00	2.04000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class I	92828N130	VIMCX				1.03000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class R6	92828N262	VRMCX				0.93000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class A	92828N767	PHSKX	5.50		.25	1.26000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class C	92828N742	PSKCX		1.00	1.00	2.04000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class I	92828N734	PICMX				1.03000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class R6	92828N254	VRMGX				0.92000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class A	92828N551	PKSAX	5.50		.25	1.27000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus KAR Small-Cap Core Fund Class C	92828N536	PKSCX		1.00	1.00	1.99000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class I	92828N528	PKSFX				1.01000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class R6	92828N429	VSCRX				0.92000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class A	92828N627	PSGAX	5.50		.25	1.38000		BUY,SELL
Virtus	Virtus KAR Small-Cap Growth Fund Class C	92828N619	PSGCX		1.00	1.00	2.10000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class I	92828N593	PXSGX				1.11000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class R6	92828N247	VRSGX				1.00000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class A	92828N684	PQSAX	5.50		.25	1.21000		BUY,SELL
Virtus	Virtus KAR Small-Cap Value Fund Class C	92828N676	PQSCX		1.00	1.00	1.98000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class I	92828N668	PXQSX				0.96000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class R6	92828N411	VQSRX				0.90000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class A	92828N221	VKSAX	5.50		.25	1.25000		BUY,SELL
Virtus	Virtus KAR Small-Mid Cap Core Fund Class C	92828N213	VKSCX		1.00	1.00	2.01000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class I	92828N197	VKSIX				0.99000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class R6	92828N189	VKSRX				0.90000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class A	92836N817	VAKSX	5.50		.25	2.12000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class C	92836N791	VCKSX		1.00	1.00	2.81000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class I	92836N783	VIKSX				1.84000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class R6	92836N775	VRKSX				1.71000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class A	92836N767	VKSDX	5.50		.25	2.28000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class C	92836N759	VKSEX		1.00	1.00	3.05000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class I	92836N742	VKSFX				2.16000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class R6	92836N734	VKSGX				2.01000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class A	92828R107	SAVAX	3.75		.25	0.94000		BUY,SELL
Virtus	Virtus Newfleet Core Plus Bond Fund Class C	92828R305	SAVCX		1.00	1.00	1.68000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class I	92828R404	SAVYX				0.65000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class R6	92828W270	VBFRX				0.58000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class A	92828R784	PHCHX	3.75		.25	1.30000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class C	92828R768	PGHCX		1.00	1.00	2.03000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class I	92828W767	PHCIX				1.09000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class R6	92828W262	VRHYX				0.94000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class A	92828W346	HIMZX	2.25		.25	0.93000		BUY,SELL
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class C	92828W338	PCMZX		1.00	1.00	1.68000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class I	92828W320	HIBIX				0.69000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class R6	92828W197	VLDRX				0.61000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class A	92828R677	NAMFX	3.75		.25	1.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class C	92828R651	NCMFX		1.00	1.00	1.83000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class I	92828R289	VMFIX				0.80000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class R6	92828W544	VMFRX				0.75000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class A	92828R644	NARAX	2.25		.25	0.99000		BUY,SELL
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class C	92828R628	PSTCX			.50	1.24000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class C1	92828R594	PMSTX		1.00	1.00	1.73000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class I	92828R610	PIMSX				0.73000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class R6	92828W247	VMSSX				0.65000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class A	92828R545	PSFRX	2.75		.25	1.05000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class C	92828R537	PFSRX		1.00	1.00	1.81000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class I	92828R529	PSFIX				0.80000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class R6	92828W254	VRSFX				0.73000		SELL ONLY
Virtus	Virtus Newfleet Short Duration High Income Fund Class A	92838V544	ASHAX	2.25		.25	0.99000		BUY,SELL
Virtus	Virtus Newfleet Short Duration High Income Fund Class C	92838V536	ASHCX		1.00	.50	1.26000		SELL ONLY
Virtus	Virtus Newfleet Short Duration High Income Fund Class R6	92838V643	ASHSX				0.67000		SELL ONLY
Virtus	Virtus Newfleet Short Duration High Income Fund Institutional Class	92838V510	ASHIX				0.76000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Class A	92837N105	PNEAX	5.50		.25	1.03000		BUY,SELL
Virtus	Virtus NFJ Dividend Value Fund Class C	92837N204	PNECX		1.00	1.00	1.73000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus NFJ Dividend Value Fund Class R6	92837N402	ANDVX				0.65000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Institutional Class	92837N600	NFJEX				0.74000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund Class A	92838V395	AZMAX	5.50		.25	1.67000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund Class C	92838V387	AZMCX		1.00	1.00	2.48000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund Institutional Class	92838V361	AZMIX				1.45000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class A	92837N519	AFJAX	5.50		.25	1.37000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class C	92837N493	AFJCX		1.00	1.00	2.09000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class R6	92837N477	ANAVX				0.97000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Institutional Class	92837N451	ANJIX				1.13000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Class A	92837N436	PNBAX	5.50		.25	1.01000		BUY,SELL
Virtus	Virtus NFJ Large-Cap Value Fund Class C	92837N428	PNBCX		1.00	1.00	1.76000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Class R6	92837Q777	VAAGX				0.66000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Institutional Class	92837N378	ANVIX				0.75000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class A	92837N279	PQNAX	5.50		.25	1.12000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class C	92837N261	PQNCX		1.00	1.00	1.86000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class R6	92837N246	ANPRX				0.76000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Institutional Class	92837N220	PRNIX				0.84000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class A	92837Q108	PCVAX	5.50		.25	1.24000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus NFJ Small-Cap Value Fund Class C	92837Q207	PCVCX		1.00	1.00	2.03000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class R6	92837Q405	ANFVX				0.82000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Institutional Class	92837Q603	PSVIX				0.91000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class A	92837F102	STGIX	3.75		.25	1.00000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class I	92837F300	STIGX				0.70000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class R6	92837F409	STGZX				0.58000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class A	92837F789	SFRAX	2.75		.25	0.98000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class C	92837F771	SFRCX		1.00	1.00	1.74000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class I	92837F763	SAMBX				0.77000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class R6	92837F755	SFRZX				0.64000		SELL ONLY
Virtus	Virtus Seix High Grade Municipal Bond Fund Class A	92837F631	SFLTIX	2.75		.15	1.14000		SELL ONLY
Virtus	Virtus Seix High Grade Municipal Bond Fund Class I	92837F623	SCFTX				1.03000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class A	92837F698	HYPSTX	3.75		.25	1.09000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class I	92837F672	SAMHX				0.82000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class R6	92837F664	HYIZX				0.68000		SELL ONLY
Virtus	Virtus Seix Investment Grade Tax-Exempt Bond Fund Class A	92837F615	SISIX	2.75		.25	1.06000		SELL ONLY
Virtus	Virtus Seix Investment Grade Tax-Exempt Bond Fund Class I	92837F599	STTBX				0.87000		SELL ONLY
Virtus	Virtus Seix Tax-Exempt Bond Fund Class A	92828W312	HXBZX	2.75		.25	1.11000		SELL ONLY
Virtus	Virtus Seix Tax-Exempt Bond Fund Class C	92828W296	PXCZX		1.00	1.00	1.85000		SELL ONLY
Virtus	Virtus Seix Tax-Exempt Bond Fund Class I	92828W288	HXBIX				0.87000		SELL ONLY
Virtus	Virtus Seix Total Return Bond Fund Class A	92837F805	CBPSX	3.75		.25	0.90000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Seix Total Return Bond Fund Class I	92837F870	SAMFX				0.65000		SELL ONLY
Virtus	Virtus Seix Total Return Bond Fund Class R6	92837F862	SAMZX				0.49000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class A	92837X681	SSAGX			.25	0.79000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class I	92837F821	SIGVX				0.58000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class R6	92837F813	SIGZX				0.47000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Equity Fund Class A	92828W387	HEMZX	5.50		.25	1.67000		BUY,SELL
Virtus	Virtus SGA Emerging Markets Equity Fund Class C	92828W379	PICEX		1.00	1.00	2.38000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Equity Fund Class I	92828W361	HIEMX				1.32000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Equity Fund Class R6	92828W353	VREMX				1.22000		SELL ONLY
Virtus	Virtus SGA Global Growth A Class	92836N106	SGAAX	5.50		.25	1.44000		SELL ONLY
Virtus	Virtus SGA Global Growth C Class	92836N205	SGACX		1.00	1.00	2.17000		SELL ONLY
Virtus	Virtus SGA Global Growth I Class	92836N304	SGAPX				1.18000		SELL ONLY
Virtus	Virtus SGA Global Growth R6 Class	92836N403	SGARX				1.04000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class A	92837F284	SCIIX	5.50		.25	1.48000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class C	92837X632	VSGEX		1.00	1.00	2.08000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class I	92837F276	STITX				1.23000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class R6	92837F268	SCIZX				1.09000		SELL ONLY
Virtus	Virtus Silvant Focused Growth Fund Class A	92837N824	PGWA X	5.50		.25	0.96000		BUY,SELL
Virtus	Virtus Silvant Focused Growth Fund Class C	92837N816	PGWC X		1.00	1.00	1.72000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Silvant Focused Growth Fund Institutional Class	92837N766	PGFIX				0.71000		SELL ONLY
Virtus	Virtus Silvant Focused Growth Fund R6	92837N782	AFGFX				0.63000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fund Class A	92837F391	STCIX	5.50		.25	1.25000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fund Class I	92837F375	STCAX				1.06000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fund Class R6	92837F367	STCZX				0.92000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Class A	92837N352	RMDAX	5.50		.25	0.99000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Class C	92837N345	RMDCX		1.00	1.00	1.78000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Class R6	92837Q769	RMDZX				0.67000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Institutional Class	92837N295	DRMCX				0.76000		SELL ONLY
Virtus	Virtus Small-Cap Fund Class A	92837N196	AZBAX	5.50		.25	1.22000		SELL ONLY
Virtus	Virtus Small-Cap Fund Class C	92837N188	AZBCX		1.00	1.00	1.99000		SELL ONLY
Virtus	Virtus Small-Cap Fund Institutional Class	92837N147	AZBIX				0.99000		SELL ONLY
Virtus	Virtus Small-Cap Fund R6	92837N162	ASCFX				0.90000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Bond Fund A Class	92828Y615	VSHAX	3.75		.25	2.95000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Bond Fund I Class	92828Y599	SHCDX				2.59000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Debt Income Fund A Class	92828Y565	VSHCX	3.75		.25	1.16000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Debt Income Fund I Class	92828Y557	SHMDX				0.93000		SELL ONLY
Virtus	Virtus Stone Harbor Local Markets Fund Class A	92828Y524	VSHEX	3.75		.25	2.42000		SELL ONLY
Virtus	Virtus Stone Harbor Local Markets Fund I Class	92828Y516	SHLMX				2.15000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class A	92828N791	NAINX	5.50		.25	1.07000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class C	92828N775	POICX		1.00	1.00	1.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Tactical Allocation Fund Class I	92836N502	VTAX				0.87000		SELL ONLY
Virtus	Virtus Westchester Credit Event Fund Class A	95737C707	WCFRX	5.50	1.00	.25	1.85000		SELL ONLY
Virtus	Virtus Westchester Credit Event Fund Class I	95737C806	WCFIX				1.58000		SELL ONLY
Virtus	Virtus Westchester Event-Driven Fund Class A	95737C509	WCERX	5.50	1.00	.25	1.95000		SELL ONLY
Virtus	Virtus Westchester Event-Driven Fund Class I	95737C608	WCEIX				1.68000		SELL ONLY
Virtus	Virtus Zevenbergen Innovative Growth Stock Fund Class A	92837F318	SAGAX	5.50		.25	1.35000		BUY,SELL
Virtus	Virtus Zevenbergen Innovative Growth Stock Fund Class I	92837F292	SCATX				1.10000		SELL ONLY
Virtus	Virtus Zevenbergen Innovative Growth Stock Fund Class R6	92837X640	VZGRX				0.99000		SELL ONLY
Virtus	Virtus Zevenbergen Technology Fund Class A	92837Q801	RAGTX	5.50		.25	1.43000		SELL ONLY
Virtus	Virtus Zevenbergen Technology Fund Class C	92837Q884	RCGTX		1.00	1.00	2.17000		SELL ONLY
Virtus	Virtus Zevenbergen Technology Fund Institutional Class	92837Q843	DRGTX				1.16000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class A	92913K298	VYCAAX	5.75		.25	0.87000		BUY,SELL
Voya	Voya Corporate Leaders 100 Fund Class C	92913K280	VYCBX		1.00	1.00	1.62000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class I	92913K272	VYCCX				0.63000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class R	92913K249	VYCFX			.50	1.12000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class R6	92913K231	VYCGX				0.53000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class W	92913K215	VYCIX				0.62000		SELL ONLY
Voya	Voya Corporate Leaders Trust Fund Series B	92912M105	LEXCX				0.40000		SELL ONLY
Voya	Voya Global Bond Fund Class A	92914A828	INGBX	2.50		.25	1.11000		SELL ONLY
Voya	Voya Global Bond Fund Class C	92914A844	IGBCX		1.00	1.00	1.86000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Global Bond Fund Class I	92914A851	IGBIX				0.76000		SELL ONLY
Voya	Voya Global Bond Fund Class R	92913W649	IGBRX			.50	1.36000		SELL ONLY
Voya	Voya Global Bond Fund Class R6	92913W854	IGBZX				0.65000		SELL ONLY
Voya	Voya Global Bond Fund Class W	92913W508	IGBWX				0.86000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund A	92913X811	NAWG X	5.75		.25	0.99000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund C	92913X837	NAWC X		1.00	1.00	1.74000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund I	92914A752	NAWIX				0.64000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund R6	92914A380	VGHRX				0.64000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund W	92913W706	IGVWX				0.74000		SELL ONLY
Voya	Voya Global Income & Growth Fund A	92913K199	VYGJX	5.75		.25	1.24000		BUY,SELL
Voya	Voya Global Income & Growth Fund C	92913K181	VYGKX		1.00	1.00	1.99000		SELL ONLY
Voya	Voya Global Income & Growth Fund I	92913K173	VYGLX				0.92000		SELL ONLY
Voya	Voya Global Income & Growth Fund R6	92913K157	VYGNX				0.89000		SELL ONLY
Voya	Voya Global Income & Growth Fund W	92913K132	VYGPX				0.99000		SELL ONLY
Voya	Voya GNMA Income Fund Class A	92913L692	LEXNX	2.50		.25	0.88000		SELL ONLY
Voya	Voya GNMA Income Fund Class C	92913L726	LEGNX		1.00	1.00	1.63000		SELL ONLY
Voya	Voya GNMA Income Fund Class I	92913L734	LEINX				0.60000		SELL ONLY
Voya	Voya GNMA Income Fund Class R6	92913L577	VGMBX				0.50000		SELL ONLY
Voya	Voya GNMA Income Fund Class W	92913L767	IGMWX				0.63000		SELL ONLY
Voya	Voya High Yield Bond Fund Class A	92913L627	IHYAX	2.50		.25	1.06000		SELL ONLY
Voya	Voya High Yield Bond Fund Class C	92913L643	IMYCX		1.00	1.00	1.81000		SELL ONLY
Voya	Voya High Yield Bond Fund Class I	92913L783	IHYIX				0.73000		SELL ONLY
Voya	Voya High Yield Bond Fund Class R	92913L544	IRSTX			.50	1.31000		SELL ONLY
Voya	Voya High Yield Bond Fund Class W	92913L866	IHYWX				0.81000		SELL ONLY
Voya	Voya High Yield Bond Fund Class R6	92913L528	VHYRX				0.66000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class A	92913L650	IIBAX	2.50		.25	0.68000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class C	92913L676	IICCX		1.00	1.00	1.43000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Intermediate Bond Fund Class I	92913L684	IICIX				0.36000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class R	92913L742	IIBOX			.50	0.93000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class R6	92913L569	IIBZX				0.31000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class W	92913L775	IIBWX				0.43000		SELL ONLY
Voya	Voya Investment Grade Credit Fund A	92913M484	VACFX	2.50		.25	1.40000		SELL ONLY
Voya	Voya Investment Grade Credit Fund Class R6	92913M864	VIGTX				0.82000		SELL ONLY
Voya	Voya Investment Grade Credit Fund I	92913M476	VIGCX				0.84000		SELL ONLY
Voya	Voya Investment Grade Credit Fund W	92913M286	VIGWX				1.15000		SELL ONLY
Voya	Voya Large Cap Value Fund Class A	92913K645	IEDAX	5.75		.25	1.11000		SELL ONLY
Voya	Voya Large Cap Value Fund Class C	92913K678	IEDCX		1.00	1.00	1.86000		SELL ONLY
Voya	Voya Large Cap Value Fund Class I	92913K686	IEDIX				0.83000		SELL ONLY
Voya	Voya Large Cap Value Fund Class R	92913K751	IEDRX			.50	1.36000		SELL ONLY
Voya	Voya Large Cap Value Fund Class R6	92913K827	IEDZX				0.80000		SELL ONLY
Voya	Voya Large Cap Value Fund Class W	92913K736	IWEDX				0.86000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class A	92913K801	NLCAX	5.75		.25	0.89000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class C	92913K603	NLCCX		1.00	1.00	1.64000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class I	92913K504	PLCIX				0.58000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class R	92913K561	VGORX			.50	1.14000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class R6	92913K553	VGOSX				0.56000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class W	92913K579	IGOWX				0.64000		SELL ONLY
Voya	Voya MI Dynamic Small Cap Fund Class A	92918A881	VYSYX	5.75		.25	1.17000		BUY,SELL
Voya	Voya MI Dynamic Small Cap Fund Class C	92918A873	VYSZX		1.00	1.00	1.92000		SELL ONLY
Voya	Voya MI Dynamic Small Cap Fund Class I	92918A865	VYSAX				0.90000		SELL ONLY
Voya	Voya MI Dynamic Small Cap Fund Class R	92918A832	VYSDX			.50	1.42000		SELL ONLY
Voya	Voya MI Dynamic Small Cap Fund Class R6	92918A824	VYSEX				0.82000		SELL ONLY
Voya	Voya MI Dynamic Small Cap Fund Class W	92918A790	VYSGX				0.92000		SELL ONLY
Voya	Voya MI Dynamic SMID Cap Fund Class A	92918A105	VYMQX	5.75		.25	1.07000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya MI Dynamic SMID Cap Fund Class C	92918A204	VYMRX		1.00	.75	1.57000		SELL ONLY
Voya	Voya MI Dynamic SMID Cap Fund Class I	92918A303	VYMSX				0.76000		SELL ONLY
Voya	Voya MI Dynamic SMID Cap Fund Class R	92918A600	VYMVX			.50	1.32000		SELL ONLY
Voya	Voya MI Dynamic SMID Cap Fund Class R6	92918A717	VYMBX				0.70000		SELL ONLY
Voya	Voya MI Dynamic SMID Cap Fund Class W	92918A808	VYMYX				0.82000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class A	92913K884	NMCAX	5.75		.25	1.19000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class C	92913K868	NMCCX		1.00	1.00	1.94000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class I	92913K850	NMCIX				0.96000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class R	92913K769	IMORX			.50	1.44000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class R6	92913K835	IMOZX				0.87000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class W	92913K744	IMOWX				0.94000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class A	92913W714	IEMHX	5.75		.25	1.75000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class C	92913W722	IEMJX		1.00	1.00	2.50000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class I	92913W680	IEMGX				1.25000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class R	92913W730	IEMKX			.50	2.00000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class W	92913W748	IEMLX				1.50000		SELL ONLY
Voya	Voya Multi-Manager International Equity Fund Class I	92914A596	IIGIX				0.93000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class A	92913X779	NTKLX	5.75		.25	1.60000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Multi-Manager International Small Cap Fund Class C	92913X795	NARCX		1.00	1.00	2.35000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class I	92914A760	NAPIX				1.35000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class R6	92914A372	VVJFX				2.06000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class W	92914A307	ISCWX				1.35000		SELL ONLY
Voya	Voya Multi-Manager Mid Cap Value Fund Class I	92913K793	IMCVX				0.78000		SELL ONLY
Voya	Voya Securitized Credit Fund Class A	92913M740	VCFAX	2.50		.25	1.02000		SELL ONLY
Voya	Voya Securitized Credit Fund Class I	92913M732	VCFIX				0.70000		SELL ONLY
Voya	Voya Securitized Credit Fund Class R6	92913M112	VCFRX				0.65000		SELL ONLY
Voya	Voya Securitized Credit Fund W	92913M310	VSCWX				0.77000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class A	92913L502	IASBX	2.50		.25	0.64000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class C	92913L403	ICSBX		1.00	1.00	1.39000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class I	92913L304	IISBX				0.37000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class R	92913L205	VSTRX			.50	0.89000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class R6	92913L551	IGZAX				0.30000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class W	92913L106	IWSBX				0.39000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class A	92913L254	VVJBX	2.50		.25	0.83000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class C	92913L221	VVJGX		1.00	1.00	1.58000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class I	92913L247	VVJCX				0.61000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class R6	92913L239	VVJDX				0.56000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class W	92913L197	VVJWX				0.58000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Small Cap Growth Fund Class A	92918A782	VWYFX	5.75		.25	1.26000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class C	92918A774	VWYGX		1.00	1.00	2.01000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class I	92913L288	TCMSX				0.95000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class R	92918A758	VWYIX			.50	1.51000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class R6	92913L270	VLNPX				0.86000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class W	92918A733	VWYKX				1.01000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class A	92913L700	ISIAX	2.50		.25	0.87000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class C	92913L601	ISICX		1.00	1.00	1.62000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class I	92913L874	IISIX				0.62000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class R	92913L882	ISIRX			.50	1.12000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class R6	92913L536	VSIRX				0.55000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class W	92913L809	ISIWX				0.62000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class A	92913M716	VTRVX	5.75		.25	0.63000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class I	92913M856	ISOLX				0.39000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class R	92913M278	VRRFX			.50	0.88000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class R6	92913M690	VTRWX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class A	92913M641	VTREX	5.75		.25	0.62000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class I	92913M781	IRSMX				0.38000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class R6	92913M633	VTRFX				0.35000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Target Retirement 2030 Fund ClassR	92913M245	VRRIX			.50	0.87000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class A	92913M625	VTRGX	5.75		.25	0.61000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class I	92913M799	IRSNX				0.37000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class R	92913M237	VRRJX			.50	0.86000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class R6	92913M617	VTRHX				0.35000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class A	92913M591	VTRJX	5.75		.25	0.61000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class I	92913M815	IRSOX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class R	92913M229	VRRKX			.50	0.86000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class R6	92913M583	VTRKX				0.34000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class A	92913M575	VTRMX	5.75		.25	0.59000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class I	92913M823	IRSPX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class R	92913M211	VRRLX			.50	0.84000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class R6	92913M567	VTRNX				0.33000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class A	92913M559	VTROX	5.75		.25	0.62000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class I	92913M831	IRSQX				0.38000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class R	92913M195	VRRMX			.50	0.87000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class R6	92913M542	VTRPX				0.33000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class A	92913M534	VTRQX	5.75		.25	0.61000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Target Retirement 2055 Fund Class I	92913M849	IRSVX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class R	92913M187	VRRNX			.50	0.86000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class R6	92913M526	VTRRX				0.33000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class A	92913M518	VTRSX	5.75		.25	0.66000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class I	92913M724	VRSAx				0.36000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class R	92913M179	VRROX			.50	0.91000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class R6	92913M492	VTRUX				0.33000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class A	92913M161	VTAX	5.75		.25	0.59000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class I	92913M153	VTIUX				0.34000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class R	92913M146	VTURX			.50	0.84000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class R6	92913M138	VTUFx				0.33000		SELL ONLY
Voya	Voya Target Retirement 2070 Fund Class A	92919X302	VTRAX	5.75		.25	0.57000		SELL ONLY
Voya	Voya Target Retirement 2070 Fund Class I	92919X401	VTRTX				0.32000		SELL ONLY
Voya	Voya Target Retirement 2070 Fund Class R	92919X500	VTRLX			.50	0.82000		SELL ONLY
Voya	Voya Target Retirement 2070 Fund Class R6	92919X609	VTRBX				0.32000		SELL ONLY
Wasatch	Wasatch Core Growth Fund	936772201	WGRO X				1.17000	2.00	SELL ONLY
Wasatch	Wasatch Core Growth Fund Institutional Class Shares	936793793	WIGRX				1.06000	2.00	SELL ONLY
Wasatch	Wasatch Emerging India Fund? Institutional Class	936793660	WIINX				1.37000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Wasatch	Wasatch Emerging India Fund? Investor Class	936793827	WAINX				1.50000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Select Fund Institutional Class Shares	936793744	WIESX				1.12000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Select Fund Investor Class Shares	936793751	WAESX				1.37000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Small Cap Fund? Institutional Class	936793736	WIEMX				1.81000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Small Cap Fund? Investor Class	936793884	WAEMX				2.00000	2.00	SELL ONLY
Wasatch	Wasatch Frontier Emerging Small Countries Fund Investor Class Shares	936793819	WAFMX				2.38000	2.00	SELL ONLY
Wasatch	Wasatch Frontier Emerging Small Countries Fund? Institutional Class	936793652	WIFMX				2.56000	2.00	SELL ONLY
Wasatch	Wasatch Global Opportunities Fund? Institutional Class	936793728	WIGOX				1.40000	2.00	SELL ONLY
Wasatch	Wasatch Global Opportunities Fund? Investor Class	936793868	WAGOX				1.50000	2.00	SELL ONLY
Wasatch	Wasatch Global Select Fund Institutional Class Shares	936793637	WGGSX				1.67000	2.00	SELL ONLY
Wasatch	Wasatch Global Select Fund Investor Class Shares	936793645	WAGSX				2.57000	2.00	SELL ONLY
Wasatch	Wasatch Global Value Fund? Institutional Class Shares	936793785	WILCX				1.14000	2.00	SELL ONLY
Wasatch	Wasatch Global Value Fund? Investor Class Shares	936793843	FMIECX				1.18000	2.00	SELL ONLY
Wasatch	Wasatch International Growth Fund? Institutional Class	936793694	WIIGX				1.38000	2.00	SELL ONLY
Wasatch	Wasatch International Growth Fund? Investor Class	936793405	WAIGX				1.49000	2.00	SELL ONLY
Wasatch	Wasatch International Opportunities Fund? Institutional Class	936793686	WIIOX				2.02000	2.00	SELL ONLY
Wasatch	Wasatch International Opportunities Fund? Investor Class	936793702	WAIOX				2.04000	2.00	SELL ONLY
Wasatch	Wasatch International Select Fund Institutional Class Shares	936793611	WGISX				4.38000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Wasatch	Wasatch International Select Fund Investor Class Shares	936793629	WAISX				4.53000	2.00	SELL ONLY
Wasatch	Wasatch Long/Short Alpha Fund Institutional Class Shares	936793538	WGLSX				2.09000	2.00	SELL ONLY
Wasatch	Wasatch Long/Short Alpha Fund Investor Class Shares	936793546	WALSX				2.21000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Fund	936772508	WMICX				1.66000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Fund Institutional Class Shares	936793587	WGICX				1.59000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Value Fund	936793504	WAMV X				1.71000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Value Fund Institutional Class Shares	936793595	WGMV X				1.63000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Growth Fund? Institutional Class	936793678	WIAEX				1.07000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Growth Fund? Investor Class	936772102	WAAEX				1.15000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Value Fund	936793207	WMCV X				1.18000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Value Fund Institutional Class Shares	936793777	WICVX				1.06000	2.00	SELL ONLY
Wasatch	Wasatch U.S. Select Fund? Institutional Class	936793512	WGUS X				1.26000	2.00	SELL ONLY
Wasatch	Wasatch Ultra Growth Fund	936772409	WAMC X				1.22000	2.00	SELL ONLY
Wasatch	Wasatch Ultra Growth Fund Institutional Class Shares	936793579	WGMC X				1.08000	2.00	SELL ONLY
Wasatch	Wasatch-Hoisington U.S. Treasury Fund	936772300	WHOS X				0.73000	2.00	SELL ONLY
WCM Investment Management	WCM China Quality Growth Fund Institutional Class Shares	46143U807	WCMC X				13.20000		SELL ONLY
WCM Investment Management	WCM China Quality Growth Fund Investor Class Shares	46143U708	WCQG X			.25	13.45000		SELL ONLY
WCM Investment Management	Wcm Focused Emerging Markets Ex China Fund Institutional Class	46143U633	WCMW X				4.53000		SELL ONLY
WCM Investment Management	Wcm Focused Emerging Markets Ex China Fund Investor Class	46143U641	WCFEX			.25	4.78000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
WCM Investment Management	WCM Focused Emerging Markets Fund Institutional Class	46141P834	WCME X				1.31000		SELL ONLY
WCM Investment Management	WCM Focused Emerging Markets Fund Investor Class	46141P842	WFEM X			.25	1.56000		SELL ONLY
WCM Investment Management	WCM Focused International Equity Fund Institutional Class Shares	46143U823	WCMV X				3.88000		SELL ONLY
WCM Investment Management	WCM Focused International Equity Fund Investor Class Shares	46143U831	WLIVX			.25	4.13000		SELL ONLY
WCM Investment Management	WCM Focused International Growth Fund Institutional Class	461418444	WCMIX				1.04000		SELL ONLY
WCM Investment Management	WCM Focused International Growth Fund Investor Class	461418386	WCMR X			.25	1.29000		SELL ONLY
WCM Investment Management	WCM Focused International Opportunities Fund Institutional Class Shares	46143U682	WCMO X				1.87000		SELL ONLY
WCM Investment Management	WCM Focused International Opportunities Fund Investor Class Shares	46143U690	WCFO X			.25	2.12000		SELL ONLY
WCM Investment Management	WCM International Small Cap Growth Fund Institutional Class	46141Q683	WCMS X				1.32000		SELL ONLY
WCM Investment Management	WCM Mid Cap Quality Value Fund Institutional Class Shares	46143U666	WCMA X				25.44000		SELL ONLY
WCM Investment Management	WCM Mid Cap Quality Value Fund Investor Class Shares	46143U658	WMIDX			.25	25.69000		SELL ONLY
WCM Investment Management	WCM Small Cap Growth Fund Institutional Class Shares	46143U203	WCML X				1.79000		SELL ONLY
WCM Investment Management	WCM Small Cap Growth Fund Investor Class Shares	46143U104	WCMN X			.25	2.04000		SELL ONLY
WCM Investment Management	WCM SMID Quality Value Fund Institutional Class Shares	46143U401	WCMF X				1.45000		SELL ONLY
WCM Investment Management	WCM SMID Quality Value Fund Investor Class Shares	46143U302	WCMJX			.25	1.70000		SELL ONLY
William Blair	William Blair Emerging Markets Debt Fund Class I	969251511	WEDIX				1.12000		SELL ONLY
William Blair	William Blair Emerging Markets Debt Fund Class R6	969251495	WEDR X				1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
William Blair	William Blair Emerging Markets ex China Growth Fund Class I	969251420	WXCIX				2.11000		SELL ONLY
William Blair	William Blair Emerging Markets ex China Growth Fund Class R6	969251412	WXCRX				2.01000		SELL ONLY
William Blair	William Blair Emerging Markets Fund Class N	093001212	WBENX			.25	1.43000		SELL ONLY
William Blair	William Blair Emerging Markets Growth Fund Class I	093001220	WBEIX				1.18000		SELL ONLY
William Blair	William Blair Emerging Markets Growth Fund Class R6	093001196	BIEMX				1.06000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund Class I	969251404	WBELX				1.23000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund Class N	969251867	WELNX			.25	1.50000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund R6 Class	969251503	WELIX				1.13000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund Class I	969251859	BESIX				1.42000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund Class N	969251818	WESNX			.25	1.70000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund R6 Class	969251842	WESJX				1.31000		SELL ONLY
William Blair	William Blair Global Leaders Fund Class I	093001113	WGFIX				1.22000		SELL ONLY
William Blair	William Blair Global Leaders Fund Class N	093001121	WGGNX			.25	1.53000		SELL ONLY
William Blair	William Blair Global Leaders Fund R6 Class	093001139	BGGIX				1.13000		SELL ONLY
William Blair	William Blair Growth Fund Class I	093001865	BGFIX				0.89000		SELL ONLY
William Blair	William Blair Growth Fund Class N	093001105	WBGSX			.25	1.23000		SELL ONLY
William Blair	William Blair Growth Fund Class R6	969251644	BGFRX				0.85000		SELL ONLY
William Blair	William Blair Institutional International Growth Fund	093001352	WBIIX				0.91000		SELL ONLY
William Blair	William Blair International Growth Fund Class I	093001774	BIGIX				1.01000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
William Blair	William Blair International Growth Fund Class N	093001402	WBIGX			.25	1.31000		SELL ONLY
William Blair	William Blair International Growth Fund Class R6	969251552	WBIRX				0.91000		SELL ONLY
William Blair	William Blair International Leaders Fund Class I Shares	969251719	WILIX				1.03000		SELL ONLY
William Blair	William Blair International Leaders Fund Class N Shares	969251693	WILNX			.25	1.26000		SELL ONLY
William Blair	William Blair International Leaders Fund R6 Class Shares	969251685	WILJX				0.91000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class I	093001188	WISIX				1.26000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class N	093001170	WISNX			.25	1.55000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class R6	093001162	WIISX				1.17000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class I	093001543	LCGFX				0.75000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class N	093001535	LCGNX			.25	1.03000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class R6	969251636	LCGJX				0.65000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class I	093001485	WBSIX				1.12000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class N	093001477	WBSNX			.25	1.39000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class R6	969251586	WBSRX				1.00000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class I	969251487	ICSCX				0.92000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class N	969251479	WBVNX			.25	1.15000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class R6	969251461	WBVRX				0.79000		SELL ONLY
William Blair	William Blair Small-Mid Cap Core Fund Class I	969251537	WBCIX				1.15000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
William Blair	William Blair Small-Mid Cap Core Fund Class R6	969251529	WBCR X				1.01000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund Class I	093001295	WSMD X				1.11000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund Class N	093001345	WSMN X			.25	1.36000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund Class R6	969251610	WSMR X				0.98000		SELL ONLY