

| Fund Family            | Fund Name                                                          | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|------------------------|--------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| ICON Funds             | ICON Consumer Select Fund Institutional Class                      | 78410K782 | ICFSX  |                |      | .00        | 1.39000             |                | SELL ONLY         |
| ICON Funds             | ICON Consumer Select Fund Investor Class                           | 78410K790 | ICFAX  |                |      | .25        | 1.64000             |                | SELL ONLY         |
| ICON Funds             | ICON Equity Fund Institutional Class                               | 78410K832 | IOLZX  |                |      | .00        | 1.19000             |                | SELL ONLY         |
| ICON Funds             | ICON Equity Fund Investor Class                                    | 78410K840 | ISTAX  |                |      | .25        | 1.44000             |                | SELL ONLY         |
| ICON Funds             | ICON Equity Income Fund Institutional Class                        | 78410K816 | IOEZX  |                |      | .00        | 1.25000             |                | SELL ONLY         |
| ICON Funds             | ICON Equity Income Fund Investor Class                             | 78410K824 | IEQAX  |                |      | .25        | 1.50000             |                | SELL ONLY         |
| ICON Funds             | ICON Flexible Bond Fund Institutional Class                        | 78410K691 | IOBZX  |                |      | .00        | 0.98000             |                | SELL ONLY         |
| ICON Funds             | ICON Flexible Bond Fund Investor Class                             | 78410K717 | IOBAX  |                |      | .25        | 1.22000             |                | SELL ONLY         |
| ICON Funds             | ICON Health and Information Technology Fund Institutional Class    | 78410K741 | ICTEX  |                |      | .00        | 1.29000             |                | SELL ONLY         |
| ICON Funds             | ICON Health and Information Technology Fund Investor Class         | 78410K758 | ICTTX  |                |      | .25        | 1.54000             |                | SELL ONLY         |
| ICON Funds             | ICON Natural Resources and Infrastructure Fund Institutional Class | 78410K766 | ICBMX  |                |      | .00        | 1.31000             |                | SELL ONLY         |
| ICON Funds             | ICON Natural Resources and Infrastructure Fund Investor Class      | 78410K774 | ICBAX  |                |      | .25        | 1.56000             |                | SELL ONLY         |
| ICON Funds             | ICON Utilities and Income Fund Institutional Class                 | 78410K725 | ICTUX  |                |      | .00        | 1.66000             |                | SELL ONLY         |
| ICON Funds             | ICON Utilities and Income Fund Investor Class                      | 78410K733 | ICTVX  |                |      | .25        | 1.90000             |                | SELL ONLY         |
| Impax Asset Management | Impax Core Bond Fund Individual Investor Class                     | 704223478 | PAXBX  |                |      | .25        | 0.71000             |                | SELL ONLY         |
| Impax Asset Management | Impax Core Bond Fund Institutional Class                           | 704223460 | PXBIX  |                |      | .00        | 0.46000             |                | SELL ONLY         |
| Impax Asset Management | Impax Ellevest Global Women's Leadership Fund Institutional Class  | 70422T109 | PXWIX  |                |      | .00        | 0.52000             |                | SELL ONLY         |
| Impax Asset Management | Impax Ellevest Global Women's Leadership Fund Investor Class       | 70422T208 | PXWEX  |                |      | .25        | 0.77000             |                | SELL ONLY         |
| Impax Asset Management | Impax Global Environmental Markets Fund Class A                    | 704223551 | PXEAX  | 5.50           |      | .25        | 1.14000             |                | SELL ONLY         |

| Fund Family            | Fund Name                                                              | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|------------------------|------------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Impax Asset Management | Impax Global Environmental Markets Fund Institutional Class            | 704223775 | PGINX  |                |      | .00        | 0.89000             |                | SELL ONLY         |
| Impax Asset Management | Impax Global Environmental Markets Fund Investor Class                 | 704223783 | PGRNX  |                |      | .25        | 1.14000             |                | SELL ONLY         |
| Impax Asset Management | Impax Global Opportunities Fund Institutional Class                    | 704223429 | PXGOX  |                |      | .00        | 1.08000             |                | SELL ONLY         |
| Impax Asset Management | Impax Global Opportunities Fund Investor Class                         | 704223437 | PAXGX  |                |      | .25        | 1.33000             |                | SELL ONLY         |
| Impax Asset Management | Impax Global Social Leaders Fund Institutional Class                   | 704223411 | IGSIX  |                |      | .00        | 15.35000            |                | SELL ONLY         |
| Impax Asset Management | Impax Global Social Leaders Fund Investor Class                        | 704223395 | IGSLX  |                |      | .25        | 15.60000            |                | SELL ONLY         |
| Impax Asset Management | Impax Global Sustainable Infrastructure Fund Individual Investor Class | 704223452 | PAXDX  |                |      | .25        | 0.90000             |                | SELL ONLY         |
| Impax Asset Management | Impax Global Sustainable Infrastructure Fund Institutional Class       | 704223445 | PXDIX  |                |      | .00        | 0.65000             |                | SELL ONLY         |
| Impax Asset Management | Impax High Yield Bond Fund Class A                                     | 704223577 | PXHAX  | 4.50           |      | .25        | 0.93000             | 2.00           | SELL ONLY         |
| Impax Asset Management | Impax High Yield Bond Fund Institutional Class                         | 704223809 | PXHIX  |                |      | .00        | 0.68000             | 2.00           | SELL ONLY         |
| Impax Asset Management | Impax High Yield Bond Fund Investor Class                              | 704223700 | PAXHX  |                |      | .25        | 0.93000             | 2.00           | SELL ONLY         |
| Impax Asset Management | Impax International Sustainable Economy Fund Institutional Class       | 704223742 | PXNIX  |                |      | .00        | 0.45000             |                | SELL ONLY         |
| Impax Asset Management | Impax International Sustainable Economy Fund Investor Class            | 704223759 | PXINX  |                |      | .25        | 0.70000             |                | SELL ONLY         |
| Impax Asset Management | Impax Large Cap Fund Individual Investor Class                         | 704223494 | PAXLX  |                |      | .25        | 0.98000             |                | SELL ONLY         |
| Impax Asset Management | Impax Large Cap Fund Institutional Class                               | 704223486 | PXLIX  |                |      | .00        | 0.73000             |                | SELL ONLY         |
| Impax Asset Management | Impax Small Cap Fund Class A                                           | 704223569 | PXSAX  | 5.50           |      | .25        | 1.15000             |                | BUY,SELL          |
| Impax Asset Management | Impax Small Cap Fund Institutional Class                               | 704223817 | PXSIX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| Impax Asset Management | Impax Small Cap Fund Investor Class                                    | 704223825 | PXSCX  |                |      | .25        | 1.15000             |                | SELL ONLY         |

| Fund Family            | Fund Name                                             | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|------------------------|-------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Impax Asset Management | Impax Sustainable Allocation Fund Class Institutional | 704223205 | PAXIX  |                |      | .00        | 0.68000             |                | SELL ONLY         |
| Impax Asset Management | Impax Sustainable Allocation Fund Investor Class      | 704223106 | PAXWX  |                |      | .25        | 0.93000             |                | SELL ONLY         |
| Impax Asset Management | Impax U.S. Sustainable Economy Class A                | 704223585 | PXGAX  | 5.50           |      | .25        | 0.87000             |                | SELL ONLY         |
| Impax Asset Management | Impax U.S. Sustainable Economy Institutional Class    | 704223502 | PWGIX  |                |      | .00        | 0.62000             |                | SELL ONLY         |
| Impax Asset Management | Impax U.S. Sustainable Economy Investor Class         | 704223403 | PXWG X |                |      | .25        | 0.87000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Dividend Harvest Fund Class A Shares        | 45890C754 | IDIVX  | 5.00           |      | .25        | 1.40000             |                | BUY,SELL          |
| IntegrityVikingFunds   | Integrity Dividend Harvest Fund Class C               | 45890C721 | IDHCX  |                | 1.00 | 1.00       | 2.15000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Dividend Harvest Fund Class I               | 45890C655 | IDHIX  |                |      |            | 1.15000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Dividend Summit Fund Class A                | 45890C614 | APAYX  | 5.00           |      | .25        | 2.41000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Dividend Summit Fund Class C                | 45890C598 | CPAYX  |                | 1.00 | 1.00       | 3.19000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Dividend Summit Fund Class I                | 45890C580 | IPAYX  |                |      |            | 2.16000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Growth & Income Fund Class A                | 45890C846 | IGIAX  | 5.00           |      | .25        | 1.78000             |                | BUY,SELL          |
| IntegrityVikingFunds   | Integrity Growth & Income Fund Class C                | 45890C739 | IGIUX  |                | 1.00 | 1.00       | 2.53000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Growth & Income Fund Class I                | 45890C671 | IGIVX  |                |      |            | 1.53000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity High Income Fund Class A                    | 45890C887 | IHFAX  | 4.25           |      | .25        | 1.66000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity High Income Fund Class C                    | 45890C879 | IHFCX  |                | 1.00 | 1.00       | 2.42000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity High Income Fund Class I                    | 45890C663 | IHFIX  |                |      |            | 1.41000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Mid-North American Resources Fund Class A   | 45890C606 | ICPAX  | 5.00           |      | .50        | 1.50000             |                | BUY,SELL          |
| IntegrityVikingFunds   | Integrity Mid-North American Resources Fund Class C   | 45890C747 | ICPUX  |                | 1.00 | 1.00       | 2.01000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Integrity Mid-North American Resources Fund Class I   | 45890C689 | ICWIX  |                |      |            | 1.00000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Kansas Municipal Fund Class I                         | 926826801 | KSITX  |                |      |            | 0.92000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Maine Municipal Fund Class I                          | 926826876 | MEIMX  |                |      |            | 1.60000             |                | SELL ONLY         |
| IntegrityVikingFunds   | Nebraska Municipal Fund Class I                       | 926826850 | NEITX  |                |      |            | 1.00000             |                | SELL ONLY         |

| Fund Family          | Fund Name                                       | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|----------------------|-------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| IntegrityVikingFunds | Oklahoma Municipal Fund Class I                 | 926826819 | OKMIX  |                |      |            | 0.95000             |                | SELL ONLY         |
| IntegrityVikingFunds | Viking Tax-Free Fund For Montana Class I        | 926826504 | VMTIX  |                |      |            | 0.92000             |                | SELL ONLY         |
| IntegrityVikingFunds | Viking Tax-Free Fund for North Dakota Class I   | 926826603 | VNDIX  |                |      |            | 1.13000             |                | SELL ONLY         |
| Invesco              | Invesco Active Allocation Fund Class A          | 00900R846 | OAAAX  | 5.50           |      | .24        | 0.98000             |                | BUY,SELL          |
| Invesco              | Invesco Active Allocation Fund Class C          | 00900R838 | OAACX  |                | 1.00 | 1.00       | 1.74000             |                | SELL ONLY         |
| Invesco              | Invesco Active Allocation Fund Class R          | 00900R820 | OAANX  |                |      | .50        | 1.24000             |                | SELL ONLY         |
| Invesco              | Invesco Active Allocation Fund Class R6         | 00900R788 | PAAQX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco              | Invesco Active Allocation Fund Class Y          | 00900R812 | OAAYX  |                |      |            | 0.74000             |                | SELL ONLY         |
| Invesco              | Invesco Advantage International Fund Class A    | 00900W845 | QMGAX  | 5.50           |      | .23        | 1.94000             |                | SELL ONLY         |
| Invesco              | Invesco Advantage International Fund Class C    | 00900W837 | QMGCX  |                | 1.00 | 1.00       | 2.71000             |                | SELL ONLY         |
| Invesco              | Invesco Advantage International Fund Class R    | 00900W811 | QMGRX  |                |      | .50        | 2.21000             |                | SELL ONLY         |
| Invesco              | Invesco Advantage International Fund Class R6   | 00900W829 | QMGIX  |                |      |            | 1.60000             |                | SELL ONLY         |
| Invesco              | Invesco Advantage International Fund Class Y    | 00900W795 | QMGYX  |                |      |            | 1.71000             |                | SELL ONLY         |
| Invesco              | Invesco American Franchise Fund Class A         | 00142J578 | VAFAX  | 5.50           |      | .25        | 0.93000             |                | BUY,SELL          |
| Invesco              | Invesco American Franchise Fund Class C         | 00142J552 | VAFCX  |                | 1.00 | 1.00       | 1.68000             |                | SELL ONLY         |
| Invesco              | Invesco American Franchise Fund Class R         | 00141A453 | VAFRX  |                |      | .50        | 1.18000             |                | SELL ONLY         |
| Invesco              | Invesco American Franchise Fund Class R6        | 00141A396 | VAFFX  |                |      |            | 0.61000             |                | SELL ONLY         |
| Invesco              | Invesco American Franchise Fund Class Y         | 00142J545 | VAFIX  |                |      |            | 0.68000             |                | SELL ONLY         |
| Invesco              | Invesco AMT-Free Municipal Income Fund Class A  | 001419217 | OPTAX  | 4.25           |      | .24        | 0.91000             |                | SELL ONLY         |
| Invesco              | Invesco AMT-Free Municipal Income Fund Class C  | 001419191 | OMFCX  |                | 1.00 | 1.00       | 1.67000             |                | SELL ONLY         |
| Invesco              | Invesco AMT-Free Municipal Income Fund Class R6 | 001419175 | IORAX  |                |      |            | 0.60000             |                | SELL ONLY         |

| Fund Family | Fund Name                                              | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco AMT-Free Municipal Income Fund Class Y         | 001419183 | OMFYX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco     | Invesco Asia Pacific Equity Fund Class A               | 008882888 | ASIAX  | 5.50           |      | .25        | 1.43000             |                | BUY,SELL          |
| Invesco     | Invesco Asia Pacific Equity Fund Class C               | 008882862 | ASICX  |                | 1.00 | .99        | 2.17000             |                | SELL ONLY         |
| Invesco     | Invesco Asia Pacific Equity Fund Class R               | 008882342 | ASQRX  |                |      | .50        | 1.68000             |                | SELL ONLY         |
| Invesco     | Invesco Asia Pacific Equity Fund Class R6              | 00889A848 | ASISX  |                |      |            | 0.99000             |                | SELL ONLY         |
| Invesco     | Invesco Asia Pacific Equity Fund Class Y               | 008882581 | ASIYX  |                |      |            | 1.18000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Allocation Fund Class A          | 00141V747 | ABRZX  | 5.50           |      | .25        | 1.43000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Allocation Fund Class C          | 00141V721 | ABRCX  |                | 1.00 | 1.00       | 2.18000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Allocation Fund Class R          | 00141V713 | ABRRX  |                |      | .50        | 1.68000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Allocation Fund Class R6         | 00142R539 | ALLFX  |                |      |            | 1.08000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Allocation Fund Class Y          | 00141V697 | ABRYX  |                |      |            | 1.18000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Commodity Strategy Fund Class A  | 00888Y102 | BRCAX  | 5.50           |      | .25        | 1.70000             |                | BUY,SELL          |
| Invesco     | Invesco Balanced-Risk Commodity Strategy Fund Class C  | 00888Y300 | BRCCX  |                | 1.00 | 1.00       | 2.45000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Commodity Strategy Fund Class R  | 00888Y409 | BRCRX  |                |      | .50        | 1.95000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Commodity Strategy Fund Class R6 | 00888Y847 | IBRFX  |                |      |            | 1.22000             |                | SELL ONLY         |
| Invesco     | Invesco Balanced-Risk Commodity Strategy Fund Class Y  | 00888Y508 | BRCYX  |                |      |            | 1.45000             |                | SELL ONLY         |
| Invesco     | Invesco California Municipal Fund Class C              | 00141W208 | OCACX  |                | 1.00 | 1.00       | 1.86000             |                | SELL ONLY         |
| Invesco     | Invesco California Municipal Fund Class R6             | 00141W406 | IORCX  |                |      |            | 0.80000             |                | SELL ONLY         |

| Fund Family | Fund Name                                            | CUSIP     | Ticker    | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------------|-----------|-----------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco California Municipal Fund Class Y            | 00141W307 | OCAYYX    |                |      |            | 0.86000             |                | SELL ONLY         |
| Invesco     | Invesco Charter Fund Class A                         | 001413103 | CHTRX     | 5.50           |      | .25        | 1.01000             |                | BUY,SELL          |
| Invesco     | Invesco Charter Fund Class C                         | 001413814 | CHTCX     |                | 1.00 | 1.00       | 1.76000             |                | SELL ONLY         |
| Invesco     | Invesco Charter Fund Class R                         | 001413442 | CHRRX     |                |      | .50        | 1.26000             |                | SELL ONLY         |
| Invesco     | Invesco Charter Fund Class R6                        | 00141B857 | CHFTX     |                |      |            | 0.68000             |                | SELL ONLY         |
| Invesco     | Invesco Charter Fund Class Y                         | 00141B303 | CHTYX     |                |      |            | 0.76000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Fund Class A                        | 00143M711 | ACSTX     | 5.50           |      | .25        | 0.80000             |                | BUY,SELL          |
| Invesco     | Invesco Comstock Fund Class C                        | 00143M687 | ACSYX     |                | 1.00 | .97        | 1.52000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Fund Class R                        | 00143M679 | ACSRX     |                |      | .50        | 1.05000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Fund Class R6                       | 00143M331 | ICSFX     |                |      |            | 0.44000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Fund Class Y                        | 00143M661 | ACSDX     |                |      |            | 0.55000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Select Fund Class A                 | 00143N628 | CGRW<br>X | 5.50           |      | .24        | 0.92000             |                | BUY,SELL          |
| Invesco     | Invesco Comstock Select Fund Class C                 | 00143N610 | CGRCX     |                | 1.00 | 1.00       | 1.68000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Select Fund Class R                 | 00143N586 | CGRNX     |                |      | .50        | 1.18000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Select Fund Class R6                | 00143N560 | OGRIX     |                |      |            | 0.59000             |                | SELL ONLY         |
| Invesco     | Invesco Comstock Select Fund Class Y                 | 00143N594 | CGRYX     |                |      |            | 0.68000             |                | SELL ONLY         |
| Invesco     | Invesco Conservative Income Fund Class A             | 46134M202 | ICIVX     |                |      | .10        | 0.46000             |                | SELL ONLY         |
| Invesco     | Invesco Conservative Income Fund Class R6            | 46134M400 | ICIRX     |                |      |            | 0.30000             |                | SELL ONLY         |
| Invesco     | Invesco Conservative Income Fund Class Y             | 46134M301 | ICIYX     |                |      |            | 0.36000             |                | SELL ONLY         |
| Invesco     | Invesco Conservative Income Fund Institutional Class | 46134M103 | ICIFX     |                |      |            | 0.28000             |                | SELL ONLY         |
| Invesco     | Invesco Convertible Securities Fund Class A          | 00888W403 | CNSAX     | 5.50           |      | .25        | 0.97000             |                | BUY,SELL          |
| Invesco     | Invesco Convertible Securities Fund Class C          | 00888W601 | CNSCX     |                | 1.00 | .99        | 1.71000             |                | SELL ONLY         |
| Invesco     | Invesco Convertible Securities Fund Class R6         | 00888W494 | CNSFX     |                |      |            | 0.61000             |                | SELL ONLY         |

| Fund Family | Fund Name                                   | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Convertible Securities Fund Class Y | 00888W700 | CNSDX  |                |      |            | 0.72000             |                | SELL ONLY         |
| Invesco     | Invesco Core Bond Fund Class A              | 00143W107 | OPIGX  | 4.25           |      | .25        | 0.79000             |                | BUY,SELL          |
| Invesco     | Invesco Core Bond Fund Class C              | 00143W206 | OPBCX  |                | 1.00 | 1.00       | 1.54000             |                | SELL ONLY         |
| Invesco     | Invesco Core Bond Fund Class R              | 00143W404 | OPBNX  |                |      | .50        | 1.04000             |                | SELL ONLY         |
| Invesco     | Invesco Core Bond Fund Class R6             | 00143W602 | OPBIX  |                |      |            | 0.42000             |                | SELL ONLY         |
| Invesco     | Invesco Core Bond Fund Class Y              | 00143W305 | OPBYX  |                |      |            | 0.54000             |                | SELL ONLY         |
| Invesco     | Invesco Core Plus Bond Fund Class A         | 00141A529 | ACPSX  | 4.25           |      | .25        | 0.82000             |                | BUY,SELL          |
| Invesco     | Invesco Core Plus Bond Fund Class C         | 00141A495 | CPCFX  |                | 1.00 | 1.00       | 1.57000             |                | SELL ONLY         |
| Invesco     | Invesco Core Plus Bond Fund Class R         | 00141A487 | CPBRX  |                |      | .50        | 1.07000             |                | SELL ONLY         |
| Invesco     | Invesco Core Plus Bond Fund Class R6        | 00141A438 | CPBFX  |                |      |            | 0.47000             |                | SELL ONLY         |
| Invesco     | Invesco Core Plus Bond Fund Class Y         | 00141A479 | CPBYX  |                |      |            | 0.57000             |                | SELL ONLY         |
| Invesco     | Invesco Corporate Bond Fund Class A         | 001421858 | ACCBX  | 4.25           |      | .25        | 0.71000             |                | BUY,SELL          |
| Invesco     | Invesco Corporate Bond Fund Class C         | 001421833 | ACCEx  |                | 1.00 | 1.00       | 1.46000             |                | SELL ONLY         |
| Invesco     | Invesco Corporate Bond Fund Class R         | 001421635 | ACCZX  |                |      | .50        | 0.96000             |                | SELL ONLY         |
| Invesco     | Invesco Corporate Bond Fund Class R6        | 001421569 | ICBFX  |                |      |            | 0.34000             |                | SELL ONLY         |
| Invesco     | Invesco Corporate Bond Fund Class Y         | 001421825 | ACCHX  |                |      |            | 0.46000             |                | SELL ONLY         |
| Invesco     | Invesco Developing Markets Fund Class A     | 00143W701 | ODMAX  | 5.50           |      | .25        | 1.28000             |                | BUY,SELL          |
| Invesco     | Invesco Developing Markets Fund Class C     | 00143W800 | ODVCX  |                | 1.00 | 1.00       | 2.03000             |                | SELL ONLY         |
| Invesco     | Invesco Developing Markets Fund Class R     | 00143W883 | ODVNX  |                |      | .50        | 1.53000             |                | SELL ONLY         |
| Invesco     | Invesco Developing Markets Fund Class R6    | 00143W859 | ODVIX  |                |      |            | 0.88000             |                | SELL ONLY         |
| Invesco     | Invesco Developing Markets Fund Class Y     | 00143W875 | ODVYX  |                |      |            | 1.03000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Fund Class A              | 00141G666 | OPOCX  | 5.50           |      | .24        | 1.03000             |                | BUY,SELL          |
| Invesco     | Invesco Discovery Fund Class C              | 00141G658 | ODICX  |                | 1.00 | 1.00       | 1.79000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Fund Class R              | 00141G633 | ODINX  |                |      | .50        | 1.29000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Fund Class R6             | 00141G641 | ODIIX  |                |      |            | 0.65000             |                | SELL ONLY         |

| Fund Family | Fund Name                                        | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Discovery Fund Class Y                   | 00141G625 | ODIYX  |                |      |            | 0.79000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Large Cap Fund Class A         | 00141G732 | OPTFX  | 5.50           |      | .23        | 0.93000             |                | BUY,SELL          |
| Invesco     | Invesco Discovery Large Cap Fund Class C         | 00141G724 | OTFCX  |                | 1.00 | 1.00       | 1.70000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Large Cap Fund Class R         | 00141G690 | OTCNX  |                |      | .50        | 1.20000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Large Cap Fund Class R6        | 00141G716 | OPTIX  |                |      |            | 0.63000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Large Cap Fund Class Y         | 00141G682 | OTCYX  |                |      |            | 0.70000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Mid Cap Growth Fund A          | 00143W842 | OEGAX  | 5.50           |      | .25        | 1.05000             |                | BUY,SELL          |
| Invesco     | Invesco Discovery Mid Cap Growth Fund C          | 00143W834 | OEGCX  |                | 1.00 | .97        | 1.77000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Mid Cap Growth Fund R          | 00143W826 | OEGNX  |                |      | .50        | 1.30000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Mid Cap Growth Fund R6         | 00143W784 | OEGIX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco     | Invesco Discovery Mid Cap Growth Fund Y          | 00143W818 | OEGYX  |                |      |            | 0.80000             |                | SELL ONLY         |
| Invesco     | Invesco Diversified Dividend Fund Class A        | 001413541 | LCEAX  | 5.50           |      | .25        | 0.82000             |                | BUY,SELL          |
| Invesco     | Invesco Diversified Dividend Fund Class C        | 001413525 | LCEVX  |                | 1.00 | 1.00       | 1.57000             |                | SELL ONLY         |
| Invesco     | Invesco Diversified Dividend Fund Class R        | 001413186 | DDFRX  |                |      | .50        | 1.07000             |                | SELL ONLY         |
| Invesco     | Invesco Diversified Dividend Fund Class R6       | 00141B840 | LCEFX  |                |      |            | 0.47000             |                | SELL ONLY         |
| Invesco     | Invesco Diversified Dividend Fund Class Y        | 00141B204 | LCEYX  |                |      |            | 0.57000             |                | SELL ONLY         |
| Invesco     | Invesco Diversified Dividend Fund Investor Class | 001413194 | LCEIX  |                |      | .14        | 0.71000             |                | SELL ONLY         |
| Invesco     | Invesco Dividend Income Fund Class A             | 00142F535 | IAUTX  | 5.50           |      | .24        | 0.93000             |                | BUY,SELL          |
| Invesco     | Invesco Dividend Income Fund Class C             | 00142F519 | IUTCX  |                | 1.00 | 1.00       | 1.69000             |                | SELL ONLY         |
| Invesco     | Invesco Dividend Income Fund Class R             | 00143N552 | IRTCX  |                |      | .50        | 1.19000             |                | SELL ONLY         |

| Fund Family | Fund Name                                           | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|-----------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Dividend Income Fund Class R6               | 00143M356 | IFUTX  |                |      |            | 0.60000             |                | SELL ONLY         |
| Invesco     | Invesco Dividend Income Fund Class Y                | 00142F410 | IAUYX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Invesco     | Invesco Dividend Income Fund Investor Class         | 00142F543 | FSTUX  |                |      | .25        | 0.94000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets ex-China Fund Class A      | 00141T577 | GTDDX  | 5.50           |      | .25        | 1.39000             |                | BUY,SELL          |
| Invesco     | Invesco Emerging Markets ex-China Fund Class C      | 00141T544 | GTDCX  |                | 1.00 | 1.00       | 2.14000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets ex-China Fund Class R6     | 00142R521 | GTDFX  |                |      |            | 1.01000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets ex-China Fund Class Y      | 00141V838 | GTDYX  |                |      |            | 1.14000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets Local Debt Fund Class A    | 00143K871 | OEMAX  | 4.25           |      | .24        | 1.50000             |                | BUY,SELL          |
| Invesco     | Invesco Emerging Markets Local Debt Fund Class C    | 00143K863 | OEMCX  |                | 1.00 | 1.00       | 2.26000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets Local Debt Fund Class R    | 00143K848 | OEMNX  |                |      | .50        | 1.76000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets Local Debt Fund Class R6   | 00143K822 | OEMIX  |                |      |            | 1.06000             |                | SELL ONLY         |
| Invesco     | Invesco Emerging Markets Local Debt Fund Class Y    | 00143K855 | OEMYX  |                |      |            | 1.26000             |                | SELL ONLY         |
| Invesco     | Invesco Energy Fund Class A                         | 00142F204 | IENAX  | 5.50           |      | .25        | 1.23000             |                | BUY,SELL          |
| Invesco     | Invesco Energy Fund Class C                         | 00142F402 | IEFCX  |                | 1.00 | 1.00       | 1.98000             |                | SELL ONLY         |
| Invesco     | Invesco Energy Fund Class R                         | 00143N537 | IEFRX  |                |      | .50        | 1.48000             |                | SELL ONLY         |
| Invesco     | Invesco Energy Fund Class Y                         | 00142F469 | IENYX  |                |      |            | 0.98000             |                | SELL ONLY         |
| Invesco     | Invesco Energy Fund Investor Class                  | 00142F105 | FSTEX  |                |      | .25        | 1.23000             |                | SELL ONLY         |
| Invesco     | Invesco Environmental Focus Municipal Fund Class A  | 001419258 | OPAMX  | 4.25           |      | .25        | 1.00000             |                | SELL ONLY         |
| Invesco     | Invesco Environmental Focus Municipal Fund Class C  | 001419241 | OPCMX  |                | 1.00 | 1.00       | 1.75000             |                | SELL ONLY         |
| Invesco     | Invesco Environmental Focus Municipal Fund Class R6 | 001419225 | IOMUX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco     | Invesco Environmental Focus Municipal Fund Class Y  | 001419233 | OPYMX  |                |      |            | 0.75000             |                | SELL ONLY         |

| Fund Family | Fund Name                                      | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Equally-Weighted S&P 500 Fund Class A  | 00142J818 | VADAX  | 5.50           |      | .25        | 0.53000             |                | BUY,SELL          |
| Invesco     | Invesco Equally-Weighted S&P 500 Fund Class C  | 00142J784 | VADCX  |                | 1.00 | .99        | 1.27000             |                | SELL ONLY         |
| Invesco     | Invesco Equally-Weighted S&P 500 Fund Class R  | 00142J768 | VADRX  |                |      | .50        | 0.78000             |                | SELL ONLY         |
| Invesco     | Invesco Equally-Weighted S&P 500 Fund Class R6 | 00142J131 | VADFX  |                |      |            | 0.18000             |                | SELL ONLY         |
| Invesco     | Invesco Equally-Weighted S&P 500 Fund Class Y  | 00142J776 | VADDX  |                |      |            | 0.28000             |                | SELL ONLY         |
| Invesco     | Invesco Equity and Income Fund Class A         | 00142J479 | ACEIX  | 5.50           |      | .25        | 0.78000             |                | BUY,SELL          |
| Invesco     | Invesco Equity and Income Fund Class C         | 00142J453 | ACERX  |                | 1.00 | .99        | 1.52000             |                | SELL ONLY         |
| Invesco     | Invesco Equity and Income Fund Class R         | 00142J420 | ACESX  |                |      | .50        | 1.03000             |                | SELL ONLY         |
| Invesco     | Invesco Equity and Income Fund Class R6        | 00142J123 | IEIFX  |                |      |            | 0.42000             |                | SELL ONLY         |
| Invesco     | Invesco Equity and Income Fund Class Y         | 00142J446 | ACETX  |                |      |            | 0.53000             |                | SELL ONLY         |
| Invesco     | Invesco EQV International Equity Fund Class A  | 008882102 | AIEX   | 5.50           |      | .25        | 1.27000             |                | BUY,SELL          |
| Invesco     | Invesco EQV International Equity Fund Class C  | 008882789 | AIECX  |                | 1.00 | 1.00       | 2.02000             |                | SELL ONLY         |
| Invesco     | Invesco EQV International Equity Fund Class R  | 008882755 | AIERX  |                |      | .50        | 1.52000             |                | SELL ONLY         |
| Invesco     | Invesco EQV International Equity Fund Class R6 | 00889A400 | IGFRX  |                |      |            | 0.86000             |                | SELL ONLY         |
| Invesco     | Invesco EQV International Equity Fund Class Y  | 008882532 | AIYX   |                |      |            | 1.02000             |                | SELL ONLY         |
| Invesco     | Invesco Floating Rate ESG Fund Class A         | 00141A867 | AFRAX  | 2.50           |      | .25        | 1.14000             |                | BUY,SELL          |
| Invesco     | Invesco Floating Rate ESG Fund Class C         | 00141A842 | AFRCX  |                | 1.00 | .75        | 1.64000             |                | SELL ONLY         |
| Invesco     | Invesco Floating Rate ESG Fund Class R         | 00141A834 | AFRRX  |                |      | .50        | 1.39000             |                | SELL ONLY         |

| Fund Family | Fund Name                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Floating Rate ESG Fund Class R6      | 00141A420 | AFRFX  |                |      |            | 0.81000             |                | SELL ONLY         |
| Invesco     | Invesco Floating Rate ESG Fund Class Y       | 00141A586 | AFRYX  |                |      |            | 0.89000             |                | SELL ONLY         |
| Invesco     | Invesco Funds - Invesco Energy Fund Class R6 | 00143N602 | IENSX  |                |      |            | 0.83000             |                | SELL ONLY         |
| Invesco     | Invesco Global Allocation Fund Class A       | 00143W644 | QVGIX  | 5.50           |      | .25        | 1.43000             |                | BUY,SELL          |
| Invesco     | Invesco Global Allocation Fund Class C       | 00143W636 | QGRCX  |                | 1.00 | 1.00       | 2.18000             |                | SELL ONLY         |
| Invesco     | Invesco Global Allocation Fund Class R       | 00143W628 | QGRNX  |                |      | .50        | 1.68000             |                | SELL ONLY         |
| Invesco     | Invesco Global Allocation Fund Class R6      | 00143W586 | QGRIX  |                |      |            | 1.07000             |                | SELL ONLY         |
| Invesco     | Invesco Global Allocation Fund Class Y       | 00143W610 | QGRYX  |                |      |            | 1.18000             |                | SELL ONLY         |
| Invesco     | Invesco Global Core Equity Fund Class A      | 00141L103 | AWSAX  | 5.50           |      | .25        | 1.27000             |                | BUY,SELL          |
| Invesco     | Invesco Global Core Equity Fund Class C      | 00141L301 | AWSCX  |                | 1.00 | 1.00       | 2.02000             |                | SELL ONLY         |
| Invesco     | Invesco Global Core Equity Fund Class R6     | 00141L657 | AWSSX  |                |      |            | 0.90000             |                | SELL ONLY         |
| Invesco     | Invesco Global Core Equity Fund Class Y      | 00141L798 | AWSYX  |                |      |            | 1.02000             |                | SELL ONLY         |
| Invesco     | Invesco Global Core Equity Fund ClassR       | 00141L749 | AWSRX  |                |      | .50        | 1.52000             |                | SELL ONLY         |
| Invesco     | Invesco Global Focus Fund Class A            | 00900W704 | GLVAX  | 5.50           |      | .25        | 1.23000             |                | BUY,SELL          |
| Invesco     | Invesco Global Focus Fund Class C            | 00900W803 | GLVCX  |                | 1.00 | 1.00       | 1.98000             |                | SELL ONLY         |
| Invesco     | Invesco Global Focus Fund Class R            | 00900W878 | GLVNX  |                |      | .50        | 1.48000             |                | SELL ONLY         |
| Invesco     | Invesco Global Focus Fund Class R6           | 00900W886 | GLVIX  |                |      |            | 0.87000             |                | SELL ONLY         |
| Invesco     | Invesco Global Focus Fund Class Y            | 00900W860 | GLVYX  |                |      |            | 0.98000             |                | SELL ONLY         |

| Fund Family | Fund Name                                       | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|-------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Global Fund Class A                     | 00900W100 | OPPAX  | 5.50           |      | .23        | 1.05000             |                | BUY,SELL          |
| Invesco     | Invesco Global Fund Class C                     | 00900W209 | OGLCX  |                | 1.00 | 1.00       | 1.82000             |                | SELL ONLY         |
| Invesco     | Invesco Global Fund Class R                     | 00900W407 | OGLNX  |                |      | .50        | 1.32000             |                | SELL ONLY         |
| Invesco     | Invesco Global Fund Class R6                    | 00900W308 | OGLIX  |                |      |            | 0.72000             |                | SELL ONLY         |
| Invesco     | Invesco Global Fund Class Y                     | 00900W506 | OGLYX  |                |      |            | 0.82000             |                | SELL ONLY         |
| Invesco     | Invesco Global Opportunities Fund Class A       | 00900W779 | OPGIX  | 5.50           |      | .24        | 1.14000             |                | BUY,SELL          |
| Invesco     | Invesco Global Opportunities Fund Class C       | 00900W761 | OGICX  |                | 1.00 | 1.00       | 1.90000             |                | SELL ONLY         |
| Invesco     | Invesco Global Opportunities Fund Class R       | 00900W746 | OGINX  |                |      | .50        | 1.40000             |                | SELL ONLY         |
| Invesco     | Invesco Global Opportunities Fund Class R6      | 00900W753 | OGIIX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Invesco     | Invesco Global Opportunities Fund Class Y       | 00900W738 | OGIYX  |                |      |            | 0.90000             |                | SELL ONLY         |
| Invesco     | Invesco Global Real Estate Fund Class A         | 00142C367 | AGREX  | 5.50           |      | .25        | 1.44000             |                | BUY,SELL          |
| Invesco     | Invesco Global Real Estate Fund Class C         | 00142C342 | CGREX  |                | 1.00 | 1.00       | 2.19000             |                | SELL ONLY         |
| Invesco     | Invesco Global Real Estate Fund Class R         | 00142C334 | RGREX  |                |      | .50        | 1.69000             |                | SELL ONLY         |
| Invesco     | Invesco Global Real Estate Fund Class R6        | 001421619 | FGREX  |                |      |            | 0.95000             |                | SELL ONLY         |
| Invesco     | Invesco Global Real Estate Fund Class Y         | 00142C219 | ARGYX  |                |      |            | 1.19000             |                | SELL ONLY         |
| Invesco     | Invesco Global Real Estate Income Fund Class A  | 00141A628 | ASRAX  | 5.50           |      | .25        | 1.26000             |                | BUY,SELL          |
| Invesco     | Invesco Global Real Estate Income Fund Class C  | 00141A644 | ASRCX  |                | 1.00 | 1.00       | 2.01000             |                | SELL ONLY         |
| Invesco     | Invesco Global Real Estate Income Fund Class R6 | 00141A412 | ASRFX  |                |      |            | 0.84000             |                | SELL ONLY         |

| Fund Family | Fund Name                                      | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Global Real Estate Income Fund Class Y | 00141A545 | ASRYX  |                |      |            | 1.01000             |                | SELL ONLY         |
| Invesco     | Invesco Global Small Cap Equity Fund Class A   | 008879595 | ESMAX  | 5.50           |      | .25        | 1.40000             |                | BUY,SELL          |
| Invesco     | Invesco Global Small Cap Equity Fund Class C   | 008879579 | ESMCX  |                | 1.00 | 1.00       | 2.15000             |                | SELL ONLY         |
| Invesco     | Invesco Global Small Cap Equity Fund Class R6  | 00141L673 | ESMSX  |                |      |            | 1.04000             |                | SELL ONLY         |
| Invesco     | Invesco Global Small Cap Equity Fund Class Y   | 00141L814 | ESMYX  |                |      |            | 1.15000             |                | SELL ONLY         |
| Invesco     | Invesco Global Strategic Income Fund Class A   | 00143K814 | OPSIX  | 4.25           |      | .24        | 1.05000             |                | BUY,SELL          |
| Invesco     | Invesco Global Strategic Income Fund Class C   | 00143K798 | OSICX  |                | 1.00 | 1.00       | 1.81000             |                | SELL ONLY         |
| Invesco     | Invesco Global Strategic Income Fund Class R   | 00143K772 | OSINX  |                |      | .50        | 1.31000             |                | SELL ONLY         |
| Invesco     | Invesco Global Strategic Income Fund Class R6  | 00143K756 | OSIIX  |                |      |            | 0.70000             |                | SELL ONLY         |
| Invesco     | Invesco Global Strategic Income Fund Class Y   | 00143K780 | OSIYX  |                |      |            | 0.81000             |                | SELL ONLY         |
| Invesco     | Invesco Gold & Special Minerals Fund Class A   | 00143N750 | OPGSX  | 5.50           |      | .24        | 1.05000             |                | SELL ONLY         |
| Invesco     | Invesco Gold & Special Minerals Fund Class C   | 00143N743 | OGMCX  |                | 1.00 | 1.00       | 1.81000             |                | SELL ONLY         |
| Invesco     | Invesco Gold & Special Minerals Fund Class R   | 00143N727 | OGMNX  |                |      | .50        | 1.31000             |                | SELL ONLY         |
| Invesco     | Invesco Gold & Special Minerals Fund Class R6  | 00143N693 | OGMIX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Invesco     | Invesco Gold & Special Minerals Fund Class Y   | 00143N735 | OGMYX  |                |      |            | 0.81000             |                | SELL ONLY         |
| Invesco     | Invesco Growth and Income Fund Class A         | 00142J362 | ACGIX  | 5.50           |      | .25        | 0.78000             |                | BUY,SELL          |
| Invesco     | Invesco Growth and Income Fund Class C         | 00142J347 | ACGKX  |                | 1.00 | .99        | 1.52000             |                | SELL ONLY         |
| Invesco     | Invesco Growth and Income Fund Class R         | 00142J313 | ACGLX  |                |      | .50        | 1.03000             |                | SELL ONLY         |

| Fund Family | Fund Name                                         | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Growth and Income Fund Class R6           | 00142J115 | GIFFX  |                |      |            | 0.42000             |                | SELL ONLY         |
| Invesco     | Invesco Growth and Income Fund Class Y            | 00142J339 | ACGMX  |                |      |            | 0.53000             |                | SELL ONLY         |
| Invesco     | Invesco Health Care Fund Class A                  | 00141T106 | GGHCX  | 5.50           |      | .25        | 1.05000             |                | SELL ONLY         |
| Invesco     | Invesco Health Care Fund Class C                  | 00141T478 | GTHCX  |                | 1.00 | 1.00       | 1.80000             |                | SELL ONLY         |
| Invesco     | Invesco Health Care Fund Class R                  | 00143W438 | GTHRX  |                |      | .50        | 1.30000             |                | SELL ONLY         |
| Invesco     | Invesco Health Care Fund Class R6                 | 00142R265 | GGHSX  |                |      |            | 0.71000             |                | SELL ONLY         |
| Invesco     | Invesco Health Care Fund Class Y                  | 00141V820 | GGHYX  |                |      |            | 0.80000             |                | SELL ONLY         |
| Invesco     | Invesco Health Care Fund Investor Class           | 00141T171 | GTHIX  |                |      | .25        | 1.05000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Fund Class A                   | 00142C706 | AMHYX  | 4.25           |      | .25        | 1.02000             |                | BUY,SELL          |
| Invesco     | Invesco High Yield Fund Class C                   | 00142C888 | AHYCX  |                | 1.00 | 1.00       | 1.77000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Fund Class R6                  | 001421593 | HYIFX  |                |      |            | 0.63000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Fund Class Y                   | 00142C193 | AHHYX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Fund Investor Class            | 00142C631 | HYINX  |                |      | .25        | 1.02000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Municipal Fund Class C         | 001419662 | ACTFX  |                | 1.00 | .99        | 2.12000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Municipal Fund Class R6        | 001419423 | ACTSX  |                |      |            | 1.07000             |                | SELL ONLY         |
| Invesco     | Invesco High Yield Municipal Fund Class Y         | 001419654 | ACTDX  |                |      |            | 1.13000             |                | SELL ONLY         |
| Invesco     | Invesco Income Advantage U.S. Fund Class A        | 00141A818 | SCAUX  | 5.50           |      | .25        | 1.13000             |                | SELL ONLY         |
| Invesco     | Invesco Income Advantage U.S. Fund Class C        | 00141A784 | SCCUX  |                | 1.00 | 1.00       | 1.88000             |                | SELL ONLY         |
| Invesco     | Invesco Income Advantage U.S. Fund Class R        | 00141A776 | SCRUX  |                |      | .50        | 1.38000             |                | SELL ONLY         |
| Invesco     | Invesco Income Advantage U.S. Fund Class R6       | 00141A164 | SLESX  |                |      |            | 0.79000             |                | SELL ONLY         |
| Invesco     | Invesco Income Advantage U.S. Fund Class Y        | 00141A537 | SCAYX  |                |      |            | 0.88000             |                | SELL ONLY         |
| Invesco     | Invesco Income Advantage U.S. Fund Investor Class | 00141A594 | SCNUX  |                |      | .25        | 1.13000             |                | SELL ONLY         |
| Invesco     | Invesco Income Allocation Fund Class A            | 00141M242 | ALAAX  | 5.50           |      | .25        | 0.91000             |                | BUY,SELL          |

| Fund Family | Fund Name                                                | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Income Allocation Fund Class C                   | 00141M226 | CLIAAX |                | 1.00 | 1.00       | 1.66000             |                | SELL ONLY         |
| Invesco     | Invesco Income Allocation Fund Class R                   | 00141M218 | RLIAAX |                |      | .50        | 1.16000             |                | SELL ONLY         |
| Invesco     | Invesco Income Allocation Fund Class R6                  | 00888W189 | IIASX  |                |      |            | 0.53000             |                | SELL ONLY         |
| Invesco     | Invesco Income Allocation Fund Class Y                   | 00888U597 | ALAYX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Invesco     | Invesco Income Fund Class A                              | 00142C847 | AGOVX  | 4.25           |      | .25        | 1.02000             |                | SELL ONLY         |
| Invesco     | Invesco Income Fund Class C                              | 00142C821 | AGVCX  |                | 1.00 | 1.00       | 1.77000             |                | SELL ONLY         |
| Invesco     | Invesco Income Fund Class R                              | 00142C698 | AGVRX  |                |      | .50        | 1.27000             |                | SELL ONLY         |
| Invesco     | Invesco Income Fund Class R6                             | 001421445 | AGVSX  |                |      |            | 0.63000             |                | SELL ONLY         |
| Invesco     | Invesco Income Fund Class Y                              | 00142C128 | AGVYX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Invesco     | Invesco Income Fund Investor Class                       | 00142C615 | AGIVX  |                |      | .18        | 0.95000             |                | SELL ONLY         |
| Invesco     | Invesco Intermediate Term Municipal Income Fund Class C  | 001419571 | VKLCX  |                | 1.00 | 1.00       | 1.57000             |                | SELL ONLY         |
| Invesco     | Invesco Intermediate Term Municipal Income Fund Class R6 | 001419399 | VKLSX  |                |      |            | 0.52000             |                | SELL ONLY         |
| Invesco     | Invesco Intermediate Term Municipal Income Fund Class Y  | 001419563 | VKLIX  |                |      |            | 0.57000             |                | SELL ONLY         |
| Invesco     | Invesco International Bond Fund Class A                  | 00143K673 | OIBAX  | 4.25           |      | .24        | 1.16000             |                | BUY,SELL          |
| Invesco     | Invesco International Bond Fund Class C                  | 00143K665 | OIBCX  |                | 1.00 | 1.00       | 1.92000             |                | SELL ONLY         |
| Invesco     | Invesco International Bond Fund Class R                  | 00143K640 | OIBNX  |                |      | .50        | 1.42000             |                | SELL ONLY         |
| Invesco     | Invesco International Bond Fund Class R6                 | 00143K624 | OIBIX  |                |      |            | 0.76000             |                | SELL ONLY         |
| Invesco     | Invesco International Bond Fund Class Y                  | 00143K657 | OIBYX  |                |      |            | 0.92000             |                | SELL ONLY         |
| Invesco     | Invesco International Diversified Fund Class A           | 00900R101 | OIDAX  | 5.50           |      | .25        | 1.31000             |                | BUY,SELL          |
| Invesco     | Invesco International Diversified Fund Class C           | 00900R200 | OIDCX  |                | 1.00 | 1.00       | 2.06000             |                | SELL ONLY         |
| Invesco     | Invesco International Diversified Fund Class R           | 00900R408 | OIDNX  |                |      | .50        | 1.56000             |                | SELL ONLY         |

| Fund Family | Fund Name                                             | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|-------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco International Diversified Fund Class R6       | 00900R309 | OIDIX  |                |      |            | 0.92000             |                | SELL ONLY         |
| Invesco     | Invesco International Diversified Fund Class Y        | 00900R507 | OIDYX  |                |      |            | 1.06000             |                | SELL ONLY         |
| Invesco     | Invesco International Growth Fund Class A             | 00900W647 | OIGAX  | 5.50           |      | .24        | 1.10000             |                | BUY,SELL          |
| Invesco     | Invesco International Growth Fund Class C             | 00900W639 | OIGCX  |                | 1.00 | 1.00       | 1.86000             |                | SELL ONLY         |
| Invesco     | Invesco International Growth Fund Class R             | 00900W613 | OIGNX  |                |      | .50        | 1.36000             |                | SELL ONLY         |
| Invesco     | Invesco International Growth Fund Class R6            | 00900W621 | OIGIX  |                |      |            | 0.73000             |                | SELL ONLY         |
| Invesco     | Invesco International Growth Fund Class Y             | 00900W597 | OIGYX  |                |      |            | 0.86000             |                | SELL ONLY         |
| Invesco     | Invesco International Small Company Fund Class A      | 008879561 | IEGAX  | 5.50           |      | .25        | 1.68000             |                | BUY,SELL          |
| Invesco     | Invesco International Small Company Fund Class C      | 008879546 | IEGCX  |                | 1.00 | 1.00       | 2.43000             |                | SELL ONLY         |
| Invesco     | Invesco International Small Company Fund Class R6     | 00141L731 | IEGFX  |                |      |            | 1.07000             |                | SELL ONLY         |
| Invesco     | Invesco International Small Company Fund Class Y      | 00141L780 | IEGYX  |                |      |            | 1.43000             |                | SELL ONLY         |
| Invesco     | Invesco International Small-Mid Company Fund Class A  | 00900W571 | OSMAX  | 5.50           |      | .24        | 1.37000             |                | BUY,SELL          |
| Invesco     | Invesco International Small-Mid Company Fund Class C  | 00900W563 | OSMCX  |                | 1.00 | 1.00       | 2.13000             |                | SELL ONLY         |
| Invesco     | Invesco International Small-Mid Company Fund Class R  | 00900W548 | OSMNX  |                |      | .50        | 1.63000             |                | SELL ONLY         |
| Invesco     | Invesco International Small-Mid Company Fund Class R6 | 00900W555 | OSCIX  |                |      |            | 0.99000             |                | SELL ONLY         |
| Invesco     | Invesco International Small-Mid Company Fund Class Y  | 00900W530 | OSMYX  |                |      |            | 1.13000             |                | SELL ONLY         |
| Invesco     | Invesco International Value Fund Class A              | 008882854 | AEDAX  | 5.50           |      | .25        | 1.33000             |                | BUY,SELL          |
| Invesco     | Invesco International Value Fund Class C              | 008882839 | AEDCX  |                | 1.00 | 1.00       | 2.08000             |                | SELL ONLY         |

| Fund Family | Fund Name                                               | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco International Value Fund Class R                | 008882763 | AEDRX  |                |      | .50        | 1.58000             |                | SELL ONLY         |
| Invesco     | Invesco International Value Fund Class R6               | 00889A822 | AEGSX  |                |      |            | 0.95000             |                | SELL ONLY         |
| Invesco     | Invesco International Value Fund Class Y                | 008882573 | AEDYX  |                |      |            | 1.08000             |                | SELL ONLY         |
| Invesco     | Invesco International Value Fund Investor Class         | 008882748 | EGINX  |                |      | .17        | 1.25000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term California Municipal Fund Class C  | 00141W869 | OLCCX  |                | 1.00 | 1.00       | 1.56000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term California Municipal Fund Class R6 | 00141W844 | IORLX  |                |      |            | 0.50000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term California Municipal Fund Class Y  | 00141W851 | OLCYX  |                |      |            | 0.56000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term Municipal Income Fund Class A2     | 001419407 | AITFX  | 1.00           |      |            | 0.34000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term Municipal Income Fund Class C      | 001419449 | ATFCX  |                | 1.00 | 1.00       | 1.34000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term Municipal Income Fund Class R6     | 001419373 | ATFSX  |                |      |            | 0.28000             |                | SELL ONLY         |
| Invesco     | Invesco Limited Term Municipal Income Fund Class Y      | 001419837 | ATFYX  |                |      |            | 0.34000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street All Cap Fund Class A                | 00141B782 | OMSOX  | 5.50           |      | .24        | 1.03000             |                | BUY,SELL          |
| Invesco     | Invesco Main Street All Cap Fund Class C                | 00141B774 | OMSCX  |                | 1.00 | 1.00       | 1.79000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street All Cap Fund Class R                | 00141B766 | OMSNX  |                |      | .50        | 1.29000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street All Cap Fund Class R6               | 00141B733 | IOAPX  |                |      |            | 0.72000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street All Cap Fund Class Y                | 00141B758 | OMSYX  |                |      |            | 0.79000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Fund Class A                        | 00141B725 | MSIGX  | 5.50           |      | .23        | 0.80000             |                | BUY,SELL          |
| Invesco     | Invesco Main Street Fund Class C                        | 00141B717 | MIGCX  |                | 1.00 | 1.00       | 1.57000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Fund Class R                        | 00141B691 | OMGN X |                |      | .50        | 1.07000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Fund Class R6                       | 00141B667 | OMSIX  |                |      |            | 0.50000             |                | SELL ONLY         |

| Fund Family | Fund Name                                   | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Main Street Fund Class Y            | 00141B683 | MIGYX  |                |      |            | 0.57000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Mid Cap Fund Class A    | 00900R572 | OPMSX  | 5.50           |      | .24        | 1.04000             |                | BUY,SELL          |
| Invesco     | Invesco Main Street Mid Cap Fund Class C    | 00900R564 | OPMCX  |                | 1.00 | 1.00       | 1.80000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Mid Cap Fund Class R    | 00900R549 | OPMNX  |                |      | .50        | 1.30000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Mid Cap Fund Class R6   | 00900R556 | OPMIX  |                |      |            | 0.68000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Mid Cap Fund Class Y    | 00900R531 | OPMYX  |                |      |            | 0.80000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Small Cap Fund Class A  | 00900R515 | OSCAX  | 5.50           |      | .25        | 1.08000             |                | BUY,SELL          |
| Invesco     | Invesco Main Street Small Cap Fund Class C  | 00900R499 | OSCCX  |                | 1.00 | 1.00       | 1.83000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Small Cap Fund Class R  | 00900R473 | OSCNX  |                |      | .50        | 1.33000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Small Cap Fund Class R6 | 00900R481 | OSSIX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Invesco     | Invesco Main Street Small Cap Fund Class Y  | 00900R465 | OSCYX  |                |      |            | 0.83000             |                | SELL ONLY         |
| Invesco     | Invesco MSCI World SRI Index Fund Class A   | 00889A608 | VSQAX  | 5.50           |      | .25        | 2.00000             |                | SELL ONLY         |
| Invesco     | Invesco MSCI World SRI Index Fund Class C   | 00889A707 | VSQCX  |                | 1.00 | 1.00       | 2.75000             |                | SELL ONLY         |
| Invesco     | Invesco MSCI World SRI Index Fund Class R   | 00889A806 | VSQRX  |                |      | .50        | 2.25000             |                | SELL ONLY         |
| Invesco     | Invesco MSCI World SRI Index Fund Class R6  | 00889A863 | VSQSX  |                |      |            | 1.64000             |                | SELL ONLY         |
| Invesco     | Invesco MSCI World SRI Index Fund Class Y   | 00889A889 | VSQYX  |                |      |            | 1.75000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Asset Income Fund Class A     | 00888Y805 | PIAFX  | 5.50           |      | .23        | 0.97000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Asset Income Fund Class C     | 00888Y888 | PICFX  |                | 1.00 | 1.00       | 1.74000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Asset Income Fund Class R     | 00888Y870 | PIRFX  |                |      | .50        | 1.24000             |                | SELL ONLY         |

| Fund Family | Fund Name                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Multi-Asset Income Fund Class R6     | 00888Y839 | PIFFX  |                |      |            | 0.64000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Asset Income Fund Class Y      | 00888Y862 | PIYFX  |                |      |            | 0.74000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Strategy Fund Class A          | 00143W719 | QVOPX  | 5.50           |      | .25        | 1.42000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Strategy Fund Class C          | 00143W693 | QOPCX  |                | 1.00 | 1.00       | 2.17000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Strategy Fund Class R          | 00143W685 | QOPNX  |                |      | .50        | 1.67000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Strategy Fund Class R6         | 00143W651 | QOPIX  |                |      |            | 1.03000             |                | SELL ONLY         |
| Invesco     | Invesco Multi-Strategy Fund Class Y          | 00143W677 | QOPYX  |                |      |            | 1.17000             |                | SELL ONLY         |
| Invesco     | Invesco Municipal Income Fund Class C        | 001419530 | VMICX  |                | 1.00 | 1.00       | 1.72000             |                | SELL ONLY         |
| Invesco     | Invesco Municipal Income Fund Class R6       | 001419357 | VKMSX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Invesco     | Invesco Municipal Income Fund Class Y        | 001419522 | VMIIX  |                |      |            | 0.72000             |                | SELL ONLY         |
| Invesco     | Invesco Municipal Income Fund Investor Class | 001419456 | VMINX  |                |      | .16        | 0.88000             |                | SELL ONLY         |
| Invesco     | Invesco Nasdaq 100 Index Fund Class R6       | 00141G310 | IVNQX  |                |      |            | 0.35000             |                | SELL ONLY         |
| Invesco     | Invesco New Jersey Municipal Fund Class A    | 00141W745 | ONJAX  | 4.25           |      | .25        | 1.09000             |                | SELL ONLY         |
| Invesco     | Invesco New Jersey Municipal Fund Class C    | 00141W737 | ONJCX  |                | 1.00 | .90        | 1.74000             |                | SELL ONLY         |
| Invesco     | Invesco New Jersey Municipal Fund Class R6   | 00141W711 | IORJX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Invesco     | Invesco New Jersey Municipal Fund Class Y    | 00141W729 | ONJYX  |                |      |            | 0.84000             |                | SELL ONLY         |
| Invesco     | Invesco Pennsylvania Municipal Fund Class A  | 00141W695 | OPATX  | 4.25           |      | .24        | 0.93000             |                | SELL ONLY         |
| Invesco     | Invesco Pennsylvania Municipal Fund Class C  | 00141W687 | OPACX  |                | 1.00 | .90        | 1.59000             |                | SELL ONLY         |

| Fund Family | Fund Name                                                       | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|-----------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Pennsylvania Municipal Fund Class R6                    | 00141W661 | IORPX  |                |      |            | 0.62000             |                | SELL ONLY         |
| Invesco     | Invesco Pennsylvania Municipal Fund Class Y                     | 00141W679 | OPAYX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Invesco     | Invesco Quality Income Fund Class A                             | 00888W619 | VKMGX  | 4.25           |      | .24        | 0.89000             |                | BUY,SELL          |
| Invesco     | Invesco Quality Income Fund Class C                             | 00888W585 | VUSCX  |                | 1.00 | 1.00       | 1.65000             |                | SELL ONLY         |
| Invesco     | Invesco Quality Income Fund Class R                             | 00900R424 | VUSRX  |                |      | .50        | 1.15000             |                | SELL ONLY         |
| Invesco     | Invesco Quality Income Fund Class R6                            | 00888U225 | VUSSX  |                |      |            | 0.53000             |                | SELL ONLY         |
| Invesco     | Invesco Quality Income Fund Class Y                             | 00888W577 | VUSIX  |                |      |            | 0.65000             |                | SELL ONLY         |
| Invesco     | Invesco Real Estate Fund Class A                                | 00142C565 | IARAX  | 5.50           |      | .25        | 1.38000             |                | BUY,SELL          |
| Invesco     | Invesco Real Estate Fund Class C                                | 00142C540 | IARCX  |                | 1.00 | 1.00       | 2.13000             |                | SELL ONLY         |
| Invesco     | Invesco Real Estate Fund Class R                                | 00142C458 | IARRX  |                |      | .50        | 1.63000             |                | SELL ONLY         |
| Invesco     | Invesco Real Estate Fund Class R6                               | 001421585 | IARFX  |                |      |            | 0.95000             |                | SELL ONLY         |
| Invesco     | Invesco Real Estate Fund Class Y                                | 00142C144 | IARYX  |                |      |            | 1.13000             |                | SELL ONLY         |
| Invesco     | Invesco Real Estate Fund Investor Class                         | 00142C573 | REINX  |                |      | .18        | 1.31000             |                | SELL ONLY         |
| Invesco     | Invesco Rising Dividends Fund Class A                           | 00141B584 | OARDX  | 5.50           |      | .25        | 0.98000             |                | BUY,SELL          |
| Invesco     | Invesco Rising Dividends Fund Class C                           | 00141B576 | OCRDY  |                | 1.00 | 1.00       | 1.73000             |                | SELL ONLY         |
| Invesco     | Invesco Rising Dividends Fund Class R                           | 00141B550 | ONRDX  |                |      | .50        | 1.23000             |                | SELL ONLY         |
| Invesco     | Invesco Rising Dividends Fund Class R6                          | 00141B568 | OIRDX  |                |      |            | 0.65000             |                | SELL ONLY         |
| Invesco     | Invesco Rising Dividends Fund Class Y                           | 00141B535 | OYRDX  |                |      |            | 0.73000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? AMT-Free New York Municipal Fund Class A     | 001419167 | OPNYX  | 4.25           |      | .24        | 1.19000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? AMT-Free New York Municipal Fund Class C     | 001419159 | ONCYX  |                | 1.00 | 1.00       | 1.95000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? AMT-Free New York Municipal Fund Class R6    | 001419134 | IORNX  |                |      |            | 0.91000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? AMT-Free New York Municipal Fund Class Y     | 001419142 | ONYYX  |                |      |            | 0.95000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Limited Term New York Municipal Fund Class A | 00141W836 | LTNYX  | 2.50           |      | .25        | 0.83000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Limited Term New York Municipal Fund Class C | 00141W828 | LTNCX  |                | 1.00 | 1.00       | 1.58000             |                | SELL ONLY         |

| Fund Family | Fund Name                                                        | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Rochester? Limited Term New York Municipal Fund Class R6 | 00141W794 | IORMX  |                |      |            | 0.52000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Limited Term New York Municipal Fund class Y  | 00141W810 | LTBYX  |                |      |            | 0.58000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Municipal Opportunities Fund Class A          | 00141W505 | ORNAX  | 4.25           |      | .25        | 1.17000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Municipal Opportunities Fund Class C          | 00141W604 | ORNCX  |                | 1.00 | .90        | 1.82000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Municipal Opportunities Fund Class R6         | 00141W885 | IORYX  |                |      |            | 0.86000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? Municipal Opportunities Fund Class Y          | 00141W703 | ORNYX  |                |      |            | 0.92000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? New York Municipals Fund Class A              | 00141W786 | RMUNX  | 4.25           |      | .24        | 1.20000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? New York Municipals Fund Class C              | 00141W778 | RMUCX  |                | 1.00 | 1.00       | 1.96000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? New York Municipals Fund Class R6             | 00141W752 | IORUX  |                |      |            | 0.90000             |                | SELL ONLY         |
| Invesco     | Invesco Rochester? New York Municipals Fund Class Y              | 00141W760 | RMUYX  |                |      |            | 0.96000             |                | SELL ONLY         |
| Invesco     | Invesco S&P 500 Index Fund Class A                               | 00142J628 | SPIAX  | 5.50           |      | .25        | 0.54000             |                | BUY,SELL          |
| Invesco     | Invesco S&P 500 Index Fund Class C                               | 00142J594 | SPICX  |                | 1.00 | 1.00       | 1.29000             |                | SELL ONLY         |
| Invesco     | Invesco S&P 500 Index Fund Class Y                               | 00142J586 | SPIDX  |                |      |            | 0.29000             |                | SELL ONLY         |
| Invesco     | Invesco S&P 500 Index Fund ClassR6                               | 00141A131 | SPISX  |                |      |            | 0.19000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Conservative Investor Fund Class A          | 00900R770 | OACIX  | 5.50           |      | .24        | 0.89000             |                | BUY,SELL          |
| Invesco     | Invesco Select Risk: Conservative Investor Fund Class C          | 00900R762 | OCCIX  |                | 1.00 | 1.00       | 1.65000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Conservative Investor Fund Class R          | 00900R754 | ONCIX  |                |      | .50        | 1.15000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Conservative Investor Fund Class R6         | 00900R721 | PXCCX  |                |      |            | 0.54000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Conservative Investor Fund Class Y          | 00900R747 | OYCIX  |                |      |            | 0.65000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Growth Investor Fund Class A                | 00141M549 | AADAX  | 5.50           |      | .25        | 0.96000             |                | BUY,SELL          |

| Fund Family | Fund Name                                                           | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Select Risk: Growth Investor Fund Class C                   | 00141M523 | AADCX  |                | 1.00 | 1.00       | 1.71000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Growth Investor Fund Class R                   | 00141M515 | AADRX  |                |      | .50        | 1.21000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Growth Investor Fund Class R6                  | 00888W213 | AAESX  |                |      |            | 0.60000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Growth Investor Fund Class Y                   | 00888U613 | AADYX  |                |      |            | 0.71000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: High Growth Investor Fund Class A              | 00900R713 | OAAIX  | 5.50           |      | .24        | 0.91000             |                | BUY,SELL          |
| Invesco     | Invesco Select Risk: High Growth Investor Fund Class C              | 00900R697 | OCAIX  |                | 1.00 | 1.00       | 1.67000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: High Growth Investor Fund Class R              | 00900R689 | ONAIX  |                |      | .50        | 1.17000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: High Growth Investor Fund Class R6             | 00900R655 | PXGGX  |                |      |            | 0.59000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: High Growth Investor Fund Class Y              | 00900R671 | OYAIX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderate Investor Fund Class A                 | 00900R648 | OAMIX  | 5.50           |      | .24        | 0.86000             |                | BUY,SELL          |
| Invesco     | Invesco Select Risk: Moderate Investor Fund Class C                 | 00900R630 | OCMIX  |                | 1.00 | 1.00       | 1.62000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderate Investor Fund Class R                 | 00900R622 | ONMIX  |                |      | .50        | 1.12000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderate Investor Fund Class R6                | 00900R580 | PXMMX  |                |      |            | 0.55000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderate Investor Fund Class Y                 | 00900R614 | OYMIX  |                |      |            | 0.62000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderately Conservative Investor Fund Class A  | 00141M317 | CAAMX  | 5.50           |      | .25        | 0.94000             |                | BUY,SELL          |
| Invesco     | Invesco Select Risk: Moderately Conservative Investor Fund Class C  | 00141M283 | CACMX  |                | 1.00 | 1.00       | 1.69000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderately Conservative Investor Fund Class R  | 00141M275 | CMARX  |                |      | .50        | 1.19000             |                | SELL ONLY         |
| Invesco     | Invesco Select Risk: Moderately Conservative Investor Fund Class R6 | 00888W262 | CNSSX  |                |      |            | 0.59000             |                | SELL ONLY         |

| Fund Family | Fund Name                                                          | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Select Risk: Moderately Conservative Investor Fund Class Y | 00888U472 | CAAYX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Floating Rate Fund Class A                          | 00141G872 | OOSAX  | 3.25           |      | .25        | 1.12000             |                | BUY,SELL          |
| Invesco     | Invesco Senior Floating Rate Fund Class C                          | 00141G864 | OOSCX  |                | 1.00 | 1.00       | 1.87000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Floating Rate Fund Class R                          | 00141G849 | OOSNX  |                |      | .50        | 1.37000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Floating Rate Fund Class R6                         | 00141G856 | OOSIX  |                |      |            | 0.78000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Floating Rate Fund Class Y                          | 00141G831 | OOSYX  |                |      |            | 0.87000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Loan Fund Class A                                   | 46131G109 | VSLAX  | 3.25           |      | .25        | 3.05000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Loan Fund Class IB                                  | 46131G406 | XPRTX  |                |      |            | 2.80000             |                | SELL ONLY         |
| Invesco     | Invesco Senior Loan Fund Class IC                                  | 46131G505 | XSLCX  |                |      | .15        | 2.95000             |                | SELL ONLY         |
| Invesco     | Invesco Short Duration High Yield Municipal Fund Class C           | 00141A313 | ISHCX  |                | 1.00 | 1.00       | 1.64000             |                | SELL ONLY         |
| Invesco     | Invesco Short Duration High Yield Municipal Fund Class R6          | 00141G203 | ISHSX  |                |      |            | 0.57000             |                | SELL ONLY         |
| Invesco     | Invesco Short Duration High Yield Municipal Fund Class Y           | 00141A297 | ISHYX  |                |      |            | 0.64000             |                | SELL ONLY         |
| Invesco     | Invesco Short Duration Inflation Protected Fund Class A            | 00142C649 | LMTAX  | 2.50           |      | .25        | 0.66000             |                | BUY,SELL          |
| Invesco     | Invesco Short Duration Inflation Protected Fund Class A2           | 00142C300 | SHTIX  | 1.00           |      | .15        | 0.56000             |                | SELL ONLY         |
| Invesco     | Invesco Short Duration Inflation Protected Fund Class R6           | 001421551 | SDPSX  |                |      |            | 0.32000             |                | SELL ONLY         |
| Invesco     | Invesco Short Duration Inflation Protected Fund Class Y            | 00142C177 | LMTYX  |                |      |            | 0.41000             |                | SELL ONLY         |
| Invesco     | Invesco Short Term Bond Fund Class A                               | 00142C433 | STBAX  | 2.50           |      | .15        | 0.65000             |                | BUY,SELL          |
| Invesco     | Invesco Short Term Bond Fund Class C                               | 00142C672 | STBCX  |                | 0.50 | .65        | 1.15000             |                | SELL ONLY         |
| Invesco     | Invesco Short Term Bond Fund Class R                               | 00142C425 | STBRX  |                |      | .50        | 1.00000             |                | SELL ONLY         |
| Invesco     | Invesco Short Term Bond Fund Class R6                              | 001421577 | ISTFX  |                |      |            | 0.40000             |                | SELL ONLY         |

| Fund Family | Fund Name                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco Short Term Bond Fund Class Y         | 00142C136 | STBYX  |                |      |            | 0.50000             |                | SELL ONLY         |
| Invesco     | Invesco Short Term Municipal Fund Class A    | 00141G419 | ORSTX  |                |      | .25        | 0.76000             |                | SELL ONLY         |
| Invesco     | Invesco Short Term Municipal Fund Class R6   | 00141G377 | STMUX  |                |      |            | 0.43000             |                | SELL ONLY         |
| Invesco     | Invesco Short Term Municipal Fund Class Y    | 00141G385 | ORSYX  |                |      |            | 0.51000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Equity Fund Class A        | 008879496 | SMEAX  | 5.50           |      | .25        | 1.25000             |                | BUY,SELL          |
| Invesco     | Invesco Small Cap Equity Fund Class C        | 008879470 | SMECX  |                | 1.00 | 1.00       | 2.00000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Equity Fund Class R        | 00141L509 | SMERX  |                |      | .50        | 1.50000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Equity Fund Class R6       | 00141L723 | SMEFX  |                |      |            | 0.81000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Equity Fund Class Y        | 00141L756 | SMEYX  |                |      |            | 1.00000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Growth Fund Class A        | 00141M770 | GTSAX  | 5.50           |      | .25        | 1.16000             |                | BUY,SELL          |
| Invesco     | Invesco Small Cap Growth Fund Class C        | 00141M655 | GTSDX  |                | 1.00 | .92        | 1.83000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Growth Fund Class R        | 00141M580 | GTSRX  |                |      | .50        | 1.41000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Growth Fund Class R6       | 00888W478 | GTSFX  |                |      |            | 0.75000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Growth Fund Class Y        | 00888U464 | GTSYX  |                |      |            | 0.91000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Growth Fund Investor Class | 00141M135 | GTSIX  |                |      | .22        | 1.13000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Value Fund Class A         | 00143M539 | VSCAX  | 5.50           |      | .25        | 1.10000             |                | BUY,SELL          |
| Invesco     | Invesco Small Cap Value Fund Class C         | 00143M513 | VSMCX  |                | 1.00 | 1.00       | 1.85000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Value Fund Class R         | 00143N545 | VSRAX  |                |      | .50        | 1.35000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Value Fund Class R6        | 00143M315 | SMVSX  |                |      |            | 0.72000             |                | SELL ONLY         |
| Invesco     | Invesco Small Cap Value Fund Class Y         | 00143M497 | VSMIX  |                |      |            | 0.85000             |                | SELL ONLY         |

| Fund Family | Fund Name                                      | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco     | Invesco SteelPath MLP Alpha Fund Class A       | 00143K541 | MLPAX  | 5.50           |      | .25        | 6.63000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Fund Class C       | 00143K533 | MLPGX  |                | 1.00 | 1.00       | 7.38000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Fund Class R       | 00143K517 | SPMGX  |                |      | .50        | 6.88000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Fund Class R6      | 00143K483 | OSPAX  |                |      |            | 6.29000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Fund Class Y       | 00143K525 | MLPOX  |                |      |            | 6.38000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Plus Fund Class A  | 00143K475 | MLPLX  | 5.50           |      | .25        | 17.25000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Plus Fund Class C  | 00143K467 | MLPMX  |                | 1.00 | 1.00       | 18.00000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Plus Fund Class R  | 00143K442 | SPMJX  |                |      | .50        | 17.50000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Plus Fund Class R6 | 00143K426 | OSPPX  |                |      |            | 16.93000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Alpha Plus Fund Class Y  | 00143K459 | MLPNX  |                |      |            | 17.00000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Income Fund Class A      | 00143K343 | MLPDX  | 5.50           |      | .25        | 9.02000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Income Fund Class C      | 00143K335 | MLPRX  |                | 1.00 | 1.00       | 9.77000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Income Fund Class R      | 00143K319 | SPNNX  |                |      | .50        | 9.27000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Income Fund Class R6     | 00143K285 | OSPMX  |                |      |            | 8.68000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Income Fund Class Y      | 00143K327 | MLPZX  |                |      |            | 8.77000             |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Select 40 Fund Class A   | 00143K277 | MLPFX  | 5.50           |      | .25        | 10.29000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Select 40 Fund Class C   | 00143K269 | MLPEX  |                | 1.00 | 1.00       | 11.04000            |                | SELL ONLY         |
| Invesco     | Invesco SteelPath MLP Select 40 Fund Class R   | 00143K244 | SPMWX  |                |      | .50        | 10.54000            |                | SELL ONLY         |

| Fund Family     | Fund Name                                                            | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|----------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Invesco         | Invesco SteelPath MLP Select 40 Fund Class R6                        | 00143K228 | OSPSX  |                |      |            | 9.93000             |                | SELL ONLY         |
| Invesco         | Invesco SteelPath MLP Select 40 Fund Class Y                         | 00143K251 | MLPTX  |                |      |            | 10.04000            |                | SELL ONLY         |
| Invesco         | Invesco Summit Fund Class A                                          | 001413160 | ASMMX  | 5.50           |      | .25        | 0.98000             |                | BUY,SELL          |
| Invesco         | Invesco Summit Fund Class C                                          | 001413145 | CSMMX  |                | 1.00 | 1.00       | 1.73000             |                | SELL ONLY         |
| Invesco         | Invesco Summit Fund Class R                                          | 00141B527 | SMMRX  |                |      | .50        | 1.23000             |                | SELL ONLY         |
| Invesco         | Invesco Summit Fund Class R6                                         | 00141B790 | SMISX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Invesco         | Invesco Summit Fund Class Y                                          | 00141B709 | ASMYX  |                |      |            | 0.73000             |                | SELL ONLY         |
| Invesco         | Invesco Technology Fund Class A                                      | 00142F642 | ITYAX  | 5.50           |      | .25        | 1.02000             |                | BUY,SELL          |
| Invesco         | Invesco Technology Fund Class C                                      | 00142F626 | ITHCX  |                | 1.00 | .99        | 1.76000             |                | SELL ONLY         |
| Invesco         | Invesco Technology Fund Class R                                      | 00143N529 | ITYRX  |                |      | .50        | 1.27000             |                | SELL ONLY         |
| Invesco         | Invesco Technology Fund Class R6                                     | 00143N859 | FTPSX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco         | Invesco Technology Fund Class Y                                      | 00142F428 | ITYYX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Invesco         | Invesco Technology Fund Investor Class                               | 00142F659 | FTCHX  |                |      | .14        | 0.91000             |                | SELL ONLY         |
| Invesco         | Invesco Value Opportunities Fund Class A                             | 00143M398 | VVOAX  | 5.50           |      | .25        | 1.02000             |                | BUY,SELL          |
| Invesco         | Invesco Value Opportunities Fund Class C                             | 00143M372 | VVOCX  |                | 1.00 | 1.00       | 1.77000             |                | SELL ONLY         |
| Invesco         | Invesco Value Opportunities Fund Class R                             | 00142F139 | VVORX  |                |      | .50        | 1.27000             |                | SELL ONLY         |
| Invesco         | Invesco Value Opportunities Fund Class R6                            | 00143N834 | VVOSX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Invesco         | Invesco Value Opportunities Fund Class Y                             | 00143M364 | VVOIX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - A Shares | 47103D462 | JUCAX  | 4.75           |      | .25        | 1.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - C Shares | 47103D454 | JUCCX  |                | 1.00 | 1.00       | 2.57000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - I Shares | 47103D439 | JUCIX  |                |      |            | 1.52000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - N Shares | 47103D421 | JUCNX  |                |      |            | 1.98000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                            | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|----------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - R Shares | 47103D298 | JUCRX  |                |      | .50        | 2.99000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - S Shares | 47103D413 | JUCSX  |                |      | .25        | 2.79000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Absolute Return Income Opportunities Fund - T Shares | 47103D397 | JUCTX  |                |      |            | 1.70000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Adaptive Risk Managed US Equity Fund - A Shares      | 47103A732 | JRSAX  | 5.75           |      | .25        | 0.90000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Adaptive Risk Managed US Equity Fund - C Shares      | 47103A724 | JRSCX  |                | 1.00 | 1.00       | 1.69000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Adaptive Risk Managed US Equity Fund - I Shares      | 47103A716 | JRSIX  |                |      |            | 0.65000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Adaptive Risk Managed US Equity Fund - N Shares      | 47103E122 | JRSNX  |                |      |            | 0.58000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Adaptive Risk Managed US Equity Fund - S Shares      | 47103A690 | JRSSX  |                |      | .25        | 1.09000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Adaptive Risk Managed US Equity Fund - T Shares      | 47103A682 | JRSTX  |                |      |            | 0.82000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Balanced Fund Class A                                | 47103C506 | JDBAX  | 5.75           |      | .25        | 0.88000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Balanced Fund Class C                                | 47103C605 | JABCX  |                | 1.00 | 1.00       | 1.63000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Balanced Fund Class I                                | 47103C704 | JBALX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Balanced Fund Class N                                | 47103D108 | JABNX  |                |      |            | 0.57000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Balanced Fund Class R                                | 47103C803 | JDBRX  |                |      | .50        | 1.31000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Balanced Fund Class S                                | 47103C886 | JABRX  |                |      | .25        | 1.07000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Balanced Fund Class T                                | 471023879 | JABAX  |                |      |            | 0.82000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Contrarian Fund Class A                              | 47103C878 | JCNAX  | 5.75           |      | .25        | 0.89000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Contrarian Fund Class C                              | 47103C860 | JCNCX  |                | 1.00 | 1.00       | 1.62000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                         | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Contrarian Fund Class I           | 47103C852 | JCONX  |                |      |            | 0.62000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Contrarian Fund Class N           | 47103D207 | JCNNX  |                |      |            | 0.51000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Contrarian Fund Class R           | 47103C845 | JCNRX  |                |      | .50        | 1.41000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Contrarian Fund Class S           | 47103C837 | JCNIX  |                |      | .25        | 1.21000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Contrarian Fund Class T           | 471023655 | JSVAX  |                |      |            | 0.76000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Developed World Bond Fund Class A | 47103Y862 | HFAAX  | 4.75           |      | .25        | 1.14000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Developed World Bond Fund Class C | 47103Y854 | HFACX  |                | 1.00 | 1.00       | 1.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Developed World Bond Fund Class I | 47103Y839 | HFAIX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Developed World Bond Fund Class N | 47103Y821 | HFARX  |                |      |            | 0.65000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Developed World Bond Fund Class S | 47103Y789 | HFASX  |                |      | .25        | 4.07000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Developed World Bond Fund Class T | 47103Y771 | HFATX  |                |      |            | 0.92000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Enterprise Fund Class A           | 47103C829 | JDMAX  | 5.75           |      | .25        | 1.14000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Enterprise Fund Class C           | 47103C811 | JGRCX  |                | 1.00 | 1.00       | 1.74000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Enterprise Fund Class I           | 47103C795 | JMGRX  |                |      |            | 0.76000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Enterprise Fund Class N           | 47103D405 | JDMNX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Enterprise Fund Class R           | 47103C787 | JDMRX  |                |      | .50        | 1.42000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Enterprise Fund Class S           | 47103C779 | JGRTX  |                |      | .25        | 1.16000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Enterprise Fund Class T           | 471023804 | JAENX  |                |      |            | 0.91000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                     | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson European Focus Fund Class A                   | 47103X641 | HFEAX  | 5.75           |      | .25        | 1.43000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson European Focus Fund Class C                   | 47103X633 | HFECX  |                | 1.00 | 1.00       | 2.25000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson European Focus Fund Class I                   | 47103X617 | HFEIX  |                |      |            | 1.18000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson European Focus Fund Class N                   | 47103X591 | HFERX  |                |      |            | 1.11000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson European Focus Fund Class S                   | 47103X567 | HFESX  |                |      | .25        | 2.19000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson European Focus Fund Class T                   | 47103X559 | HFETX  |                |      |            | 1.37000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class A                    | 47103C761 | JDFAX  | 4.75           |      | .25        | 0.88000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class C                    | 47103C753 | JFICX  |                | 1.00 | 1.00       | 1.54000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class I                    | 47103C746 | JFLEX  |                |      |            | 0.54000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class N                    | 47103D504 | JDFNX  |                |      |            | 0.45000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class R                    | 47103C738 | JDFRX  |                |      | .50        | 1.22000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class S                    | 47103C720 | JADFX  |                |      | .25        | 0.97000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Flexible Bond Fund Class T                    | 471023606 | JAFIX  |                |      |            | 0.70000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class A                            | 47103A674 | JDCAX  | 5.75           |      | .25        | 0.84000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class C                            | 47103A666 | JACCX  |                | 1.00 | 1.00       | 1.59000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class I                            | 47103A658 | JCAPX  |                |      |            | 0.59000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class N                            | 47103D603 | JFRNX  |                |      |            | 0.52000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class R                            | 47103A641 | JDCRX  |                |      | .50        | 1.27000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class S                            | 47103A633 | JARTX  |                |      | .25        | 1.01000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Forty Fund Class T                            | 47103A625 | JACTX  |                |      |            | 0.76000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Conservative Class A | 47103A104 | JCAAX  | 5.75           |      | .25        | 0.99000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Global Allocation Fund - Conservative Class C | 47103A203 | JCACX  |                | 1.00 | 1.00       | 1.74000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                     | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Global Allocation Fund - Conservative Class I | 47103A302 | JCAIX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Conservative Class S | 47103A401 | JCASX  |                |      | .25        | 1.16000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Conservative Class T | 471023499 | JSPCX  |                |      |            | 0.90000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Growth Class A       | 47103A500 | JGCAX  | 5.75           |      | .25        | 0.97000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Growth Class C       | 47103A609 | JGCCX  |                | 1.00 | 1.00       | 1.72000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Growth Class I       | 47103A708 | JGCIX  |                |      |            | 0.74000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Growth Class S       | 47103A807 | JGCSX  |                |      | .25        | 1.14000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Growth Class T       | 471023523 | JSPGX  |                |      |            | 0.89000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Moderate Class A     | 47103A880 | JMOAX  | 5.75           |      | .25        | 0.94000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Global Allocation Fund - Moderate Class C     | 47103A872 | JMOCX  |                | 1.00 | 1.00       | 1.72000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Moderate Class I     | 47103A864 | JMOIX  |                |      |            | 0.74000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Moderate Class S     | 47103A856 | JMOSX  |                |      | .25        | 1.14000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Allocation Fund - Moderate Class T     | 471023515 | JSPMX  |                |      |            | 0.89000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Equity Income Fund Class A             | 47103X534 | HFQAX  | 5.75           |      | .25        | 1.18000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Global Equity Income Fund Class C             | 47103X526 | HFQCX  |                | 1.00 | 1.00       | 1.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Equity Income Fund Class I             | 47103X492 | HFQIX  |                |      |            | 0.79000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Equity Income Fund Class N             | 47103X484 | HFQRX  |                |      |            | 0.70000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Equity Income Fund Class S             | 47103X450 | HFQSX  |                |      | .25        | 1.21000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                         | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Global Equity Income Fund Class T | 47103X443 | HFQTX  |                |      |            | 0.95000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Life Sciences Fund Class A | 471023481 | JFNAX  | 5.75           |      | .25        | 0.98000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Life Sciences Fund Class C | 471023473 | JFNCX  |                | 1.00 | 1.00       | 1.76000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Life Sciences Fund Class I | 471023465 | JFNIX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Life Sciences Fund Class N | 47103Y631 | JFNNX  |                |      |            | 0.68000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Life Sciences Fund Class S | 471023457 | JFNSX  |                |      | .25        | 1.18000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Life Sciences Fund Class T | 471023671 | JAGLX  |                |      |            | 0.92000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Real Estate Fund Class A   | 47103A617 | JERAX  | 5.75           |      | .25        | 0.99000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Real Estate Fund Class C   | 47103A591 | JERCX  |                | 1.00 | 1.00       | 1.84000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Real Estate Fund Class I   | 47103A583 | JERIX  |                |      |            | 0.73000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Real Estate Fund Class N   | 47103Y623 | JERNX  |                |      |            | 0.62000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Real Estate Fund Class S   | 47103A575 | JERSX  |                |      | .25        | 1.16000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Real Estate Fund Class T   | 47103A567 | JERTX  |                |      |            | 0.87000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Research Fund Class A      | 47103C324 | JDWAX  | 5.75           |      | .25        | 0.97000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Research Fund Class C      | 47103C316 | JWWC X |                | 1.00 | 1.00       | 1.81000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Research Fund Class I      | 47103C290 | JWWF X |                |      |            | 0.70000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Research Fund Class N      | 47103D777 | JDWNX  |                |      |            | 0.62000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Research Fund Class R      | 47103C282 | JDWRX  |                |      | .50        | 1.40000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                     | CUSIP     | Ticker    | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------------------|-----------|-----------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Global Research Fund Class S                  | 47103C274 | JWGRX     |                |      | .25        | 1.13000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Research Fund Class T                  | 471023309 | JAWW<br>X |                |      |            | 0.87000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class A                    | 47103C548 | JORAX     | 5.75           |      | .25        | 1.02000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class C                    | 47103C530 | JORCX     |                | 1.00 | 1.00       | 2.21000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class I                    | 47103C522 | JORFX     |                |      |            | 0.80000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class N                    | 47103D876 | JSLNX     |                |      |            | 0.68000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class R                    | 47103C514 | JORRX     |                |      | .50        | 2.98000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class S                    | 47103C498 | JORIX     |                |      | .25        | 2.75000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Select Fund Class T                    | 471023648 | JORNX     |                |      |            | 0.93000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Sustainable Equity Fund Class A        | 47103Y615 | JEASX     | 5.75           |      | .25        | 2.99000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Sustainable Equity Fund Class C        | 47103Y599 | JECTX     |                | 1.00 | 1.00       | 5.14000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Sustainable Equity Fund Class I        | 47103Y573 | JEUIX     |                |      |            | 1.89000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Sustainable Equity Fund Class N        | 47103Y565 | JETNX     |                |      |            | 1.82000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Sustainable Equity Fund Class S        | 47103Y557 | JESSX     |                |      | .25        | 6.21000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Sustainable Equity Fund Class T        | 47103Y540 | JETTX     |                |      |            | 2.38000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Technology and Innovation Fund Class A | 471023358 | JATAX     | 5.75           |      | .25        | 0.98000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Technology and Innovation Fund Class C | 471023341 | JAGCX     |                | 1.00 | 1.00       | 1.74000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Technology and Innovation Fund Class I | 471023333 | JATIX     |                |      |            | 0.75000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                     | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Global Technology and Innovation Fund Class N | 47103D181 | JATNX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Technology and Innovation Fund Class S | 471023325 | JATSX  |                |      | .25        | 1.17000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Global Technology and Innovation Fund Class T | 471023663 | JAGTX  |                |      |            | 0.91000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class A                | 47103C654 | JDNAX  | 5.75           |      | .25        | 0.99000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class C                | 47103C647 | JGICX  |                | 1.00 | 1.00       | 1.69000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class I                | 47103C639 | JGINX  |                |      |            | 0.70000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class N                | 47103D868 | JDNNX  |                |      |            | 0.62000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class R                | 47103C621 | JDNRX  |                |      | .50        | 1.42000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class S                | 47103C613 | JADGX  |                |      | .25        | 1.14000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Growth And Income Fund Class T                | 471023200 | JAGIX  |                |      |            | 0.87000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class A                       | 47103C597 | JHYAX  | 4.75           |      | .25        | 0.99000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class C                       | 47103C589 | JDHCX  |                | 1.00 | 1.00       | 1.86000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class I                       | 47103C571 | JHYFX  |                |      |            | 0.78000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class N                       | 47103D850 | JHYNX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class R                       | 47103C563 | JHYRX  |                |      | .50        | 1.61000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class S                       | 47103C555 | JDHYX  |                |      | .25        | 1.57000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson High-Yield Fund Class T                       | 471023762 | JAHYX  |                |      |            | 0.93000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson International Dividend Fund Class A           | 47103X864 | HDAVX  | 5.75           |      | .25        | 1.30000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                           | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|-----------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson International Dividend Fund Class C | 47103X856 | HDCVX  |                | 1.00 | 1.00       | 2.10000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson International Dividend Fund Class I | 47103X831 | HDIVX  |                |      |            | 1.08000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson International Dividend Fund Class N | 47103X823 | HDRVX  |                |      |            | 0.99000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson International Dividend Fund Class S | 47103X781 | HDQVX  |                |      | .25        | 3.03000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson International Dividend Fund Class T | 47103X773 | HDTVX  |                |      |            | 1.25000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class A          | 47103C266 | JDPAX  | 5.75           |      | .25        | 1.20000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class C          | 47103C258 | JMVCX  |                | 1.00 | 1.00       | 1.94000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class I          | 47103C241 | JMVAX  |                |      |            | 0.91000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class N          | 47103D744 | JDPNX  |                |      |            | 0.81000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class R          | 47103C233 | JDPRX  |                |      | .50        | 1.57000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class S          | 47103C225 | JMVIX  |                |      | .25        | 1.32000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Mid Cap Value Fund Class T          | 471023598 | JMCVX  |                |      |            | 1.06000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Multi-Sector Income Fund Class A    | 47103D546 | JMUAX  | 4.75           |      | .25        | 0.90000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Multi-Sector Income Fund Class C    | 47103D538 | JMUCX  |                | 1.00 | 1.00       | 1.67000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Multi-Sector Income Fund Class I    | 47103D512 | JMUIX  |                |      |            | 0.69000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Multi-Sector Income Fund Class N    | 47103D496 | JMTNX  |                |      |            | 0.60000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Multi-Sector Income Fund Class S    | 47103D488 | JMUSX  |                |      | .25        | 1.16000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Multi-Sector Income Fund Class T    | 47103D470 | JMUTX  |                |      |            | 0.84000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                 | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|-----------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Overseas Fund Class A                     | 47103C480 | JDIAx  | 5.75           |      | .25        | 1.17000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Overseas Fund Class C                     | 47103C472 | JIGCX  |                | 1.00 | 1.00       | 1.94000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Overseas Fund Class I                     | 47103C464 | JIGFX  |                |      |            | 0.90000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Overseas Fund Class N                     | 47103D835 | JDINX  |                |      |            | 0.80000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Overseas Fund Class R                     | 47103C456 | JDIRX  |                |      | .50        | 1.56000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Overseas Fund Class S                     | 47103C449 | JIGRX  |                |      | .25        | 1.30000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Overseas Fund Class T                     | 471023846 | JAOSX  |                |      |            | 1.04000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Research Fund Class A                     | 471023317 | JRAAX  | 5.75           |      | .25        | 0.86000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Research Fund Class C                     | 471023291 | JRACX  |                | 1.00 | 1.00       | 1.66000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Research Fund Class I                     | 471023283 | JRAIX  |                |      |            | 0.64000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Research Fund Class N                     | 47103D827 | JRANX  |                |      |            | 0.55000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Research Fund Class R                     | 47103D173 | JRARX  |                |      | .50        | 1.38000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Research Fund Class S                     | 471023275 | JRASX  |                |      | .25        | 1.06000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Research Fund Class T                     | 471023853 | JAMRX  |                |      |            | 0.79000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Short Duration Flexible Bond Fund Class A | 471023267 | JSHAX  | 2.50           |      | .25        | 0.86000             |                | BUY,SELL          |
| Janus Henderson | Janus Henderson Short Duration Flexible Bond Fund Class C | 471023259 | JSHCX  |                | 1.00 | 1.00       | 1.64000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Short Duration Flexible Bond Fund Class I | 471023242 | JSHIX  |                |      |            | 0.68000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Short Duration Flexible Bond Fund Class N | 47103D819 | JSHNX  |                |      |            | 0.56000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                                 | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|-----------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Short Duration Flexible Bond Fund Class S | 471023234 | JSHSX  |                |      | .25        | 1.93000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Short Duration Flexible Bond Fund Class T | 471023887 | JASBX  |                |      |            | 0.78000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class A              | 47103C217 | JDSAX  | 5.75           |      | .25        | 1.46000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class C              | 47103C191 | JCSCX  |                | 1.00 | 1.00       | 2.08000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class I              | 47103C183 | JSCOX  |                |      |            | 1.12000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class L              | 471023564 | JSIVX  |                |      |            | 1.18000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class N              | 47103D728 | JDSNX  |                |      |            | 0.98000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class R              | 47103C175 | JDSRX  |                |      | .50        | 1.73000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class S              | 47103C167 | JISCX  |                |      | .25        | 1.49000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small Cap Value Fund Class T              | 471023572 | JSCVX  |                |      |            | 1.23000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small-Mid Cap Value Fund Class A          | 47103E239 | JVSAX  | 5.75           |      | .25        | 1.63000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small-Mid Cap Value Fund Class C          | 47103E221 | JVSCX  |                | 1.00 | 1.00       | 2.79000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small-Mid Cap Value Fund Class I          | 47103E197 | JVSIX  |                |      |            | 1.29000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small-Mid Cap Value Fund Class N          | 47103D736 | JVSNX  |                |      |            | 1.16000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small-Mid Cap Value Fund Class S          | 47103E189 | JSVSX  |                |      | .25        | 3.11000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Small-Mid Cap Value Fund Class T          | 47103E171 | JSVTX  |                |      |            | 1.44000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Triton Fund Class A                       | 47103C373 | JGMAX  | 5.75           |      | .25        | 1.33000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Triton Fund Class C                       | 47103C365 | JGMCX  |                | 1.00 | 1.00       | 1.76000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Triton Fund Class I                       | 47103C357 | JSMGX  |                |      |            | 0.77000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Triton Fund Class N                       | 47103D793 | JGMNX  |                |      |            | 0.66000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Triton Fund Class R                       | 47103C340 | JGMRX  |                |      | .50        | 1.41000             |                | SELL ONLY         |

| Fund Family     | Fund Name                                         | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-----------------|---------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| Janus Henderson | Janus Henderson Triton Fund Class S               | 47103C332 | JGMIX  |                |      | .25        | 1.16000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Triton Fund Class T               | 471023549 | JATTX  |                |      |            | 0.91000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson U.S. Dividend Income Fund Class I | 47103Y490 | JIDVX  |                |      |            | 2.33000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson U.S. Dividend Income Fund Class N | 47103Y482 | JNDVX  |                |      |            | 1.47000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Venture Fund Class A              | 47103E429 | JVTAX  | 5.75           |      | .25        | 1.01000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Venture Fund Class C              | 47103E411 | JVTCX  |                | 1.00 | 1.00       | 2.10000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Venture Fund Class I              | 47103E395 | JVTIX  |                |      |            | 0.76000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Venture Fund Class N              | 47103D785 | JVTNX  |                |      |            | 0.67000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Venture Fund Class S              | 47103E387 | JVTSX  |                |      | .25        | 1.17000             |                | SELL ONLY         |
| Janus Henderson | Janus Henderson Venture Fund Class T              | 471023507 | JAVTX  |                |      |            | 0.91000             |                | SELL ONLY         |
| Jensen          | Jensen Global Quality Growth Fund I Shares        | 89834G844 | JGQIX  |                |      |            | 1.24000             |                | SELL ONLY         |
| Jensen          | Jensen Global Quality Growth Fund J Shares        | 89834G851 | JGQSX  |                |      | .25        | 1.47000             |                | SELL ONLY         |
| Jensen          | Jensen Global Quality Growth Fund Y Shares        | 89834G869 | JGQYX  |                |      |            | 1.22000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Growth Fund Class I                | 476313309 | JENIX  |                |      |            | 0.60000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Growth Fund Class J                | 476313101 | JENSX  |                |      | .25        | 0.82000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Growth Fund Class Y                | 476313408 | JENYX  |                |      |            | 0.53000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Growth Fund Class R                | 476313200 | JENRX  |                |      | .50        | 1.26000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Mid Cap Fund Class I               | 89833W519 | JNVIX  |                |      |            | 0.88000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Mid Cap Fund Class J               | 89833W527 | JNVSX  |                |      | .25        | 1.11000             |                | SELL ONLY         |
| Jensen          | Jensen Quality Mid Cap Fund Class Y               | 89834G877 | JNVYX  |                |      |            | 0.86000             |                | SELL ONLY         |
| John Hancock    | JHancock Diversified Macro Fund Class A           | 47803N539 | JDJAX  | 5.00           |      | .25        | 1.62000             |                | SELL ONLY         |
| John Hancock    | JHancock Diversified Macro Fund Class C           | 47803N521 | JDJCX  |                | 1.00 | 1.00       | 2.37000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                              | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|--------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | JHancock Diversified Macro Fund Class I                | 47803N513 | JDJIX  |                |      | .00        | 1.37000             |                | SELL ONLY         |
| John Hancock | JHancock Diversified Macro Fund Class R6               | 47803N497 | JDJRX  |                |      | .00        | 1.25000             |                | SELL ONLY         |
| John Hancock | JHancock Fundamental Equity Income Fund Class A        | 47803N257 | JHCMX  | 5.00           |      | .25        | 1.77000             |                | SELL ONLY         |
| John Hancock | JHancock Fundamental Equity Income Fund Class C        | 47803N240 | JHCOX  |                | 1.00 | 1.00       | 2.52000             |                | SELL ONLY         |
| John Hancock | JHancock Fundamental Equity Income Fund Class I        | 47803N232 | JHFEX  |                |      | .00        | 1.52000             |                | SELL ONLY         |
| John Hancock | JHancock Fundamental Equity Income Fund Class R6       | 47803N224 | JHCQX  |                |      | .00        | 1.41000             |                | SELL ONLY         |
| John Hancock | JHancock Infrastructure Fund Class A                   | 47803P443 | JEEBX  | 5.00           |      | .30        | 1.27000             |                | BUY,SELL          |
| John Hancock | JHancock Infrastructure Fund Class C                   | 47803P393 | JEEFX  |                | 1.00 | 1.00       | 1.97000             |                | SELL ONLY         |
| John Hancock | JHancock Infrastructure Fund Class I                   | 47803P435 | JEEIX  |                |      | .00        | 0.97000             |                | SELL ONLY         |
| John Hancock | JHancock Infrastructure Fund Class R6                  | 47803P427 | JEEDX  |                |      | .00        | 0.86000             |                | SELL ONLY         |
| John Hancock | JHancock Multi-Asset Absolute Return Fund Class A      | 47804M886 | JHAAX  | 5.00           |      | .30        | 1.62000             |                | SELL ONLY         |
| John Hancock | JHancock Multi-Asset Absolute Return Fund Class C      | 47804M217 | JHACX  |                | 1.00 | 1.00       | 2.32000             |                | SELL ONLY         |
| John Hancock | JHancock Multi-Asset Absolute Return Fund Class I      | 47804M878 | JHAIX  |                |      | .00        | 1.32000             |                | SELL ONLY         |
| John Hancock | JHancock Multi-Asset Absolute Return Fund Class R2     | 47804M712 | JHARX  |                |      | .25        | 1.71000             |                | SELL ONLY         |
| John Hancock | JHancock Multi-Asset Absolute Return Fund Class R6     | 47804M696 | JHASX  |                |      | .00        | 1.21000             |                | SELL ONLY         |
| John Hancock | JHancock Multimanager 2065 Lifetime Portfolio Class A  | 47805T336 | JAAWX  | 5.00           |      | .30        | 1.55000             |                | BUY,SELL          |
| John Hancock | JHancock Multimanager 2065 Lifetime Portfolio Class I  | 47805T328 | JABSX  |                |      | .00        | 1.25000             |                | SELL ONLY         |
| John Hancock | JHancock Multimanager 2065 Lifetime Portfolio Class R2 | 47805T310 | JAAZX  |                |      | .25        | 1.64000             |                | SELL ONLY         |
| John Hancock | JHancock Multimanager 2065 Lifetime Portfolio Class R6 | 47805T278 | JABEX  |                |      | .00        | 1.14000             |                | SELL ONLY         |
| John Hancock | JHancock Real Estate Securities Fund Class A           | 47805T252 | JYEBX  | 5.00           |      | .25        | 1.17000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                            | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | JHancock Real Estate Securities Fund Class C         | 47805T245 | JABFX  |                | 1.00 | 1.00       | 1.93000             |                | SELL ONLY         |
| John Hancock | JHancock Real Estate Securities Fund Class I         | 47805T237 | JABGX  |                |      | .00        | 0.92000             |                | SELL ONLY         |
| John Hancock | JHancock Real Estate Securities Fund Class R6        | 47805T229 | JABIX  |                |      | .00        | 0.81000             |                | SELL ONLY         |
| John Hancock | JHancock Short Duration Bond Fund Class A            | 478030836 | JSNAX  | 2.25           |      | .25        | 0.64000             |                | BUY,SELL          |
| John Hancock | JHancock Short Duration Bond Fund Class C            | 478030828 | JSNCX  |                | 1.00 | 1.00       | 1.39000             |                | SELL ONLY         |
| John Hancock | JHancock Short Duration Bond Fund Class I            | 478030810 | JSNIX  |                |      | .00        | 0.39000             |                | SELL ONLY         |
| John Hancock | JHancock Short Duration Bond Fund Class R6           | 478030794 | JSNRX  |                |      | .00        | 0.28000             |                | SELL ONLY         |
| John Hancock | John Hancock 2070 Lifetime Blend Portfolio Class A   | 47806K128 | JHOAX  | 5.00           |      | .30        | 2.09000             |                | SELL ONLY         |
| John Hancock | John Hancock 2070 Lifetime Blend Portfolio Class R6  | 47806K144 | JHODX  |                |      | .00        | 1.68000             |                | SELL ONLY         |
| John Hancock | John Hancock Balanced Fund Class A                   | 47803P104 | SVBAX  | 4.50           |      | .30        | 1.04000             |                | BUY,SELL          |
| John Hancock | John Hancock Balanced Fund Class C                   | 47803P708 | SVBCX  |                | 1.00 | 1.00       | 1.74000             |                | SELL ONLY         |
| John Hancock | John Hancock Balanced Fund Class I                   | 47803P807 | SVBIX  |                |      | .00        | 0.74000             |                | SELL ONLY         |
| John Hancock | John Hancock Balanced Fund Class R2                  | 47803P815 | JBATX  |                |      | .25        | 1.13000             |                | SELL ONLY         |
| John Hancock | John Hancock Balanced Fund Class R6                  | 47803P658 | JBAWX  |                |      | .00        | 0.63000             |                | SELL ONLY         |
| John Hancock | John Hancock Bond Fund Class A                       | 410223101 | JHNBX  | 4.00           |      | .30        | 0.77000             |                | BUY,SELL          |
| John Hancock | John Hancock Bond Fund Class C                       | 410223200 | JHCBX  |                | 1.00 | 1.00       | 1.47000             |                | SELL ONLY         |
| John Hancock | John Hancock Bond Fund Class I                       | 410223408 | JHBIX  |                |      | .00        | 0.47000             |                | SELL ONLY         |
| John Hancock | John Hancock Bond Fund Class R2                      | 410223705 | JHRBX  |                |      | .25        | 0.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Bond Fund Class R6                      | 410223606 | JHBSX  |                |      | .00        | 0.36000             |                | SELL ONLY         |
| John Hancock | John Hancock California Municipal Bond Fund Class C  | 41014R306 | TCCAX  |                | 1.00 | 1.00       | 1.72000             |                | SELL ONLY         |
| John Hancock | John Hancock California Municipal Bond Fund Class I  | 47805M109 | JCAFX  |                |      | .00        | 0.72000             |                | SELL ONLY         |
| John Hancock | John Hancock California Municipal Bond Fund Class R6 | 41014R504 | JCSRX  |                |      | .00        | 0.65000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                      | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|----------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Classic Value Fund Class A                        | 409902780 | PZFBVX | 5.00           |      | .25        | 1.16000             |                | BUY,SELL          |
| John Hancock | John Hancock Classic Value Fund Class C                        | 409902764 | JCVX   |                | 1.00 | 1.00       | 1.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Classic Value Fund Class I                        | 409902756 | JCVIX  |                |      | .00        | 0.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Classic Value Fund Class R2                       | 409902327 | JCVSX  |                |      | .25        | 1.30000             |                | SELL ONLY         |
| John Hancock | John Hancock Classic Value Fund Class R6                       | 409902343 | JCVWX  |                |      | .00        | 0.80000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value Global Long/Short Fund Class A  | 47808C108 | JAKRX  | 5.00           | 1.00 | .25        | 2.16000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value Global Long/Short Fund Class C  | 47808C207 | JAKTX  |                | 1.00 | 1.00       | 2.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value Global Long/Short Fund Class I  | 47808C306 | JAKUX  |                |      | .00        | 1.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value Global Long/Short Fund Class R6 | 47808C405 | JAKVX  |                |      | .00        | 1.79000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value International Fund Class A      | 47803P328 | JDIBX  | 5.00           |      | .25        | 1.12000             |                | BUY,SELL          |
| John Hancock | John Hancock Disciplined Value International Fund Class C      | 47803P310 | JDICX  |                | 1.00 | 1.00       | 1.87000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value International Fund Class I      | 47803P294 | JDVIX  |                |      | .00        | 0.87000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value International Fund Class R2     | 47803P278 | JDISX  |                |      | .25        | 1.25000             |                | SELL ONLY         |
| John Hancock | John Hancock Disciplined Value International Fund Class R6     | 47803P237 | JDIUX  |                |      | .00        | 0.75000             |                | SELL ONLY         |
| John Hancock | John Hancock Emerging Markets Equity Fund Class A              | 47803P211 | JEMQX  | 5.00           |      | .30        | 1.47000             |                | BUY,SELL          |
| John Hancock | John Hancock Emerging Markets Equity Fund Class C              | 47803P195 | JEMZX  |                | 1.00 | 1.00       | 2.17000             |                | SELL ONLY         |
| John Hancock | John Hancock Emerging Markets Equity Fund Class I              | 47803P187 | JEMMX  |                |      | .00        | 1.17000             |                | SELL ONLY         |
| John Hancock | John Hancock Emerging Markets Equity Fund Class R2             | 47803P161 | JEMKX  |                |      | .25        | 1.56000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                 | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|-----------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Emerging Markets Equity Fund Class R6        | 47803P146 | JEMGX  |                |      | .00        | 1.06000             |                | SELL ONLY         |
| John Hancock | John Hancock Financial Industries Fund Class A            | 409905502 | FIDAX  | 5.00           |      | .30        | 1.28000             |                | SELL ONLY         |
| John Hancock | John Hancock Financial Industries Fund Class C            | 409905874 | FIDCX  |                | 1.00 | 1.00       | 1.98000             |                | SELL ONLY         |
| John Hancock | John Hancock Financial Industries Fund Class I            | 409905783 | JFIFX  |                |      | .00        | 0.98000             |                | SELL ONLY         |
| John Hancock | John Hancock Financial Industries Fund Class R6           | 409905759 | JFDRX  |                |      | .00        | 0.87000             |                | SELL ONLY         |
| John Hancock | John Hancock Fundamental Large Cap Core Fund Class A      | 41013P103 | TAGRX  | 5.00           |      | .25        | 1.03000             |                | BUY,SELL          |
| John Hancock | John Hancock Fundamental Large Cap Core Fund Class C      | 41013P301 | JHLVX  |                | 1.00 | 1.00       | 1.78000             |                | SELL ONLY         |
| John Hancock | John Hancock Fundamental Large Cap Core Fund Class I      | 41013P608 | JLVIX  |                |      | .00        | 0.78000             |                | SELL ONLY         |
| John Hancock | John Hancock Fundamental Large Cap Core Fund Class R2     | 47803P583 | JLCYX  |                |      | .25        | 1.17000             |                | SELL ONLY         |
| John Hancock | John Hancock Fundamental Large Cap Core Fund Class R6     | 47803P633 | JLCWX  |                |      | .00        | 0.67000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2010 Lifetime Blend Portfolio Class A  | 47806K102 | JHANX  | 5.00           |      | .30        | 1.17000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2010 Lifetime Blend Portfolio Class R6 | 47804U862 | JRLHX  |                |      | .00        | 0.76000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2015 Lifetime Blend Portfolio Class A  | 47806K201 | JHAOX  | 5.00           |      | .30        | 1.27000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2015 Lifetime Blend Portfolio Class R6 | 47804U821 | JRLLX  |                |      | .00        | 0.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2020 Lifetime Blend Portfolio Class A  | 47806K300 | JHAPX  | 5.00           |      | .30        | 1.16000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2020 Lifetime Blend Portfolio Class R6 | 47804U771 | JRTAX  |                |      | .00        | 0.75000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2025 Lifetime Blend Portfolio Class A  | 47806K409 | JHAUX  | 5.00           |      | .30        | 1.12000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2025 Lifetime Blend Portfolio Class R6 | 47804U730 | JRTFX  |                |      | .00        | 0.71000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|--------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds 2030 Lifetime Blend Portfolio Class A     | 47806K508 | JHAVX  | 5.00           |      | .30        | 1.10000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2030 Lifetime Blend Portfolio Class R6    | 47804U680 | JRTJX  |                |      | .00        | 0.69000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2035 Lifetime Blend Portfolio Class A     | 47806K607 | JHAYX  | 5.00           |      | .30        | 1.08000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2035 Lifetime Blend Portfolio Class R6    | 47804U649 | JRTNX  |                |      | .00        | 0.67000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2040 Lifetime Blend Portfolio Class A     | 47806K706 | JHBAX  | 5.00           |      | .30        | 1.06000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2040 Lifetime Blend Portfolio Class R6    | 47804U599 | JRTWX  |                |      | .00        | 0.66000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2045 Lifetime Blend Portfolio Class A     | 47806K805 | JHBEX  | 5.00           |      | .30        | 1.06000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2045 Lifetime Blend Portfolio Class R6    | 47804U557 | JRLVX  |                |      | .00        | 0.65000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2050 Lifetime Blend Portfolio Class A     | 47806K888 | JHBFX  | 5.00           |      | .30        | 1.06000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2050 Lifetime Blend Portfolio Class R6    | 47804U516 | JRLZX  |                |      | .00        | 0.65000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2055 Lifetime Blend Portfolio Class A     | 47806K870 | JHBJX  | 5.00           |      | .30        | 1.06000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2055 Lifetime Blend Portfolio Class R6    | 41015K367 | JLKYX  |                |      | .00        | 0.65000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2060 Lifetime Blend Portfolio Class A     | 47806K862 | JHBKX  | 5.00           |      | .30        | 1.08000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2060 Lifetime Blend Portfolio Class R6    | 47805T849 | JIEHX  |                |      | .00        | 0.68000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2065 Lifetime Blend Portfolio Class A     | 47806K854 | JHBLX  | 5.00           |      | .30        | 1.29000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds 2065 Lifetime Blend Portfolio Class R6    | 47805T393 | JAAKX  |                |      | .00        | 0.88000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Alternative Asset Allocation Fund Class A | 47804A189 | JAAAX  | 5.00           |      | .30        | 1.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Alternative Asset Allocation Fund Class C | 47804B187 | JAACX  |                | 1.00 | 1.00       | 2.56000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                     | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|---------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds Alternative Asset Allocation Fund Class I  | 47804A122 | JAAIX  |                |      | .00        | 1.56000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Alternative Asset Allocation Fund Class R2 | 47804M829 | JAAPX  |                |      | .25        | 1.94000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Alternative Asset Allocation Fund Class R6 | 41015K722 | JAARX  |                |      | .00        | 1.44000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Fund Class A             | 47803U673 | JVLAX  | 5.00           |      | .25        | 0.97000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Disciplined Value Fund Class C             | 47803U657 | JVLCX  |                | 1.00 | 1.00       | 1.72000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Fund Class I             | 47803U640 | JVLIX  |                |      | .00        | 0.72000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Fund Class R2            | 47803U350 | JDVPX  |                |      | .25        | 1.11000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Fund Class R6            | 47803U418 | JDVWX  |                |      | .00        | 0.61000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Mid Cap Fund Class A     | 47803W505 | JVMAX  | 5.00           |      | .25        | 1.11000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Disciplined Value Mid Cap Fund Class C     | 47803U392 | JVMCX  |                | 1.00 | 1.00       | 1.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Mid Cap Fund Class I     | 47803W406 | JVMIX  |                |      | .00        | 0.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Mid Cap Fund Class R2    | 47803U343 | JVMSX  |                |      | .25        | 1.25000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Disciplined Value Mid Cap Fund Class R6    | 47803W703 | JVMRX  |                |      | .00        | 0.75000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Emerging Markets Debt Fund Class A         | 47804B708 | JMKAX  | 4.00           |      | .30        | 1.19000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Emerging Markets Debt Fund Class C         | 41015K276 | JMKCX  |                | 1.00 | 1.00       | 1.89000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Emerging Markets Debt Fund Class I         | 47804B807 | JMKIX  |                |      | .00        | 0.89000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Emerging Markets Debt Fund Class R6        | 47805A634 | JEMIX  |                |      | .00        | 0.78000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Floating Rate Income Fund Class A          | 47804A734 | JFIAX  | 2.50           |      | .25        | 1.17000             |                | BUY,SELL          |

| Fund Family  | Fund Name                                                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|------------------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds Floating Rate Income Fund Class C                         | 47804A718 | JFIGX  |                | 1.00 | 1.00       | 1.92000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Floating Rate Income Fund Class I                         | 47804A692 | JFIIX  |                |      | .00        | 0.92000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Floating Rate Income Fund Class R6                        | 41015K680 | JFIRX  |                |      | .00        | 0.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Fundamental All Cap Core Fund Class A                     | 41015K755 | JFCAX  | 5.00           |      | .30        | 1.17000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Fundamental All Cap Core Fund Class C                     | 47805A782 | JFCCX  |                | 1.00 | 1.00       | 1.87000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Fundamental All Cap Core Fund Class I                     | 41015K748 | JFCIX  |                |      | .00        | 0.87000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Fundamental All Cap Core Fund Class R2                    | 47805A626 | JFACX  |                |      | .25        | 1.27000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Fundamental All Cap Core Fund Class R6                    | 47805A592 | JFAIX  |                |      | .00        | 0.77000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Global Equity Fund Class A                                | 47804M134 | JHGEX  | 5.00           |      | .30        | 1.31000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Global Equity Fund Class C                                | 47805A758 | JGECX  |                | 1.00 | 1.00       | 2.01000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Global Equity Fund Class I                                | 47804M126 | JGEFX  |                |      | .00        | 1.01000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Global Equity Fund Class R2                               | 47805A741 | JGERX  |                |      | .25        | 1.40000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Global Equity Fund Class R6                               | 47805A725 | JGEMX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Blue Chip Growth Fund Class A                          | 47805A717 | JBGAX  | 5.00           |      | .30        | 1.17000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Blue Chip Growth Fund Class C                          | 47805A386 | JBGCX  |                | 1.00 | 1.00       | 1.87000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Disciplined Value Emerging Markets Equity Fund Class A | 47804B211 | JEVAX  | 5.00           |      | .30        | 1.48000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Disciplined Value Emerging Markets Equity Fund Class C | 47805A816 | JEVCX  |                | 1.00 | 1.00       | 2.18000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                                     | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|-------------------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds II Disciplined Value Emerging Markets Equity Fund Class I  | 47804B195 | JEVIX  |                |      | .00        | 1.18000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Disciplined Value Emerging Markets Equity Fund Class R6 | 41015K698 | JEVRX  |                |      | .00        | 1.08000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Equity Income Fund Class A                              | 47805A691 | JHEIX  | 5.00           |      | .30        | 1.16000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Equity Income Fund Class C                              | 47805A360 | JHERX  |                | 1.00 | 1.00       | 1.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2010 Lifetime Portfolio Class A            | 41015E635 | JLAAX  | 5.00           |      | .30        | 1.42000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2010 Lifetime Portfolio Class I            | 47805A527 | JHRLX  |                |      | .00        | 1.14000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2010 Lifetime Portfolio Class R2           | 47804M852 | JLAEX  |                |      | .25        | 1.51000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2010 Lifetime Portfolio Class R6           | 41015K672 | JLAIX  |                |      | .00        | 1.01000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2015 Lifetime Portfolio Class A            | 41015E510 | JLBAX  | 5.00           |      | .30        | 1.38000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2015 Lifetime Portfolio Class I            | 47805A519 | JHREX  |                |      | .00        | 1.09000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2015 Lifetime Portfolio Class R2           | 47804M795 | JLBKX  |                |      | .25        | 1.47000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2015 Lifetime Portfolio Class R6           | 41015K664 | JLBJX  |                |      | .00        | 0.98000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2020 Lifetime Portfolio Class A            | 41015E387 | JLDAX  | 5.00           |      | .30        | 1.32000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2020 Lifetime Portfolio Class I            | 47805A493 | JHRVX  |                |      | .00        | 1.02000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2020 Lifetime Portfolio Class R2           | 47804M845 | JLDEX  |                |      | .25        | 1.41000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2020 Lifetime Portfolio Class R6           | 41015K656 | JLDIX  |                |      | .00        | 0.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2025 Lifetime Portfolio Class A            | 41015E262 | JLEAX  | 5.00           |      | .30        | 1.31000             |                | BUY,SELL          |

| Fund Family  | Fund Name                                                           | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|---------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds II Multimanager 2025 Lifetime Portfolio Class I  | 47805A485 | JHRNX  |                |      | .00        | 1.02000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2025 Lifetime Portfolio Class R2 | 47804M837 | JLEEX  |                |      | .25        | 1.40000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2025 Lifetime Portfolio Class R6 | 41015K649 | JLEIX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2030 Lifetime Portfolio Class A  | 47803M788 | JLFAX  | 5.00           |      | .30        | 1.30000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2030 Lifetime Portfolio Class I  | 47805A477 | JHRGX  |                |      | .00        | 1.00000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2030 Lifetime Portfolio Class R2 | 47803M739 | JLFEX  |                |      | .25        | 1.39000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2030 Lifetime Portfolio Class R6 | 41015K631 | JLFIX  |                |      | .00        | 0.89000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2035 Lifetime Portfolio Class A  | 47803M663 | JLHAX  | 5.00           |      | .30        | 1.30000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2035 Lifetime Portfolio Class I  | 47805A469 | JHRMX  |                |      | .00        | 1.01000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2035 Lifetime Portfolio Class R2 | 47803M614 | JLHEX  |                |      | .25        | 1.40000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2035 Lifetime Portfolio Class R6 | 41015K623 | JLHIX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2040 Lifetime Portfolio Class A  | 47803M549 | JLIAX  | 5.00           |      | .30        | 1.31000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2040 Lifetime Portfolio Class I  | 47805A451 | JHRDX  |                |      | .00        | 1.02000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2040 Lifetime Portfolio Class R2 | 47803M481 | JLIEX  |                |      | .25        | 1.40000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2040 Lifetime Portfolio Class R6 | 41015K615 | JLIIX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2045 Lifetime Portfolio Class A  | 47803M424 | JLJAX  | 5.00           |      | .30        | 1.31000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager 2045 Lifetime Portfolio Class I  | 47805A444 | JHROX  |                |      | .00        | 1.02000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager 2045 Lifetime Portfolio Class R2 | 47803M366 | JLJEX  |                |      | .25        | 1.41000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|------------------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds II Multimanager 2045 Lifetime Portfolio Class R6          | 41015K599 | JLJIX  |                |      | .00        | 0.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Aggressive Portfolio Class A    | 47803V572 | JALAX  | 4.50           |      | .30        | 1.43000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Aggressive Portfolio Class C    | 47803V556 | JCLAX  |                | 1.00 | 1.00       | 2.13000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Aggressive Portfolio Class I    | 47805A352 | JTAIX  |                |      | .00        | 1.13000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Aggressive Portfolio Class R2   | 47803M309 | JQLAX  |                |      | .25        | 1.52000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Aggressive Portfolio Class R6   | 41015K573 | JULAX  |                |      | .00        | 1.02000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Balanced Portfolio Class A      | 47803V481 | JALBX  | 4.50           |      | .30        | 1.32000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Balanced Portfolio Class C      | 47803V465 | JCLBX  |                | 1.00 | 1.00       | 2.02000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Balanced Portfolio Class I      | 47805A337 | JTBIX  |                |      | .00        | 1.03000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Balanced Portfolio Class R2     | 47803M879 | JQLBX  |                |      | .25        | 1.42000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Balanced Portfolio Class R6     | 41015K565 | JULBX  |                |      | .00        | 0.92000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Conservative Portfolio Class A  | 47803V416 | JALRX  | 4.50           |      | .30        | 1.23000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Conservative Portfolio Class C  | 47803V382 | JCLCX  |                | 1.00 | 1.00       | 1.93000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Conservative Portfolio Class I  | 47805A311 | JTOIX  |                |      | .00        | 0.93000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Conservative Portfolio Class R2 | 47803M812 | JQLCX  |                |      | .25        | 1.31000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Conservative Portfolio Class R6 | 41015K557 | JULCX  |                |      | .00        | 0.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Growth Portfolio Class A        | 47803V333 | JALGX  | 4.50           |      | .30        | 1.38000             |                | BUY,SELL          |

| Fund Family  | Fund Name                                                                | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|--------------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds II Multimanager Lifestyle Growth Portfolio Class C    | 47803V317 | JCLGX  |                | 1.00 | 1.00       | 2.08000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Growth Portfolio Class I    | 47805A345 | JTGIX  |                |      | .00        | 1.08000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Growth Portfolio Class R2   | 47803M606 | JQLGX  |                |      | .25        | 1.48000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Growth Portfolio Class R6   | 41015K540 | JULGX  |                |      | .00        | 0.98000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Moderate Portfolio Class A  | 47803V259 | JALMX  | 4.50           |      | .30        | 1.27000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Moderate Portfolio Class C  | 47803V234 | JCLMX  |                | 1.00 | 1.00       | 1.97000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Moderate Portfolio Class I  | 47805A329 | JTMIX  |                |      | .00        | 0.97000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Moderate Portfolio Class R2 | 47803M846 | JQLMX  |                |      | .25        | 1.38000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds II Multimanager Lifestyle Moderate Portfolio Class R6 | 41015K532 | JULMX  |                |      | .00        | 0.88000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds III U.S. Growth Fund - Class A                        | 47803U384 | JSGAX  | 5.00           |      | .25        | 0.97000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds III U.S. Growth Fund - Class C                        | 47803W752 | JSGCX  |                | 1.00 | 1.00       | 1.72000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds III U.S. Growth Fund - Class I                        | 47803U376 | JSGIX  |                |      | .00        | 0.72000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds III U.S. Growth Fund - Class R2                       | 47803W661 | JSGRX  |                |      | .25        | 1.11000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds III U.S. Growth Fund - Class R6                       | 47803W646 | JS GTX |                |      | .00        | 0.61000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds International Growth Fund Class A                     | 47803T619 | GOIGX  | 5.00           |      | .30        | 1.31000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds International Growth Fund Class C                     | 47803T585 | GONCX  |                | 1.00 | 1.00       | 2.00000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds International Growth Fund Class I                     | 47803T627 | GOGIX  |                |      | .00        | 1.01000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds International Growth Fund Class R2                    | 47803W695 | JHIGX  |                |      | .25        | 1.40000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                          | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|--------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds International Growth Fund Class R6              | 47803W679 | JIGTX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Aggressive Portfolio Class A    | 47804U490 | JABQX  | 5.00           |      | .30        | 1.04000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Aggressive Portfolio Class R6   | 47804U268 | JHIRX  |                |      |            | 0.63000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Balanced Portfolio Class A      | 47804U466 | JABMX  | 5.00           |      | .30        | 1.07000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Balanced Portfolio Class R6     | 47804U250 | JIBRX  |                |      |            | 0.66000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Conservative Portfolio Class A  | 47804U433 | JABJX  | 5.00           |      | .30        | 1.13000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Conservative Portfolio Class R6 | 47804U243 | JLCSX  |                |      |            | 0.72000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Growth Portfolio Class A        | 47804U391 | JABPX  | 5.00           |      | .30        | 1.05000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Growth Portfolio Class R6       | 47804U235 | JLGSX  |                |      |            | 0.64000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Moderate Portfolio Class A      | 47804U367 | JABKX  | 5.00           |      | .30        | 1.11000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Lifestyle Blend Moderate Portfolio Class R6     | 47804U227 | JLMRX  |                |      |            | 0.70000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2050 Lifetime Portfolio Class A    | 47804M787 | JLKAX  | 5.00           |      | .30        | 1.32000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Multimanager 2050 Lifetime Portfolio Class I    | 47805A436 | JHRPX  |                |      | .00        | 1.03000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2050 Lifetime Portfolio Class R2   | 47804M761 | JLKEX  |                |      | .25        | 1.41000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2050 Lifetime Portfolio Class R6   | 41015K581 | JLKRX  |                |      | .00        | 0.91000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2055 Lifetime Portfolio Class A    | 41015K474 | JLKLX  | 5.00           |      | .30        | 1.35000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Multimanager 2055 Lifetime Portfolio Class I    | 47805A428 | JHRTX  |                |      | .00        | 1.05000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2055 Lifetime Portfolio Class R2   | 41015K458 | JLKNX  |                |      | .25        | 1.44000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                        | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Funds Multimanager 2055 Lifetime Portfolio Class R6 | 41015K417 | JLKTIX |                |      | .00        | 0.94000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2060 Lifetime Portfolio Class A  | 47805T203 | JJERX  | 5.00           |      | .30        | 1.35000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Multimanager 2060 Lifetime Portfolio Class I  | 47805T302 | JMENX  |                |      | .00        | 1.05000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2060 Lifetime Portfolio Class R2 | 47805T500 | JVIMX  |                |      | .25        | 1.44000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Multimanager 2060 Lifetime Portfolio Class R6 | 47805T880 | JESRX  |                |      | .00        | 0.94000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Small Cap Dynamic Growth Fund Class A         | 47805T468 | JSJAX  | 5.00           |      | .25        | 1.35000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Small Cap Dynamic Growth Fund Class C         | 47805T450 | JSJCX  |                | 1.00 | 1.00       | 2.10000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Small Cap Dynamic Growth Fund Class I         | 47805T443 | JSJIX  |                |      | .00        | 1.10000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Small Cap Dynamic Growth Fund Class R6        | 47805T435 | JSJFX  |                |      | .00        | 0.99000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Strategic Income Opportunities Fund Class A   | 47804A155 | JIPAX  | 4.00           |      | .30        | 1.11000             |                | BUY,SELL          |
| John Hancock | John Hancock Funds Strategic Income Opportunities Fund Class C   | 47804A148 | JIPCX  |                | 1.00 | 1.00       | 1.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Strategic Income Opportunities Fund Class I   | 47804A130 | JIPIX  |                |      | .00        | 0.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Strategic Income Opportunities Fund Class R2  | 47804M811 | JIPPX  |                |      | .25        | 1.21000             |                | SELL ONLY         |
| John Hancock | John Hancock Funds Strategic Income Opportunities Fund Class R6  | 41015K516 | JIPRX  |                |      | .00        | 0.71000             |                | SELL ONLY         |
| John Hancock | John Hancock Global Environmental Opportunities Fund Class A     | 47803N364 | JABZX  | 5.00           |      | .25        | 1.60000             |                | BUY,SELL          |
| John Hancock | John Hancock Global Environmental Opportunities Fund Class C     | 47803N356 | JABYX  |                | 1.00 | 1.00       | 2.35000             |                | SELL ONLY         |
| John Hancock | John Hancock Global Environmental Opportunities Fund Class I     | 47803N349 | JABVX  |                |      | .00        | 1.35000             |                | SELL ONLY         |
| John Hancock | John Hancock Global Environmental Opportunities Fund Class R6    | 47803N331 | JACDX  |                |      | .00        | 1.24000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                               | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|---------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Global Shareholder Yield Fund Class A      | 47803U103 | JGYAX  | 5.00           |      | .30        | 1.33000             |                | BUY,SELL          |
| John Hancock | John Hancock Global Shareholder Yield Fund Class C      | 47803U301 | JGYCX  |                | 1.00 | 1.00       | 2.03000             |                | SELL ONLY         |
| John Hancock | John Hancock Global Shareholder Yield Fund Class I      | 47803U509 | JGYIX  |                |      | .00        | 1.03000             |                | SELL ONLY         |
| John Hancock | John Hancock Global Shareholder Yield Fund Class R2     | 47803U335 | JGSRX  |                |      | .25        | 1.42000             |                | SELL ONLY         |
| John Hancock | John Hancock Global Shareholder Yield Fund Class R6     | 47803W802 | JGRSX  |                |      | .00        | 0.92000             |                | SELL ONLY         |
| John Hancock | John Hancock High Yield Fund Class A                    | 41014P839 | JHHBX  | 4.00           |      | .25        | 0.92000             |                | BUY,SELL          |
| John Hancock | John Hancock High Yield Fund Class C                    | 41014P813 | JHYCX  |                | 1.00 | 1.00       | 1.67000             |                | SELL ONLY         |
| John Hancock | John Hancock High Yield Fund Class I                    | 41014P763 | JYHIX  |                |      | .00        | 0.67000             |                | SELL ONLY         |
| John Hancock | John Hancock High Yield Fund Class R6                   | 41014P532 | JFHYX  |                |      | .00        | 0.57000             |                | SELL ONLY         |
| John Hancock | John Hancock High Yield Municipal Bond Fund Class C     | 41013Y500 | JCTFX  |                | 1.00 | 1.00       | 1.90000             |                | SELL ONLY         |
| John Hancock | John Hancock High Yield Municipal Bond Fund Class I     | 41013Y880 | JHYMX  |                |      | .00        | 0.90000             |                | SELL ONLY         |
| John Hancock | John Hancock High Yield Municipal Bond Fund Class R6    | 41013Y864 | JCTRX  |                |      | .00        | 0.82000             |                | SELL ONLY         |
| John Hancock | John Hancock Income Fund Class A                        | 410227102 | JHFIX  | 4.00           |      | .30        | 0.84000             |                | BUY,SELL          |
| John Hancock | John Hancock Income Fund Class C                        | 410227888 | JSTCX  |                | 1.00 | 1.00       | 1.54000             |                | SELL ONLY         |
| John Hancock | John Hancock Income Fund Class I                        | 410227839 | JSTIX  |                |      | .00        | 0.54000             |                | SELL ONLY         |
| John Hancock | John Hancock Income Fund Class R2                       | 410227797 | JSNSX  |                |      | .25        | 0.93000             |                | SELL ONLY         |
| John Hancock | John Hancock Income Fund Class R6                       | 410227813 | JSNWX  |                |      | .00        | 0.43000             |                | SELL ONLY         |
| John Hancock | John Hancock International Dynamic Growth Fund Class A  | 47803N471 | JIJAX  | 5.00           |      | .25        | 1.25000             |                | BUY,SELL          |
| John Hancock | John Hancock International Dynamic Growth Fund Class C  | 47803N463 | JIJCX  |                | 1.00 | 1.00       | 2.00000             |                | SELL ONLY         |
| John Hancock | John Hancock International Dynamic Growth Fund Class I  | 47803N455 | JIJIX  |                |      | .00        | 1.00000             |                | SELL ONLY         |
| John Hancock | John Hancock International Dynamic Growth Fund Class R6 | 47803N448 | JIJRX  |                |      | .00        | 0.89000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                  | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Investment Grade Bond Fund Class A            | 41014P102 | TAUSX  | 4.00           |      | .25        | 0.82000             |                | BUY,SELL          |
| John Hancock | John Hancock Investment Grade Bond Fund Class C            | 41014P789 | TCUSX  |                | 1.00 | 1.00       | 1.57000             |                | SELL ONLY         |
| John Hancock | John Hancock Investment Grade Bond Fund Class I            | 41014P771 | TIUSX  |                |      | .00        | 0.57000             |                | SELL ONLY         |
| John Hancock | John Hancock Investment Grade Bond Fund Class R2           | 478030109 | JIGBX  |                |      | .25        | 0.96000             |                | SELL ONLY         |
| John Hancock | John Hancock Investment Grade Bond Fund Class R6           | 478030307 | JIGEX  |                |      | .00        | 0.46000             |                | SELL ONLY         |
| John Hancock | John Hancock Mid Cap Growth Fund Class A                   | 47803N315 | JACJX  | 5.00           |      | .25        | 1.25000             |                | SELL ONLY         |
| John Hancock | John Hancock Mid Cap Growth Fund Class C                   | 47803N299 | JACLX  |                | 1.00 | 1.00       | 2.00000             |                | SELL ONLY         |
| John Hancock | John Hancock Mid Cap Growth Fund Class I                   | 47803N281 | JACBX  |                |      | .00        | 1.00000             |                | SELL ONLY         |
| John Hancock | John Hancock Mid Cap Growth Fund Class R6                  | 47803N273 | JACEX  |                |      | .00        | 0.89000             |                | SELL ONLY         |
| John Hancock | John Hancock Multi-Asset High Income Fund Class A          | 47805A865 | JIAFX  | 4.50           |      | .25        | 1.06000             |                | SELL ONLY         |
| John Hancock | John Hancock Multi-Asset High Income Fund Class C          | 47805A857 | JIAGX  |                | 1.00 | 1.00       | 1.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Multi-Asset High Income Fund Class I          | 47805A840 | JIAIX  |                |      | .00        | 0.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Multi-Asset High Income Fund Class R6         | 47805A832 | JIASX  |                |      | .00        | 0.70000             |                | SELL ONLY         |
| John Hancock | John Hancock Multimanager 2070 Lifetime Portfolio Class A  | 47806K169 | JHOQX  | 5.00           |      | .30        | 2.23000             |                | SELL ONLY         |
| John Hancock | John Hancock Multimanager 2070 Lifetime Portfolio Class R6 | 47806K227 | JHBZX  |                |      | .00        | 1.81000             |                | SELL ONLY         |
| John Hancock | John Hancock Municipal Opportunities Fund Class C          | 41013Y609 | TBMBX  |                | 1.00 | 1.00       | 1.62000             |                | SELL ONLY         |
| John Hancock | John Hancock Municipal Opportunities Fund Class I          | 41013Y872 | JTBDX  |                |      | .00        | 0.62000             |                | SELL ONLY         |
| John Hancock | John Hancock Municipal Opportunities Fund Class R6         | 41013Y856 | JTMRX  |                |      | .00        | 0.55000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                                         | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|-------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock Opportunistic Fixed Income Fund Class A              | 47805T195 | JABWX  | 4.00           |      | .25        | 1.36000             |                | BUY,SELL          |
| John Hancock | John Hancock Opportunistic Fixed Income Fund Class C              | 47805T187 | JABOX  |                | 1.00 | 1.00       | 2.11000             |                | SELL ONLY         |
| John Hancock | John Hancock Opportunistic Fixed Income Fund Class I              | 47805T179 | JABTX  |                |      | .00        | 1.11000             |                | SELL ONLY         |
| John Hancock | John Hancock Opportunistic Fixed Income Fund Class R6             | 47805T161 | JABUX  |                |      | .00        | 1.00000             |                | SELL ONLY         |
| John Hancock | John Hancock Regional Bank Fund Class A                           | 409905106 | FRBAX  | 5.00           |      | .30        | 1.27000             |                | BUY,SELL          |
| John Hancock | John Hancock Regional Bank Fund Class C                           | 409905866 | FRBCX  |                | 1.00 | 1.00       | 1.97000             |                | SELL ONLY         |
| John Hancock | John Hancock Regional Bank Fund Class I                           | 409905791 | JRBFX  |                |      | .00        | 0.97000             |                | SELL ONLY         |
| John Hancock | John Hancock Regional Bank Fund Class R6                          | 409905742 | JRGRX  |                |      | .00        | 0.86000             |                | SELL ONLY         |
| John Hancock | John Hancock Short Duration Municipal Opportunities Fund Class A  | 47810R101 | JHSFX  | 2.25           |      | .25        | 1.18000             |                | SELL ONLY         |
| John Hancock | John Hancock Short Duration Municipal Opportunities Fund Class C  | 47810R200 | JHSHX  |                | 1.00 | 1.00       | 1.93000             |                | SELL ONLY         |
| John Hancock | John Hancock Short Duration Municipal Opportunities Fund Class I  | 47810R309 | JHSJX  |                |      | .00        | 0.93000             |                | SELL ONLY         |
| John Hancock | John Hancock Short Duration Municipal Opportunities Fund Class R6 | 47810R408 | JHSKX  |                |      | .00        | 0.85000             |                | SELL ONLY         |
| John Hancock | John Hancock Small Cap Core Fund Class A                          | 47803P575 | JCCAX  | 5.00           |      | .25        | 1.24000             |                | BUY,SELL          |
| John Hancock | John Hancock Small Cap Core Fund Class I                          | 47803P567 | JCCIX  |                |      | .00        | 0.99000             |                | SELL ONLY         |
| John Hancock | John Hancock Small Cap Core Fund Class R6                         | 47803P138 | JORSX  |                |      | .00        | 0.88000             |                | SELL ONLY         |
| John Hancock | John Hancock U.S. Global Leaders Growth Fund Class A              | 409902830 | USGLX  | 5.00           |      | .25        | 1.14000             |                | BUY,SELL          |
| John Hancock | John Hancock U.S. Global Leaders Growth Fund Class C              | 409902814 | USLCX  |                | 1.00 | 1.00       | 1.89000             |                | SELL ONLY         |
| John Hancock | John Hancock U.S. Global Leaders Growth Fund Class I              | 409902798 | USLIX  |                |      | .00        | 0.89000             |                | SELL ONLY         |

| Fund Family  | Fund Name                                             | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|--------------|-------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| John Hancock | John Hancock U.S. Global Leaders Growth Fund Class R2 | 409902319 | USLYX  |                |      | .25        | 1.28000             |                | SELL ONLY         |
| John Hancock | John Hancock U.S. Global Leaders Growth Fund Class R6 | 409902335 | UGLSX  |                |      | .00        | 0.78000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan California Tax Free Bond Fund Class A        | 4812A0854 | JCBAX  | 3.75           |      | .25        | 0.96000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan California Tax Free Bond Fund Class C        | 4812A0151 | JCBCX  |                | 1.00 | .75        | 1.47000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan California Tax Free Bond Fund Class I        | 4812A0870 | JPICX  |                |      |            | 0.71000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan California Tax Free Bond Fund Class R6       | 48129C702 | JCBSX  |                |      |            | 0.46000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Bond Fund Class A                       | 4812C0357 | PGBOX  | 3.75           |      | .25        | 0.84000             |                | BUY,SELL          |
| JPMorgan     | JPMorgan Core Bond Fund Class C                       | 4812C0373 | OBOCX  |                | 1.00 | .75        | 1.34000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Bond Fund Class I                       | 4812C0381 | WOBDX  |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Bond Fund Class R2                      | 4812C2486 | JCBZX  |                |      | .50        | 1.10000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Bond Fund Class R5                      | 4812C2627 | JCBRX  |                |      |            | 0.44000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Bond Fund Class R6                      | 4812C0100 | JCBUX  |                |      |            | 0.34000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Plus Bond Fund Class A                  | 4812C0811 | ONIAx  | 3.75           |      | .25        | 0.90000             |                | BUY,SELL          |
| JPMorgan     | JPMorgan Core Plus Bond Fund Class C                  | 4812C0837 | OBDCX  |                | 1.00 | .75        | 1.40000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Plus Bond Fund Class I                  | 4812C0845 | HLIPX  |                |      |            | 0.65000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Plus Bond Fund Class R2                 | 4812C2478 | JCPZX  |                |      | .50        | 1.16000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Plus Bond Fund Class R5                 | 4812C2155 | JCPYX  |                |      |            | 0.51000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Core Plus Bond Fund Class R6                 | 4812C0134 | JCPUX  |                |      |            | 0.40000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Corporate Bond Fund Class A                  | 46637K570 | CBRAX  | 3.75           |      | .25        | 1.01000             |                | BUY,SELL          |
| JPMorgan     | JPMorgan Corporate Bond Fund Class C                  | 46637K562 | CBRCX  |                | 1.00 | .75        | 1.52000             |                | SELL ONLY         |
| JPMorgan     | JPMorgan Corporate Bond Fund Class I                  | 46637K554 | CBFSX  |                |      |            | 0.77000             |                | SELL ONLY         |

| Fund Family | Fund Name                                            | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Corporate Bond Fund Class R6                | 46637K547 | CBFVX  |                |      |            | 0.51000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Developed International Value Fund Class A  | 4812A0540 | JFEAX  | 5.25           |      | .25        | 1.23000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Developed International Value Fund Class C  | 4812A3668 | JIUCX  |                | 1.00 | .75        | 1.81000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Developed International Value Fund Class I  | 4812A0565 | JIESX  |                |      |            | 0.98000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Developed International Value Fund Class L  | 4812A0573 | JNUSX  |                |      |            | 0.83000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Developed International Value Fund Class R2 | 4812A4237 | JPVZX  |                |      | .50        | 1.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Developed International Value Fund Class R5 | 46641U416 | JPVRX  |                |      |            | 0.83000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Developed International Value Fund Class R6 | 48121L866 | JNVMX  |                |      |            | 0.73000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Diversified Fund Class A                    | 4812A1795 | JDVAX  | 4.50           |      | .25        | 1.29000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Diversified Fund Class C                    | 4812A1779 | JDVCX  |                | 1.00 | .75        | 1.79000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Diversified Fund Class I                    | 4812A1829 | JDVSX  |                |      |            | 1.01000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Diversified Fund Class L                    | 4812A1811 | JPDVX  |                |      |            | 0.80000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Diversified Fund Class R6                   | 48129C504 | JDVZX  |                |      |            | 0.75000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Debt Fund Class A          | 4812A3684 | JEDAX  | 3.75           |      | .25        | 1.29000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Emerging Markets Debt Fund Class C          | 4812A3676 | JEDCX  |                | 1.00 | .75        | 1.93000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Debt Fund Class I          | 4812A0748 | JEMDX  |                |      |            | 1.04000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Debt Fund Class R5         | 4812A3791 | JEMRX  |                |      |            | 0.90000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Debt Fund Class R6         | 48121A134 | JEMVX  |                |      |            | 0.78000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class A        | 4812A0607 | JFAMX  | 5.25           |      | .25        | 1.31000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class C        | 4812A2256 | JEMCX  |                | 1.00 | .75        | 1.82000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class I        | 4812A0623 | JEMSX  |                |      |            | 1.06000             |                | SELL ONLY         |

| Fund Family | Fund Name                                                        | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class L                    | 4812A0631 | JMIEX  |                |      |            | 0.90000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class R2                   | 48123X876 | JHUJX  |                |      | .50        | 1.85000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class R5                   | 48127B466 | JEMOX  |                |      |            | 0.92000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Equity Fund Class R6                   | 48121L122 | JEMWX  |                |      |            | 0.81000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Research Enhanced Equity Fund Class I  | 46645V634 | EMREX  |                |      |            | 0.68000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Emerging Markets Research Enhanced Equity Fund Class R6 | 46645V626 | EMRSX  |                |      |            | 0.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Income Fund Class A                              | 4812C0464 | OIEIX  | 5.25           |      | .25        | 0.95000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Equity Income Fund Class C                              | 4812C0480 | OINCX  |                | 1.00 | .75        | 1.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Income Fund Class I                              | 4812C0498 | HLIEX  |                |      |            | 0.70000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Income Fund Class R2                             | 4812C2320 | OIEFX  |                |      | .50        | 1.20000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Income Fund Class R5                             | 4812C2312 | OIERX  |                |      |            | 0.55000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Income Fund Class R6                             | 46636U876 | OIEJX  |                |      |            | 0.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Index Fund Class A                               | 4812C1520 | OGEAX  | 5.25           |      | .25        | 0.64000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Equity Index Fund Class C                               | 4812C1546 | OEICX  |                | 1.00 | .75        | 1.12000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Index Fund Class I                               | 4812C1553 | HLEIX  |                |      |            | 0.37000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Index Fund Class R6                              | 4812C2213 | OGFAX  |                |      |            | 0.12000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Premium Income Fund Class A                      | 46645V691 | JEPAX  | 5.25           |      | .25        | 0.84000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Premium Income Fund Class C                      | 46645V683 | JEPCX  |                | 1.00 | .75        | 1.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Premium Income Fund Class I                      | 46645V675 | JEPIX  |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Premium Income Fund Class R5                     | 46645V667 | JEPSX  |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Equity Premium Income Fund Class R6                     | 46645V659 | JEPRX  |                |      |            | 0.34000             |                | SELL ONLY         |

| Fund Family | Fund Name                                       | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|-------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Europe Dynamic Fund Class A            | 4812A0649 | VEUAX  | 5.25           |      | .25        | 1.29000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Europe Dynamic Fund Class C            | 4812A0664 | VEUCX  |                | 1.00 | .75        | 1.85000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Europe Dynamic Fund Class I            | 4812A0672 | JFESX  |                |      |            | 1.02000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Europe Dynamic Fund Class L            | 4812A0680 | JFEIX  |                |      |            | 0.88000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Europe Dynamic Fund Class R6           | 48129C843 | VEUVX  |                |      |            | 0.77000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Floating Rate Income Fund Class A      | 48121L536 | JPHAX  | 2.25           |      | .25        | 1.30000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Floating Rate Income Fund Class C      | 48121L528 | JPHCX  |                | 1.00 | .75        | 1.81000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Floating Rate Income Fund Class I      | 48121L510 | JPHSX  |                |      |            | 1.04000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Floating Rate Income Fund Class R6     | 46637K257 | JPHRX  |                |      |            | 0.79000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Allocation Fund Class A         | 48121L734 | GAOAX  | 4.50           |      | .25        | 1.18000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Global Allocation Fund Class C         | 48121L726 | GAOCX  |                | 1.00 | .75        | 1.68000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Allocation Fund Class I         | 48121L692 | GAOSX  |                |      |            | 0.93000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Allocation Fund Class R2        | 48121L718 | GAONX  |                |      | .50        | 1.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Allocation Fund Class R5        | 48129C850 | GAORX  |                |      |            | 1.94000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Allocation Fund Class R6        | 48129C306 | GAOZX  |                |      |            | 0.68000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Bond Opportunities Fund Class A | 46637K711 | GBOAX  | 3.75           |      | .25        | 1.09000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Global Bond Opportunities Fund Class C | 46637K695 | GBOCX  |                | 1.00 | .75        | 1.58000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Global Bond Opportunities Fund Class I | 46637K687 | GBOSX  |                |      |            | 0.83000             |                | SELL ONLY         |

| Fund Family | Fund Name                                        | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Global Bond Opportunities Fund Class R6 | 46637K679 | GBONX  |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Government Bond Fund Class A            | 4812C0399 | OGGAX  | 3.75           |      | .25        | 0.90000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Government Bond Fund Class C            | 4812C0415 | OGVCX  |                | 1.00 | .75        | 1.40000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Government Bond Fund Class I            | 4812C0423 | HLGAX  |                |      |            | 0.64000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Government Bond Fund Class R2           | 4812C2460 | JGBZX  |                |      | .50        | 1.16000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Government Bond Fund Class R6           | 4812C0118 | OGGYX  |                |      |            | 0.39000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Growth Advantage Fund Class A           | 62826M483 | VHIAx  | 5.25           |      | .25        | 1.11000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Growth Advantage Fund Class C           | 4812A3692 | JGACX  |                | 1.00 | .75        | 1.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Growth Advantage Fund Class I           | 4812A3718 | JGASX  |                |      |            | 0.86000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Growth Advantage Fund Class R2          | 48123X108 | JGRJX  |                |      | .50        | 1.39000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Growth Advantage Fund Class R5          | 62826M426 | JGVRX  |                |      |            | 0.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Growth Advantage Fund Class R6          | 46640W108 | JGVVX  |                |      |            | 0.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 2 Fund Class A            | 46645V394 | JHDAX  | 5.25           |      | .25        | 0.84000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 2 Fund Class C            | 46645V386 | JHDCX  |                | 1.00 | .75        | 1.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 2 Fund Class I            | 46645V378 | JHQDX  |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 2 Fund Class R5           | 46645V360 | JHDFX  |                |      |            | 0.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 2 Fund Class R6           | 46645V352 | JHDRX  |                |      |            | 0.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 3 Fund Class A            | 46645V345 | JHTAX  | 5.25           |      | .25        | 0.84000             |                | SELL ONLY         |

| Fund Family | Fund Name                                   | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Hedged Equity 3 Fund Class C       | 46645V337 | JHTCX  |                | 1.00 | .75        | 1.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 3 Fund Class I       | 46645V329 | JHQTIX |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 3 Fund Class R5      | 46645V311 | JHTGX  |                |      |            | 0.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity 3 Fund Class R6      | 46645V295 | JHTRX  |                |      |            | 0.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity Fund Class A         | 46637K315 | JHQAX  | 5.25           |      | .25        | 0.82000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity Fund Class C         | 46637K299 | JHQCX  |                | 1.00 | .75        | 1.32000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity Fund Class I         | 46637K281 | JHEQX  |                |      |            | 0.57000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity Fund Class R5        | 46637K273 | JHQPX  |                |      |            | 0.43000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Hedged Equity Fund Class R6        | 46637K265 | JHQRX  |                |      |            | 0.32000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan High Yield Fund Class A            | 4812C0779 | OHYAX  | 3.75           |      | .25        | 1.11000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan High Yield Fund Class C            | 4812C0795 | OGHCX  |                | 1.00 | .75        | 1.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan High Yield Fund Class I            | 4812C0803 | OHYFX  |                |      |            | 0.85000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan High Yield Fund Class R2           | 4812C2452 | JHYZX  |                |      | .50        | 1.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan High Yield Fund Class R5           | 4812C2619 | JYHRX  |                |      |            | 0.72000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan High Yield Fund Class R6           | 4812C0126 | JHYUX  |                |      |            | 0.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Income Builder Fund Class A Shares | 4812A3288 | JNBAX  | 4.50           |      | .25        | 1.07000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Income Builder Fund Class C Shares | 4812A3270 | JNBCX  |                | 1.00 | .75        | 1.57000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Income Builder Fund Class I Shares | 4812A3254 | JNBSX  |                |      |            | 0.82000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Income Builder Fund Class R6       | 48129C405 | JNBZX  |                |      |            | 0.57000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Income Fund Class A                | 46637K240 | JGIAX  | 3.75           |      | .25        | 0.90000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Income Fund Class C                | 46637K232 | JGCGX  |                | 1.00 | .75        | 1.40000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Income Fund Class I                | 46637K224 | JMSIX  |                |      |            | 0.65000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Income Fund Class R6               | 46637K216 | JMSFX  |                |      |            | 0.40000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Equity Fund Class A  | 4812A0508 | JSEAX  | 5.25           |      | .25        | 1.11000             |                | BUY,SELL          |

| Fund Family | Fund Name                                          | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan International Equity Fund Class C         | 4812A0524 | JIECX  |                | 1.00 | .75        | 1.63000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Equity Fund Class I         | 4812A0532 | VSIEX  |                |      |            | 0.86000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Equity Fund Class R2        | 4812A4211 | JIEZX  |                |      | .50        | 1.62000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Equity Fund Class R5        | 4812A3742 | JIERX  |                |      |            | 0.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Equity Fund Class R6        | 48121L882 | JNEMX  |                |      |            | 0.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Focus Fund Class A          | 48121L189 | IUAEX  | 5.25           |      | .25        | 1.22000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan International Focus Fund Class C          | 48121L171 | IUCEX  |                | 1.00 | .75        | 1.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Focus Fund Class I          | 48121L163 | IUESX  |                |      |            | 0.97000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Focus Fund Class R2         | 48121L155 | IUERX  |                |      | .50        | 1.54000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Focus Fund Class R5         | 48121L148 | IUEFX  |                |      |            | 0.91000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan International Focus Fund Class R6         | 48121L130 | IUENX  |                |      |            | 0.72000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Balanced Fund Class A            | 4812C1884 | OGIAX  | 4.50           |      | .25        | 0.96000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Investor Balanced Fund Class C            | 4812C2205 | OGBCX  |                | 1.00 | .75        | 1.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Balanced Fund Class I            | 4812C2304 | OIBFX  |                |      |            | 0.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Balanced Fund Class R6           | 4812CA678 | JFQUX  |                |      |            | 0.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Conservative Growth Fund Class A | 4812C2403 | OICAX  | 4.50           |      | .25        | 0.94000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Investor Conservative Growth Fund Class C | 4812C2601 | OCGCX  |                | 1.00 | .75        | 1.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Conservative Growth Fund Class I | 4812C2700 | ONCFX  |                |      |            | 0.69000             |                | SELL ONLY         |

| Fund Family | Fund Name                                           | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|-----------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Investor Conservative Growth Fund Class R6 | 4812CA686 | JFLJX  |                |      |            | 0.43000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Growth & Income Fund Class A      | 4812C2858 | ONGIX  | 4.50           |      | .25        | 0.99000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Investor Growth & Income Fund Class C      | 4812C2833 | ONECX  |                | 1.00 | .75        | 1.49000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Growth & Income Fund Class I      | 4812C2825 | ONGFX  |                |      |            | 0.73000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Growth & Income Fund Class R6     | 4812CA660 | JFBUX  |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Growth Fund Class A               | 4812C2809 | ONGAX  | 4.50           |      | .25        | 1.00000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Investor Growth Fund Class C               | 4812C2874 | OGGCX  |                | 1.00 | .75        | 1.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Growth Fund Class I               | 4812C2866 | ONIFX  |                |      |            | 0.74000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Investor Growth Fund Class R6              | 4812CA652 | JFTUX  |                |      |            | 0.48000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Growth Fund Class A              | 4812C0506 | OLGAX  | 5.25           |      | .25        | 1.01000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Large Cap Growth Fund Class C              | 4812C0522 | OLGCX  |                | 1.00 | .75        | 1.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Growth Fund Class I              | 4812C0530 | SEEGX  |                |      |            | 0.75000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Growth Fund Class R2             | 4812C2544 | JLGZX  |                |      | .50        | 1.27000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Growth Fund Class R5             | 4812C2379 | JLGRX  |                |      |            | 0.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Growth Fund Class R6             | 48121L841 | JLGMX  |                |      |            | 0.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Value Fund Class A               | 4812C1561 | OLVAX  | 5.25           |      | .25        | 1.01000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Large Cap Value Fund Class C               | 4812C1587 | OLVCX  |                | 1.00 | .75        | 1.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Value Fund Class I               | 4812C1595 | HLQVX  |                |      |            | 0.75000             |                | SELL ONLY         |

| Fund Family | Fund Name                                   | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|---------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Large Cap Value Fund Class R2      | 4812C2551 | JLVZX  |                |      | .50        | 1.26000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Value Fund Class R5      | 4812C2593 | JLVRX  |                |      |            | 0.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Large Cap Value Fund Class R6      | 48121L833 | JLVMX  |                |      |            | 0.49000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Managed Income Fund Class I        | 46641U150 | JMGLX  |                |      |            | 0.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Managed Income Fund L Class Shares | 48121A415 | JMGIX  |                |      |            | 0.35000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Equity Fund Class A        | 4812A2660 | JCMAX  | 5.25           |      | .25        | 1.22000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Mid Cap Equity Fund Class C        | 48121A738 | JMCCX  |                | 1.00 | .75        | 1.73000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Equity Fund Class I        | 4812A1266 | VSNGX  |                |      |            | 0.97000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Equity Fund Class R2       | 46637K190 | JMCEX  |                |      | .50        | 1.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Equity Fund Class R5       | 46637K182 | JMEEX  |                |      |            | 0.82000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Equity Fund Class R6       | 46637K174 | JPPEX  |                |      |            | 0.72000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Growth Fund Class A        | 4812C1686 | OSGIX  | 5.25           |      | .25        | 1.22000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Mid Cap Growth Fund Class C        | 4812C1702 | OMGCX  |                | 1.00 | .75        | 1.72000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Growth Fund Class I        | 4812C1710 | HLGEX  |                |      |            | 0.96000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Growth Fund Class R2       | 4812C2411 | JMGZX  |                |      | .50        | 1.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Growth Fund Class R5       | 4812C2296 | JMGFX  |                |      |            | 0.80000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Growth Fund Class R6       | 4812C2288 | JMGMX  |                |      |            | 0.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Value Fund Class A         | 339128308 | JAMCX  | 5.25           |      | .25        | 1.21000             |                | BUY,SELL          |

| Fund Family | Fund Name                                              | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Mid Cap Value Fund Class C                    | 339128506 | JCMVX  |                | 1.00 | .75        | 1.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Value Fund Class I                    | 339183105 | JMVSX  |                |      |            | 0.95000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Value Fund Class L                    | 339128100 | FLMVX  |                |      |            | 0.79000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Value Fund Class R2                   | 48121A209 | JMVZX  |                |      | .50        | 1.48000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Value Fund Class R5                   | 46647B305 | JMVRX  |                |      |            | 0.80000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Mid Cap Value Fund Class R6                   | 46647B404 | JMVYX  |                |      |            | 0.70000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan New York Tax Free Bond Fund Class A           | 4812A0755 | VANTX  | 3.75           |      | .25        | 0.95000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan New York Tax Free Bond Fund Class C           | 4812A0771 | JCNTX  |                | 1.00 | .75        | 1.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan New York Tax Free Bond Fund Class I           | 4812A0797 | JNYIX  |                |      |            | 0.70000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan New York Tax Free Bond Fund Class R6          | 48129C801 | VINRX  |                |      |            | 0.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Preferred and Income Securities Fund Class A  | 46645V287 | JPDAX  | 3.75           |      | .25        | 1.13000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Preferred and Income Securities Fund Class C  | 46645V253 | JPDCX  |                | 1.00 | .75        | 1.63000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Preferred and Income Securities Fund Class I  | 46645V279 | JPDIX  |                |      |            | 0.88000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Preferred and Income Securities Fund Class R6 | 46645V261 | JPDRX  |                |      |            | 0.63000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Research Market Neutral Fund Class A          | 4812A1670 | JMNAX  | 5.25           |      | .25        | 2.87000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Research Market Neutral Fund Class C          | 48121A720 | JMNCX  |                | 1.00 | .75        | 3.38000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Research Market Neutral Fund Class I          | 48121A712 | JMNSX  |                |      |            | 2.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short Duration Bond Fund Class A              | 4812C1306 | OGLVX  | 2.25           |      | .25        | 0.82000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Short Duration Bond Fund Class C              | 4812C1322 | OSTCX  |                | 1.00 | .75        | 1.32000             |                | SELL ONLY         |

| Fund Family | Fund Name                                                | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Short Duration Bond Fund Class I                | 4812C1330 | HLLVX  |                |      |            | 0.57000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short Duration Bond Fund Class R6               | 4812C0167 | JSDUX  |                |      |            | 0.32000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short Duration Core Plus Fund Class A           | 46637K489 | JSDHX  | 2.25           |      | .25        | 0.84000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Short Duration Core Plus Fund Class C           | 46637K471 | JSDCX  |                | 1.00 | .75        | 1.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short Duration Core Plus Fund Class I           | 46637K455 | JSDSX  |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short Duration Core Plus Fund Class R6          | 46637K463 | JSDRX  |                |      |            | 0.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short-Intermediate Municipal Bond Fund Class C  | 4812C1363 | STMCX  |                | 1.00 | .75        | 1.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short-Intermediate Municipal Bond Fund Class I  | 4812C2395 | JIMIX  |                |      |            | 0.63000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Short-Intermediate Municipal Bond Fund Class R6 | 4812CA629 | OSTSX  |                |      |            | 0.38000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Blend Fund Class A                    | 4812A1498 | VSCOX  | 5.25           |      | .25        | 1.26000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Small Cap Blend Fund Class C                    | 4812A1472 | VSCCX  |                | 1.00 | .75        | 1.78000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Blend Fund Class I                    | 4812A1514 | JDSCX  |                |      |            | 1.01000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Blend Fund Class R6                   | 48123X843 | JSCHX  |                |      |            | 0.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Equity Fund Class A                   | 4812A1365 | VSEAX  | 5.25           |      | .25        | 1.24000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Small Cap Equity Fund Class C                   | 4812A2405 | JSECX  |                | 1.00 | .75        | 1.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Equity Fund Class I                   | 4812A1373 | VSEIX  |                |      |            | 1.00000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Equity Fund Class R2                  | 4812A4245 | JSEZX  |                |      | .50        | 1.54000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Equity Fund Class R5                  | 4812A3783 | JSERX  |                |      |            | 0.84000             |                | SELL ONLY         |

| Fund Family | Fund Name                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Small Cap Equity Fund Class R6      | 48127B862 | VSENX  |                |      |            | 0.74000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class A       | 4812C0548 | PGSGX  | 5.25           |      | .25        | 1.26000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class C       | 4812C0563 | OSGCX  |                | 1.00 | .75        | 1.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class I       | 4812C0571 | OGGFX  |                |      |            | 1.00000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class L       | 4812C0290 | JISGX  |                |      |            | 0.85000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class R2      | 4812C2528 | JSGZX  |                |      | .50        | 1.53000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class R5      | 4812CA702 | JGSVX  |                |      |            | 0.85000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Growth Fund Class R6      | 48121L825 | JGSMX  |                |      |            | 0.75000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Value Fund Class A        | 4812C1769 | PSOAX  | 5.25           |      | .25        | 1.28000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Small Cap Value Fund Class C        | 4812C1785 | OSVCX  |                | 1.00 | .75        | 1.82000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Value Fund Class I        | 4812C1793 | PSOPX  |                |      |            | 1.01000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Value Fund Class R2       | 4812C2536 | JSVZX  |                |      | .50        | 1.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Value Fund Class R5       | 4812C2585 | JSVRX  |                |      |            | 0.87000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Small Cap Value Fund Class R6       | 4812C0225 | JSVUX  |                |      |            | 0.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2025 Fund Class A  | 4812A3197 | JNSAX  | 4.50           |      | .25        | 0.88000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2025 Fund Class C  | 4812A3189 | JNSCX  |                | 1.00 | .75        | 1.39000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2025 Fund Class I  | 4812A3171 | JNSSX  |                |      |            | 0.62000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2025 Fund Class R2 | 4812A4153 | JNSZX  |                |      | .50        | 1.12000             |                | SELL ONLY         |

| Fund Family | Fund Name                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan SmartRetirement? 2025 Fund Class R5 | 4812A3163 | JNSIX  |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2025 Fund Class R6 | 46641U788 | JNSYX  |                |      |            | 0.37000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2030 Fund Class A  | 4812A3882 | JSMAX  | 4.50           |      | .25        | 0.87000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2030 Fund Class C  | 4812A3874 | JSMCX  |                | 1.00 | .75        | 1.39000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2030 Fund Class I  | 4812A3866 | JSMSX  |                |      |            | 0.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2030 Fund Class R2 | 4812A4146 | JSMZX  |                |      | .50        | 1.11000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2030 Fund Class R5 | 4812A3858 | JSMIX  |                |      |            | 0.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2030 Fund Class R6 | 46641U770 | JSMYX  |                |      |            | 0.36000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2035 Fund Class A  | 4812A3155 | SRJAX  | 4.50           |      | .25        | 0.91000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2035 Fund Class C  | 4812A3148 | SRJCX  |                | 1.00 | .75        | 1.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2035 Fund Class I  | 4812A3130 | SRJSX  |                |      |            | 0.65000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2035 Fund Class R2 | 4812A4138 | SRJZX  |                |      | .50        | 1.15000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2035 Fund Class R5 | 4812A3122 | SRJIX  |                |      |            | 0.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2035 Fund Class R6 | 46641U762 | SRJYX  |                |      |            | 0.40000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2040 Fund Class A  | 4812A3841 | SMTAX  | 4.50           |      | .25        | 0.91000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2040 Fund Class C  | 4812A3833 | SMTCX  |                | 1.00 | .75        | 1.43000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2040 Fund Class I  | 4812A3825 | SMTSX  |                |      |            | 0.65000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2040 Fund Class R2 | 4812A4120 | SMTZX  |                |      | .50        | 1.15000             |                | SELL ONLY         |

| Fund Family | Fund Name                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan SmartRetirement? 2040 Fund Class R5 | 4812A3817 | SMTIX  |                |      |            | 0.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2040 Fund Class R6 | 46641U754 | SMTYX  |                |      |            | 0.40000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2045 Fund Class A  | 4812A4104 | JSAAX  | 4.50           |      | .25        | 0.91000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2045 Fund Class C  | 4812A4203 | JSACX  |                | 1.00 | .75        | 1.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2045 Fund Class I  | 4812A4302 | JSASX  |                |      |            | 0.66000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2045 Fund Class R2 | 4812A4112 | JSAZX  |                |      | .50        | 1.16000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2045 Fund Class R5 | 4812A4401 | JSAIX  |                |      |            | 0.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2045 Fund Class R6 | 46641U747 | JSAYX  |                |      |            | 0.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2050 Fund Class A  | 4812A4500 | JTSAX  | 4.50           |      | .25        | 0.91000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2050 Fund Class C  | 4812A4609 | JTSCX  |                | 1.00 | .75        | 1.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2050 Fund Class I  | 4812A4708 | JTSSX  |                |      |            | 0.66000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2050 Fund Class R2 | 48121A100 | JTSZX  |                |      | .50        | 1.16000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2050 Fund Class R5 | 4812A4807 | JTSIX  |                |      |            | 0.51000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2050 Fund Class R6 | 46641U739 | JTSYX  |                |      |            | 0.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2055 Fund Class A  | 46636U843 | JFFAX  | 4.50           |      | .25        | 0.92000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2055 Fund Class C  | 46636U835 | JFFCX  |                | 1.00 | .75        | 1.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2055 Fund Class I  | 46636U827 | JFFSX  |                |      |            | 0.66000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2055 Fund Class R2 | 46636U819 | JFFRX  |                |      | .50        | 1.16000             |                | SELL ONLY         |

| Fund Family | Fund Name                                          | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan SmartRetirement? 2055 Fund Class R5       | 46636U793 | JFFIX  |                |      |            | 0.51000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2055 Fund Class R6       | 46641U721 | JFFYX  |                |      |            | 0.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2060 Fund Class A        | 46641U473 | JAKAX  | 4.50           |      | .25        | 0.94000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2060 Fund Class C        | 46641U465 | JAKCX  |                | 1.00 | .75        | 1.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2060 Fund Class I        | 46641U457 | JAKSX  |                |      |            | 0.68000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2060 Fund Class R2       | 48127B599 | JAKZX  |                |      | .50        | 1.19000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2060 Fund Class R5       | 46641U440 | JAKIX  |                |      |            | 0.53000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2060 Fund Class R6       | 48127B565 | JAKYX  |                |      |            | 0.43000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2065 Fund Class A        | 46645V246 | JSMEY  | 4.50           |      | .25        | 1.39000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? 2065 Fund Class C        | 46645V238 | JSMHX  |                | 1.00 | .75        | 2.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2065 Fund Class I        | 46645V220 | JSMJX  |                |      |            | 1.24000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2065 Fund Class R2       | 46645V212 | JSMKX  |                |      | .50        | 3.20000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2065 Fund Class R5       | 46645V170 | JSMMX  |                |      |            | 1.01000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? 2065 Fund Class R6       | 46645V162 | JSMOX  |                |      |            | 0.89000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2025 Fund Class I  | 46636U561 | JBSSX  |                |      |            | 0.65000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2025 Fund Class R2 | 46636U553 | JBRXS  |                |      | .50        | 1.12000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2025 Fund Class R5 | 46636U546 | JBBSX  |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2025 Fund Class R6 | 46636U538 | JBYSX  |                |      |            | 0.37000             |                | SELL ONLY         |

| Fund Family | Fund Name                                          | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|----------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan SmartRetirement? Blend 2030 Fund Class I  | 46636U496 | JRBEX  |                |      |            | 0.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2030 Fund Class R2 | 46636U488 | JRBRX  |                |      | .50        | 1.10000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2030 Fund Class R5 | 46636U470 | JRBBX  |                |      |            | 0.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2030 Fund Class R6 | 46636U462 | JRBYX  |                |      |            | 0.35000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2035 Fund Class I  | 46636U439 | JPSRX  |                |      |            | 0.69000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2035 Fund Class R2 | 46636U421 | JPRRX  |                |      | .50        | 1.13000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2035 Fund Class R5 | 46636U413 | JPBRX  |                |      |            | 0.48000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2035 Fund Class R6 | 46636U397 | JPYRX  |                |      |            | 0.38000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2040 Fund Class I  | 46636U363 | JOBEX  |                |      |            | 0.64000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2040 Fund Class R2 | 46636U355 | JOBRX  |                |      | .50        | 1.13000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2040 Fund Class R5 | 46636U348 | JOBBX  |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2040 Fund Class R6 | 46636U330 | JOBYX  |                |      |            | 0.37000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2045 Fund Class I  | 46636U298 | JMSSX  |                |      |            | 0.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2045 Fund Class R2 | 46636U280 | JNARX  |                |      | .50        | 1.10000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2045 Fund Class R5 | 46636U272 | JMBRX  |                |      |            | 0.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2045 Fund Class R6 | 46636U264 | JMYAX  |                |      |            | 0.35000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2050 Fund Class I  | 46636U231 | JNEAX  |                |      |            | 0.62000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2050 Fund Class R2 | 46636U223 | JNNRX  |                |      | .50        | 1.10000             |                | SELL ONLY         |

| Fund Family | Fund Name                                            | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan SmartRetirement? Blend 2050 Fund Class R5   | 46636U215 | JNABX  |                |      |            | 0.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2050 Fund Class R6   | 46636U199 | JNYAX  |                |      |            | 0.34000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2055 Fund Class I    | 46636U165 | JPTBX  |                |      |            | 0.69000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2055 Fund Class R2   | 46636U157 | JTRBX  |                |      | .50        | 1.11000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2055 Fund Class R5   | 46636U140 | JTBBX  |                |      |            | 0.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2055 Fund Class R6   | 46636U132 | JTYBX  |                |      |            | 0.35000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2060 Fund Class I    | 48127B490 | JACSX  |                |      |            | 0.62000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2060 Fund Class R2   | 48127B516 | JATPX  |                |      | .50        | 1.13000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2060 Fund Class R5   | 48127B532 | JAABX  |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend 2060 Fund Class R6   | 48127B524 | JAAYX  |                |      |            | 0.37000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Smartretirement? Blend 2065 Fund Class I    | 46655R101 | JSBSX  |                |      |            | 2.22000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Smartretirement? Blend 2065 Fund Class R2   | 46655R200 | JSBZX  |                |      | .50        | 1.60000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Smartretirement? Blend 2065 Fund Class R5   | 46655R507 | JSMDX  |                |      |            | 0.87000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Smartretirement? Blend 2065 Fund Class R6   | 46655R606 | JSBYX  |                |      |            | 0.80000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend Income Fund Class I  | 46636U769 | JIJSX  |                |      |            | 0.74000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend Income Fund Class R2 | 46636U751 | JIRBX  |                |      | .50        | 1.13000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend Income Fund Class R5 | 46636U744 | JIBBX  |                |      |            | 0.49000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Blend Income Fund Class R6 | 46636U736 | JIYBX  |                |      |            | 0.39000             |                | SELL ONLY         |

| Fund Family | Fund Name                                                    | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan SmartRetirement? Income Fund Class A                | 4812A2199 | JSRAX  | 4.50           |      | .25        | 0.88000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SmartRetirement? Income Fund Class C                | 4812A2181 | JSRCX  |                | 1.00 | .75        | 1.37000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Income Fund Class I                | 4812A2173 | JSRSX  |                |      |            | 0.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Income Fund Class R2               | 4812A4195 | JSIZX  |                |      | .50        | 1.11000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Income Fund Class R5               | 4812A2165 | JSIIX  |                |      |            | 0.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SmartRetirement? Income Fund Class R6               | 46641U713 | JSIYX  |                |      |            | 0.36000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SMID Cap Equity Fund Class A                        | 4812C1488 | PECAX  | 5.25           |      | .25        | 1.21000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan SMID Cap Equity Fund Class C                        | 4812C1504 | ODMCX  |                | 1.00 | .75        | 1.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SMID Cap Equity Fund Class I                        | 4812C1512 | WOOPX  |                |      |            | 0.95000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan SMID Cap Equity Fund Class R6                       | 46641U572 | WOOSX  |                |      |            | 0.69000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Strategic Income Opportunities Fund Class A Shares  | 4812A4385 | JSOAX  | 3.75           |      | .25        | 1.11000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Strategic Income Opportunities Fund Class C Shares  | 4812A4377 | JSOCX  |                | 1.00 | .75        | 1.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Strategic Income Opportunities Fund Class I Shares  | 4812A4351 | JSOSX  |                |      |            | 0.86000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Strategic Income Opportunities Fund Class R5 Shares | 4812A4369 | JSORX  |                |      |            | 0.73000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Strategic Income Opportunities Fund Class R6        | 48121L114 | JSOZX  |                |      |            | 0.61000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Tax Aware Real Return Fund Class C                  | 4812A2512 | TXRCX  |                | 1.00 | .75        | 1.55000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Tax Aware Real Return Fund Class I                  | 4812A2538 | TXRIX  |                |      |            | 0.78000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Tax Aware Real Return Fund Class R6                 | 48121A126 | TXRRX  |                |      |            | 0.53000             |                | SELL ONLY         |

| Fund Family | Fund Name                                              | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Tax Free Bond Fund Class C                    | 4812C2569 | JTFCX  |                | 1.00 | .75        | 1.45000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Tax Free Bond Fund Class I                    | 4812C0456 | PRBIX  |                |      |            | 0.69000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Tax Free Bond Fund Class R6                   | 4812CA579 | RUNFX  |                |      |            | 0.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Equity Fund Class A                      | 4812A1183 | JUEAX  | 5.25           |      | .25        | 0.96000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan U.S. Equity Fund Class C                      | 4812A1167 | JUECX  |                | 1.00 | .75        | 1.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Equity Fund Class I                      | 4812A1159 | JUESX  |                |      |            | 0.71000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Equity Fund Class L                      | 4812A1142 | JMUEX  |                |      |            | 0.57000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Equity Fund Class R2                     | 4812A4278 | JUEZX  |                |      | .50        | 1.21000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Equity Fund Class R5                     | 4812A3775 | JUSRX  |                |      |            | 0.56000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Equity Fund Class R6                     | 48121L817 | JUEMX  |                |      |            | 0.46000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. GARP Equity Fund Class A                 | 4812A0227 | JIGAX  | 5.25           |      | .25        | 0.90000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. GARP Equity Fund Class C                 | 4812A0243 | JCICX  |                | 1.00 | .75        | 1.41000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. GARP Equity Fund Class I                 | 4812A2207 | JPGSX  |                |      |            | 0.65000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. GARP Equity Fund Class R2                | 4812A4310 | JIGZX  |                |      | .50        | 1.19000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. GARP Equity Fund R5                      | 4812A3759 | JGIRX  |                |      |            | 0.50000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. GARP Equity Fund R6                      | 46641U580 | JGISX  |                |      |            | 0.40000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Large Cap Core Plus Fund Class A Shares  | 4812A2413 | JLCAX  | 5.25           |      | .25        | 1.94000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Large Cap Core Plus Fund Class C Shares  | 4812A2397 | JLPCX  |                | 1.00 | .75        | 2.44000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Large Cap Core Plus Fund Class I Shares  | 4812A2389 | JLPSX  |                |      |            | 1.69000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Large Cap Core Plus Fund Class R2 Shares | 4812A4260 | JLPZX  |                |      | .50        | 2.20000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Large Cap Core Plus Fund Class R5 Shares | 4812A3734 | JCPRX  |                |      |            | 1.54000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Large Cap Core Plus Fund Class R6        | 48129C603 | JLPYX  |                |      |            | 1.43000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Research Enhanced Equity Fund Class A    | 4812A1845 | JDEAX  | 5.25           |      | .25        | 0.84000             |                | BUY,SELL          |

| Fund Family | Fund Name                                              | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|--------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan U.S. Research Enhanced Equity Fund Class I    | 4812A1860 | JDESX  |                |      |            | 0.58000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Research Enhanced Equity Fund Class R6   | 4812A1837 | JDEUX  |                |      |            | 0.33000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class A               | 4812A4641 | JTUAX  | 5.25           |      | .25        | 1.22000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class C               | 4812A4625 | JTUCX  |                | 1.00 | .75        | 1.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class I               | 4812A1712 | JSCSX  |                |      |            | 0.97000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class L               | 4812A1696 | JUSSX  |                |      |            | 0.82000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class R2              | 48121L353 | JSCZX  |                |      | .50        | 1.48000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class R5              | 48127B433 | JUSYX  |                |      |            | 0.81000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Small Company Fund Class R6              | 48121L346 | JUSMX  |                |      |            | 0.72000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Sustainable Leaders Fund Class A Shares  | 4812A0250 | JICAX  | 5.25           |      | .25        | 0.97000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan U.S. Sustainable Leaders Fund Class C Shares  | 4812A0276 | JICCX  |                | 1.00 | .75        | 1.48000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Sustainable Leaders Fund Class I Shares  | 4812A1308 | JISX   |                |      |            | 0.72000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Sustainable Leaders Fund Class R6 Shares | 48129C736 | JIGX   |                |      |            | 0.47000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Value Fund Class A                       | 4812A1456 | VGRIX  | 5.25           |      | .25        | 1.00000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan U.S. Value Fund Class C                       | 4812A1431 | VGICX  |                | 1.00 | .75        | 1.49000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Value Fund Class I                       | 4812A1464 | VGIIIX |                |      |            | 0.74000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Value Fund Class R2                      | 46641U556 | VGRTX  |                |      | .50        | 1.24000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Value Fund Class R5                      | 46641U549 | VGIFX  |                |      |            | 0.59000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan U.S. Value Fund Class R6                      | 46641U564 | VGINX  |                |      |            | 0.49000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Ultra-Short Municipal Fund Class A            | 46641U499 | USMSX  |                |      | .25        | 0.76000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Ultra-Short Municipal Fund Class I            | 46641U481 | USMTX  |                |      |            | 0.51000             |                | SELL ONLY         |

| Fund Family | Fund Name                                            | CUSIP     | Ticker | Front End Load | CDSC | 12b-1 Fees | Gross Expense Ratio | Redemption Fee | Buy/Sell Eligible |
|-------------|------------------------------------------------------|-----------|--------|----------------|------|------------|---------------------|----------------|-------------------|
| JPMorgan    | JPMorgan Value Advantage Fund Class A                | 4812A2637 | JVAAX  | 5.25           |      | .25        | 1.11000             |                | BUY,SELL          |
| JPMorgan    | JPMorgan Value Advantage Fund Class C                | 4812A2611 | JVACX  |                | 1.00 | .75        | 1.62000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Value Advantage Fund Class I                | 4812A2595 | JVASX  |                |      |            | 0.86000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Value Advantage Fund Class L                | 4812A2587 | JVAIX  |                |      |            | 0.69000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Value Advantage Fund Class R2               | 48123X207 | JGAQX  |                |      | .50        | 1.42000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Value Advantage Fund Class R5               | 46641U390 | JVARX  |                |      |            | 0.70000             |                | SELL ONLY         |
| JPMorgan    | JPMorgan Value Advantage Fund Class R6               | 46641U382 | JVAYX  |                |      |            | 0.60000             |                | SELL ONLY         |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class A  | 904504586 | UBVAX  | 5.25           |      | .25        | 1.34000             |                | BUY,SELL          |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class C  | 904504578 | UBVCX  |                | 1.00 | .75        | 1.84000             |                | SELL ONLY         |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class I  | 904504495 | UBVSX  |                |      |            | 1.09000             |                | SELL ONLY         |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class L  | 904504842 | UBVLX  |                |      |            | 0.94000             |                | SELL ONLY         |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class R2 | 904504487 | UBVRX  |                |      | .50        | 1.62000             |                | SELL ONLY         |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class R5 | 904504420 | UBVVX  |                |      |            | 0.94000             |                | SELL ONLY         |
| JPMorgan    | Undiscovered Managers Behavioral Value Fund Class R6 | 904504479 | UBVFX  |                |      |            | 0.84000             |                | SELL ONLY         |