

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
1919 Funds	1919 Financial Services Fund Class A	00777X850	SBFAX	5.75		.25	1.46000		SELL ONLY
1919 Funds	1919 Financial Services Fund Class C	00777X843	SFSLX		1.00	1.00	2.19000		SELL ONLY
1919 Funds	1919 Financial Services Fund Class I	00777X819	LMRIX				1.21000		SELL ONLY
1919 Funds	1919 Socially Responsive Balanced Fund Class A	00777X769	SSIAx	5.75		.25	0.95000		BUY,SELL
1919 Funds	1919 Socially Responsive Balanced Fund Class C	00777X751	SESLX		1.00	1.00	1.68000		SELL ONLY
1919 Funds	1919 Socially Responsive Balanced Fund Class I	00777X744	LMRNx				0.70000		SELL ONLY
Aberdeen	abrdn Dynamic Dividend Fund Class A	003022423	ADAVx	5.75			1.69000		SELL ONLY
Aberdeen	abrdn Dynamic Dividend Fund Institutional Class	003022431	ADVDX				1.43000		SELL ONLY
Aberdeen	abrdn Emerging Markets ex-China Fund Class A	003020310	GLLAX	5.75		.25	1.72000		SELL ONLY
Aberdeen	abrdn Emerging Markets ex-China Fund Class C	003020286	GLLCx		1.00	1.00	2.47000		SELL ONLY
Aberdeen	abrdn Emerging Markets ex-China Fund Institutional Class	003020260	GWLIX				1.45000		SELL ONLY
Aberdeen	abrdn Emerging Markets ex-China Fund Institutional Service Class	003020252	GLLSx				1.42000		SELL ONLY
Aberdeen	abrdn Emerging Markets Fund Class A	003021250	GEGAX	5.75		.25	1.43000		SELL ONLY
Aberdeen	abrdn Emerging Markets Fund Class C	003021243	GEGCx		1.00	1.00	2.24000		SELL ONLY
Aberdeen	abrdn Emerging Markets Fund Institutional Class	003021714	ABEMx				1.22000		SELL ONLY
Aberdeen	abrdn Emerging Markets Fund Institutional Service Class	003021656	AEMSx				1.28000		SELL ONLY
Aberdeen	abrdn Global Infrastructure Fund Class A	003022399	AIAFX	5.75		.25	1.59000		SELL ONLY
Aberdeen	abrdn Global Infrastructure Fund Institutional Class	003022415	AIFRx				1.33000		SELL ONLY
Aberdeen	abrdn High Income Opportunities Fund A	04315J878	BJBHx	3.00		.25	1.31000		SELL ONLY
Aberdeen	abrdn High Income Opportunities Fund I	04315J860	JHYIX				0.99000		SELL ONLY
Aberdeen	abrdn Infrastructure Debt Fund Class A	003021755	CUGAX	3.00		.25	1.77000		SELL ONLY
Aberdeen	abrdn Infrastructure Debt Fund Institutional Class	003021730	AGCIX				1.53000		SELL ONLY

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Aberdeen	abrdn Infrastructure Debt Fund Institutional Service Class	003021722	CGFIX				1.52000		SELL ONLY
Aberdeen	abrdn Real Estate Fund Class A	003022357	AIAGX	5.75		.25	1.67000		SELL ONLY
Aberdeen	abrdn Real Estate Fund Institutional Class	003022365	AIGYX				1.40000		SELL ONLY
Aberdeen	abrdn Short Duration High Yield Municipal Fund Class A	003022332	AAHMX	2.50		.25	1.20000		SELL ONLY
Aberdeen	abrdn Short Duration High Yield Municipal Fund Class C	003022282	ACHMX		1.00	1.00	1.91000		SELL ONLY
Aberdeen	abrdn Short Duration High Yield Municipal Fund Institutional Class	003022340	AHYMX				0.94000		SELL ONLY
Aberdeen	abrdn U.S. Small Cap Equity Fund Class A	003020716	GSXAX	5.75		.25	1.48000		SELL ONLY
Aberdeen	abrdn U.S. Small Cap Equity Fund Class C	003020682	GSXCX		1.00	1.00	2.19000		SELL ONLY
Aberdeen	abrdn U.S. Small Cap Equity Fund Institutional Class	003020666	GSCIX				1.14000		SELL ONLY
Aberdeen	abrdn U.S. Small Cap Equity Fund Institutional Service Class	003020658	GSXIX				1.18000		SELL ONLY
Aberdeen	abrdn U.S. Sustainable Leaders Fund Class A	003021425	GXXAX	5.75		.25	1.22000		SELL ONLY
Aberdeen	abrdn U.S. Sustainable Leaders Fund Institutional Class	003021383	GGLIX				0.99000		SELL ONLY
Aberdeen	abrdn U.S. Sustainable Leaders Fund Institutional Service Class	003021375	GXXIX				0.99000		SELL ONLY
Aberdeen	abrdn Ultra Short Municipal Income Fund Class A	003022316	ATOAX			.25	0.92000		SELL ONLY
Aberdeen	abrdn Ultra Short Municipal Income Fund Institutional Class	003022324	ATOIX				0.66000		SELL ONLY
Acadian Funds	Acadian Emerging Markets Portfolio Class I	00769G188	AEMZX				1.16000	2.00	SELL ONLY
Acadian Funds	Acadian Emerging Markets Portfolio Class Investor	00758M162	AEMGX				1.39000	2.00	SELL ONLY
Acadian Funds	Acadian Emerging Markets Portfolio Class Y	00769G196	AEMVX				1.26000	2.00	SELL ONLY
Alger	Alger 35 Fund Class Z	015565187	ATVPX				0.88000		SELL ONLY

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Alger	Alger AI Enablers & Adopters Fund Class A	01556Q884	AIFAX	5.25		.25	8.04000		SELL ONLY
Alger	Alger AI Enablers & Adopters Fund Class C	01556Q876	AAICX		1.00	1.00	8.80000		SELL ONLY
Alger	Alger AI Enablers & Adopters Fund Class I	01556Q835	AIFIX			.25	8.06000		SELL ONLY
Alger	Alger AI Enablers & Adopters Fund Class Y	01556Q827	AAIYX				7.79000		SELL ONLY
Alger	Alger AI Enablers & Adopters Fund Class Z	01556Q819	AAIZX				7.68000		SELL ONLY
Alger	Alger Capital Appreciation Fund Class A	015565849	ACAAX	5.25		.25	1.26000		SELL ONLY
Alger	Alger Capital Appreciation Fund Class C	015565781	ALCCX		1.00	1.00	1.97000		SELL ONLY
Alger	Alger Capital Appreciation Fund Class Z	015565419	ACAZX				0.95000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fund Class I	015570401	ALARX				1.24000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fund Class R	015570872	ACARX			.50	1.69000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fund Class Y	015570732	ACAYX				0.93000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fund Class Z-2	015570757	ACIZX				0.94000		SELL ONLY
Alger	Alger Concentrated Equity Fund Class A	01556Q702	CNEAX	5.25		.25	6.91000		SELL ONLY
Alger	Alger Concentrated Equity Fund Class C	01556Q801	CNECX		1.00	1.00	7.66000		SELL ONLY
Alger	Alger Concentrated Equity Fund Class I	01556Q868	CNEIX			.25	6.92000		SELL ONLY
Alger	Alger Concentrated Equity Fund Class Y	01556Q850	CNEYX				6.65000		SELL ONLY
Alger	Alger Concentrated Equity Fund Class Z	01556Q843	CNEZX				6.54000		SELL ONLY
Alger	Alger Dynamic Opportunities Fund Class A	015566813	SPEDX	5.25		.25	2.07000		SELL ONLY

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Alger	Alger Dynamic Opportunities Fund Class C	015566748	ADOCX		1.00	1.00	2.83000		SELL ONLY
Alger	Alger Dynamic Opportunities Fund Class Z	015566755	ADOZX				1.75000		SELL ONLY
Alger	Alger Emerging Markets Fund Class A	015566797	AAEMX	5.25		.25	2.35000		SELL ONLY
Alger	Alger Emerging Markets Fund Class C	015566789	ACEMX		1.00	1.00	2.98000		SELL ONLY
Alger	Alger Emerging Markets Fund Class I	015566771	AIEMX			.25	2.18000		SELL ONLY
Alger	Alger Emerging Markets Fund Class Z	015566730	AZEMX				1.97000		SELL ONLY
Alger	Alger Focus Equity Fund Class A	015570799	ALAFX	5.25		.25	0.95000		BUY,SELL
Alger	Alger Focus Equity Fund Class C	015570781	ALCFX		1.00	1.00	1.72000		SELL ONLY
Alger	Alger Focus Equity Fund Class I	015570302	ALGRX				0.94000		SELL ONLY
Alger	Alger Focus Equity Fund Class Y	015570724	ALGYX				0.63000		SELL ONLY
Alger	Alger Focus Equity Fund Class Z	015570773	ALZFX				0.64000		SELL ONLY
Alger	Alger Global Equity Fund Class A	015549108	CHUSX	5.25		.25	2.54000		SELL ONLY
Alger	Alger Global Equity Fund Class C	015549207	CHUCX		1.00	1.00	2.87000		SELL ONLY
Alger	Alger Global Equity Fund Class I	015549306	AFGIX			.25	2.32000		SELL ONLY
Alger	Alger Global Equity Fund Class Z	015549405	AFGZX				2.26000		SELL ONLY
Alger	Alger Growth & Income Fund Class A	015565831	ALBAX	5.25		.25	0.95000		SELL ONLY
Alger	Alger Growth & Income Fund Class C	015565773	ALBCX		1.00	1.00	1.70000		SELL ONLY
Alger	Alger Growth & Income Fund Class Z	015565351	AGIZX				0.64000		SELL ONLY
Alger	Alger Health Sciences Fund Class A	015565765	AHSAX	5.25		.25	1.18000		SELL ONLY
Alger	Alger Health Sciences Fund Class C	015565740	AHSCX		1.00	1.00	1.90000		SELL ONLY
Alger	Alger Health Sciences Fund Class Z	015565328	AHSZX				0.89000		SELL ONLY
Alger	Alger International Opportunities Fund Class A	015565856	ALGAX	5.25		.25	1.30000		SELL ONLY
Alger	Alger International Opportunities Fund Class B	015565609	AFGPX		5.00	1.00	2.00000		SELL ONLY
Alger	Alger International Opportunities Fund Class C	015565799	ALGCX		1.00	1.00	1.92000		SELL ONLY
Alger	Alger International Opportunities Fund Class I	015565344	AIGIX			.25	1.33000		SELL ONLY
Alger	Alger International Opportunities Fund Class Z	015565393	ALCZX				0.99000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class A	015565120	ALOAX	5.25		.25	1.19000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class C	015565112	ALOCX		1.00	1.00	1.97000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class I	015565179	AFOIX			.25	1.11000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class Y	015565138	ALOYX				0.87000		SELL ONLY

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Alger	Alger Mid Cap Focus Fund Class Z	015565161	AFOZX				0.87000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class A	015565864	AMGAX	5.25		.25	1.27000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class B	015565807	AMCGX		5.00	1.00	1.99000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class C	015565815	AMGCX		1.00	1.00	2.01000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class Z	015565336	AMCZX				0.98000		SELL ONLY
Alger	Alger Mid Cap Growth Institutional Fund Class I	015570203	ALMRX				1.39000		SELL ONLY
Alger	Alger Mid Cap Growth Institutional Fund Class R	015570807	AGIRX			.50	1.85000		SELL ONLY
Alger	Alger MidCap Growth Institutional Fund Class Z-2	015570740	ALMZX				1.06000		SELL ONLY
Alger	Alger Responsible Investing Fund Class A	015566409	SPEGX	5.25		.25	1.31000		SELL ONLY
Alger	Alger Responsible Investing Fund Class C	015566508	AGFCX		1.00	1.00	2.03000		SELL ONLY
Alger	Alger Responsible Investing Fund Class I	015566607	AGIFX			.25	1.32000		SELL ONLY
Alger	Alger Responsible Investing Fund Class Z	015566698	ALGZX				0.99000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class A	015565450	AOFAX	5.25		.25	1.22000		BUY,SELL
Alger	Alger Small Cap Focus Fund Class C	015565443	AOFCX		1.00	1.00	1.94000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class I	015565435	AOFIX			.25	1.15000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class Y	015565229	AOFYX				0.89000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class Z	015565369	AGOZX				0.89000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class A	015565872	ALSAX	5.25		.25	1.34000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class B	015565500	ALSCX		5.00	1.00	2.04000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class C	015565823	AGSCX		1.00	1.00	2.13000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class Y	01556Q108	ASCYX				1.02000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class Z	015565377	ASCZX				1.03000		SELL ONLY
Alger	Alger Small Cap Growth Institutional Fund Class I	015570104	ALSRX				1.41000		SELL ONLY
Alger	Alger Small Cap Growth Institutional Fund Class R	015570708	ASIRX			.50	1.88000		SELL ONLY

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Alger	Alger SmallCap Growth Institutional Fund Class Z-2	015570765	AISZX				1.11000		SELL ONLY
Alger	Alger Spectra Fund Class A	015566102	SPECX	5.25		.25	1.52000		BUY,SELL
Alger	Alger Spectra Fund Class C	015566201	ASPCX		1.00	1.00	2.25000		SELL ONLY
Alger	Alger Spectra Fund Class I	015566300	ASPIX			.25	1.50000		SELL ONLY
Alger	Alger Spectra Fund Class Y	015566623	ASPYX				1.20000		SELL ONLY
Alger	Alger Spectra Fund Class Z	015566763	ASPZX				1.22000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class A	015565732	ALMAX	5.25		.25	1.26000		BUY,SELL
Alger	Alger Weatherbie Specialized Growth Fund Class I	015565468	ASIMX			.25	1.28000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class Y	015565211	ASYMX				0.96000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class Z	015565385	ASMZX				0.96000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth FundClass C	015565716	ALMCX		1.00	1.00	2.03000		SELL ONLY
AllianceBernstein	AB All China Equity Portfolio Advisor Class	002542207	ACEYX				1.60000		SELL ONLY
AllianceBernstein	AB All China Equity Portfolio Class A	002542108	ACEAX	4.25		.25	1.86000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Advisor Class	018528422	AMTYX				1.01000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Class A	018528430	AMTAX	4.25		.25	1.25000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Class C	018528414	ACMTX		1.00	1.00	2.03000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Class Z	018528125	AMTZX				0.92000		SELL ONLY
AllianceBernstein	AB All Market Total Return Portfolio Advisor Class	01877F625	ABWYX				0.90000		SELL ONLY
AllianceBernstein	AB All Market Total Return Portfolio Class A	01877F658	ABWAX	4.25		.25	1.15000		BUY,SELL
AllianceBernstein	AB All Market Total Return Portfolio Class C	01877F633	ABWCX		1.00	1.00	1.91000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Advisor Class	018528562	ABNYX				0.83000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Class A	018528570	ABNAX	2.25		.25	1.08000		SELL ONLY

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AllianceBernstein	AB Bond Inflation Strategy Class C	018528554	ABNCX		1.00	1.00	1.83000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Fund Class Z	01881M475	ABNZX				0.73000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Advisor Class	01878T848	WPSGX				0.75000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Class A	01878T707	WPASX	4.25		.25	1.00000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Class C	01878T806	WPCSX		1.00	1.00	1.75000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Class Z	01878T855	WPSZX				0.74000		SELL ONLY
AllianceBernstein	AB Concentrated International Growth Portfolio Advisor Class	01878T475	CIGYX				1.06000		SELL ONLY
AllianceBernstein	AB Concentrated International Growth Portfolio Class A	01878T491	CIAGX	4.25		.25	1.31000		SELL ONLY
AllianceBernstein	AB Concentrated International Growth Portfolio Class C	01878T483	CICGX		1.00	1.00	2.08000		SELL ONLY
AllianceBernstein	AB Core Bond Portfolio Class Z	085568392	IDPZX				0.78000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Advisor Class	01879K705	ADGYX				0.92000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Class A	01879K101	ADGAX	4.25		.25	1.17000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Class C	01879K309	ADGCX		1.00	1.00	1.92000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Class Z	01879K804	ADGZX				0.85000		SELL ONLY
AllianceBernstein	AB Discovery Growth Fund Advisor Class	018636407	CHCYX				0.70000		SELL ONLY
AllianceBernstein	AB Discovery Growth Fund Class A	018636100	CHCLX	4.25		.23	0.93000		BUY,SELL
AllianceBernstein	AB Discovery Growth Fund Class C	018636308	CHCCX		1.00	1.00	1.70000		SELL ONLY
AllianceBernstein	AB Discovery Growth Fund Class Z	018636803	CHCZX				0.67000		SELL ONLY
AllianceBernstein	AB Discovery Value Fund Advisor Class	018914408	ABYSX				0.84000		SELL ONLY
AllianceBernstein	AB Discovery Value Fund Class A	018914101	ABASX	4.25		.25	1.09000		BUY,SELL
AllianceBernstein	AB Discovery Value Fund Class C	018914309	ABCSX		1.00	1.00	1.84000		SELL ONLY
AllianceBernstein	AB Discovery Value Fund Class Z	018914804	ABSZX				0.81000		SELL ONLY
AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio Advisor Class	01877E545	ABYEX			.00	1.40000		SELL ONLY
AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio Class A	01877E552	ABAEX	4.25		.25	1.65000		SELL ONLY

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AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio Class C	01877E537	ABCEX		1.00	1.00	2.42000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Advisor Class	018791400	AUIYX				0.65000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Class A	018791103	AUIAX	4.25		.25	0.90000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Class C	018791301	AUICX		1.00	1.00	1.65000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Class Z	018791806	AUIZX				0.61000		SELL ONLY
AllianceBernstein	AB Global Bond Fund Advisor Class	01853W709	ANAYX				0.55000		SELL ONLY
AllianceBernstein	AB Global Bond Fund Class A	01853W105	ANAGX	4.25		.25	0.80000		BUY,SELL
AllianceBernstein	AB Global Bond Fund Class C	01853W303	ANACX		1.00	1.00	1.55000		SELL ONLY
AllianceBernstein	AB Global Bond Fund Class Z	01853W808	ANAZX				0.52000		SELL ONLY
AllianceBernstein	AB Global Core Equity Portfolio Advisor Class	01878T244	GCEYX				0.78000		SELL ONLY
AllianceBernstein	AB Global Core Equity Portfolio Class A	01878T269	GCEAX	4.25		.25	1.03000		SELL ONLY
AllianceBernstein	AB Global Core Equity Portfolio Class C	01878T251	GCECX		1.00	1.00	1.78000		SELL ONLY
AllianceBernstein	AB Global Risk Allocation Fund Class A	018525105	CABNX	4.25		.25	1.43000		SELL ONLY
AllianceBernstein	AB Global Risk Allocation Fund Class Adv	018525501	CBSYX				1.18000		SELL ONLY
AllianceBernstein	AB Global Risk Allocation Fund Class C	018525402	CBACX		1.00	1.00	2.19000		SELL ONLY
AllianceBernstein	AB Growth Fund Advisor Class	01877F757	AGRYX				0.85000		SELL ONLY
AllianceBernstein	AB Growth Fund Class A	01877F401	AGRFX	4.25		.25	1.10000		BUY,SELL
AllianceBernstein	AB Growth Fund Class C	01877F609	AGRCX		1.00	1.00	1.85000		SELL ONLY
AllianceBernstein	AB High Income Fund Advisor Class	01859M408	AGDYX				0.65000		SELL ONLY
AllianceBernstein	AB High Income Fund Class A	01859M101	AGDAX	4.25		.25	0.90000		BUY,SELL
AllianceBernstein	AB High Income Fund Class C	01859M309	AGDCX		1.00	1.00	1.64000		SELL ONLY
AllianceBernstein	AB High Income Fund Class Z	01859M804	AGDZX				0.59000		SELL ONLY
AllianceBernstein	AB High Income Municipal Portfolio Advisor Class	018642744	ABTYX				0.87000		SELL ONLY
AllianceBernstein	AB High Income Municipal Portfolio Class C	018642736	ABTFX		1.00	1.00	1.87000		SELL ONLY

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AllianceBernstein	AB High Income Municipal Portfolio Class Z	018642728	ABTZX				0.87000		SELL ONLY
AllianceBernstein	AB Income Fund Advisor Class	01881M442	ACGYX				0.60000		SELL ONLY
AllianceBernstein	AB Income Fund Class A	01881M467	AKGAX	4.25		.25	0.85000		SELL ONLY
AllianceBernstein	AB Income Fund Class C	01881M459	AKGCX		1.00	1.00	1.60000		SELL ONLY
AllianceBernstein	AB Intermediate Diversified Municipal Portfolio Advisor Class	085568483	AIDYX				0.44000		SELL ONLY
AllianceBernstein	AB Intermediate Diversified Municipal Portfolio Class C	085568756	AIMCX		1.00	1.00	1.44000		SELL ONLY
AllianceBernstein	AB Intermediate Diversified Municipal Portfolio Class Z	085568426	AIDZX				0.41000		SELL ONLY
AllianceBernstein	AB International Value Fund Advisor Class	018913400	ABIYX				1.50000		SELL ONLY
AllianceBernstein	AB International Value Fund Class A	018913103	ABIAx	4.25		.25	1.75000		SELL ONLY
AllianceBernstein	AB International Value Fund Class C	018913301	ABICX		1.00	1.00	2.52000		SELL ONLY
AllianceBernstein	AB Large Cap Growth Fund Advisor Class	01877C408	APGYX				0.55000		SELL ONLY
AllianceBernstein	AB Large Cap Growth Fund Class A	01877C101	APGAX	4.25		.25	0.80000		BUY,SELL
AllianceBernstein	AB Large Cap Growth Fund Class C	01877C309	APGCX		1.00	1.00	1.55000		SELL ONLY
AllianceBernstein	AB Large Cap Growth Fund Class Z	01878H885	APGZX				0.50000		SELL ONLY
AllianceBernstein	AB Large Cap Value Fund Advisor Class	018915405	ABVYX				0.64000		SELL ONLY
AllianceBernstein	AB Large Cap Value Fund Class A	018915108	ABVAX	4.25		.25	0.89000		SELL ONLY
AllianceBernstein	AB Large Cap Value Fund Class C	018915306	ABVCX		1.00	1.00	1.65000		SELL ONLY
AllianceBernstein	AB Municipal Bond Inflation Strategy Advisor Class	018528471	AUNYX				0.59000		SELL ONLY
AllianceBernstein	AB Municipal Bond Inflation Strategy Class C	018528463	AUNCX		1.00	1.00	1.59000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund California Portfolio Advisor Class	018642785	ALCVX				0.52000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund California Portfolio Class C	018642850	ACACX		1.00	1.00	1.52000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Massachusetts Portfolio Advisor Class	01864E110	AMAYX				0.64000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Massachusetts Portfolio Class A	01864E763	AMAAX	3.00		.25	0.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
AllianceBernstein	AB Municipal Income Fund II Massachusetts Portfolio Class C	01864E748	AMACX		1.00	1.00	1.64000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Virginia Portfolio Advisor Class	01864E128	AVAYX				0.66000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Virginia Portfolio Class C	01864E680	AVACX		1.00	1.00	1.66000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund National Portfolio Advisor Class	018642819	ALTVX				0.53000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund National Portfolio Class C	018642835	ALNCX		1.00	1.00	1.53000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund New York Portfolio Advisor Class	018642769	ALNVX				0.58000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund New York Portfolio Class C	018642868	ANYCX		1.00	1.00	1.58000		SELL ONLY
AllianceBernstein	AB Relative Value Fund Advisor Class	018597500	CBBYX				0.69000		SELL ONLY
AllianceBernstein	AB Relative Value Fund Class A	018597104	CABDX	4.25		.25	0.94000		BUY,SELL
AllianceBernstein	AB Relative Value Fund Class C	018597401	CBBCX		1.00	1.00	1.69000		SELL ONLY
AllianceBernstein	AB Relative Value Fund Class Z	018597880	CBBZX				0.63000		SELL ONLY
AllianceBernstein	AB Select US Equity Portfolio Advisor Class	01877E297	AUUYX				1.24000		SELL ONLY
AllianceBernstein	AB Select US Equity Portfolio Class A	01877E313	AUUAX	4.25		.25	1.49000		SELL ONLY
AllianceBernstein	AB Select US Equity Portfolio Class C	01877E289	AUUCX		1.00	1.00	2.24000		SELL ONLY
AllianceBernstein	AB Select US Long/Short Portfolio Advisor Class	01878T202	ASYLX				1.72000		SELL ONLY
AllianceBernstein	AB Select US Long/Short Portfolio Class A	01878T103	ASLAX	4.25		.25	1.97000		SELL ONLY
AllianceBernstein	AB Select US Long/Short Portfolio Class C	01878T301	ASCLX		1.00	1.00	2.72000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Advisor Class	01877E503	QUAYX				0.87000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Class A	01877E107	QUASX	4.25		.25	1.12000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Class C	01877E404	QUACX		1.00	1.00	1.88000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Class Z	01878H802	QUAZX				0.78000		SELL ONLY
AllianceBernstein	AB Small Cap Value Portfolio Advisor Class	01878T558	SCYVX				0.92000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
AllianceBernstein	AB Small Cap Value Portfolio Class A	01878T574	SCAVX	4.25		.25	1.17000		SELL ONLY
AllianceBernstein	AB Small Cap Value Portfolio Class C	01878T566	SCCVX		1.00	1.00	1.92000		SELL ONLY
AllianceBernstein	AB Sustainable Global Thematic Fund Advisor Class	018780502	ATEYX				0.79000		SELL ONLY
AllianceBernstein	AB Sustainable Global Thematic Fund Class A	018780106	ALTFX	4.25		.25	1.04000		BUY,SELL
AllianceBernstein	AB Sustainable Global Thematic Fund Class C	018780403	ATECX		1.00	1.00	1.79000		SELL ONLY
AllianceBernstein	AB Sustainable Global Thematic Fund Class Z	018780874	ATEZX				0.73000		SELL ONLY
AllianceBernstein	AB Sustainable International Thematic Fund Advisor Class	01879X400	AWPYX				0.79000		SELL ONLY
AllianceBernstein	AB Sustainable International Thematic Fund Class A	01879X103	AWPAX	4.25		.25	1.04000		BUY,SELL
AllianceBernstein	AB Sustainable International Thematic Fund Class C	01879X301	AWPCX		1.00	1.00	1.80000		SELL ONLY
AllianceBernstein	AB Sustainable International Thematic Fund Class Z	01879X806	AWPZX				0.78000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Balanced Portfolio Advisor Class	01877F575	ABPYX				1.00000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Balanced Portfolio Class A	01877F617	ABPAX	4.25		.25	1.25000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Balanced Portfolio Class C	01877F583	ABPCX		1.00	1.00	2.00000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Credit Portfolio Advisor Class	018529602	STHYX				0.64000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Credit Portfolio Class A	018529503	STHAX	4.44		.25	0.89000		SELL ONLY
AllianceBernstein	AB Tax-Aware Fixed Income Opportunities Portfolio Advisor Class	018528133	ATTYX				0.91000		SELL ONLY
AllianceBernstein	AB Tax-Aware Fixed Income Opportunities Portfolio Class A	018528174	ATTAX	3.00		.25	1.16000		SELL ONLY
AllianceBernstein	AB Tax-Aware Fixed Income Opportunities Portfolio Class C	018528166	ATCCX		1.00	1.00	1.91000		SELL ONLY
AllianceBernstein	AB Tax-Managed Wealth Appreciation Strategy Advisor Class	01877F534	ATWYX				1.01000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
AllianceBernstein	AB Tax-Managed Wealth Appreciation Strategy Class A	01877F567	ATWAX	4.25		.25	1.26000		SELL ONLY
AllianceBernstein	AB Tax-Managed Wealth Appreciation Strategy Class C	01877F542	ATWCX		1.00	1.00	2.02000		SELL ONLY
AllianceBernstein	AB Wealth Appreciation Strategy Advisor Class	01877F666	AWAYX				1.02000		SELL ONLY
AllianceBernstein	AB Wealth Appreciation Strategy Class A	01877F690	AWAAX	4.25		.25	1.27000		SELL ONLY
AllianceBernstein	AB Wealth Appreciation Strategy Class C	01877F674	AWACX		1.00	1.00	2.03000		SELL ONLY
AllianceBernstein	Bernstein International Strategic Equities Portfolio Class SCB	085567105	STESX				0.95000		SELL ONLY
AllianceBernstein	The AB Portfolios - AB Sustainable Thematic Balanced Portfolio Class Z	01877F385	ABPZX				0.94000		SELL ONLY
Allspring Global Investments	Allspring Absolute Return Fund - Class A	94987W109	WARAX	5.75		.00	1.20000		SELL ONLY
Allspring Global Investments	Allspring Absolute Return Fund - Class C	94987W208	WARC X		1.00	.75	1.95000		SELL ONLY
Allspring Global Investments	Allspring Absolute Return Fund - Class Inst	94987W737	WABIX			.00	0.88000		SELL ONLY
Allspring Global Investments	Allspring Absolute Return Fund - Class R6	94988A692	WARR X			.00	0.78000		SELL ONLY
Allspring Global Investments	Allspring Alternative Risk Premia Fund Class R6	94988V241	WRPR X			.00	0.95000		SELL ONLY
Allspring Global Investments	Allspring Alternative Risk Premia Fund Institutional Class	94988V258	WRPIX			.00	1.05000		SELL ONLY
Allspring Global Investments	Allspring Asset Allocation Fund - Class A	94985D442	EAAFX	5.75		.00	1.20000		SELL ONLY
Allspring Global Investments	Allspring Asset Allocation Fund - Class Admin	94985D418	EAIFX			.00	1.13000		SELL ONLY
Allspring Global Investments	Allspring Asset Allocation Fund - Class C	94985D426	EACFX		1.00	.75	1.95000		SELL ONLY
Allspring Global Investments	Allspring Asset Allocation Fund - Class Inst	94987W745	EAAIX			.00	0.88000		SELL ONLY
Allspring Global Investments	Allspring California Limited-Term Tax-Free Fund - Class A	94975H668	SFCIX	2.00		.00	0.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring California Limited-Term Tax-Free Fund - Institutional Class	94988A742	SFCNX			.00	0.55000		SELL ONLY
Allspring Global Investments	Allspring California Tax-Free Fund - Class A	94975H718	SCTAX	4.50		.00	0.84000		SELL ONLY
Allspring Global Investments	Allspring California Tax-Free Fund - Class C	94975H684	SCTCX		1.00	.75	1.59000		SELL ONLY
Allspring Global Investments	Allspring California Tax-Free Fund - Class Inst	94988A734	SGTIX			.00	0.52000		SELL ONLY
Allspring Global Investments	Allspring Common Stock Fund - Class A	949915441	SCSAX	5.75		.00	1.27000		SELL ONLY
Allspring Global Investments	Allspring Common Stock Fund - Class Inst	94975P611	SCNSX			.00	0.95000		SELL ONLY
Allspring Global Investments	Allspring Common Stock Fund - Class R6	94987W554	SCSRX			.00	0.85000		SELL ONLY
Allspring Global Investments	Allspring Core Bond Fund - Class A	94975J631	MBFAX	4.50		.00	0.80000		SELL ONLY
Allspring Global Investments	Allspring Core Bond Fund - Class Admin	94975J599	MNTRX			.00	0.75000		SELL ONLY
Allspring Global Investments	Allspring Core Bond Fund - Class C	94975J615	MBFCX		1.00	.75	1.55000		SELL ONLY
Allspring Global Investments	Allspring Core Bond Fund - Class R6	94987W794	WTRIX			.00	0.43000		SELL ONLY
Allspring Global Investments	Allspring Core Bond Fund - Institutional Class	94975J581	MBFIX			.00	0.48000		SELL ONLY
Allspring Global Investments	Allspring Core Plus Bond Fund Class A	94975H791	STYAX	4.50		.00	0.81000		BUY,SELL
Allspring Global Investments	Allspring Core Plus Bond Fund Class Admin	94975P835	WIPDX			.00	0.76000		SELL ONLY
Allspring Global Investments	Allspring Core Plus Bond Fund Class C	94975H775	WFIPX		1.00	.75	1.56000		SELL ONLY
Allspring Global Investments	Allspring Core Plus Bond Fund Class Institutional	94984B694	WIPIX			.00	0.47000		SELL ONLY
Allspring Global Investments	Allspring Core Plus Bond Fund Class R6	94990B308	STYJX			.00	0.42000		SELL ONLY
Allspring Global Investments	Allspring Disciplined Small Cap Fund Class A	94975G512	WDSAX	5.75		.00	1.28000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Disciplined Small Cap Fund Class R6	94990B506	WSCJX			.00	0.86000		SELL ONLY
Allspring Global Investments	Allspring Disciplined Small Cap Fund Institutional Class	94988A718	WSCO X			.00	0.96000		SELL ONLY
Allspring Global Investments	Allspring Disciplined U.S. Core Fund - Class A	94984B173	EVSAX	5.75		.00	0.84000		SELL ONLY
Allspring Global Investments	Allspring Disciplined U.S. Core Fund - Class C	94984B165	EVSTX		1.00	.75	1.59000		SELL ONLY
Allspring Global Investments	Allspring Disciplined U.S. Core Fund - Class Inst	94975P728	EVSIX			.00	0.52000		SELL ONLY
Allspring Global Investments	Allspring Disciplined U.S. Core Fund - Class R6	94988V308	EVSIX			.00	0.42000		SELL ONLY
Allspring Global Investments	Allspring Diversified Capital Builder Fund - Class A	94985D384	EKBAX	5.75		.00	1.08000		SELL ONLY
Allspring Global Investments	Allspring Diversified Capital Builder Fund - Class C	94985D368	EKBCX		1.00	.75	1.83000		SELL ONLY
Allspring Global Investments	Allspring Diversified Capital Builder Fund - Class Inst	94985D350	EKBYX			.00	0.76000		SELL ONLY
Allspring Global Investments	Allspring Diversified Income Builder Fund - Class A	94985D343	EKSAX	5.75		.00	1.19000		SELL ONLY
Allspring Global Investments	Allspring Diversified Income Builder Fund - Class C	94985D327	EKSCX		1.00	.75	1.94000		SELL ONLY
Allspring Global Investments	Allspring Diversified Income Builder Fund - Class Inst	94985D319	EKSYX			.00	0.87000		SELL ONLY
Allspring Global Investments	Allspring Diversified Income Builder Fund - Class R6	94988V431	EKSRX			.00	0.77000		SELL ONLY
Allspring Global Investments	Allspring Emerging Growth Fund - Class A	949921720	WEMA X	5.75		.00	1.40000		SELL ONLY
Allspring Global Investments	Allspring Emerging Growth Fund - Class Inst	949921688	WEMIX			.00	1.08000		SELL ONLY
Allspring Global Investments	Allspring Emerging Growth Fund - Class R6	94988V423	WEGR X			.00	0.98000		SELL ONLY
Allspring Global Investments	Allspring Emerging Markets Equity Advantage Fund - Class A	94975P413	EQIAX	5.75		.00	1.61000		SELL ONLY
Allspring Global Investments	Allspring Emerging Markets Equity Advantage Fund - Class Inst	94975P371	EQIIX			.00	1.29000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Emerging Markets Equity Advantage Fund - Class R6	94988V704	EQIRX			.00	1.19000		SELL ONLY
Allspring Global Investments	Allspring Emerging Markets Equity Fund - Class A	94984B389	EMGAX	5.75		.00	1.52000		SELL ONLY
Allspring Global Investments	Allspring Emerging Markets Equity Fund - Class Inst	94975P751	EMGNX			.00	1.20000		SELL ONLY
Allspring Global Investments	Allspring Emerging Markets Equity Fund - Class R6	94987W539	EMGDX			.00	1.10000		SELL ONLY
Allspring Global Investments	Allspring Government Securities Fund - Class A	949917553	SGV DX	4.50		.00	0.91000		SELL ONLY
Allspring Global Investments	Allspring Government Securities Fund - Class Inst	949917579	SGVIX			.00	0.59000		SELL ONLY
Allspring Global Investments	Allspring Growth Fund - Class A	949915672	SGRAX	5.75		.00	1.18000		SELL ONLY
Allspring Global Investments	Allspring Growth Fund - Class Admin	949915698	SGRKX			.00	1.11000		SELL ONLY
Allspring Global Investments	Allspring Growth Fund - Class Inst	949915714	SGRNX			.00	0.86000		SELL ONLY
Allspring Global Investments	Allspring Growth Fund - Class R6	94988V803	SGRHX			.00	0.76000		SELL ONLY
Allspring Global Investments	Allspring High Yield Bond Fund - Class A	94985D210	EKHAX	4.50		.00	1.02000		SELL ONLY
Allspring Global Investments	Allspring High Yield Bond Fund - Class Inst	94988A726	EKHIX			.00	0.73000		SELL ONLY
Allspring Global Investments	Allspring High Yield Municipal Bond Fund - Class A	94988A106	WHYMX	4.50		.00	1.02000		SELL ONLY
Allspring Global Investments	Allspring High Yield Municipal Bond Fund - Class C	94988A205	WHYCX		1.00	.75	1.77000		SELL ONLY
Allspring Global Investments	Allspring High Yield Municipal Bond Fund - Class Inst	94988A403	WHYIX			.00	0.70000		SELL ONLY
Allspring Global Investments	Allspring High Yield Municipal Bond Fund - Class R6	94988V415	EKHRX			.00	0.65000		SELL ONLY
Allspring Global Investments	Allspring Income Plus Fund - Class A	94988A502	WSIAX	4.00		.00	1.03000		SELL ONLY
Allspring Global Investments	Allspring Income Plus Fund - Class Inst	94988A809	WSINX			.00	0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Index Asset Allocation Fund - Class A	94975H247	SFAAX	5.75		.00	1.11000		SELL ONLY
Allspring Global Investments	Allspring Index Asset Allocation Fund - Class Admin	94975H213	WFAIX			.00	1.04000		SELL ONLY
Allspring Global Investments	Allspring Index Asset Allocation Fund - Class C	94975H221	WFALX		1.00	.75	1.86000		SELL ONLY
Allspring Global Investments	Allspring Index Asset Allocation Fund Institutional Class	94990B704	WFATX			.00	0.79000		SELL ONLY
Allspring Global Investments	Allspring Index Fund - Class A	94984B777	WFILX	5.75		.00	0.63000		SELL ONLY
Allspring Global Investments	Allspring Index Fund - Class Admin	94975G686	WFIOX			.00	0.41000		SELL ONLY
Allspring Global Investments	Allspring Index Fund - Class C	94984B561	WFINX		1.00	.75	1.38000		SELL ONLY
Allspring Global Investments	Allspring Innovation Fund - Class A	94975H148	WFSTX	5.75		.00	1.30000		SELL ONLY
Allspring Global Investments	Allspring Innovation Fund - Class Institutional	94990B878	WFTIX			.00	0.98000		SELL ONLY
Allspring Global Investments	Allspring Intermediate Tax/AMT-Free Fund - Class C	949921852	WFTFX		1.00	.75	1.57000		SELL ONLY
Allspring Global Investments	Allspring Intermediate Tax/AMT-Free Fund - Class Inst	949921662	WITIX			.00	0.50000		SELL ONLY
Allspring Global Investments	Allspring Intermediate Tax/AMT-Free Fund - Class R6	94988V399	WFRTX			.00	0.45000		SELL ONLY
Allspring Global Investments	Allspring International Equity Fund - Class A	949915177	WFEAX	5.75		.00	1.40000		SELL ONLY
Allspring Global Investments	Allspring International Equity Fund - Class Inst	94984B348	WFENX			.00	1.08000		SELL ONLY
Allspring Global Investments	Allspring International Equity Fund - Class R6	94988V886	WFEHX			.00	0.98000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Core Fund - Class A	94984B553	EGOAX	5.75		.00	1.20000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Core Fund - Class C	94984B546	EGOCX		1.00	.75	1.95000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Core Fund - Class Inst	94984B520	EGOIX			.00	0.88000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Large Cap Core Fund - Class R6	94988V860	EGORX			.00	0.78000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Growth Fund - Class A	94975P462	STAFX	5.75		.00	1.17000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Growth Fund - Class Admin	94975P546	STDFX			.00	1.10000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Growth Fund - Class R6	94987W828	STFFX			.00	0.75000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Growth Fund - Institutional Class	94975P512	STNFX			.00	0.85000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Value CL A	94975J326	CBEAX	5.75		.00	0.85000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Value CL R6	94990B100	CBEJX			.00	0.43000		SELL ONLY
Allspring Global Investments	Allspring Large Cap Value Inst	94975J268	CBLSX			.00	0.53000		SELL ONLY
Allspring Global Investments	Allspring Mid Cap Growth Fund - Class A	949915375	SENAX	5.75		.00	1.23000		SELL ONLY
Allspring Global Investments	Allspring Mid Cap Growth Fund - Class Inst	949915367	WFEIX			.00	0.91000		SELL ONLY
Allspring Global Investments	Allspring Mid Cap Growth Fund - Class R6	94988A684	WENRX			.00	0.81000		SELL ONLY
Allspring Global Investments	Allspring Minnesota Tax-Free Fund - Class A	94975H593	NMTFX	4.50		.00	0.91000		SELL ONLY
Allspring Global Investments	Allspring Minnesota Tax-Free Fund Institutional Class	94990B803	WMTIX			.00	0.59000		SELL ONLY
Allspring Global Investments	Allspring Municipal Bond Fund - Class A	949917843	WMFA X	4.50		.00	0.74000		SELL ONLY
Allspring Global Investments	Allspring Municipal Bond Fund - Class Admin	949917793	WMFD X			.00	0.69000		SELL ONLY
Allspring Global Investments	Allspring Municipal Bond Fund - Class C	949917827	WMFC X		1.00	.75	1.49000		SELL ONLY
Allspring Global Investments	Allspring Municipal Bond Fund - Class Inst	949921670	WMBIX			.00	0.42000		SELL ONLY
Allspring Global Investments	Allspring Municipal Bond Fund - Class R6	94988V365	WMBR X			.00	0.37000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Opportunity Fund - Class A	949915458	SOPVX	5.75		.00	1.19000		SELL ONLY
Allspring Global Investments	Allspring Opportunity Fund - Class Admin	949915474	WOFD X			.00	1.12000		SELL ONLY
Allspring Global Investments	Allspring Opportunity Fund - Class Inst	94975P595	WOFN X			.00	0.87000		SELL ONLY
Allspring Global Investments	Allspring Pennsylvania Tax-Free Fund - Class A	94985D699	EKVAX	4.50		.00	0.97000		SELL ONLY
Allspring Global Investments	Allspring Pennsylvania Tax-Free Fund - Class Inst	94985D673	EKVYX			.00	0.65000		SELL ONLY
Allspring Global Investments	Allspring Precious Metals Fund - Class A	94985D533	EKWAX	5.75		.00	1.17000		SELL ONLY
Allspring Global Investments	Allspring Precious Metals Fund - Class C	94985D517	EKWCX		1.00	.75	1.92000		SELL ONLY
Allspring Global Investments	Allspring Precious Metals Fund - Class Inst	94985D491	EKWYX			.00	0.85000		SELL ONLY
Allspring Global Investments	Allspring Premier Large Company Growth Fund - Class A	94984B496	EKJAX	5.75		.00	1.15000		SELL ONLY
Allspring Global Investments	Allspring Premier Large Company Growth Fund - Class Admin	94984B462	WFPDX			.00	1.08000		SELL ONLY
Allspring Global Investments	Allspring Premier Large Company Growth Fund - Class R6	94987W810	EKJFX			.00	0.73000		SELL ONLY
Allspring Global Investments	Allspring Premier Large Company Growth Fund - Institutional Class	94984B454	EKJYX			.00	0.83000		SELL ONLY
Allspring Global Investments	Allspring Real Return Fund - Class A	94975J730	IPBAX	4.50		.00	1.09000		SELL ONLY
Allspring Global Investments	Allspring Real Return Fund - Institutional Class	94990B886	IPBNX			.00	0.77000		SELL ONLY
Allspring Global Investments	Allspring Real Return Fund Class R6	94990B407	IPBJX			.00	0.72000		SELL ONLY
Allspring Global Investments	Allspring Short Duration Government Bond Fund - Class A	94975J573	MSDAX	2.00		.00	0.86000		SELL ONLY
Allspring Global Investments	Allspring Short Duration Government Bond Fund - Class Inst	949917595	WSGIX			.00	0.54000		SELL ONLY
Allspring Global Investments	Allspring Short Duration Government Bond Fund - Class R6	94987W778	MSDRX			.00	0.50000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Short-Term Bond Plus Fund - Class A	949917637	SSTVX	2.00		.00	0.81000		SELL ONLY
Allspring Global Investments	Allspring Short-Term Bond Plus Fund - Class Inst	949917652	SSHIX			.00	0.49000		SELL ONLY
Allspring Global Investments	Allspring Short-Term Bond Plus Fund - Class R6	94988V357	SSTYX			.00	0.44000		SELL ONLY
Allspring Global Investments	Allspring Short-Term High Income Fund - Class A	949917611	SSTHX	3.00		.00	0.90000		SELL ONLY
Allspring Global Investments	Allspring Short-Term High Income Fund - Class C	949921621	WFHYX		1.00	.75	1.65000		SELL ONLY
Allspring Global Investments	Allspring Short-Term High Income Fund - Class Inst	94987W752	STYIX			.00	0.58000		SELL ONLY
Allspring Global Investments	Allspring Short-Term Municipal Bond Fund - Class C	949917876	WSSCX		1.00	.75	1.51000		SELL ONLY
Allspring Global Investments	Allspring Short-Term Municipal Bond Fund - Class Inst	949921654	WSBIX			.00	0.44000		SELL ONLY
Allspring Global Investments	Allspring Short-Term Municipal Bond Fund -Class R6	94988V340	WSSRX			.00	0.39000		SELL ONLY
Allspring Global Investments	Allspring Small Company Growth Fund - Class A	94975J359	WFSAX	5.75		.00	1.36000		BUY,SELL
Allspring Global Investments	Allspring Small Company Growth Fund - Class Inst	949921571	WSCGX			.00	1.04000		SELL ONLY
Allspring Global Investments	Allspring Small Company Growth Fund - Class R6	94988A676	WSCRX			.00	0.94000		SELL ONLY
Allspring Global Investments	Allspring Small Company Value Fund - Class A	94975P884	SCVAX	5.75		.00	1.30000		SELL ONLY
Allspring Global Investments	Allspring Small Company Value Fund - Class Inst	94975P421	SCVNX			.00	0.98000		SELL ONLY
Allspring Global Investments	Allspring Small Company Value Fund Class R6	94990B209	SCVJX			.00	0.88000		SELL ONLY
Allspring Global Investments	Allspring SMID Cap Growth Fund - Class A	949921506	WFDAX	5.75		.00	1.24000		SELL ONLY
Allspring Global Investments	Allspring SMID Cap Growth Fund - Class Admin	949915359	WFDDX			.00	1.17000		SELL ONLY
Allspring Global Investments	Allspring SMID Cap Growth Fund - Class Inst	949917355	WFDSX			.00	0.92000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring SMID Cap Growth Fund - Class R6	94987W547	WFDRX			.00	0.82000		SELL ONLY
Allspring Global Investments	Allspring Special Global Small Cap Fund Class A	94985D855	EKGAX	5.75		.00	1.55000		SELL ONLY
Allspring Global Investments	Allspring Special Global Small Cap Fund Class Inst	94975P744	EKGIX			.00	1.23000		SELL ONLY
Allspring Global Investments	Allspring Special International Small Cap Fund Class A	94975P280	ASPAX	5.75		.00	1.54000		SELL ONLY
Allspring Global Investments	Allspring Special International Small Cap Fund Class R6	949917165	WICRX			.00	1.12000		SELL ONLY
Allspring Global Investments	Allspring Special International Small Cap Fund Institutional Class	949917173	WICIX			.00	1.22000		SELL ONLY
Allspring Global Investments	Allspring Special Large Cap Value Fund - Class A	94984B223	EIVAX	5.75		.00	0.88000		SELL ONLY
Allspring Global Investments	Allspring Special Large Cap Value Fund - Class Admin	94975P538	EIVDX			.00	0.81000		SELL ONLY
Allspring Global Investments	Allspring Special Large Cap Value Fund - Class Inst	94984B181	EIVIX			.00	0.56000		SELL ONLY
Allspring Global Investments	Allspring Special Large Cap Value Fund - Class R6	94987W844	EIVFX			.00	0.46000		SELL ONLY
Allspring Global Investments	Allspring Special Mid Cap Value Fund - Class A	949921308	WFPAX	5.75		.00	1.12000		BUY,SELL
Allspring Global Investments	Allspring Special Mid Cap Value Fund - Class Admin	949915516	WFMDX			.00	1.05000		SELL ONLY
Allspring Global Investments	Allspring Special Mid Cap Value Fund - Class C	949921407	WFPCX		1.00	.75	1.87000		SELL ONLY
Allspring Global Investments	Allspring Special Mid Cap Value Fund - Class Inst	949915482	WFMIX			.00	0.80000		SELL ONLY
Allspring Global Investments	Allspring Special Mid Cap Value Fund - Class R6	94987W513	WFPRX			.00	0.70000		SELL ONLY
Allspring Global Investments	Allspring Special Small Cap Value Fund - Class A	94984B439	ESPAX	5.75		.00	1.24000		SELL ONLY
Allspring Global Investments	Allspring Special Small Cap Value Fund - Class Admin	94984B397	ESPIX			.00	1.17000		SELL ONLY
Allspring Global Investments	Allspring Special Small Cap Value Fund - Class Inst	94975P447	ESPNX			.00	0.92000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Special Small Cap Value Fund - Class R6	94988A668	ESPRX			.00	0.82000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Aggressive Growth Fund Admin Class	94990B563	WEADX			.00	0.95000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Aggressive Growth Fund Class A	94990B811	WEAFX	5.75		.00	1.02000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Aggressive Growth Fund Class C	94990B753	WEACX		1.00	.75	1.77000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Aggressive Growth Fund Institutional Class	94988V290	WEAYX			.00	0.70000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Conservative Growth Fund Class A	94990B829	WMBG X	5.75		.00	1.12000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Conservative Growth Fund Class C	94990B761	WMBF X		1.00	.75	1.87000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Conservative Growth Fund Institutional Class	94988V266	WMBZ X			.00	0.80000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Growth Fund Class A	94990B852	WGAFX	5.75		.00	1.11000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Growth Fund Class C	94990B787	WGCF X		1.00	.75	1.86000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Growth Fund Institutional Class	94988V282	WGAY X			.00	0.79000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Income Allocation Fund Class A	94990B845	WCAFX	5.75		.00	1.20000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Income Allocation Fund Class C	94990B795	WCCFX		1.00	.75	1.95000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Income Allocation Fund Institutional Class	94988V316	WCYFX			.00	0.88000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Moderate Growth Fund Class A	94990B837	WGBA X	5.75		.00	1.10000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Moderate Growth Fund Class C	94990B779	WGBFX		1.00	.75	1.85000		SELL ONLY
Allspring Global Investments	Allspring Spectrum Moderate Growth Fund Institutional Class	94988V274	WGBIX			.00	0.78000		SELL ONLY
Allspring Global Investments	Allspring Strategic Municipal Bond Fund - Class A	94985D772	VMPAX	4.00		.00	0.79000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Allspring Global Investments	Allspring Strategic Municipal Bond Fund - Class C	94985D756	DHICX		1.00	.75	1.54000		SELL ONLY
Allspring Global Investments	Allspring Strategic Municipal Bond Fund - Class Inst	94987W760	STRIX			.00	0.47000		SELL ONLY
Allspring Global Investments	Allspring Strategic Municipal Bond Fund - Class R6	94988V332	VMPRX			.00	0.42000		SELL ONLY
Allspring Global Investments	Allspring Ultra Short-Term Income Fund - Class A	949917728	SADAX	2.00		.00	0.69000		SELL ONLY
Allspring Global Investments	Allspring Ultra Short-Term Income Fund - Class Inst	949917744	SADIX			.00	0.37000		SELL ONLY
Allspring Global Investments	Allspring Ultra Short-Term Municipal Income Fund - Class A	949917884	SMAVX	2.00		.00	0.68000		SELL ONLY
Allspring Global Investments	Allspring Ultra Short-Term Municipal Income Fund - Class Inst	949917702	SMAIX			.00	0.36000		SELL ONLY
Allspring Global Investments	Allspring Ultra Short-Term Municipal Income Fund - Class R6	94988V324	WUSR X			.00	0.31000		SELL ONLY
Allspring Global Investments	Allspring Utility and Telecommunications Fund - Class A	94985D483	EVUAX	5.75		.00	1.16000		SELL ONLY
Allspring Global Investments	Allspring Utility and Telecommunications Fund - Class Inst	94985D459	EVUYX			.00	0.84000		SELL ONLY
Allspring Global Investments	Allspring Wisconsin Tax-Free Fund Institutional Class	94990B860	WWTIX			.00	0.62000		SELL ONLY
Amana	Amana Mutual Funds Trust Developing World Fund Institutional	022865604	AMIDX				0.98000		SELL ONLY
Amana	Amana Mutual Funds Trust Developing World Fund Investor	022865307	AMDW X			.25	1.20000		SELL ONLY
Amana	Amana Mutual Funds Trust Growth Fund Institutional	022865505	AMIGX				0.61000		SELL ONLY
Amana	Amana Mutual Funds Trust Growth Fund Investor	022865208	AMAGX			.25	0.86000		SELL ONLY
Amana	Amana Mutual Funds Trust Income Fund Institutional	022865406	AMINX				0.76000		SELL ONLY
Amana	Amana Mutual Funds Trust Income Fund Investor	022865109	AMANX			.25	1.01000		SELL ONLY
Amana	Amana Participation Fund Institutional Shares	022865802	AMIPX				0.59000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Amana	Amana Participation Fund Investor Shares	022865703	AMAPX			.25	0.82000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures Strategy Fund A Class	024525644	AHLAX	5.75		.25	1.92000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures Strategy Fund C Class	024525685	AHLCX		1.00	1.00	2.59000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures Strategy Fund Investor Class	024525651	AHLPX			.00	1.91000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures Strategy Fund R5 Class	024525669	AHLIX			.00	1.53000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures Strategy Fund Y Class	024525677	AHLYX			.00	1.63000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund A	024526212	AHTAX	5.75		.25	1.61000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund C	024526196	AHACX		1.00	1.00	2.19000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund Investor Class	024526311	AHTPX			.00	1.53000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund R5 Class	024526329	AHTIX			.00	1.11000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund Y Class	024526337	AHTYX			.00	1.19000		SELL ONLY
American Beacon	American Beacon ARK Transformational Innovation Fund Class A	024526295	ADNAX	5.75		.25	1.60000		SELL ONLY
American Beacon	American Beacon ARK Transformational Innovation Fund Class C	024526287	ADNCX		1.00	1.00	2.38000		SELL ONLY
American Beacon	American Beacon ARK Transformational Innovation Fund Investor Class	024526204	ADNPX			.00	1.52000		SELL ONLY
American Beacon	American Beacon ARK Transformational Innovation Fund R5 Class	024526303	ADNIX			.00	1.24000		SELL ONLY
American Beacon	American Beacon ARK Transformational Innovation Fund Y Class	024526105	ADNYX			.00	1.25000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Beacon	American Beacon Balanced Fund Advisor Class	02368A364	ABLSX			.25	1.36000		SELL ONLY
American Beacon	American Beacon Balanced Fund C Class	024524514	ABCCX		1.00	1.00	1.95000		SELL ONLY
American Beacon	American Beacon Balanced Fund Class A	024524753	ABFAX	5.75		.25	1.18000		SELL ONLY
American Beacon	American Beacon Balanced Fund Class Y	024524100	ACBYX			.00	0.94000		SELL ONLY
American Beacon	American Beacon Balanced Fund Investor Class	02368A828	AABPX			.00	1.18000		SELL ONLY
American Beacon	American Beacon Balanced Fund R5 Class	02368A109	AADBX			.00	0.85000		SELL ONLY
American Beacon	American Beacon Developing World Income Fund-A Class	024525743	AGUAX	4.75		.25	1.41000		SELL ONLY
American Beacon	American Beacon Developing World Income Fund-C Class	024525735	AGECX		1.00	1.00	2.14000		SELL ONLY
American Beacon	American Beacon Developing World Income Fund-Investor Class	024525693	AGEPX			.00	1.40000		SELL ONLY
American Beacon	American Beacon Developing World Income Fund-R5 Class	024525719	AGEIX			.00	1.11000		SELL ONLY
American Beacon	American Beacon Developing World Income Fund-Y Class	024525727	AGEYX			.00	1.14000		SELL ONLY
American Beacon	American Beacon DoubleLine Floating Rate Income Fund A Class	024525347	SOUAX	2.50		.25	1.68000		SELL ONLY
American Beacon	American Beacon DoubleLine Floating Rate Income Fund C Class	024525339	SOUCX		1.00	1.00	2.41000		SELL ONLY
American Beacon	American Beacon DoubleLine Floating Rate Income Fund Investor Class	024525297	SPFPX			.00	1.71000		SELL ONLY
American Beacon	American Beacon DoubleLine Floating Rate Income Fund R5 Class	024525313	SPFLX			.00	1.36000		SELL ONLY
American Beacon	American Beacon DoubleLine Floating Rate Income Fund Y Class	024525321	SPFYX			.00	1.40000		SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality Bond Fund Investor Class	024525248	GHQPX			.00	1.10000		SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality Bond Fund R5 Class	024525255	GHQIX			.00	0.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Beacon	American Beacon Garcia Hamilton Quality Bond Fund R6 Class	024526253	GHQRX			.00	0.67000		SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality Bond Fund Y Class	024525263	GHQYX			.00	0.77000		SELL ONLY
American Beacon	American Beacon IMC International Small Cap Fund Investor Class	024526378	TIVFX			.00	1.52000		SELL ONLY
American Beacon	American Beacon IMC International Small Cap Fund R5 Class	024526386	TOVIX			.00	1.22000		SELL ONLY
American Beacon	American Beacon IMC International Small Cap Fund Y Class	024526394	TOVYX			.00	1.28000		SELL ONLY
American Beacon	American Beacon International Equity Fund Advisor Class	02368A422	AAISX			.25	1.26000		SELL ONLY
American Beacon	American Beacon International Equity Fund Class A	024524720	AIEAX	5.75		.25	1.19000		SELL ONLY
American Beacon	American Beacon International Equity Fund Class C	024524464	AILCX		1.00	1.00	1.99000		SELL ONLY
American Beacon	American Beacon International Equity Fund Class R6	024526766	AAERX			.00	0.78000		SELL ONLY
American Beacon	American Beacon International Equity Fund Class Y	02368A190	ABEYX			.00	0.85000		SELL ONLY
American Beacon	American Beacon International Equity Fund Investor Class	02368A794	AAIPX			.00	1.11000		SELL ONLY
American Beacon	American Beacon International Equity Fund R5 Class	02368A406	AAIEX			.00	0.78000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund Advisor Class	02368A356	AVASX			.25	1.12000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund Class A	024524746	ALVAX	5.75		.25	1.01000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund Class C	024524498	ALVCX		1.00	1.00	1.73000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund Class R6	024526790	AALRX			.00	0.62000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund Class Y	02368A174	ABLYX			.00	0.73000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund Investor Class	02368A810	AAGPX			.00	0.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Beacon	American Beacon Large Cap Value Fund R5 Class	02368A208	AADEX			.00	0.66000		SELL ONLY
American Beacon	American Beacon Man Large Cap Growth Fund A Class	024525412	BLYAX	5.75		.25	1.15000		SELL ONLY
American Beacon	American Beacon Man Large Cap Growth Fund C Class	024525396	BLYCX		1.00	1.00	1.92000		SELL ONLY
American Beacon	American Beacon Man Large Cap Growth Fund Class R6	024526436	BLYRX			.00	0.82000		SELL ONLY
American Beacon	American Beacon Man Large Cap Growth Fund Investor Class	024525362	BLYPX			.00	1.18000		SELL ONLY
American Beacon	American Beacon Man Large Cap Growth Fund R5 Class	024525370	BRLGX			.00	0.87000		SELL ONLY
American Beacon	American Beacon Man Large Cap Growth Fund Y Class	024525388	BLYYX			.00	0.91000		SELL ONLY
American Beacon	American Beacon Man Large Cap Value Fund A Class	024524159	BWLAX	5.75		.25	1.21000		SELL ONLY
American Beacon	American Beacon Man Large Cap Value Fund C Class	024524142	BWLCX		1.00	1.00	1.94000		SELL ONLY
American Beacon	American Beacon Man Large Cap Value Fund Investor Class	024524118	BWLIX			.00	1.20000		SELL ONLY
American Beacon	American Beacon Man Large Cap Value Fund R5 Class	024524126	BRLVX			.00	0.82000		SELL ONLY
American Beacon	American Beacon Man Large Cap Value Fund R6 Class	024526543	BWLRX			.00	0.82000		SELL ONLY
American Beacon	American Beacon Man Large Cap Value Fund Y Class	024524134	BWLYX			.00	0.91000		SELL ONLY
American Beacon	American Beacon Ninety One Emerging Markets Equity Fund R5 Class	02452A718	ZEMIX			.00	1.12000		SELL ONLY
American Beacon	American Beacon Ninety One Emerging Markets Equity Fund R6 Class	02452A692	ZEMRX			.00	2.82000		SELL ONLY
American Beacon	American Beacon Ninety One Emerging Markets Equity Fund Y Class	02452A726	ZEMAX			.00	1.33000		SELL ONLY
American Beacon	American Beacon Ninety One Global Franchise Fund R5 Class	02452A767	ZGFIX			.00	1.00000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Beacon	American Beacon Ninety One Global Franchise Fund Y Class	02452A783	ZGFAX			.00	0.86000		SELL ONLY
American Beacon	American Beacon Ninety One International Franchise Fund R5 Class	02452A734	ZIFIX			.00	6.33000		SELL ONLY
American Beacon	American Beacon Shapiro Equity Opportunities Fund Investor Class	024526519	SHXPX			.00	1.43000		SELL ONLY
American Beacon	American Beacon Shapiro Equity Opportunities Fund R5 Class	024526527	SHXIX			.00	0.99000		SELL ONLY
American Beacon	American Beacon Shapiro Equity Opportunities Fund Y Class	024526493	SHXYX			.00	1.02000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equity Fund A Class	02452A866	SHEAX	5.75		.25	1.88000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equity Fund C Class	02452A858	SHDCX			1.00	2.63000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equity Fund Investor Class	024526469	SHDPX			.00	1.73000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equity Fund R5 Class	024526477	SHDIX			.00	1.39000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equity Fund R6 Class	02452A841	SHDRX			.00	1.36000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equity Fund Y Class	024526485	SHDYX			.00	1.46000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportunities Fund A Class	024524324	SHOAX	4.75		.25	1.11000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportunities Fund C Class	024524316	SHOCX		1.00	1.00	1.85000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportunities Fund Investor Class	024524274	SHYPX			.00	1.14000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportunities Fund R5 Class	024524282	SHOIX			.00	0.78000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportunities Fund Y Class	024524290	SHOYX			.00	0.86000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund Advisor Class	02368A430	AASSX			.25	1.30000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund C Class	024524472	ASVCX		1.00	1.00	1.96000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Beacon	American Beacon Small Cap Value Fund Class A	024524845	ABSAX	5.75		.25	1.22000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund Class R5	02368A638	AVFIX			.00	0.80000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund Class Y	02368A182	ABSYX			.00	0.87000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund Investor Class	02368A620	AVPAX			.00	1.15000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund R6 Class	024526774	AASRX			.00	0.77000		SELL ONLY
American Beacon	American Beacon SSI Alternative Income Fund R5 Class	024526246	SSIJX			.00	1.89000		SELL ONLY
American Beacon	American Beacon SSI Alternative Income Fund --Y Class	024526220	PSCIX			.00	2.00000		SELL ONLY
American Beacon	American Beacon SSI Alternative Income Fund--Investor Class	024526238	PSCAX			.00	2.31000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Growth Fund A Class	024524183	SMFAX	5.75		.25	1.39000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Growth Fund C Class	024524175	SMFCX		1.00	1.00	1.96000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Growth Fund Class R6	024526279	SFMRX			.00	0.89000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Growth Fund Investor Class	024524191	STMGX			.00	1.22000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Growth Fund R5 Class	024524217	SFMIX			.00	0.90000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Growth Fund Y Class	024524167	SMFYX			.00	0.99000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Growth Fund A Class	02368A166	SPWAX	5.75		.25	1.57000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Growth Fund C Class	02368A158	SPWCX		1.00	1.00	2.15000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Growth Fund Investor Class	02368A133	STSGX			.00	1.42000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Growth Fund R5 Class	02368A141	STSIX			.00	1.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Beacon	American Beacon Stephens Small Cap Growth Fund R6	024526188	STSRX			.00	1.05000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Growth Fund Y Class	02368A125	SPWYX			.00	1.14000		SELL ONLY
American Beacon	American Beacon The London Company Income Equity Fund A Class	024527103	ABCAX	5.75		.25	1.08000		SELL ONLY
American Beacon	American Beacon The London Company Income Equity Fund C Class	024527202	ABECX		1.00	1.00	1.85000		SELL ONLY
American Beacon	American Beacon The London Company Income Equity Fund Investor Class	024527509	ABCVX			.00	1.09000		SELL ONLY
American Beacon	American Beacon The London Company Income Equity Fund R5 Class	024527400	ABCIX			.00	0.78000		SELL ONLY
American Beacon	American Beacon The London Company Income Equity Fund Y Class	024527301	ABCYX			.00	0.83000		SELL ONLY
American Beacon	American Beacon TwentyFour Short Term Bond Fund A Class	024526170	TFBAX	2.50		.25	3.33000		SELL ONLY
American Beacon	American Beacon TwentyFour Short Term Bond Fund C Class	024526162	TFBCX		1.00	1.00	3.83000		SELL ONLY
American Beacon	American Beacon TwentyFour Short Term Bond Fund R6 Class	024526147	TFBRX			.00	2.85000		SELL ONLY
American Beacon	American Beacon TwentyFour Short Term Bond Fund Y Class	024526154	TFBYX			.00	2.90000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic Income Fund A Class	024526428	TFSAX	3.75		.25	1.26000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic Income Fund C Class	024526410	TFGCX		1.00	1.00	1.91000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic Income Fund Investor Class	024526683	TFGPX			.00	1.29000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic Income Fund R5 Class	024526691	TFGIX			.00	0.88000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic Income Fund Y Class	024526667	TFGYX			.00	0.94000		SELL ONLY
American Century Investment Management Inc	One Choice 2070 Portfolio I Class	02507K208	ARADX				0.75000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investment Management Inc	One Choice 2070 Portfolio Investor Class	02507K109	ARAEX				0.95000		SELL ONLY
American Century Investments	American Century Balanced Fund I Class	025083734	ABINX				0.69000		SELL ONLY
American Century Investments	American Century Balanced Fund Investor Class	025083742	TWBIX				0.89000		SELL ONLY
American Century Investments	American Century Balanced Fund R5 Class	02508H394	ABGNX				0.69000		SELL ONLY
American Century Investments	American Century California High Yield Municipal Fund Class C	025075805	CAYCX		1.00	1.00	1.50000		SELL ONLY
American Century Investments	American Century California High Yield Municipal Fund I Class	025075789	BCHIX				0.30000		SELL ONLY
American Century Investments	American Century California High Yield Municipal Fund Investor Class	025075201	BCHYX				0.50000		SELL ONLY
American Century Investments	American Century California High Yield Municipal Fund Y Class	025075771	ACYHX				0.27000		SELL ONLY
American Century Investments	American Century California Intermediate-Term Tax-Free Bond Fund A Class	025075821	BCIAX	4.50		.25	0.72000		SELL ONLY
American Century Investments	American Century California Intermediate-Term Tax-Free Bond Fund C Class	025075813	BCIYX		1.00	1.00	1.47000		SELL ONLY
American Century Investments	American Century California Intermediate-Term Tax-Free Bond Fund I Class	025075839	BCTIX				0.27000		SELL ONLY
American Century Investments	American Century California Intermediate-Term Tax-Free Bond Fund Investor Class	025075508	BCITX				0.47000		SELL ONLY
American Century Investments	American Century California Intermediate-Term Tax-Free Bond Fund Y Class	025075763	ACYTX				0.24000		SELL ONLY
American Century Investments	American Century Core Plus Fund Class A	024932543	ACCQX	4.50		.25	0.80000		SELL ONLY
American Century Investments	American Century Core Plus Fund Class C	024932527	ACCKX		1.00	1.00	1.55000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Core Plus Fund I Class	02508J101	ACCTX				0.45000		SELL ONLY
American Century Investments	American Century Core Plus Fund Investor Class	024932493	ACCNX				0.55000		SELL ONLY
American Century Investments	American Century Core Plus Fund R5 Class	024932485	ACCUX				0.35000		SELL ONLY
American Century Investments	American Century Disciplined Core Value Fund C Class	02507M816	ACGCX		1.00	1.00	1.65000		SELL ONLY
American Century Investments	American Century Disciplined Core Value Fund Class A	02507M402	AMADX	5.75		.25	0.90000		SELL ONLY
American Century Investments	American Century Disciplined Core Value Fund I Class	02507M501	AMGIX				0.45000		SELL ONLY
American Century Investments	American Century Disciplined Core Value Fund Investor Class	02507M303	BIGRX				0.65000		SELL ONLY
American Century Investments	American Century Disciplined Core Value Fund R5 Class	02508G842	AICGX				0.45000		SELL ONLY
American Century Investments	American Century Disciplined Growth Fund Class A	02507M642	ADCVX	5.75		.25	1.25000		SELL ONLY
American Century Investments	American Century Disciplined Growth Fund Class C	02507M527	ADCCX		1.00	1.00	2.00000		SELL ONLY
American Century Investments	American Century Disciplined Growth Fund Institutional Class	02507M667	ADCIX				0.80000		SELL ONLY
American Century Investments	American Century Disciplined Growth Fund Investor Class	02507M675	ADSIX				1.00000		SELL ONLY
American Century Investments	American Century Disciplined Growth Fund R5 Class	02508G883	ADGGX				0.80000		SELL ONLY
American Century Investments	American Century Disciplined Growth Fund Y Class	02508G875	ADCYX				0.75000		SELL ONLY
American Century Investments	American Century Diversified Bond Fund A Class	024932501	ADFAX	4.50		.25	0.85000		BUY,SELL
American Century Investments	American Century Diversified Bond Fund C Class	024932824	CDBCX		1.00	1.00	1.60000		SELL ONLY
American Century Investments	American Century Diversified Bond Fund I Class	024932600	ACBPX				0.40000		SELL ONLY
American Century Investments	American Century Diversified Bond Fund Investor Class	024932402	ADFIX				0.60000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Diversified Bond Fund R5 Class	02508J200	ADRVX				0.40000		SELL ONLY
American Century Investments	American Century Diversified Bond Fund Y Class	02508J309	ADVYX				0.37000		SELL ONLY
American Century Investments	American Century Emerging Markets Debt Fund A Class	025082819	AEDQX	4.50		.25	1.23000		SELL ONLY
American Century Investments	American Century Emerging Markets Debt Fund C Class	025082793	AEDHX		1.00	1.00	1.98000		SELL ONLY
American Century Investments	American Century Emerging Markets Debt Fund I Class	025082769	AEHDX				0.88000		SELL ONLY
American Century Investments	American Century Emerging Markets Debt Fund Investor Class	025082835	AEDVX				0.98000		SELL ONLY
American Century Investments	American Century Emerging Markets Debt Fund R5 Class	025082827	AEDJX				0.78000		SELL ONLY
American Century Investments	American Century Emerging Markets Debt Fund Y Class	025082751	AEYDX				0.78000		SELL ONLY
American Century Investments	American Century Emerging Markets Fund A Class	025086851	AEMMX	5.75		.25	1.52000		SELL ONLY
American Century Investments	American Century Emerging Markets Fund C Class	025086679	ACECX		1.00	1.00	2.27000		SELL ONLY
American Century Investments	American Century Emerging Markets Fund I Class	025086877	AMKIX				1.07000		SELL ONLY
American Century Investments	American Century Emerging Markets Fund Investor Class	025086885	TWMIX				1.27000		SELL ONLY
American Century Investments	American Century Emerging Markets Fund R5 Class	02509A802	AEGMX				1.07000		SELL ONLY
American Century Investments	American Century Emerging Markets Fund Y Class	02509A885	AEYMX				0.92000		SELL ONLY
American Century Investments	American Century Equity Growth Fund A Class	02507M709	BEQAX	5.75		.25	0.90000		SELL ONLY
American Century Investments	American Century Equity Growth Fund C Class	02507M790	AEYCX		1.00	1.00	1.65000		SELL ONLY
American Century Investments	American Century Equity Growth Fund I Class	02507M808	AMEIX				0.45000		SELL ONLY
American Century Investments	American Century Equity Growth Fund Investor Class	02507M600	BEQGX				0.65000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Equity Growth Fund R5 Class	02508G867	AEYGX				0.45000		SELL ONLY
American Century Investments	American Century Equity Income Fund A Class	025076407	TWEAX	5.75		.25	1.19000		BUY,SELL
American Century Investments	American Century Equity Income Fund C Class	025076746	AEYIX		1.00	1.00	1.94000		SELL ONLY
American Century Investments	American Century Equity Income Fund I Class	025076209	ACIIX				0.74000		SELL ONLY
American Century Investments	American Century Equity Income Fund Investor Class	025076100	TWEIX				0.94000		SELL ONLY
American Century Investments	American Century Equity Income Fund R5 Class	02508F703	AEIUX				0.74000		SELL ONLY
American Century Investments	American Century Equity Income Fund Y Class	02508F810	AEIYX				0.59000		SELL ONLY
American Century Investments	American Century Focused Global Growth Fund A Class	025086828	AGGRX	5.75		.25	1.33000		SELL ONLY
American Century Investments	American Century Focused Global Growth Fund C Class	025086695	AGLCX		1.00	1.00	2.08000		SELL ONLY
American Century Investments	American Century Focused Global Growth Fund I Class	025086836	AGGIX				0.88000		SELL ONLY
American Century Investments	American Century Focused Global Growth Fund Investor Class	025086844	TWGGX				1.08000		SELL ONLY
American Century Investments	American Century Focused Global Growth Fund R5 Class	02509A869	AGFGX				0.88000		SELL ONLY
American Century Investments	American Century Focused Global Growth Fund Y Class	02509A844	AGYGX				0.73000		SELL ONLY
American Century Investments	American Century Focused International Growth Fund A Class	025086190	AFCLX	5.75		.25	1.34000		SELL ONLY
American Century Investments	American Century Focused International Growth Fund C Class	025086182	AFCHX		1.00	1.00	2.09000		SELL ONLY
American Century Investments	American Century Focused International Growth Fund I Class	025086216	AFCSX				0.89000		SELL ONLY
American Century Investments	American Century Focused International Growth Fund Investor Class	025086224	AFCNX				1.09000		SELL ONLY
American Century Investments	American Century Ginnie Mae Fund A Class	025081837	BGNAX	4.50		.25	0.80000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Ginnie Mae Fund I Class	025081654	AGMHX				0.45000		SELL ONLY
American Century Investments	American Century Ginnie Mae Fund Investor Class	025081605	BGNMX				0.55000		SELL ONLY
American Century Investments	American Century Ginnie Mae Fund R5 Class	025081787	AGMNX				0.35000		SELL ONLY
American Century Investments	American Century Global Bond Fund A Class	025082702	AGBAX	4.50		.25	1.10000		SELL ONLY
American Century Investments	American Century Global Bond Fund C Class	025082801	AGBTX		1.00	1.00	1.85000		SELL ONLY
American Century Investments	American Century Global Bond Fund I Class	025082744	AGBHX				0.75000		SELL ONLY
American Century Investments	American Century Global Bond Fund Investor Class	025082876	AGBVX				0.85000		SELL ONLY
American Century Investments	American Century Global Bond Fund R5 Class	025082868	AGBNX				0.65000		SELL ONLY
American Century Investments	American Century Global Bond Fund Y Class	025082736	AGBW X				0.65000		SELL ONLY
American Century Investments	American Century Global Gold Fund A Class	02507M204	ACGGX	5.75		.25	0.91000		SELL ONLY
American Century Investments	American Century Global Gold Fund C Class	02507M485	AGYCX		1.00	1.00	1.66000		SELL ONLY
American Century Investments	American Century Global Gold Fund I Class	02507M469	AGGNX				0.46000		SELL ONLY
American Century Investments	American Century Global Gold Fund Investor Class	02507M105	BGEIX				0.66000		SELL ONLY
American Century Investments	American Century Global Real Estate Fund A Class	025076498	ARYMX	5.75		.25	1.37000		SELL ONLY
American Century Investments	American Century Global Real Estate Fund C Class	025076480	ARYTX		1.00	1.00	2.12000		SELL ONLY
American Century Investments	American Century Global Real Estate Fund I Class	025076456	ARYNX				0.92000		SELL ONLY
American Century Investments	American Century Global Real Estate Fund Investor Class	025076464	ARYVX				1.12000		SELL ONLY
American Century Investments	American Century Global Real Estate Fund R5 Class	02508F851	ARYGX				0.92000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Global Real Estate Fund Y Class	02508F844	ARYYX				0.77000		SELL ONLY
American Century Investments	American Century Global Small Cap Fund A Class	02509A307	AGCLX	5.75		.25	1.36000		SELL ONLY
American Century Investments	American Century Global Small Cap Fund C Class	02509A406	AGCHX		1.00	1.00	2.11000		SELL ONLY
American Century Investments	American Century Global Small Cap Fund I Class	02509A208	AGCSX				0.91000		SELL ONLY
American Century Investments	American Century Global Small Cap Fund Investor Class	02509A109	AGCVX				1.11000		SELL ONLY
American Century Investments	American Century Government Bond Fund A Class	025081860	ABTAX	4.50		.25	0.72000		SELL ONLY
American Century Investments	American Century Government Bond Fund C Class	025081746	ABTCX		1.00	1.00	1.47000		SELL ONLY
American Century Investments	American Century Government Bond Fund I Class	025081647	ABHTX				0.37000		SELL ONLY
American Century Investments	American Century Government Bond Fund Investor Class	025081308	CPTNX				0.47000		SELL ONLY
American Century Investments	American Century Government Bond Fund R5 Class	025081753	ABTIX				0.27000		SELL ONLY
American Century Investments	American Century Growth Fund A Class	025083403	TCRAX	5.75		.25	1.22000		SELL ONLY
American Century Investments	American Century Growth Fund C Class	02508H626	TWRCX		1.00	1.00	1.97000		SELL ONLY
American Century Investments	American Century Growth Fund I Class	025083205	TWGIX				0.77000		SELL ONLY
American Century Investments	American Century Growth Fund Investor Class	025083106	TWCGX				0.97000		SELL ONLY
American Century Investments	American Century Growth Fund R5 Class	02508H386	AGWUX				0.77000		SELL ONLY
American Century Investments	American Century Growth Fund Y Class	02508H378	AGYW X				0.62000		SELL ONLY
American Century Investments	American Century Heritage Fund A Class	025083767	ATHAX	5.75		.25	1.25000		SELL ONLY
American Century Investments	American Century Heritage Fund C Class	025083262	AHGCX		1.00	1.00	2.00000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Heritage Fund I Class	025083783	ATHIX				0.80000		SELL ONLY
American Century Investments	American Century Heritage Fund Investor Class	025083791	TWHIX				1.00000		SELL ONLY
American Century Investments	American Century Heritage Fund R5 Class	02508H360	ATHGX				0.80000		SELL ONLY
American Century Investments	American Century Heritage Fund Y Class	02508H352	ATHYX				0.65000		SELL ONLY
American Century Investments	American Century High Income Fund A Class	024932147	AHIAX	4.50		.25	1.03000		SELL ONLY
American Century Investments	American Century High Income Fund I Class	024932162	AHIIX				0.68000		SELL ONLY
American Century Investments	American Century High Income Fund Investor Class	024932170	AHIVX				0.78000		SELL ONLY
American Century Investments	American Century High Income Fund R5 Class	024932139	AHIEIX				0.58000		SELL ONLY
American Century Investments	American Century High Income Fund Y Class	024932154	NPHIX				0.58000		SELL ONLY
American Century Investments	American Century High Yield Municipal Fund Class A	024934861	AYMAX	4.50		.25	0.85000		SELL ONLY
American Century Investments	American Century High Yield Municipal Fund Class C	024934879	AYMCX		1.00	1.00	1.60000		SELL ONLY
American Century Investments	American Century High Yield Municipal Fund I Class	024934663	AYMIX				0.40000		SELL ONLY
American Century Investments	American Century High Yield Municipal Fund Investor Class	024934804	ABHYX				0.60000		SELL ONLY
American Century Investments	American Century High-Yield Fund A Class	024932881	AHYVX	4.50		.25	0.95000		SELL ONLY
American Century Investments	American Century High-Yield Fund C Class	024932873	AHDCX		1.00	1.00	1.70000		SELL ONLY
American Century Investments	American Century High-Yield Fund I Class	02508J507	AHYHX				0.60000		SELL ONLY
American Century Investments	American Century High-Yield Fund Investor Class	024932808	ABHIX				0.70000		SELL ONLY
American Century Investments	American Century High-Yield Fund R5 Class	024932782	ACYIX				0.50000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century High-Yield Fund Y Class	02508J606	AHYLX				0.50000		SELL ONLY
American Century Investments	American Century High-Yield Municipal Fund Y Class	024934622	AYMYX				0.37000		SELL ONLY
American Century Investments	American Century Inflation Adjusted Bond Fund A Class	025081829	AIAVX	4.50		.25	0.79000		SELL ONLY
American Century Investments	American Century Inflation Adjusted Bond Fund C Class	025081720	AINOX		1.00	1.00	1.54000		SELL ONLY
American Century Investments	American Century Inflation Adjusted Bond Fund I Class	025081639	AIAHX				0.44000		SELL ONLY
American Century Investments	American Century Inflation Adjusted Bond Fund Investor Class	025081704	ACITX				0.54000		SELL ONLY
American Century Investments	American Century Inflation Adjusted Bond Fund R5 Class	025081795	AIANX				0.34000		SELL ONLY
American Century Investments	American Century Inflation Adjusted Bond Fund Y Class	025081621	AIAYX				0.34000		SELL ONLY
American Century Investments	American Century Intermediate Term Tax-Free Bond Fund Class C	024934630	TWTCX		1.00	1.00	1.46000		SELL ONLY
American Century Investments	American Century Intermediate Term Tax-Free Bond Fund I Class	024934846	AXBIX				0.26000		SELL ONLY
American Century Investments	American Century Intermediate Term Tax-Free Bond Fund Investor Class	024934507	TWTIX				0.46000		SELL ONLY
American Century Investments	American Century Intermediate-Term Tax-Free Bond Fund Y Class	024934614	ATBYX				0.23000		SELL ONLY
American Century Investments	American Century International Growth Fund A Class	025086406	TWGAX	5.75		.25	1.47000		SELL ONLY
American Century Investments	American Century International Growth Fund C Class	025086687	AIWCX		1.00	1.00	2.22000		SELL ONLY
American Century Investments	American Century International Growth Fund I Class	025086208	TGRIX				1.02000		SELL ONLY
American Century Investments	American Century International Growth Fund Investor Class	025086109	TWIEX				1.22000		SELL ONLY
American Century Investments	American Century International Growth Fund R5 Class	02509A810	ATGGX				1.02000		SELL ONLY
American Century Investments	American Century International Growth Fund Y Class	02509A703	ATYGX				0.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century International Opportunities Fund Class A	025086455	AIVOX	5.75		.25	1.76000		SELL ONLY
American Century Investments	American Century International Opportunities Fund Class C	025086448	AIOCX		1.00	1.00	2.51000		SELL ONLY
American Century Investments	American Century International Opportunities Fund I Class	025086737	ACIOX				1.31000		SELL ONLY
American Century Investments	American Century International Opportunities Fund Investor Class	025086745	AIOIX				1.51000		SELL ONLY
American Century Investments	American Century International Value Fund A Class	025086562	MEQAX	5.75		.25	1.36000		SELL ONLY
American Century Investments	American Century International Value Fund C Class	025086547	ACCOX		1.00	1.00	2.11000		SELL ONLY
American Century Investments	American Century International Value Fund I Class	025086570	ACVUX				0.91000		SELL ONLY
American Century Investments	American Century International Value Fund Investor Class	025086588	ACEVX				1.11000		SELL ONLY
American Century Investments	American Century Investments Focused Dynamic Growth Fund A Class	02507H700	ACFDX	5.75		.25	1.10000		SELL ONLY
American Century Investments	American Century Investments Focused Dynamic Growth Fund I Class	02507H601	ACFSX				0.65000		SELL ONLY
American Century Investments	American Century Investments Focused Dynamic Growth Fund Investor Class	02507H502	ACFOX				0.85000		SELL ONLY
American Century Investments	American Century Investments Ginnie Mae Fund C Class	025081761	BGNCX		1.00	1.00	1.55000		SELL ONLY
American Century Investments	American Century Investments One Choice 2030 Portfolio A Class	02507F621	ARCMX	5.75		.25	1.07000		BUY,SELL
American Century Investments	American Century Investments One Choice 2030 Portfolio C Class	02507F480	ARWOX		1.00	1.00	1.82000		SELL ONLY
American Century Investments	American Century Investments One Choice 2030 Portfolio I Class	02507F647	ARCSX				0.64000		SELL ONLY
American Century Investments	American Century Investments One Choice 2030 Portfolio Investor Class	02507F654	ARCVX				0.82000		SELL ONLY
American Century Investments	American Century Investments One Choice 2035 Portfolio A Class	02507F878	ARYAX	5.75		.25	1.10000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Investments One Choice 2035 Portfolio C Class	02507F472	ARLCX		1.00	1.00	1.85000		SELL ONLY
American Century Investments	American Century Investments One Choice 2035 Portfolio I Class	02507F860	ARLIX				0.66000		SELL ONLY
American Century Investments	American Century Investments One Choice 2035 Portfolio Investor Class	02507F886	ARYIX				0.85000		SELL ONLY
American Century Investments	American Century Investments One Choice 2040 Portfolio A Class	02507F571	ARDMX	5.75		.25	1.12000		BUY,SELL
American Century Investments	American Century Investments One Choice 2040 Portfolio C Class	02507F464	ARNOX		1.00	1.00	1.87000		SELL ONLY
American Century Investments	American Century Investments One Choice 2040 Portfolio I Class	02507F597	ARDSX				0.68000		SELL ONLY
American Century Investments	American Century Investments One Choice 2040 Portfolio Investor Class	02507F613	ARDVX				0.87000		SELL ONLY
American Century Investments	American Century Investments One Choice 2045 Portfolio A Class	02507F837	AROAX	5.75		.25	1.14000		BUY,SELL
American Century Investments	American Century Investments One Choice 2045 Portfolio C Class	02507F456	AROCX		1.00	1.00	1.89000		SELL ONLY
American Century Investments	American Century Investments One Choice 2045 Portfolio I Class	02507F829	AOOIX				0.70000		SELL ONLY
American Century Investments	American Century Investments One Choice 2045 Portfolio Investor Class	02507F845	AROIX				0.89000		SELL ONLY
American Century Investments	American Century Investments One Choice 2050 Portfolio A Class	02507F530	ARFMX	5.75		.25	1.16000		BUY,SELL
American Century Investments	American Century Investments One Choice 2050 Portfolio C Class	02507F449	ARFDX		1.00	1.00	1.91000		SELL ONLY
American Century Investments	American Century Investments One Choice 2050 Portfolio I Class	02507F555	ARFSX				0.72000		SELL ONLY
American Century Investments	American Century Investments One Choice 2050 Portfolio Investor Class	02507F563	ARFVX				0.91000		SELL ONLY
American Century Investments	American Century Investments One Choice 2055 Portfolio A Class	02507F399	AREMX	5.75		.25	1.18000		BUY,SELL
American Century Investments	American Century Investments One Choice 2055 Portfolio C Class	02507F381	AREFX		1.00	1.00	1.93000		SELL ONLY
American Century Investments	American Century Investments One Choice 2055 Portfolio I Class	02507F415	ARENX				0.74000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Investments One Choice 2055 Portfolio Investor Class	02507F423	AREVX				0.93000		SELL ONLY
American Century Investments	American Century Investments One Choice 2060 Portfolio A Class	02507F167	ARGMX	5.75		.25	1.19000		SELL ONLY
American Century Investments	American Century Investments One Choice 2060 Portfolio C Class	02507F159	ARGHX		1.00	1.00	1.94000		SELL ONLY
American Century Investments	American Century Investments One Choice 2060 Portfolio I Class	02507F183	ARGNX				0.74000		SELL ONLY
American Century Investments	American Century Investments One Choice 2060 Portfolio Investor Class	02507F191	ARGVX				0.94000		SELL ONLY
American Century Investments	American Century Investments One Choice 2065 Portfolio A Class	02507J847	ARHMX	5.75		.25	1.20000		SELL ONLY
American Century Investments	American Century Investments One Choice 2065 Portfolio C Class	02507J839	ARHEX		1.00	1.00	1.95000		SELL ONLY
American Century Investments	American Century Investments One Choice 2065 Portfolio I Class	02507J854	ARHUX				0.75000		SELL ONLY
American Century Investments	American Century Investments One Choice 2065 Portfolio Investor Class	02507J862	ARHVX				0.95000		SELL ONLY
American Century Investments	American Century Investments One Choice In Retirement Portfolio A Class	02507F787	ARTAX	5.75		.25	1.05000		BUY,SELL
American Century Investments	American Century Investments One Choice In Retirement Portfolio C Class	02507F431	ATTCX		1.00	1.00	1.80000		SELL ONLY
American Century Investments	American Century Investments One Choice In Retirement Portfolio I Class	02507F779	ATTIX				0.62000		SELL ONLY
American Century Investments	American Century Investments One Choice In Retirement Portfolio Investor Class	02507F795	ARTOX				0.80000		SELL ONLY
American Century Investments	American Century Investments One Choice Portfolio?: Aggressive Investor Class	02507F720	AOGIX				0.93000		SELL ONLY
American Century Investments	American Century Investments One Choice Portfolio?: Conservative Investor Class	02507F746	AOCIX				0.80000		SELL ONLY
American Century Investments	American Century Investments One Choice Portfolio?: Moderate Investor Class	02507F738	AOMIX				0.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Investments One Choice Portfolio?: Very Aggressive Investor Class	02507F712	AOVIX				1.02000		SELL ONLY
American Century Investments	American Century Investments One Choice Portfolio?: Very Conservative Investor Class	02507F753	AONIX				0.71000		SELL ONLY
American Century Investments	American Century Investments? Focused Large Cap Value Fund A Class	025076787	ALPAX	5.75		.25	1.09000		SELL ONLY
American Century Investments	American Century Investments? Focused Large Cap Value Fund C Class	025076738	ALPCX		1.00	1.00	1.84000		SELL ONLY
American Century Investments	American Century Investments? Focused Large Cap Value Fund I Class	025076779	ALVSX				0.64000		SELL ONLY
American Century Investments	American Century Investments? Focused Large Cap Value Fund Investor Class	025076795	ALVIX				0.84000		SELL ONLY
American Century Investments	American Century Investments? Focused Large Cap Value Fund R5 Class	02508F786	ALVGX				0.64000		SELL ONLY
American Century Investments	American Century Large Cap Equity Fund - Class A	025083130	AFDAX	5.75		.25	1.04000		BUY,SELL
American Century Investments	American Century Large Cap Equity Fund - Class I	02508H709	AFEIX				0.59000		SELL ONLY
American Century Investments	American Century Large Cap Equity Fund - Class Investor	02508H600	AFDIX				0.79000		SELL ONLY
American Century Investments	American Century Large Cap Equity Fund - Class R5	02508H295	AFDGX				0.59000		SELL ONLY
American Century Investments	American Century Large Cap Equity Fund C Class	025083114	AFDCX		1.00	1.00	1.79000		SELL ONLY
American Century Investments	American Century Large Cap Equity Fund Y Class	02508H287	AFYDX				0.44000		SELL ONLY
American Century Investments	American Century Mid Cap Value Fund A Class	025076639	ACLAX	5.75		.25	1.22000		SELL ONLY
American Century Investments	American Century Mid Cap Value Fund C Class	025076514	ACCLX		1.00	1.00	1.97000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Mid Cap Value Fund I Class	025076647	AVUAX				0.77000		SELL ONLY
American Century Investments	American Century Mid Cap Value Fund Investor Class	025076654	ACMVX				0.97000		SELL ONLY
American Century Investments	American Century Mid Cap Value Fund R5 Class	02508F778	AMVGX				0.77000		SELL ONLY
American Century Investments	American Century Mid Cap Value Fund Y Class	02508F760	AMVYX				0.62000		SELL ONLY
American Century Investments	American Century Multisector Income Fund A Class	024932360	ASIQX	4.50		.25	0.83000		SELL ONLY
American Century Investments	American Century Multisector Income Fund C Class	024932352	ASIHX		1.00	1.00	1.58000		SELL ONLY
American Century Investments	American Century Multisector Income Fund I Class	02508J853	ASIGX				0.48000		SELL ONLY
American Century Investments	American Century Multisector Income Fund Investor Class	024932329	ASIEX				0.58000		SELL ONLY
American Century Investments	American Century Multisector Income Fund R5 Class	024932311	ASIJX				0.38000		SELL ONLY
American Century Investments	American Century Multisector Income Fund Y Class	02508J846	ASYIX				0.38000		SELL ONLY
American Century Investments	American Century Non-U.S. IntrinsicValue Fund A Class	02509A778	ANVLX	5.75		.25	1.42000		SELL ONLY
American Century Investments	American Century Non-U.S. IntrinsicValue Fund I Class	02509A786	ANVHX				0.97000		SELL ONLY
American Century Investments	American Century Non-U.S. IntrinsicValue Fund Investor Class	025086158	ANTUX				1.17000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2025 Portfolio A Class	02507J664	AABQX	5.75		.25	0.85000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2025 Portfolio I Class	02507J672	AABKX				0.40000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2025 Portfolio Investor Class	02507J680	AABJX				0.60000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2030 Portfolio A Class	02507J615	AABZX	5.75		.25	0.85000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2030 Portfolio I Class	02507J623	AAEWX				0.40000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century One Choice Blend+ 2030 Portfolio Investor Class	02507J631	AABWX				0.60000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2035 Portfolio A Class	02507J557	AACMX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2035 Portfolio I Class	02507J565	AACLX				0.39000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2035 Portfolio Investor Class	02507J573	AACKX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2040 Portfolio A Class	02507J490	AACVX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2040 Portfolio I Class	02507J516	AACUX				0.39000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2040 Portfolio Investor Class	02507J524	AACSX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2045 Portfolio A Class	02507J441	AADKX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2045 Portfolio I Class	02507J458	AADJX				0.39000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2045 Portfolio Investor Class	02507J466	AADHX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2050 Portfolio A Class	02507J383	AADPX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2050 Portfolio I Class	02507J391	AADOX				0.39000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2050 Portfolio Investor Class	02507J417	AADNX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2055 Portfolio A Class	02507J334	AADZX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2055 Portfolio I Class	02507J342	AADWX				0.39000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2055 Portfolio Investor Class	02507J359	AADVX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2060 Portfolio A Class	02507J276	AAEHX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2060 Portfolio I Class	02507J284	AAEGX				0.39000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century One Choice Blend+ 2060 Portfolio Investor Class	02507J292	AAEFX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2065 Portfolio A Class	02507J227	AAEOX	5.75		.25	0.84000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2065 Portfolio I Class	02507J235	AAELX				0.39000		SELL ONLY
American Century Investments	American Century One Choice Blend+ 2065 Portfolio Investor Class	02507J243	AAEKX				0.59000		SELL ONLY
American Century Investments	American Century One Choice Blend+ In Retirement Portfolio A Class	02507J722	AABEX	5.75		.25	0.85000		SELL ONLY
American Century Investments	American Century One Choice Blend+ In Retirement Portfolio I Class	02507J730	AAAOX				0.40000		SELL ONLY
American Century Investments	American Century One Choice Blend+ In Retirement Portfolio Investor Class	02507J748	AAAMX				0.60000		SELL ONLY
American Century Investments	American Century Real Estate Fund A Class	025076860	AREEX	5.75		.25	1.40000		SELL ONLY
American Century Investments	American Century Real Estate Fund C Class	025076555	ARYCX		1.00	1.00	2.15000		SELL ONLY
American Century Investments	American Century Real Estate Fund I Class	025076878	REAIX				0.95000		SELL ONLY
American Century Investments	American Century Real Estate Fund Investor Class	025076886	REACX				1.15000		SELL ONLY
American Century Investments	American Century Real Estate Fund R5 Class	02508F836	ARREX				0.95000		SELL ONLY
American Century Investments	American Century Real Estate Fund Y Class	02508F828	ARYEX				0.80000		SELL ONLY
American Century Investments	American Century Select Fund A Class	025083809	TWCAX	5.75		.25	1.23000		SELL ONLY
American Century Investments	American Century Select Fund C Class	025083239	ACSLX		1.00	1.00	1.98000		SELL ONLY
American Century Investments	American Century Select Fund I Class	025083601	TWSIX				0.78000		SELL ONLY
American Century Investments	American Century Select Fund Investor Class	025083502	TWCIX				0.98000		SELL ONLY
American Century Investments	American Century Select Fund R5 Class	02508H345	ASLGX				0.78000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Select Fund Y Class	02508H337	ASLWX				0.63000		SELL ONLY
American Century Investments	American Century Short Duration Fund A Class	024932477	ACSQX	2.25		.25	0.81000		SELL ONLY
American Century Investments	American Century Short Duration Fund C Class	024932451	ACSKX		1.00	1.00	1.56000		SELL ONLY
American Century Investments	American Century Short Duration Fund I Class	02508J705	ASHHX				0.46000		SELL ONLY
American Century Investments	American Century Short Duration Fund Investor Class	024932436	ACSNX				0.56000		SELL ONLY
American Century Investments	American Century Short Duration Fund R5 Class	024932428	ACSUX				0.36000		SELL ONLY
American Century Investments	American Century Short Duration Inflation Protection Bond Fund A Class	024932758	APOAX	2.25		.25	0.86000		SELL ONLY
American Century Investments	American Century Short Duration Inflation Protection Bond Fund C Class	024932733	APOCX		1.00	1.00	1.61000		SELL ONLY
American Century Investments	American Century Short Duration Inflation Protection Bond Fund I Class	02508J804	APOHX				0.51000		SELL ONLY
American Century Investments	American Century Short Duration Inflation Protection Bond Fund Investor Class	024932774	APOIX				0.61000		SELL ONLY
American Century Investments	American Century Short Duration Inflation Protection Bond Fund R5 Class	024932766	APISX				0.41000		SELL ONLY
American Century Investments	American Century Short Duration Inflation Protection Bond Fund Y Class	02508J887	APOYX				0.41000		SELL ONLY
American Century Investments	American Century Short Duration Strategic Income Fund A Class	024932295	ASADX	2.25		.25	0.77000		SELL ONLY
American Century Investments	American Century Short Duration Strategic Income Fund C Class	024932287	ASCDX		1.00	1.00	1.52000		SELL ONLY
American Century Investments	American Century Short Duration Strategic Income Fund I Class	02508J879	ASDHX				0.42000		SELL ONLY
American Century Investments	American Century Short Duration Strategic Income Fund Investor Class	024932253	ASDVX				0.52000		SELL ONLY
American Century Investments	American Century Short Duration Strategic Income Fund R5 Class	024932246	ASDJX				0.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Short Duration Strategic Income Fund Y Class	02508J861	ASYDX				0.32000		SELL ONLY
American Century Investments	American Century Short-Term Government Fund A Class	025081845	TWAVX	2.25		.25	0.79000		SELL ONLY
American Century Investments	American Century Short-Term Government Fund C Class	025081696	TWACX		1.00	1.00	1.54000		SELL ONLY
American Century Investments	American Century Short-Term Government Fund I Class	025081662	ASGHX				0.44000		SELL ONLY
American Century Investments	American Century Short-Term Government Fund Investor Class	025081506	TWUSX				0.54000		SELL ONLY
American Century Investments	American Century Short-Term Government Fund R5 Class	025081670	TWUOX				0.34000		SELL ONLY
American Century Investments	American Century Small Cap Dividend Fund A Class	02508F653	AMAHX	5.75		.25	1.34000		SELL ONLY
American Century Investments	American Century Small Cap Dividend Fund I Class	02508F661	AMAFX				0.89000		SELL ONLY
American Century Investments	American Century Small Cap Dividend Fund Investor Class	02508F679	AMAEX				1.09000		SELL ONLY
American Century Investments	American Century Small Cap Growth Fund A Class	025083221	ANOAX	5.75		.25	1.39000		SELL ONLY
American Century Investments	American Century Small Cap Growth Fund C Class	025083197	ANOCX		1.00	1.00	2.14000		SELL ONLY
American Century Investments	American Century Small Cap Growth Fund I Class	025083320	ANONX				0.94000		SELL ONLY
American Century Investments	American Century Small Cap Growth Fund Investor Class	025083338	ANOIX				1.14000		SELL ONLY
American Century Investments	American Century Small Cap Growth Fund R5 Class	02508H329	ANOGX				0.94000		SELL ONLY
American Century Investments	American Century Small Cap Growth Fund Y Class	02508H311	ANOYX				0.79000		SELL ONLY
American Century Investments	American Century Small Cap Value Fund A Class	025076837	ACSCX	5.75		.25	1.33000		SELL ONLY
American Century Investments	American Century Small Cap Value Fund C Class	025076530	ASVNX		1.00	1.00	2.08000		SELL ONLY
American Century Investments	American Century Small Cap Value Fund I Class	025076845	ACVIX				0.88000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Small Cap Value Fund Investor Class	025076852	ASVIX				1.08000		SELL ONLY
American Century Investments	American Century Small Cap Value Fund R5 Class	02508F752	ASVGX				0.88000		SELL ONLY
American Century Investments	American Century Small Cap Value Fund Y Class	02508F745	ASVYX				0.73000		SELL ONLY
American Century Investments	American Century Small Company Fund A Class	02507M824	ASQAX	5.75		.25	1.10000		SELL ONLY
American Century Investments	American Century Small Company Fund C Class	02507M329	ASQCX		1.00	1.00	1.85000		SELL ONLY
American Century Investments	American Century Small Company Fund I Class	02507M832	ASCQX				0.65000		SELL ONLY
American Century Investments	American Century Small Company Fund Investor Class	02507M840	ASQIX				0.85000		SELL ONLY
American Century Investments	American Century Small Company Fund R5 Class	02508G826	ASQGX				0.65000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Aggressive Fund A Class	025085887	ACVAX	5.75		.25	1.58000		BUY,SELL
American Century Investments	American Century Strategic Allocation: Aggressive Fund C Class	025085838	ASTAX		1.00	1.00	2.33000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Aggressive Fund I Class	025085853	AAAIX				1.13000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Aggressive Fund Investor Class	025085705	TWSAX				1.33000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Aggressive Fund R5 Class	025085499	ASAX				1.13000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Conservative Fund A Class	025085309	ACCAX	5.75		.25	1.38000		BUY,SELL
American Century Investments	American Century Strategic Allocation: Conservative Fund C Class	025085739	AACCX		1.00	1.00	2.13000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Conservative Fund I Class	025085879	ACCIX				0.93000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Conservative Fund Investor Class	025085101	TWSCX				1.13000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Conservative Fund R5 Class	025085523	AACGX				0.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	American Century Strategic Allocation: Moderate Fund A Class	025085606	ACOAX	5.75		.25	1.51000		BUY,SELL
American Century Investments	American Century Strategic Allocation: Moderate Fund C Class	025085846	ASTCX		1.00	1.00	2.26000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Moderate Fund I Class	025085861	ASAMX				1.06000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Moderate Fund Investor Class	025085408	TWSM X				1.26000		SELL ONLY
American Century Investments	American Century Strategic Allocation: Moderate Fund R5 Class	025085515	ASMUX				1.06000		SELL ONLY
American Century Investments	American Century Ultra? Fund A Class	025083858	TWUAX	5.75		.25	1.17000		BUY,SELL
American Century Investments	American Century Ultra? Fund C Class	025083288	TWCCX		1.00	1.00	1.92000		SELL ONLY
American Century Investments	American Century Ultra? Fund I Class	025083874	TWUIX				0.72000		SELL ONLY
American Century Investments	American Century Ultra? Fund Investor Class	025083882	TWCUX				0.92000		SELL ONLY
American Century Investments	American Century Ultra? Fund R5 Class	02508H279	AULGX				0.72000		SELL ONLY
American Century Investments	American Century Ultra? Fund Y Class	02508H261	AULYX				0.57000		SELL ONLY
American Century Investments	American Century Utilities Fund Investor Class	02507M881	BULIX				0.65000		SELL ONLY
American Century Investments	American Century Value Fund A Class	025076803	TWADX	5.75		.25	1.23000		SELL ONLY
American Century Investments	American Century Value Fund C Class	025076761	ACLCX		1.00	1.00	1.98000		SELL ONLY
American Century Investments	American Century Value Fund I Class	025076605	AVLIX				0.78000		SELL ONLY
American Century Investments	American Century Value Fund Investor Class	025076506	TWVLX				0.98000		SELL ONLY
American Century Investments	American Century Value Fund R5 Class	02508F737	AVUGX				0.78000		SELL ONLY
American Century Investments	American Century Value Fund Y Class	02508F729	AVUYX				0.63000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
American Century Investments	One Choice Blend+ 2070 Portfolio I Class	02507K802	ARATX				0.39000		SELL ONLY
American Century Investments	One Choice Blend+ 2070 Portfolio Investor Class	02507K703	ARASX				0.59000		SELL ONLY
AMG Funds	AMG Boston Common Global Impact Fund - Class I	00170J300	BRWIX				0.95000		SELL ONLY
AMG Funds	AMG Frontier Small Cap Growth Fund Class I	00170J771	MSSCX				1.23000		SELL ONLY
AMG Funds	AMG Frontier Small Cap Growth Fund Class N	00170J789	MSSVX			.25	1.54000		SELL ONLY
AMG Funds	AMG Frontier Small Cap Growth Fund Class Z	00170J763	MSSYX				1.14000		SELL ONLY
AMG Funds	AMG GW&K Core Bond ESG Fund - Class I	00170J730	MBDFX				0.69000		SELL ONLY
AMG Funds	AMG GW&K Core Bond ESG Fund - Class N	00170J722	MBGVX			.25	1.02000		SELL ONLY
AMG Funds	AMG GW&K Core Bond ESG Fund - Class Z	00170J714	MBDLX				0.62000		SELL ONLY
AMG Funds	AMG GW&K ESG Bond Fund - Class I	00170L834	MGBIX				0.53000		SELL ONLY
AMG Funds	AMG GW&K ESG Bond Fund - Class N	00170L842	MGFIX				0.73000		SELL ONLY
AMG Funds	AMG GW&K International Small Cap Fund - Class I	00170L503	MECIX				1.37000		SELL ONLY
AMG Funds	AMG GW&K International Small Cap Fund - Class N	00170L404	MECAX				1.52000		SELL ONLY
AMG Funds	AMG GW&K International Small Cap Fund - Class Z	00170L727	MECZX				1.27000		SELL ONLY
AMG Funds	AMG GW&K Municipal Bond Fund Class I	00170K505	GWMIX				0.46000		SELL ONLY
AMG Funds	AMG GW&K Municipal Bond Fund Class N	00170K307	GWMTX			.25	0.78000		SELL ONLY
AMG Funds	AMG GW&K Municipal Enhanced Yield Fund Class I	00170K802	GWME X				0.74000		SELL ONLY
AMG Funds	AMG GW&K Municipal Enhanced Yield Fund Class N	00170K604	GWMN X			.25	1.09000		SELL ONLY
AMG Funds	AMG GW&K Municipal Enhanced Yield Fund Class Z	00170K158	GWMZ X				0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
AMG Funds	AMG GW&K Small Cap Core Fund Class I	00170K869	GWEIX				0.95000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Core Fund Class N	00170K885	GWETX			.25	1.30000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Core Fund Class Z	00170K141	GWEZX				0.90000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Growth Fund - Class I	00171A589	MCGIX				0.79000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Growth Fund - Class N	00171A597	MCGFX			.15	0.96000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Value Fund Class I	00170K224	SKSIX				0.99000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Value Fund Class N	00170K208	SKSEX				1.19000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Value Fund Class Z	00170K216	SKSZX				0.94000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Core Fund - Class I	00170K349	GWGIX				0.87000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Core Fund - Class N	00170K364	GWGVX			.25	1.07000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Core Fund - Class Z	00170K232	GWGZX				0.82000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Growth Fund ? I	00171A639	ACWIX				1.10000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Growth Fund ? N	00171A647	ACWDX			.19	1.24000		SELL ONLY
AMG Funds	AMG Renaissance Large Cap Growth Fund Class I	00170K836	MRLSX				0.84000		SELL ONLY
AMG Funds	AMG Renaissance Large Cap Growth Fund Class N	00170K844	MRLTX			.25	1.11000		SELL ONLY
AMG Funds	AMG Renaissance Large Cap Growth Fund Class Z	00170K828	MRLIX				0.77000		SELL ONLY
AMG Funds	AMG River Road Dividend All Cap Value Fund Class I	00171A209	ARIDX				0.85000		SELL ONLY
AMG Funds	AMG River Road Dividend All Cap Value Fund Class N	00171A100	ARDEX			.25	1.10000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
AMG Funds	AMG River Road Dividend All Cap Value Fund Class Z	00171C601	ARZDX				0.81000		SELL ONLY
AMG Funds	AMG River Road Focused Absolute Value Fund Class I	00171A605	AFAVX				1.02000		SELL ONLY
AMG Funds	AMG River Road Focused Absolute Value Fund Class N	00171A506	ARRFX			.24	1.26000		SELL ONLY
AMG Funds	AMG River Road Focused Absolute Value Fund Class Z	00171C809	ARRZX				0.98000		SELL ONLY
AMG Funds	AMG River Road Large Cap Value Select Fund - Class I	00170J797	MEQFX				0.83000		SELL ONLY
AMG Funds	AMG River Road Large Cap Value Select Fund - Class N	00170J862	FQUAX			.25	1.15000		SELL ONLY
AMG Funds	AMG River Road Mid Cap Value Fund - Class I	00171A720	ABMIX				0.84000		SELL ONLY
AMG Funds	AMG River Road Mid Cap Value Fund - Class N	00171A738	CHTTX			.25	1.14000		SELL ONLY
AMG Funds	AMG River Road Mid Cap Value Fund - Class Z	00171C304	ABIZX				0.79000		SELL ONLY
AMG Funds	AMG River Road Small Cap Value Fund Class I	00171A852	ARSIX				1.11000		SELL ONLY
AMG Funds	AMG River Road Small Cap Value Fund Class N	00171A860	ARSVX			.25	1.37000		SELL ONLY
AMG Funds	AMG River Road Small Cap Value Fund Class Z	00171C874	ARZMX				1.02000		SELL ONLY
AMG Funds	AMG River Road Small-Mid Cap Value Fund Class I	00171A878	ARIMX				1.03000		SELL ONLY
AMG Funds	AMG River Road Small-Mid Cap Value Fund Class N	00171A886	ARSMX			.25	1.29000		SELL ONLY
AMG Funds	AMG River Road Small-Mid Cap Value Fund Class Z	00171C866	ARSZX				0.98000		SELL ONLY
AMG Funds	AMG TimesSquare International Small Cap Fund Class I	00170K182	TQTIX				1.17000		SELL ONLY
AMG Funds	AMG TimesSquare International Small Cap Fund Class N	00170K711	TCMPX				1.36000		SELL ONLY
AMG Funds	AMG TimesSquare International Small Cap Fund Class Z	00170K695	TCMIX				1.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
AMG Funds	AMG TimesSquare Mid Cap Growth Fund Class I	00170K174	TQMIX				0.92000		SELL ONLY
AMG Funds	AMG TimesSquare Mid Cap Growth Fund Class N	00170K752	TMDPX				1.07000		SELL ONLY
AMG Funds	AMG TimesSquare Mid Cap Growth Fund Class Z	00170K745	TMDIX				0.87000		SELL ONLY
AMG Funds	AMG TimesSquare Small Cap Growth Fund Class I	00170K166	TSQIX				1.08000		SELL ONLY
AMG Funds	AMG TimesSquare Small Cap Growth Fund Class N	00170K737	TSCPX				1.22000		SELL ONLY
AMG Funds	AMG TimesSquare Small Cap Growth Fund Class Z	00170K729	TSCIX				1.02000		SELL ONLY
AMG Funds	AMG Veritas Asia Pacific Fund - Class I	00170L859	MSEIX				1.13000		SELL ONLY
AMG Funds	AMG Veritas Asia Pacific Fund - Class N	00170L867	MGSEX				1.37000		SELL ONLY
AMG Funds	AMG Veritas China Fund Class I	00170J409	MIMFX				1.27000		SELL ONLY
AMG Funds	AMG Veritas China Fund Class N	00170J755	MMCFX				1.48000		SELL ONLY
AMG Funds	AMG Veritas Global Focus Fund Class I	00170J813	MFQTX				1.20000		SELL ONLY
AMG Funds	AMG Veritas Global Focus Fund Class N	00170J870	MFQAX			.25	1.45000		SELL ONLY
AMG Funds	AMG Veritas Global Real Return Fund - Class I	00170J201	BLUEX				1.24000		SELL ONLY
AMG Funds	AMG Yacktman Focused Fund - Class I	00170K562	YAFIX				1.07000		SELL ONLY
AMG Funds	AMG Yacktman Focused Fund - Class N	00170K570	YAFFX				1.25000		SELL ONLY
AMG Funds	AMG Yacktman Fund Class I	00170K588	YACKX				0.71000		SELL ONLY
AMG Funds	AMG Yacktman Global Fund - Class I	00170K240	YFSIX				0.97000		SELL ONLY
AMG Funds	AMG Yacktman Global Fund - Class N	00170K257	YFSNX				1.17000		SELL ONLY
AMG Funds	AMG Yacktman Special Opportunities Fund Class I	00170K489	YASSX				0.92000		SELL ONLY
AMG Funds	AMG Yacktman Special Opportunities Fund Class Z	00170K471	YASLX				0.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Ariel Investments	Ariel Appreciation Fund Institutional Class	040337834	CAAIX				0.83000		SELL ONLY
Ariel Investments	Ariel Appreciation Fund Investor Class	040337206	CAAPX			.25	1.14000		SELL ONLY
Ariel Investments	Ariel Focus Fund Institutional Class	040337826	AFOYX				0.87000		SELL ONLY
Ariel Investments	Ariel Focus Fund Investor Class	04035F107	ARFFX			.25	1.18000		SELL ONLY
Ariel Investments	Ariel Fund Institutional Class	040337842	ARAIX				0.69000		SELL ONLY
Ariel Investments	Ariel Fund Investor Class	040337107	ARGFX			.25	1.00000		SELL ONLY
Ariel Investments	Ariel Global Fund Institutional Class	040337859	AGLYX				1.14000		SELL ONLY
Ariel Investments	Ariel Global Fund Investor Class	040337867	AGLOX			.25	1.53000		SELL ONLY
Ariel Investments	Ariel International Fund Investor Class	040337883	AINTX			.25	1.40000		SELL ONLY
Ariel Investments	Ariel International Institutional Class	040337875	AINIX				1.01000		SELL ONLY
Aristotle Funds	Aristotle Core Bond Fund Class I	04045F683	PLEBX				0.48000		SELL ONLY
Aristotle Funds	Aristotle Core Bond Fund Class I-2	04045F675	PLEDX				0.48000		SELL ONLY
Aristotle Funds	Aristotle Core Equity Fund A	04045F329	ARALX	4.25		.25	0.90000		SELL ONLY
Aristotle Funds	Aristotle Core Equity Fund CI I	04045F436	ARILX				0.65000		SELL ONLY
Aristotle Funds	Aristotle Core Equity Fund Class I-2 Shares	04045F311	AILLX				0.65000		SELL ONLY
Aristotle Funds	Aristotle Core Income Fund Class A	04045F733	PLIAX	4.25		.25	0.85000		BUY,SELL
Aristotle Funds	Aristotle Core Income Fund Class C	04045F725	PLNCX		1.00	1.00	1.60000		SELL ONLY
Aristotle Funds	Aristotle Core Income Fund Class I	04045F717	PLIIX				0.45000		SELL ONLY
Aristotle Funds	Aristotle Core Income Fund Class I-2	04045F691	PLIDX				0.55000		SELL ONLY
Aristotle Funds	Aristotle Floating Rate Income Fund Class A	04045F626	PLFLX	3.00		.25	1.05000		BUY,SELL
Aristotle Funds	Aristotle Floating Rate Income Fund Class C	04045F618	PLBCX		1.00	1.00	1.80000		SELL ONLY
Aristotle Funds	Aristotle Floating Rate Income Fund Class I	04045F592	PLFRX				0.72000		SELL ONLY
Aristotle Funds	Aristotle Floating Rate Income Fund Class I-2	04045F584	PLFDX				0.80000		SELL ONLY
Aristotle Funds	Aristotle Growth Equity Fd I-2	04045F287	AIGGX				0.70000		SELL ONLY
Aristotle Funds	Aristotle Growth Equity Fund Class A	04045F295	ARAGX	4.25		.25	0.95000		SELL ONLY
Aristotle Funds	Aristotle Growth Equity Fund Class I	04045F428	ARIGX				0.70000		SELL ONLY
Aristotle Funds	Aristotle High Yield Bond Fund Class A	04045F576	PLAHX	4.25		.25	0.95000		SELL ONLY
Aristotle Funds	Aristotle High Yield Bond Fund Class C	04045F568	PLCHX		1.00	1.00	1.70000		SELL ONLY
Aristotle Funds	Aristotle High Yield Bond Fund Class I	04045F550	PLHIX				0.55000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Aristotle Funds	Aristotle High Yield Bond Fund Class I-2	04045F543	PLHYX				0.65000		SELL ONLY
Aristotle Funds	Aristotle International Equity Fund A	04045F378	ARAFX	4.25		.25	1.04000		SELL ONLY
Aristotle Funds	Aristotle International Equity Fund CI I	04045F410	ARIFX				0.79000		SELL ONLY
Aristotle Funds	Aristotle International Equity Fund Class I-2	04045F360	AIFFX				0.79000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Aggressive Growth Fund Class A	04045F840	POEAX	5.50		.25	1.12000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Aggressive Growth Fund Class C	04045F832	POCEX		1.00	1.00	1.87000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Aggressive Growth Fund Class I-2	04045F824	POEDX				0.87000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Conservative Fund Class A	04045F105	POAAX	5.50		.25	1.08000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Conservative Fund Class C	04045F204	POACX		1.00	1.00	1.83000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Conservative Fund Class I-2	04045F303	PLCDX				0.83000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Growth Fund Class A	04045F873	PODAX	5.50		.25	1.11000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Growth Fund Class C	04045F865	PODCX		1.00	1.00	1.86000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Growth Fund Class I-2	04045F857	PMADX				0.86000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Moderate Conservative Fund Class A	04045F402	POBAX	5.50		.25	1.10000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Moderate Conservative Fund Class C	04045F501	POBCX		1.00	1.00	1.85000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Moderate Conservative Fund Class I-2	04045F600	PMCDX				0.85000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Moderate Fund Class A	04045F709	POCAX	5.50		.25	1.12000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Moderate Fund Class C	04045F808	POMCX		1.00	1.00	1.87000		SELL ONLY
Aristotle Funds	Aristotle Portfolio Optimization Moderate Fund Class I-2	04045F881	POMDX				0.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Aristotle Funds	Aristotle Short Duration Income Fund Class A	04045F774	PLADX	3.00		.25	0.75000		BUY,SELL
Aristotle Funds	Aristotle Short Duration Income Fund Class C	04045F766	PLCSX		1.00	1.00	1.50000		SELL ONLY
Aristotle Funds	Aristotle Short Duration Income Fund Class I	04045F758	PLSDX				0.39000		SELL ONLY
Aristotle Funds	Aristotle Short Duration Income Fund Class I-2	04045F741	PLDSX				0.49000		SELL ONLY
Aristotle Funds	Aristotle Small Cap Equity Fund CI A	04045F485	ARABX	4.25		.25	1.16000		SELL ONLY
Aristotle Funds	Aristotle Small Cap Equity Fund CI C	04045F477	AISBX		1.00	1.00	1.91000		SELL ONLY
Aristotle Funds	Aristotle Small Cap Equity Fund CI I	04045F469	ARIBX				0.90000		SELL ONLY
Aristotle Funds	Aristotle Small Cap Equity Fund Class I-2	04045F337	AIBBX				0.91000		SELL ONLY
Aristotle Funds	Aristotle Small Cap Equity Fund Class R6	04045F451	ARRBX				0.86000		SELL ONLY
Aristotle Funds	Aristotle Small/Mid Cap Equity Fund Class A	04045F535	ARAHX	4.25		.25	1.26000		SELL ONLY
Aristotle Funds	Aristotle Small/Mid Cap Equity Fund Class C	04045F527	AISHX		1.00	1.00	2.01000		SELL ONLY
Aristotle Funds	Aristotle Small/Mid Cap Equity Fund Class I	04045F519	ARIHX				0.96000		SELL ONLY
Aristotle Funds	Aristotle Small/Mid Cap Equity Fund Class I-2	04045F493	AIHHX				1.01000		SELL ONLY
Aristotle Funds	Aristotle Strategic Income Fund Class A	04045F667	PLSTX	4.25		.25	0.94000		BUY,SELL
Aristotle Funds	Aristotle Strategic Income Fund Class C	04045F659	PLCNX		1.00	1.00	1.69000		SELL ONLY
Aristotle Funds	Aristotle Strategic Income Fund Class I	04045F642	PLSRX				0.59000		SELL ONLY
Aristotle Funds	Aristotle Strategic Income Fund Class I-2	04045F634	PLSFX				0.69000		SELL ONLY
Aristotle Funds	Aristotle Ultra Short Income Class I	04045F790	PLUIX				0.33000		SELL ONLY
Aristotle Funds	Aristotle Ultra Short Income Class I-2	04045F782	PLUDX				0.33000		SELL ONLY
Aristotle Funds	Aristotle Ultra Short Income Fund Class A	04045F816	PLUAX			.25	0.58000		SELL ONLY
Aristotle Funds	Aristotle Value Equity Fund A	04045F352	ARAXX	4.25		.25	0.95000		SELL ONLY
Aristotle Funds	Aristotle Value Equity Fund Class I-2	04045F345	AIQQX				0.70000		SELL ONLY
Aristotle Funds	Aristotle Value Equity Fund I	04045F279	ARIQX				0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Aristotle Funds	Aristotle Value Equity Fund R6	04045F253	ARRQX				0.62000		SELL ONLY
Aristotle Funds	Aristotle/Saul Global Equity Fund Class I-2	04045F386	AIOOX				0.79000		SELL ONLY
Artisan Partners Funds	Artisan Developing World Fund Advisor Shares	04314H618	APDYX				1.13000		SELL ONLY
Artisan Partners Funds	Artisan Developing World Fund Institutional Shares	04314H592	APHYX				1.05000		SELL ONLY
Artisan Partners Funds	Artisan Developing World Fund Investor Shares	04314H626	ARTYX				1.31000		SELL ONLY
Artisan Partners Funds	Artisan Emerging Markets Debt Opportunities Fund Advisor Shares	04314H360	APDOX				1.86000		SELL ONLY
Artisan Partners Funds	Artisan Emerging Markets Debt Opportunities Fund Institutional Shares	04314H352	APHOX				1.65000		SELL ONLY
Artisan Partners Funds	Artisan Emerging Markets Debt Opportunities Fund Investor Shares	04314H378	APFOX				3.23000		SELL ONLY
Artisan Partners Funds	Artisan Floating Rate Fund Advisor Shares	04314H394	APDUX				1.47000	2.00	SELL ONLY
Artisan Partners Funds	Artisan Floating Rate Fund Institutional Shares	04314H386	APHUX				1.29000	2.00	SELL ONLY
Artisan Partners Funds	Artisan Floating Rate Fund Investor Shares	04314H410	ARTUX				1.80000	2.00	SELL ONLY
Artisan Partners Funds	Artisan Focus Fund Advisor Shares	04314H519	APDTX				1.15000		SELL ONLY
Artisan Partners Funds	Artisan Focus Fund Institutional Shares	04314H493	APHTX				1.06000		SELL ONLY
Artisan Partners Funds	Artisan Focus Fund Investor Shares	04314H543	ARTTX				1.30000		SELL ONLY
Artisan Partners Funds	Artisan Global Discovery Fund Advisor Shares	04314H444	APDDX				1.42000		SELL ONLY
Artisan Partners Funds	Artisan Global Discovery Fund Institutional Shares	04314H527	APHDX				1.10000		SELL ONLY
Artisan Partners Funds	Artisan Global Discovery Fund Investor Shares	04314H535	APFDX				1.45000		SELL ONLY
Artisan Partners Funds	Artisan Global Equity Fund Advisor Shares	04314H428	APDHX				2.09000		SELL ONLY
Artisan Partners Funds	Artisan Global Equity Fund Institutional Shares	04314H584	APHHX				1.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Artisan Partners Funds	Artisan Global Equity Fund Investor Class	04314H816	ARTHX				1.35000		SELL ONLY
Artisan Partners Funds	Artisan Global Opportunities Fund Advisor Class	04314H691	APDRX				1.05000		SELL ONLY
Artisan Partners Funds	Artisan Global Opportunities Fund Institutional Class	04314H782	APHRX				0.95000		SELL ONLY
Artisan Partners Funds	Artisan Global Opportunities Fund Investor Class	04314H824	ARTRX				1.19000		SELL ONLY
Artisan Partners Funds	Artisan Global Unconstrained Fund Advisor Shares	04314H337	APDPX				2.04000		SELL ONLY
Artisan Partners Funds	Artisan Global Unconstrained Fund Institutional Shares	04314H329	APHPX				2.06000		SELL ONLY
Artisan Partners Funds	Artisan Global Unconstrained Fund Investor Shares	04314H345	APFPX				3.44000		SELL ONLY
Artisan Partners Funds	Artisan Global Value Fund Advisor Class	04314H683	APDGX				1.12000		SELL ONLY
Artisan Partners Funds	Artisan Global Value Fund Institutional Class	04314H741	APHGX				1.03000		SELL ONLY
Artisan Partners Funds	Artisan Global Value Fund Investor Class	04314H840	ARTGX				1.28000		SELL ONLY
Artisan Partners Funds	Artisan High Income Fund Advisor Shares	04314H717	APDFX				0.80000	2.00	SELL ONLY
Artisan Partners Funds	Artisan High Income Fund Institutional Shares	04314H568	APHFX				0.71000	2.00	SELL ONLY
Artisan Partners Funds	Artisan High Income Fund Investor Shares	04314H725	ARTFX				0.96000	2.00	SELL ONLY
Artisan Partners Funds	Artisan International Explorer Fund Advisor Shares	04314H253	ARDBX				1.46000		SELL ONLY
Artisan Partners Funds	Artisan International Explorer Fund Institutional Shares	04314H246	ARHBX				1.40000		SELL ONLY
Artisan Partners Funds	Artisan International Fund Advisor Class	04314H675	APDIX				1.05000		SELL ONLY
Artisan Partners Funds	Artisan International Fund Institutional Class	04314H402	APHIX				0.96000		SELL ONLY
Artisan Partners Funds	Artisan International Fund Investor Class	04314H204	ARTIX				1.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Artisan Partners Funds	Artisan International Small-Mid Fund Advisor Shares	04314H485	APDJX				1.15000		SELL ONLY
Artisan Partners Funds	Artisan International Small-Mid Fund Institutional Share	04314H576	APHJX				1.07000		SELL ONLY
Artisan Partners Funds	Artisan International Small-Mid Fund Investor Share	04314H808	ARTJX				1.32000		SELL ONLY
Artisan Partners Funds	Artisan International Value Fund Advisor Class	04314H667	APDKX				1.06000		SELL ONLY
Artisan Partners Funds	Artisan International Value Fund Institutional Class	04314H857	APHKX				0.97000		SELL ONLY
Artisan Partners Funds	Artisan International Value Fund Investor Class	04314H881	ARTKX				1.21000		SELL ONLY
Artisan Partners Funds	Artisan Mid Cap Fund Advisor Class	04314H659	APDMX				1.05000		SELL ONLY
Artisan Partners Funds	Artisan Mid Cap Fund Institutional Class	04314H600	APHMX				0.96000		SELL ONLY
Artisan Partners Funds	Artisan Mid Cap Fund Investor Class	04314H303	ARTMX				1.19000		SELL ONLY
Artisan Partners Funds	Artisan Mid Cap Value Fund Advisor Class	04314H642	APDQX				1.06000		SELL ONLY
Artisan Partners Funds	Artisan Mid Cap Value Fund Institutional Class	04314H774	APHQX				0.95000		SELL ONLY
Artisan Partners Funds	Artisan Mid Cap Value Fund Investor Class	04314H709	ARTQX				1.17000		SELL ONLY
Artisan Partners Funds	Artisan Small Cap Fund Advisor Shares	04314H550	APDSX				1.09000		SELL ONLY
Artisan Partners Funds	Artisan Small Cap Fund Institutional Shares	04314H758	APHSX				1.00000		SELL ONLY
Artisan Partners Funds	Artisan Small Cap Fund Investor Shares	04314H105	ARTSX				1.23000		SELL ONLY
Artisan Partners Funds	Artisan Sustainable Emerging Markets Fund Advisor Class	04314H279	APDEX				1.23000		SELL ONLY
Artisan Partners Funds	Artisan Sustainable Emerging Markets Fund Institutional Class	04314H865	APHEX				1.11000		SELL ONLY
Artisan Partners Funds	Artisan Sustainable Emerging Markets Fund Investor Class	04314H832	ARTZX				1.62000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Artisan Partners Funds	Artisan Value Fund Advisor Class	04314H634	APDLX				0.98000		SELL ONLY
Artisan Partners Funds	Artisan Value Fund Institutional Class	04314H790	APHLX				0.86000		SELL ONLY
Artisan Partners Funds	Artisan Value Fund Investor Class	04314H873	ARTLX				1.10000		SELL ONLY
Asset Management Group Of Bank Of Hawaii	Hawaiian Tax-Free Trust Class C	420016206	HULCX		1.00	1.00	1.77000		SELL ONLY
Asset Management Group Of Bank Of Hawaii	Hawaiian Tax-Free Trust Class F	420016602	HULFX				0.76000		SELL ONLY
Asset Management Group Of Bank Of Hawaii	Hawaiian Tax-Free Trust Class Y	420016305	HULYX				0.77000		SELL ONLY
Avantis Investors	Avantis U.S. Large Cap Value Fund Institutional Class	025072273	AVLVX				0.15000		SELL ONLY
Avantis Investors	Avantis U.S. Small Cap Equity Fund Institutional	02507A309	AVSCX				0.25000		SELL ONLY
Avantis Investors	Avantis? Core Fixed Income Fund Institutional Class	025072661	AVIGX				0.15000		SELL ONLY
Avantis Investors	Avantis? Emerging Markets Equity Fund Institutional Class	025072869	AVEEX				0.33000		SELL ONLY
Avantis Investors	Avantis? International Equity Fund Institutional Class	025072851	AVDEX				0.23000		SELL ONLY
Avantis Investors	Avantis? International Small Cap Value Fund Institutional Class	025072844	AVDVX				0.36000		SELL ONLY
Avantis Investors	Avantis? U.S. Equity Fund Institutional Class	025072836	AVUSX				0.15000		SELL ONLY
Avantis Investors	Avantis? U.S. Small Cap Value Fund Institutional Class	025072828	AVUVX				0.25000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Bond Fund	808530505	AVEFX				0.42000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Growth Focused	808530885	AVEAX				1.11000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Growth Fund	808530307	AVEGX				0.91000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Ave Maria Mutual Funds	Ave Maria Rising Dividend Fund	808530604	AVEDX				0.90000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Value Focused Fund	808530109	AVERX				1.30000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Value Fund	808530208	AVEMX				0.93000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria World Equity Fund	808530802	AVEWX				1.03000		SELL ONLY
Azzad Fund	Azzad Ethical Fund	055060206	ADJEX			.15	1.12000		SELL ONLY
Azzad Fund	Azzad Wise Capital Fund	055060305	WISEX			.05	0.95000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Developed EAFE All Cap Fund Class I	056823321	BSGPX				0.72000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Developed EAFE All Cap Fund Class K	056823339	BGPKX				0.65000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford EAFE Plus All Cap Fund Class I	056823347	BGCSX				0.67000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford EAFE Plus All Cap Fund Class K	056823354	BKGCX				0.66000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Emerging Markets Equities Fund Class I	056823388	BGEGX				0.87000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Emerging Markets Equities Fund Class K	056823396	BGKEX				0.79000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Global Alpha Equities Fund Class I	056823255	BGASX				0.75000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Global Alpha Equities Fund Class K	056823263	BGAKX				0.65000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Alpha Fund Class I	056823362	BINSX				0.68000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Alpha Fund Class K	056823370	BGIKX				0.59000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Concentrated Growth Equities Fund Class K	056823198	BTLKX				0.90000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Concentrated Growth Equities Fund Institutional Class	056823180	BTLSX				0.99000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Baillie Gifford Funds	Baillie Gifford International Growth Fund Class I	056823412	BGESX				0.65000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Growth Fund Class K	056823420	BGEKX				0.57000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Long Term Global Growth Fund Class I	056823297	BSGLX				0.80000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Long Term Global Growth Fund Class K	056823313	BGLKX				0.70000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford The U.S. Equity Growth Fund Class I	056823271	BGGSX				1.35000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford The U.S. Equity Growth Fund Class K	056823289	BGGKX				1.25000		SELL ONLY
Baird	Baird Aggregate Bond Fund Class Institutional	057071854	BAGIX				0.30000		SELL ONLY
Baird	Baird Aggregate Bond Fund Class Investor	057071862	BAGSX			.25	0.55000		SELL ONLY
Baird	Baird Chautauqua Global Growth Fund Institutional Class	057071581	CCGIX				0.86000		SELL ONLY
Baird	Baird Chautauqua Global Growth Fund Investor Class	057071573	CCGSX			.25	1.11000		SELL ONLY
Baird	Baird Chautauqua International Growth Fund Institutional Class	057071557	CCWIX				0.84000		SELL ONLY
Baird	Baird Chautauqua International Growth Fund Investor Class	057071565	CCWSX			.25	1.09000		SELL ONLY
Baird	Baird Core Intermediate Municipal Bond Fund Institutional Class	057071656	BMNIX				0.30000		SELL ONLY
Baird	Baird Core Intermediate Municipal Bond Fund Investor Class	057071649	BMNSX			.25	0.55000		SELL ONLY
Baird	Baird Core Plus Bond Fund Class Institutional	057071870	BCOIX				0.30000		SELL ONLY
Baird	Baird Core Plus Bond Fund Class Investor	057071888	BCOSX			.25	0.55000		SELL ONLY
Baird	Baird Equity Opportunity Fund Institutional Class	057071748	BSVIX				1.73000		SELL ONLY
Baird	Baird Intermediate Bond Fund Class Institutional	057071805	BIMIX				0.30000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Baird	Baird Intermediate Bond Fund Class Investor	057071706	BIMSX			.25	0.55000		SELL ONLY
Baird	Baird Mid Cap Growth Fund Institutional Class	057071813	BMDIX				0.81000		SELL ONLY
Baird	Baird Mid Cap Growth Fund Investor Class	057071821	BMDSX			.25	1.06000		SELL ONLY
Baird	Baird Municipal Bond Fund Institutional	057071482	BMQIX				0.30000		SELL ONLY
Baird	Baird Municipal Bond Fund Investor	057071490	BMQSX			.25	0.55000		SELL ONLY
Baird	Baird Quality Intermediate Municipal Bond Fund Class Institutional	057071607	BMBIX				0.30000		SELL ONLY
Baird	Baird Quality Intermediate Municipal Bond Fund Class Investor	057071508	BMBSX			.25	0.55000		SELL ONLY
Baird	Baird Short-Term Bond Fund Institutional Class	057071409	BSBIX				0.30000		SELL ONLY
Baird	Baird Short-Term Bond Fund Investor Class	057071730	BSBSX			.25	0.55000		SELL ONLY
Baird	Baird Short-Term Municipal Bond Fund Institutional Class	057071631	BTMIX				0.30000		SELL ONLY
Baird	Baird Short-Term Municipal Bond Fund Investor Class	057071623	BTMSX			.25	0.55000		SELL ONLY
Baird	Baird Small/Mid Cap Growth Fund Institutional Class	057071540	BSGIX				0.93000		SELL ONLY
Baird	Baird Small/Mid Cap Growth Fund Investor Class	057071532	BSGSX			.25	1.18000		SELL ONLY
Baird	Baird Strategic Municipal Bond Fund Institutional	057071516	BSNIX				0.30000		SELL ONLY
Baird	Baird Strategic Municipal Bond Fund Investor	057071524	BSNSX			.25	0.55000		SELL ONLY
Baird	Baird Ultra Short Bond Fund Institutional Class	057071722	BUBIX				0.30000		SELL ONLY
Baird	Baird Ultra Short Bond Fund Investor Class	057071714	BUBSX			.25	0.55000		SELL ONLY
Baron Capital Group, Inc.	Baron Asset Fund Institutional Class	068278605	BARIX			.00	1.04000		SELL ONLY
Baron Capital Group, Inc.	Baron Asset Fund Retail Class	068278100	BARAX			.25	1.29000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Baron Capital Group, Inc.	Baron Discovery Fund Institutional Shares	068278852	BDFIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc.	Baron Discovery Fund Retail Shares	068278860	BDFFX			.25	1.32000		SELL ONLY
Baron Capital Group, Inc.	Baron Durable Advantage Fund Institutional Shares	068278761	BDAIX			.00	0.77000		SELL ONLY
Baron Capital Group, Inc.	Baron Durable Advantage Fund Retail Shares	068278779	BDAFX			.25	1.04000		SELL ONLY
Baron Capital Group, Inc.	Baron Emerging Markets Fund Institutional Shares	06828M876	BEXIX			.00	1.11000		SELL ONLY
Baron Capital Group, Inc.	Baron Emerging Markets Fund Retail Shares	06828M884	BEXFX			.25	1.37000		SELL ONLY
Baron Capital Group, Inc.	Baron Fifth Avenue Growth Fund Institutional Class	068278878	BFTIX			.00	0.76000		SELL ONLY
Baron Capital Group, Inc.	Baron Fifth Avenue Growth Fund Retail Class	068278506	BFTHX			.25	1.03000		SELL ONLY
Baron Capital Group, Inc.	Baron Focused Growth Fund Institutional Shares	06828M504	BFGIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc.	Baron Focused Growth Fund Retail Shares	06828M207	BFGFX			.25	1.31000		SELL ONLY
Baron Capital Group, Inc.	Baron Global Opportunity Fund Institutional Shares	06828M835	BGAIX			.00	0.96000		SELL ONLY
Baron Capital Group, Inc.	Baron Global Opportunity Fund Retail Shares	06828M843	BGAFX			.25	1.22000		SELL ONLY
Baron Capital Group, Inc.	Baron Growth Fund Institutional Shares	068278704	BGRIX			.00	1.08000		SELL ONLY
Baron Capital Group, Inc.	Baron Growth Fund Retail Shares	068278209	BGRFX			.25	1.34000		SELL ONLY
Baron Capital Group, Inc.	Baron Health Care Fund Institutional Shares	06828M660	BHCHX			.00	0.87000		SELL ONLY
Baron Capital Group, Inc.	Baron Health Care Fund Retail Shares	06828M678	BHCFX			.25	1.18000		SELL ONLY
Baron Capital Group, Inc.	Baron India Fund Institutional Shares	06828M595	BINDX			.00	6.86000		SELL ONLY
Baron Capital Group, Inc.	Baron India Fund Retail Shares	06828M611	BINRX			.25	7.96000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Baron Capital Group, Inc.	Baron International Growth Fund Institutional Shares	06828M603	BINIX			.00	1.04000		SELL ONLY
Baron Capital Group, Inc.	Baron International Growth Fund Retail Shares	06828M306	BIGFX			.25	1.31000		SELL ONLY
Baron Capital Group, Inc.	Baron Opportunity Fund Institutional Class	068278886	BIOIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc.	Baron Opportunity Fund Retail Class	068278407	BIOPX			.25	1.31000		SELL ONLY
Baron Capital Group, Inc.	Baron Partners Fund Institutional Shares	06828M405	BPTIX			.00	1.99000		SELL ONLY
Baron Capital Group, Inc.	Baron Partners Fund Retail Shares	06828M108	BPTRX			.25	2.24000		SELL ONLY
Baron Capital Group, Inc.	Baron Real Estate Fund Institutional Shares	06828M801	BREIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc.	Baron Real Estate Fund Retail Shares	06828M702	BREFX			.25	1.31000		SELL ONLY
Baron Capital Group, Inc.	Baron Real Estate Income Fund Institutional Shares	06828M736	BRIIX			.00	0.90000		SELL ONLY
Baron Capital Group, Inc.	Baron Real Estate Income Fund Retail Shares	06828M744	BRIFX			.25	1.27000		SELL ONLY
Baron Capital Group, Inc.	Baron Small Cap Fund Institutional Class	068278803	BSFIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc.	Baron Small Cap Fund Retail Class	068278308	BSCFX			.25	1.30000		SELL ONLY
Baron Capital Group, Inc.	Baron WealthBuilder Fund Institutional Shares	06828M694	BWBIX				1.21000		SELL ONLY
Baron Capital Group, Inc.	Baron WealthBuilder Fund Retail Shares	06828M710	BWBFX			.25	1.46000		SELL ONLY
Bishop Street	Bishop Street Hawaii Municipal Bond Fund Class Institutional	091472209	BSHIX				0.96000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Class K	09257E753	BKCPX				0.36000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Institutional Shares	09256H765	BICPX				0.47000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Investor A Shares	091937177	BACPX	5.25		.25	0.75000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock 20/80 Target Allocation Fund Investor C Shares	091937169	BCCPX		1.00	1.00	1.45000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Class K	09257E746	BKMPX				0.34000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Institutional	09256H740	BIMPX				0.48000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Investor A Shares	091937151	BAMPX	5.25		.25	0.72000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Investor C Shares	091937144	BCMPX		1.00	1.00	1.43000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Class K	09257E738	BKGPX				0.32000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Institutional Shares	09256H724	BIGPX				0.48000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Investor A Shares	091937136	BAGPX	5.25		.25	0.72000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Investor C Shares	091937128	BCGPX		1.00	1.00	1.42000		SELL ONLY
BlackRock	BlackRock 80/20 Target Allocation Fund Class A	09256H799	BAAPX	5.25		.25	0.70000		BUY,SELL
BlackRock	BlackRock 80/20 Target Allocation Fund Class C	09256H781	BCAPX		1.00	1.00	1.46000		SELL ONLY
BlackRock	BlackRock 80/20 Target Allocation Fund Class Institutional	09256H690	BIAPX				0.51000		SELL ONLY
BlackRock	BlackRock 80/20 Target Allocation Fund Class K	09257E720	BKAPX				0.33000		SELL ONLY
BlackRock	BlackRock Advantage CoreAlpha Bond Fund Class K	09260T409	BCRKX				0.30000		SELL ONLY
BlackRock	BlackRock Advantage CoreAlpha Bond Fund Institutional	09260T300	BCRIX				0.30000		SELL ONLY
BlackRock	BlackRock Advantage CoreAlpha Bond Fund Investor A	09260T102	BCRAX	4.00		.25	0.55000		SELL ONLY
BlackRock	BlackRock Advantage CoreAlpha Bond Fund Investor C	09260T201	BCRCX		1.00	1.00	1.30000		SELL ONLY
BlackRock	Blackrock Advantage Emerging Markets Fund Class K	09258N323	BLSKX				1.24000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Advantage Emerging Markets Fund Institutional Shares	091936690	BLSIX				1.36000		SELL ONLY
BlackRock	BlackRock Advantage Emerging Markets Fund Investor A Shares	091936724	BLSAX	5.25		.25	1.71000		SELL ONLY
BlackRock	BlackRock Advantage Emerging Markets Fund Investor C Shares	091936716	BLSCX		1.00	1.00	2.48000		SELL ONLY
BlackRock	BlackRock Advantage Global Fund, Inc.Class K	09252A608	MKGCX				0.82000		SELL ONLY
BlackRock	BlackRock Advantage Global Fund, Inc.Institutional Shares	09252A509	MAGCX				0.95000		SELL ONLY
BlackRock	BlackRock Advantage Global Fund, Inc.Investor A Shares	09252A103	MDGCX	5.25		.25	1.20000		BUY,SELL
BlackRock	BlackRock Advantage Global Fund, Inc.Investor C Shares	09252A301	MCGCX		1.00	1.00	2.06000		SELL ONLY
BlackRock	BlackRock Advantage International Fund Institutional Shares	091937268	BROIX				0.63000		SELL ONLY
BlackRock	BlackRock Advantage International Fund Investor A Shares	091937243	BROAX	5.25		.25	0.88000		BUY,SELL
BlackRock	BlackRock Advantage International Fund Investor C Shares	091937227	BROCX		1.00	1.00	1.86000		SELL ONLY
BlackRock	BlackRock Advantage International Fund K	09258N315	BROKX				0.53000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Core Fund Class K	09250J718	MLRKX				0.51000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Core Fund Institutional Shares	09250J502	MALRX				0.60000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Core Fund Investor A Shares	09250J106	MDLRX	5.25		.25	0.86000		BUY,SELL
BlackRock	BlackRock Advantage Large Cap Core Fund Investor C Shares	09250J304	MCLRX		1.00	1.00	1.68000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Growth Fund Class K	09258N299	BMCKX				0.67000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Growth Fund Institutional Shares	091928812	CMVIX				0.76000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Growth Fund Investor A Shares	091928788	BMCAx	5.25		.25	1.00000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Advantage Large Cap Growth Fund Investor C Shares	091928762	BMCCX		1.00	1.00	1.92000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Value Fund Class K	09250J692	MLVKX				0.63000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Value Fund Institutional Shares	09250J817	MALVX				0.71000		SELL ONLY
BlackRock	BlackRock Advantage Large Cap Value Fund Investor A Shares	09250J858	MDLVX	5.25		.25	0.96000		BUY,SELL
BlackRock	BlackRock Advantage Large Cap Value Fund Investor C Shares	09250J833	MCLVX		1.00	1.00	1.75000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Core Fund Class K	09258N802	BDSKX				0.51000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Core Fund Institutional Shares	091936450	BDSIX				0.58000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Core Fund Investor A Shares	091936476	BDSAX	5.25		.25	0.92000		BUY,SELL
BlackRock	BlackRock Advantage Small Cap Core Fund Investor C Shares	091936468	BDSCX		1.00	1.00	1.66000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Growth Fund Class K	09258N281	PSGKX				0.64000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Growth Fund Institutional Shares	091928101	PSGIX				0.79000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Growth Fund Investor A Shares	091928309	CSGEX	5.25		.25	1.04000		BUY,SELL
BlackRock	BlackRock Advantage SMID Cap Fund, Inc.Class K	09252L604	MKSPX				0.67000		SELL ONLY
BlackRock	BlackRock Advantage SMID Cap Fund, Inc.Institutional Shares	09252L505	MASPX				0.77000		SELL ONLY
BlackRock	BlackRock Advantage SMID Cap Fund, Inc.Investor A Shares	09252L109	MDSPX	5.25		.25	1.03000		BUY,SELL
BlackRock	BlackRock Advantage SMID Cap Fund, Inc.Investor C Shares	09252L307	MCSPX		1.00	1.00	1.89000		SELL ONLY
BlackRock	BlackRock Balanced Fund Class K	09251C605	MKCPX				0.48000		SELL ONLY
BlackRock	BlackRock Balanced Fund Institutional Shares	09251C506	MACPX				0.55000		SELL ONLY
BlackRock	BlackRock Balanced Fund Investor A Shares	09251C100	MDCPX	5.25		.25	0.79000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Balanced Fund Investor C Shares	09251C308	MCCPX		1.00	1.00	1.57000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunities Fund Class K	09253A664	MKCMX				0.42000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunities Fund Institutional shares	09252Y606	MACMX				0.57000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunities Fund Investor A1 Shares	09252Y200	MDCMX			.10	0.56000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunities Fund Investor C Shares	09252Y408	MFCMX		1.00	1.00	1.56000		SELL ONLY
BlackRock	BlackRock Capital Appreciation Fund Institutional Shares	09251R503	MAFGX				0.73000		SELL ONLY
BlackRock	BlackRock Capital Appreciation Fund Investor A Shares	09251R107	MDFGX	5.25		.25	0.97000		BUY,SELL
BlackRock	BlackRock Capital Appreciation Fund Investor C Shares	09251R305	MCFGX		1.00	1.00	1.80000		SELL ONLY
BlackRock	BlackRock Capital Appreciation Fund K Shares	09251R602	BFGBX				0.65000		SELL ONLY
BlackRock	BlackRock China A Opportunities Fund Class K	09258N216	CHKLX				3.02000		SELL ONLY
BlackRock	BlackRock China A Opportunities Fund Institutional	09258N224	CHILX				3.05000		SELL ONLY
BlackRock	BlackRock Commodity Strategies Portfolio Class K	09258N273	BCSKX				0.76000		SELL ONLY
BlackRock	BlackRock Commodity Strategies Portfolio Institutional Shares	091936666	BICSX				0.87000		SELL ONLY
BlackRock	BlackRock Commodity Strategies Portfolio Investor A Shares	091936682	BCSAX	5.25		.25	1.14000		BUY,SELL
BlackRock	BlackRock Commodity Strategies Portfolio Investor C Shares	091936674	BCSCX		1.00	1.00	1.88000		SELL ONLY
BlackRock	BlackRock Core Bond Portfolio Class K	09260B507	CCBBX				0.44000		SELL ONLY
BlackRock	BlackRock Core Bond Portfolio Institutional Shares	09260B309	BFMCX				0.56000		SELL ONLY
BlackRock	BlackRock Core Bond Portfolio Investor A Shares	09260B101	BCBAX	4.00		.25	0.80000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Core Bond Portfolio Investor C Shares	09260B200	BCBCX		1.00	1.00	1.53000		SELL ONLY
BlackRock	BlackRock Credit Relative Value Fund Class K	09260C703	BDMKX				1.32000		SELL ONLY
BlackRock	BlackRock Credit Relative Value Fund Institutional Shares	09260C604	BGCIX				1.43000		SELL ONLY
BlackRock	BlackRock Credit Relative Value Fund Investor A Shares	09260C406	BGCAX	4.00		.25	1.67000		SELL ONLY
BlackRock	BlackRock Credit Relative Value Fund Investor C Shares	09260C505	BGCCX		1.00	1.00	2.42000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Emerging Markets Fund Class K	09260J716	BKDEX				4.21000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Emerging Markets Fund Institutional	09260J724	BIDEX				4.30000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Emerging Markets Fund Investor A	09260J732	BADEX	5.25		.25	4.65000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio Class K	09257E779	BDHKX				0.78000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio Institutional	09257E795	BDHIX				0.87000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio Investor A	09257E829	BDHAX	5.25		.25	1.09000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio Investor C	09257E811	BDHCX		1.00	1.00	1.86000		SELL ONLY
BlackRock	Blackrock Emerging Markets Ex-China Fund Class K	09261A847	MKECX				2.37000		SELL ONLY
BlackRock	Blackrock Emerging Markets Ex-China Fund Institutional	09261A854	MAECX				1.65000		SELL ONLY
BlackRock	Blackrock Emerging Markets Ex-China Fund Investor A	09261A862	MXCAX	5.25		.25	3.35000		SELL ONLY
BlackRock	BlackRock Emerging Markets Fund, Inc. Institutional Shares	09251J402	MADCX				1.02000		SELL ONLY
BlackRock	BlackRock Emerging Markets Fund, Inc. Investor A Shares	09251J105	MDDCX	5.25		.25	1.37000		BUY,SELL
BlackRock	BlackRock Emerging Markets Fund, Inc. Investor C Shares	09251J303	MCDCX		1.00	1.00	2.12000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Emerging Markets Fund, Inc. K Shares	09251J501	MKDCX				0.87000		SELL ONLY
BlackRock	BlackRock Energy Opportunities Fund Institutional Class	091937284	BACIX				1.04000		SELL ONLY
BlackRock	BlackRock Energy Opportunities Fund Investor A Class	091937334	BACAX	5.25		.25	1.31000		SELL ONLY
BlackRock	BlackRock Energy Opportunities Fund Investor C Class	091937318	BACCX		1.00	1.00	2.01000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund Institutional Shares	09251M504	MADVX				0.71000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund Investor A Shares	09251M108	MDDVX	5.25		.25	0.94000		BUY,SELL
BlackRock	BlackRock Equity Dividend Fund Investor C Shares	09251M306	MCDVX		1.00	1.00	1.70000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund K Shares	09251M801	MKDVX				0.59000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund Service Shares	09251M603	MSDVX			.25	1.05000		SELL ONLY
BlackRock	BlackRock Event Driven Equity Fund Institutional Shares	09250J734	BILPX				1.37000		SELL ONLY
BlackRock	BlackRock Event Driven Equity Fund Investor A Shares	09250J759	BALPX	5.25		.25	1.60000		SELL ONLY
BlackRock	BlackRock Event Driven Equity Fund Investor C Shares	09250J742	BCLPX		1.00	1.00	2.36000		SELL ONLY
BlackRock	BlackRock Exchange Portfolio BlackRock Shares	091937391	STSEX				0.86000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Fund Class K	09260B739	BFRKX				0.63000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Fund Institutional shares	09260B747	BFRIX				0.72000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Fund Investor C shares	09260B762	BFRCX		1.00	1.00	1.71000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Portfolio Investor A Shares	09260B770	BFRAX	2.50		.25	0.98000		BUY,SELL
BlackRock	BlackRock Global Allocation Fund, Inc. Class K	09258N778	MKLOX				0.80000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Global Allocation Fund, Inc. Institutional Shares	09251T509	MALOX				0.90000		SELL ONLY
BlackRock	BlackRock Global Allocation Fund, Inc. Investor A Shares	09251T103	MDLOX	5.25		.25	1.14000		BUY,SELL
BlackRock	BlackRock Global Allocation Fund, Inc. Investor C Shares	09251T301	MCLOX		1.00	1.00	1.94000		SELL ONLY
BlackRock	BlackRock Global Dividend Portfolio Class K	09257E712	BKBDX				0.71000		SELL ONLY
BlackRock	BlackRock Global Dividend Portfolio Institutional Shares	09256H328	BIBDX				0.80000		SELL ONLY
BlackRock	BlackRock Global Dividend Portfolio Investor A Shares	09256H385	BABDX	5.25		.25	1.03000		BUY,SELL
BlackRock	BlackRock Global Dividend Portfolio Investor C Shares	09256H377	BCBDX		1.00	1.00	1.83000		SELL ONLY
BlackRock	BlackRock Global Equity Market Neutral Fund A Shares	091936542	BDMAX	5.25		.25	1.70000		SELL ONLY
BlackRock	BlackRock Global Equity Market Neutral Fund C Shares	091936534	BDMCX		1.00	1.00	2.37000		SELL ONLY
BlackRock	BlackRock Global Equity Market Neutral Fund Institutional Shares	091936526	BDMIX				1.42000		SELL ONLY
BlackRock	BlackRock Global Equity Market Neutral Fund K Shares	09258N703	BGCKX				1.31000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities Portfolio Class K	09258N752	SHSKX				0.75000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities Portfolio Institutional Shares	091937540	SHSSX				0.84000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities Portfolio Investor A Shares	091937573	SHSAX	5.25		.25	1.09000		BUY,SELL
BlackRock	BlackRock Health Sciences Opportunities Portfolio Investor C Shares	091937557	SHSCX		1.00	1.00	1.85000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities Portfolio Service Shares	091937359	SHISX			.25	1.15000		SELL ONLY
BlackRock	BlackRock High Equity Income Fund Class K	09258N141	BHEIX				0.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock High Equity Income Fund Institutional Shares	091929760	BMCIX				0.98000		SELL ONLY
BlackRock	BlackRock High Equity Income Fund Investor A Shares	091929810	BMEAX	5.25		.25	1.22000		SELL ONLY
BlackRock	BlackRock High Equity Income Fund Investor C Shares	091929786	BMECX		1.00	1.00	1.97000		SELL ONLY
BlackRock	BlackRock High Yield Portfolio Fund Class K	09260B614	BRHYX				0.49000		SELL ONLY
BlackRock	BlackRock High Yield Portfolio Fund Institutional Shares	09260B630	BHYIX				0.59000		SELL ONLY
BlackRock	BlackRock High Yield Portfolio Fund Investor A Shares	09260B663	BHYAX	4.00		.25	0.95000		BUY,SELL
BlackRock	BlackRock High Yield Portfolio Investor C Shares	09260B655	BHYCX		1.00	1.00	1.59000		SELL ONLY
BlackRock	BlackRock High Yield Portfolio Service Shares	09260B598	BHYSX			.25	0.87000		SELL ONLY
BlackRock	BlackRock Income Fund Class K Shares	09260B879	BMSKX				0.66000		SELL ONLY
BlackRock	BlackRock Income Fund Institutional Shares	09260B887	BMSIX				0.70000		SELL ONLY
BlackRock	BlackRock Income Fund Investor A Shares	09260B705	BMSAX	2.50		.25	0.99000		BUY,SELL
BlackRock	BlackRock Income Fund Investor C Shares	09260B804	BMSCX		1.00	1.00	1.74000		SELL ONLY
BlackRock	BlackRock Inflation Protected Bond Fund Class K	09260B549	BPLBX				1.09000		SELL ONLY
BlackRock	BlackRock Inflation Protected Bond Fund Institutional Shares	09260B556	BPRIX				1.27000		SELL ONLY
BlackRock	BlackRock Inflation Protected Bond Fund Investor A Shares	09260B572	BPRAX	4.00		.25	1.57000		BUY,SELL
BlackRock	BlackRock Inflation Protected Bond Fund Investor C Shares	09260B564	BPRCX		1.00	1.00	2.17000		SELL ONLY
BlackRock	BlackRock International Fund of BlackRock Series, Inc.Class K Shares	09248G503	MKILX				0.64000		SELL ONLY
BlackRock	BlackRock International Fund of BlackRock Series, Inc.Institutional Shares	09248G404	MAILX				0.77000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock International Fund of BlackRock Series, Inc.Investor A Shares	09248G107	MDILX	5.25		.25	1.04000		BUY,SELL
BlackRock	BlackRock International Fund of BlackRock Series, Inc.Investor C Shares	09248G305	MCILX		1.00	1.00	1.84000		SELL ONLY
BlackRock	BlackRock International Select Equity Class K	09251N700	MKEFX				1.23000		SELL ONLY
BlackRock	BlackRock International Select Equity Institutional Shares	09251N502	MAEFX				1.32000		SELL ONLY
BlackRock	BlackRock International Select Equity Investor A Shares	09251N106	MDEFX	5.25		.25	1.54000		BUY,SELL
BlackRock	BlackRock International Select Equity Investor C Shares	09251N304	MCEFX		1.00	1.00	2.47000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Growth Fund Class K	09251P507	MKFOX				0.66000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Growth Fund Institutional Shares	09251P408	MAFOX				0.74000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Growth Fund Investor A Shares	09251P101	MDFOX	5.25		.25	0.98000		BUY,SELL
BlackRock	BlackRock Large Cap Focus Growth Fund Investor C Shares	09251P309	MCFOX		1.00	1.00	1.76000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Value Fund Class K	09251G606	MBVKX				0.48000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Value Fund Institutional Shares	09251G507	MABAX				0.58000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Value Fund Investor A Shares	09251G101	MDBAX	5.25		.25	0.82000		BUY,SELL
BlackRock	BlackRock Large Cap Focus Value Fund Investor C Shares	09251G309	MCBAX		1.00	1.00	1.65000		SELL ONLY
BlackRock	BlackRock LifePath Dynamic 2065 Fund Institutional Shares	09260W204	LPWIX				0.91000		SELL ONLY
BlackRock	BlackRock LifePath Dynamic 2065 Fund Investor A Shares	09260W105	LPWAX	5.25		.25	1.16000		BUY,SELL
BlackRock	BlackRock LifePath Dynamic 2065 Fund Investor C Shares	09260W303	LPWCX		1.00	1.00	1.91000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock LifePath Index 2065 Fund Institutional Shares	066923178	LIWIX				0.19000		SELL ONLY
BlackRock	BlackRock LifePath Index 2065 Fund Investor A Shares	066923186	LIWAX			.25	0.44000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2030 Fund Class K Shares	066922576	LPSDX				0.55000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2030 Fund Institutional Shares	066922857	STLDX				0.80000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2030 Fund Investor A Shares	066922840	LPRDX	5.25		.25	1.05000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2030 Fund Investor C Shares	066922386	LPCNX		1.00	1.00	1.80000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2035 Fund Class K Shares	066922279	LPJKX				0.55000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2035 Fund Institutional Shares	066922253	LPJIX				0.80000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2035 Fund Investor A Shares	066922295	LPJAX	5.25		.25	1.05000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2035 Fund Investor C Shares	066922287	LPJCX		1.00	1.00	1.80000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2040 Fund Class K Shares	066922568	LPSFX				0.57000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2040 Fund Institutional Shares	066922832	STLEX				0.82000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2040 Fund Investor A Shares	066922824	LPREX	5.25		.25	1.07000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2040 Fund Investor C Shares	066922378	LPCKX		1.00	1.00	1.82000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2045 Fund Class K Shares	066922220	LPHKX				0.56000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2045 Fund Institutional Shares	066922196	LPHIX				0.81000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2045 Fund Investor A Shares	066922246	LPHAX	5.25		.25	1.06000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2045 Fund Investor C Shares	066922238	LPHCX		1.00	1.00	1.81000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock LifePath? Dynamic 2050 Fund Class K Shares	06739T531	LPSGX				0.58000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2050 Fund Institutional Shares	06739T556	STLFX				0.83000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2050 Fund Investor A Shares	06739T549	LPRFX	5.25		.25	1.08000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2050 Porfolio Investor C Shares	066922360	LPCPX		1.00	1.00	1.83000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2055 Fund Class K Shares	066922162	LPVKX				0.56000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2055 Fund Institutional Shares	066922147	LPVIX				0.81000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2055 Fund Investor A Shares	066922188	LPVAX	5.25		.25	1.06000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2055 Fund Investor C Shares	066922170	LPVCX		1.00	1.00	1.81000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2060 Fund Class K Shares	066923376	LPDKX				0.60000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2060 Fund Institutional Shares	066923392	LPDIX				0.85000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2060 Fund Investor A Shares	066923426	LPDAX	5.25		.25	1.10000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2060 Fund Investor C Shares	066923418	LPDCX		1.00	1.00	1.85000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2065 Fund Class K Shares	09260W501	LPWKX				0.66000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2070 Fund Class K	09260W519	LPYKX				1.23000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2070 Fund Institutional	09260W535	LPYIX				1.48000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2070 Fund Investor A	09260W550	LPYAX	5.25		.25	1.73000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2070 Fund Investor C	09260W543	LPYCX		1.00	1.00	2.48000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic Retirement Fund Class K Shares	066922618	LPSAX				0.54000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock LifePath? Dynamic Retirement Fund Institutional Shares	066922600	STLAX				0.79000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic Retirement Fund Investor A Shares	066922709	LPRAX	5.25		.25	1.04000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic Retirement Fund Investor C Shares	066922410	LPCRAX		1.00	1.00	1.79000		SELL ONLY
BlackRock	BlackRock LifePath? ESG Index 2070 Fund Class K	09260W436	LEYKX				1.18000		SELL ONLY
BlackRock	BlackRock LifePath? ESG Index 2070 Fund Institutional	09260W444	LEYIX				1.23000		SELL ONLY
BlackRock	BlackRock LifePath? ESG Index 2070 Fund Investor A	09260W451	LEYAX			.25	1.48000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2030 Fund Class K Shares	066923798	LINKX				0.13000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2030 Fund Institutional Shares	066923814	LINIX				0.18000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2030 Fund Investor A Shares	066923822	LINAX			.25	0.43000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2035 Fund Class K Shares	066923764	LIJKX				0.13000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2035 Fund Institutional Shares	066923772	LIJIX				0.18000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2035 Fund Investor A Shares	066923780	LIJAX			.25	0.43000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2040 Fund Class K Shares	066923731	LIKKX				0.13000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2040 Fund Institutional Shares	066923749	LIKIX				0.18000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2040 Fund Investor A Shares	066923756	LIKAX			.25	0.43000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2045 Fund Class K Shares	066923699	LIHKX				0.14000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2045 Fund Institutional Shares	066923715	LIHIX				0.19000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2045 Fund Investor A Shares	066923723	LIHAX			.25	0.44000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock LifePath? Index 2050 Fund Class K Shares	066923665	LIPKX				0.14000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2050 Fund Institutional Shares	066923673	LIPIX				0.19000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2050 Fund Investor A Shares	066923681	LIPAX			.25	0.44000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2055 Fund Class K Shares	066923632	LIVKX				0.14000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2055 Fund Institutional Shares	066923640	LIVIX				0.19000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2055 Fund Investor A Shares	066923657	LIVAX			.25	0.44000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2060 Fund Class K Shares	066923442	LIZKX				0.14000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2060 Fund Investor A Shares	066923475	LIZAX			.25	0.44000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2065 Fund Class K Shares	066923152	LIWKX				0.14000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2070 Fund Class K	09260W477	LIYKX				0.33000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2070 Fund Institutional	09260W485	LIYIX				0.38000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2070 Fund Investor A	09260W493	LIYAX			.25	0.63000		SELL ONLY
BlackRock	BlackRock LifePath? Index Retirement Fund Class K Shares	066923806	LIRKX				0.12000		SELL ONLY
BlackRock	BlackRock LifePath? Index Retirement Fund Institutional Shares	066923707	LIRIX				0.17000		SELL ONLY
BlackRock	BlackRock LifePath? Index Retirement Fund Investor A Shares	066923608	LIRAX			.25	0.42000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Class K	09260B440	CLDBX				0.38000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Institutional Shares	09260B465	BFMSX				0.45000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Investor A Shares	09260B523	BLDAX	2.25		.25	0.71000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Low Duration Bond Portfolio Investor A1 Shares	09260B515	CMGAX	1.00	0.50	.10	0.63000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Investor C Shares	09260B499	BLDCX		1.00	1.00	1.46000		SELL ONLY
BlackRock	BlackRock Managed Income Fund Class K Shares	091937193	BLDRX				0.64000		SELL ONLY
BlackRock	BlackRock Managed Income Fund Institutional Shares	091937185	BLDIX				0.72000		SELL ONLY
BlackRock	BlackRock Managed Income Fund Investor A Shares	091937219	BLADX	4.00		.25	0.94000		BUY,SELL
BlackRock	BlackRock Managed Income Fund Investor C Shares	09257E688	BMICX		1.00	1.00	1.70000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfolio Class K	09258N604	BMGKX				0.71000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfolio Institutional Shares	091928861	CMGIX				0.83000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfolio Investor A Shares	091928846	BMGAX	5.25		.25	1.11000		BUY,SELL
BlackRock	BlackRock Mid-Cap Growth Equity Portfolio Investor C Shares	091928820	BMGCX		1.00	1.00	1.81000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfolio Service Shares	091928853	CMGSX			.25	1.14000		SELL ONLY
BlackRock	BlackRock Mid-Cap Value Fund Class K	09255V609	MJRFX				0.72000		SELL ONLY
BlackRock	BlackRock Mid-Cap Value Fund Institutional Shares	09255V500	MARFX				0.84000		SELL ONLY
BlackRock	BlackRock Mid-Cap Value Fund Investor A Shares	09255V104	MDRFX	5.25		.25	1.09000		BUY,SELL
BlackRock	BlackRock Mid-Cap Value Fund Investor C Shares	09255V302	MCRFX		1.00	1.00	1.92000		SELL ONLY
BlackRock	BlackRock Mortgage-Backed Securities Fund Class K	09260B689	BBGPX				0.53000		SELL ONLY
BlackRock	BlackRock Mortgage-Backed Securities Fund Institutional Shares	09260B697	BGNIX				0.66000		SELL ONLY
BlackRock	BlackRock Mortgage-Backed Securities Fund Investor A Shares	09260B721	BGPAX	4.00		.25	0.90000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Mortgage-Backed Securities Fund Investor C Shares	09260B713	BGPCX		1.00	1.00	1.62000		SELL ONLY
BlackRock	BlackRock Multi-Asset Income Portfolio Class K	09257E662	BKMIX				0.62000		SELL ONLY
BlackRock	BlackRock Multi-Asset Income Portfolio Institutional Shares	09256H336	BIICX				0.68000		SELL ONLY
BlackRock	BlackRock Multi-Asset Income Portfolio Investor A Shares	09256H351	BAICX	5.25		.25	0.92000		BUY,SELL
BlackRock	BlackRock Multi-Asset Income Portfolio Investor C Shares	09256H344	BCICX		1.00	1.00	1.69000		SELL ONLY
BlackRock	BlackRock National Municipal Fund Institutional Shares	09253C876	MANLX				0.54000		SELL ONLY
BlackRock	BlackRock National Municipal Fund Investor C Shares	09253C801	MFNLX		1.00	1.00	1.53000		SELL ONLY
BlackRock	BlackRock National Municipal Fund K Share	09253C744	BNMLX				0.46000		SELL ONLY
BlackRock	BlackRock National Municipal Fund Service Share	09253C751	BNMSX			.25	0.81000		SELL ONLY
BlackRock	BlackRock Natural Resources Trust Institutional Shares	09252H405	MAGR				0.91000		SELL ONLY
BlackRock	BlackRock Natural Resources Trust Investor A Shares	09252H108	MDGRX	5.25		.25	1.16000		SELL ONLY
BlackRock	BlackRock Natural Resources Trust Investor C Shares	09252H306	MCGRX		1.00	1.00	1.88000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fund Class K	09253A656	MKNJX				0.64000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fund Institutional Shares	09253A847	MANJX				0.74000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fund Investor A1 Shares	09253A805	MDNJX			.10	0.78000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fund Investor C Shares	09253A862	MFNJX		1.00	1.00	1.70000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fund Service Shares	09253A839	MSNJX			.25	0.97000		SELL ONLY
BlackRock	BlackRock New York Municipal Opportunities Fund Class K	09253A631	MKNKX				0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock New York Municipal Opportunities Fund Institutional Shares	09253A763	MANKX				0.79000		SELL ONLY
BlackRock	BlackRock New York Municipal Opportunities Fund Investor A1 Shares	09253A813	MDNKX			.10	0.86000		SELL ONLY
BlackRock	BlackRock New York Municipal Opportunities Fund Investor C Shares	09253A789	MFNKX		1.00	1.00	1.77000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond Fund Class K	09253A649	MKPYX				0.66000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond Fund Institutional Shares	09253A680	MAPYX				0.78000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond Fund Investor A1 Shares	09253A748	MDPYX			.10	0.85000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond Fund Investor C Shares	09253A714	MFPYX		1.00	1.00	1.72000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond Fund Service Shares	09253A672	MSPYX			.25	0.95000		SELL ONLY
BlackRock	BlackRock Real Estate Securities Fund Institutional Shares	091936583	BIREX				1.05000		SELL ONLY
BlackRock	BlackRock Real Estate Securities Fund Investor A Shares	091936617	BAREX	5.25		.25	1.25000		SELL ONLY
BlackRock	BlackRock Real Estate Securities Fund Investor C Shares	091936591	BCREX		1.00	1.00	2.10000		SELL ONLY
BlackRock	BlackRock Securitized Income Fund Institutional Shares	56166L303	MSUMX				0.55000		SELL ONLY
BlackRock	BlackRock Securitized Income Fund Investor A Shares	56166L105	BMPAX	4.00		.25	0.84000		SELL ONLY
BlackRock	BlackRock Securitized Income Fund Investor C Shares	56166L204	BMPCX		1.00	1.00	1.61000		SELL ONLY
BlackRock	BlackRock Short Duration Muni Fund Class K	09253C793	MPLMX				0.38000		SELL ONLY
BlackRock	BlackRock Short Duration Muni Fund Institutional Shares	09253C819	MALMX				0.49000		SELL ONLY
BlackRock	BlackRock Short Duration Muni Fund Investor A1 Shares	09253C850	MDLMX			.10	0.54000		SELL ONLY
BlackRock	BlackRock Short Duration Muni Fund Investor C Shares	09253C835	MFLMX		1.00	1.00	1.48000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Short Obligations Fund Class K	091936658	BBSOX				0.34000		SELL ONLY
BlackRock	BlackRock Short Obligations Fund Institutional Shares	091936419	BISOX				0.40000		SELL ONLY
BlackRock	BlackRock Short Obligations Fund Investor A Shares	09258N240	BASOX			.25	0.63000		SELL ONLY
BlackRock	BlackRock Strategic Global Bond Fund, Inc. Class K	09252X608	MKWIX				0.63000		SELL ONLY
BlackRock	BlackRock Strategic Global Bond Fund, Inc. Institutional Shares	09252X509	MAWIX				0.77000		SELL ONLY
BlackRock	BlackRock Strategic Global Bond Fund, Inc. Investor A Shares	09252X103	MDWIX	4.00		.25	1.08000		BUY,SELL
BlackRock	BlackRock Strategic Global Bond Fund, Inc. Investor C Shares	09252X301	MHWIX		1.00	1.00	1.74000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities Portfolio Class K	09260B374	BSIKX				0.62000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities Portfolio Institutional Shares	09260B382	BSIIX				0.71000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities Portfolio Investor A Shares	09260B416	BASIX	4.00		.25	0.97000		BUY,SELL
BlackRock	BlackRock Strategic Income Opportunities Portfolio Investor C Shares	09260B390	BSICX		1.00	1.00	1.70000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of Blackrock Muni Series Tr Class K	09253E807	MKMTX				0.72000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of Blackrock Muni Series Tr Institutional Shares	09253E609	MAMTX				0.81000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of Blackrock Muni Series Tr Investor A1	09253E203	MDMTX			.10	0.87000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of Blackrock Muni Series Tr Investor C Shares	09253E401	MFMTX		1.00	1.00	1.79000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Sustainable Aware Advantage Emerging Markets Equity Fund Class K	09260J856	BLZKX				3.62000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage Emerging Markets Equity Fund Institutional	09260J864	BLZIX				3.73000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage Emerging Markets Equity Fund Investor A	09260J872	BLZAX	5.25		.25	4.13000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage International Equity Fund Class K	09260J823	BRZKX				2.04000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage International Equity Fund Institutional	09260J849	BRZIX				2.20000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage International Equity Fund Investor A	09260J831	BRZAX	5.25		.25	2.31000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage Large Cap Core Fund Class K	09258N406	BIRKX				0.51000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage Large Cap Core Fund Institutional Shares	091936120	BIRIX				0.61000		SELL ONLY
BlackRock	BlackRock Sustainable Aware Advantage Large Cap Core Fund Investors A Shares	091936146	BIRAX	5.25		.25	0.86000		BUY,SELL
BlackRock	BlackRock Sustainable Aware Advantage Large Cap Core Fund Investors C Shares	091936138	BIRCX		1.00	1.00	1.60000		SELL ONLY
BlackRock	BlackRock Systematic Multi-Strategy Fund Class K	09260C851	BKMBX				0.82000		SELL ONLY
BlackRock	BlackRock Systematic Multi-Strategy Fund Institutional Shares	09260C307	BIMBX				0.93000		SELL ONLY
BlackRock	BlackRock Systematic Multi-Strategy Fund Investor A Shares	09260C109	BAMBX	4.00		.25	1.22000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	BlackRock Systematic Multi-Strategy Fund Investor C Shares	09260C208	BMBCX		1.00	1.00	1.89000		SELL ONLY
BlackRock	BlackRock Tactical Opportunities Fund Class K Shares	09258N570	PBAKX				0.64000		SELL ONLY
BlackRock	BlackRock Tactical Opportunities Fund Institutional Shares	091927848	PBAIX				0.77000		SELL ONLY
BlackRock	BlackRock Tactical Opportunities Fund Investor A	091927822	PCBAX	5.25		.25	1.07000		SELL ONLY
BlackRock	BlackRock Tactical Opportunities Fund Investor C Shares	091927798	BRBCX		1.00	1.00	1.77000		SELL ONLY
BlackRock	BlackRock Tactical Opportunities Fund Service Shares	091927830	PCBSX			.25	1.04000		SELL ONLY
BlackRock	BlackRock Technology Opportunities Fund Class K	09258N158	BTEKX				0.84000		SELL ONLY
BlackRock	BlackRock Technology Opportunities Fund Institutional Shares	091929612	BGSIX				0.93000		SELL ONLY
BlackRock	BlackRock Technology Opportunities Fund Investor A Shares	091929570	BGSAX	5.25		.25	1.19000		BUY,SELL
BlackRock	BlackRock Technology Opportunities Fund Investor C Shares	091929596	BGSCX		1.00	1.00	1.92000		SELL ONLY
BlackRock	BlackRock Technology Opportunities Fund Service Shares	091929620	BSTSX			.25	1.21000		SELL ONLY
BlackRock	BlackRock Total Return Fund Class K Shares	09252M743	MPHQX				0.38000		SELL ONLY
BlackRock	BlackRock Total Return Fund Institutional Shares	09252M883	MAHQX				0.47000		SELL ONLY
BlackRock	BlackRock Total Return Fund Investor A Shares	09252M107	MDHQX	4.00		.25	0.75000		BUY,SELL
BlackRock	BlackRock Total Return Fund Investor A1 Shares	09252M206	MEHQX	1.00		.10	0.69000		SELL ONLY
BlackRock	BlackRock Total Return Fund Investor C Shares	09252M503	MFHQX		1.00	1.00	1.51000		SELL ONLY
BlackRock	BlackRock Total Return Fund Service Shares	09252M768	MSHQX			.25	0.78000		SELL ONLY
BlackRock	Blackrock U.S. Insights Long/Short Equity Fund Class K	09260J567	BKLSX				3.92000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	Blackrock U.S. Insights Long/Short Equity Fund Institutional	09260J542	BILSX				4.06000		SELL ONLY
BlackRock	Blackrock U.S. Insights Long/Short Equity Fund Investor A	09260J559	BALSX	5.25		.25	4.20000		SELL ONLY
BlackRock	BlackRock Unconstrained Equity Fund Class K Shares	09251W601	MKEAX				0.84000		SELL ONLY
BlackRock	BlackRock Unconstrained Equity Fund Institutional Shares	09251W403	MAEGX				0.96000		SELL ONLY
BlackRock	BlackRock Unconstrained Equity Fund Investor A Shares	09251W106	MDEGX	5.25		.25	1.16000		BUY,SELL
BlackRock	BlackRock Unconstrained Equity Fund Investor C Shares	09251W304	MCEGX		1.00	1.00	2.03000		SELL ONLY
BlackRock	iShares Developed Real Estate Index Fund Class K	091936187	BKRDY				0.14000		SELL ONLY
BlackRock	iShares Developed Real Estate Index Fund Institutional Shares	091936195	BIRDY				0.22000		SELL ONLY
BlackRock	iShares Developed Real Estate Index Fund Investor A Shares	091936211	BARDY			.25	0.57000		SELL ONLY
BlackRock	iShares MSCI EAFE International Index Fund Class K	09253F879	BTMKX				0.05000		SELL ONLY
BlackRock	iShares MSCI EAFE International Index Fund Institutional Shares	09253F408	MAIIX				0.10000		SELL ONLY
BlackRock	iShares MSCI EAFE International Index Fund Investor A Shares	09253F309	MDIIX			.25	0.35000		SELL ONLY
BlackRock	iShares MSCI Total International Index Fund Class K	066923590	BDOKX				0.09000		SELL ONLY
BlackRock	iShares MSCI Total International Index Fund Institutional Shares	066923616	BDOIX				0.14000		SELL ONLY
BlackRock	iShares MSCI Total International Index Fund Investor A Shares	066923624	BDOAX			.25	0.41000		SELL ONLY
BlackRock	iShares Municipal Bond Index Fund Class K	09258N331	BIDKX				0.28000		SELL ONLY
BlackRock	iShares Municipal Bond Index Fund Institutional	09258N349	BIDIX				0.29000		SELL ONLY
BlackRock	iShares Municipal Bond Index Fund Investor A Shares	09258N356	BIDAX			.25	0.58000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	iShares Russell 1000 Large-Cap Index Fund Class K	066923301	BRGKX				0.06000		SELL ONLY
BlackRock	iShares Russell 1000 Large-Cap Index Fund Institutional Shares	066923202	BRGNX				0.12000		SELL ONLY
BlackRock	iShares Russell 1000 Large-Cap Index Fund Investor A Shares	066923103	BRGAX			.25	0.36000		SELL ONLY
BlackRock	iShares Russell 2000 Small-Cap Index Fund Class K	09253F887	BDBKX				0.07000		SELL ONLY
BlackRock	iShares Russell 2000 Small-Cap Index Fund Institutional Shares	09253F804	MASKX				0.13000		SELL ONLY
BlackRock	iShares Russell 2000 Small-Cap Index Fund Investor A Shares	09253F705	MDSKX			.25	0.40000		SELL ONLY
BlackRock	iShares Russell Mid-Cap Index Fund Class K	091936286	BRMKX				0.04000		SELL ONLY
BlackRock	iShares Russell Mid-Cap Index Fund Institutional Shares	091936294	BRMIX				0.10000		SELL ONLY
BlackRock	iShares Russell Mid-Cap Index Fund Investor A Shares	09258N307	BRMAX			.25	0.36000		SELL ONLY
BlackRock	iShares Russell Small/Mid-Cap Index Fund Class K	091936229	BSMKX				0.08000		SELL ONLY
BlackRock	iShares Russell Small/Mid-Cap Index Fund Institutional Shares	091936237	BSMIX				0.12000		SELL ONLY
BlackRock	iShares Russell Small/Mid-Cap Index Fund Investor A Shares	091936245	BSMAX			.25	0.39000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Class K	066922204	WFSPX				0.03000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Institutional Shares	066923558	BSPIX				0.10000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Investor A Shares	066923566	BSPAX			.25	0.35000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Service Shares	066923533	BSPSX			.15	0.22000		SELL ONLY
BlackRock	iShares Short-Term TIPS Bond Index Fund Class K	09258N869	BKIPX				0.09000		SELL ONLY
BlackRock	iShares Total U.S. Stock Market Index Fund Class K	091936153	BKTSX				0.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BlackRock	iShares Total U.S. Stock Market Index Fund Institutional Shares	091936161	BITSX				0.06000		SELL ONLY
BlackRock	iShares Total U.S. Stock Market Index Fund Investor A Shares	091936179	BASMX			.25	0.33000		SELL ONLY
BlackRock	iShares U.S. Aggregate Bond Index Fund Class K	066922105	WFBIX				0.05000		SELL ONLY
BlackRock	iShares U.S. Aggregate Bond Index Fund Institutional Shares	066923509	BMOIX				0.10000		SELL ONLY
BlackRock	iShares U.S. Aggregate Bond Index Fund Investor A Shares	066923400	BMOAX			.25	0.35000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class A	05587K105	DNLDX	5.75			1.13000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class C	05587K204	DNLCX		1.00	.75	2.08000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class I	05587K501	DNLRX				0.92000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class Y	05587K873	DNLYX				0.86000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund - Class A	05588R505	DMUAX	4.50			0.69000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund - Class C	05588R703	DMUCX		1.00	.75	1.50000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund - Class I	05588R604	DMBIX				0.44000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund - Class Y	05588R406	DMUYX				0.41000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund - Class Z	05588R208	DRMBX				0.54000		SELL ONLY
BNY Mellon	BNY Mellon Appreciation Fund, Inc. - Class I	05589Q308	DGIGX				0.65000		SELL ONLY
BNY Mellon	BNY Mellon Appreciation Fund, Inc. - Class Y	05589Q209	DGYGX				0.59000		SELL ONLY
BNY Mellon	BNY Mellon Appreciation Fund, Inc. - Investor Shares	05589Q100	DGAGX				0.88000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class A	09662F106	DBOAX	5.75			1.26000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class C	09662F205	DBOCX		1.00	.75	2.03000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class J	09662F403	THPBX				1.01000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class Y	09662F601	DBOYX				1.17000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class Z	09662F700	DBOZX				1.09000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund- Class I	09662F304	DBORX				1.01000		SELL ONLY
BNY Mellon	BNY Mellon Bond Market Index Fund - Class I	05589K855	DBIRX				0.16000		SELL ONLY
BNY Mellon	BNY Mellon Bond Market Index Fund - Investor Shares	05589K848	DBMIX			.25	0.41000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipal Bond Fund, Inc. - Class A	05587E109	DCAAX	4.50			0.86000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipal Bond Fund, Inc. - Class C	05587E208	DCACX		1.00	.75	1.65000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipal Bond Fund, Inc. - Class I	05587E406	DCMIX				0.63000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipal Bond Fund, Inc. - Class Y	05587E505	DCAYX				0.58000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipal Bond Fund, Inc. - Class Z	05587E307	DRCAX				0.67000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class A	05580W866	DCPAX	4.50			0.71000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class C	05580W858	DCPCX		1.00	.75	1.49000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class I	05580W841	DCPIX				0.46000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class Y	05580W833	DCPYX				0.39000		SELL ONLY
BNY Mellon	BNY Mellon Developed Markets Real Estate Securities Fund - Class A	05588F816	DRLAX	5.75			1.77000		SELL ONLY
BNY Mellon	BNY Mellon Developed Markets Real Estate Securities Fund - Class C	05588F824	DGBCX		1.00	.75	2.42000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Developed Markets Real Estate Securities Fund - Class I	05588F832	DRLIX				1.15000		SELL ONLY
BNY Mellon	BNY Mellon Developed Markets Real Estate Securities Fund - Class Y	05588F709	DRLYX				1.08000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class A	05587N679	DAGVX	5.75			0.94000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class C	05587N661	DCGVX		1.00	.75	1.71000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class I	05587N653	DRGVX				0.71000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class Y	05587N703	DRGYX				0.63000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class A	05588E801	DQIAX	5.75			1.02000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class C	05588E702	DQICX		1.00	.75	1.79000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class I	05588E603	DQIRX				0.79000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class Y	05588E207	DQIYX				0.75000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - Class A	05589K707	DFLAX	2.50			1.03000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - Class C	05589K608	DFLCX		1.00	.75	1.84000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - Class I	05589K509	DFLIX				0.80000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - Class Y	05589K400	DFLYX				0.74000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fund - Class A	05588L102	DGEAX	5.75			1.32000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fund - Class C	05588L201	DGECX		1.00	.75	2.12000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fund - Class I	05588L300	DGIEX				1.07000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fund - Class Y	05588L409	DGEYX				0.97000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Global Equity Income Fund - Class A	05588E504	DEQAX	5.75			1.24000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - Class C	05588E405	DEQCX		1.00	.75	2.00000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - Class I	05588E306	DQEIX				0.96000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - Class Y	05588E777	DEQYX				0.92000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - Class A	05588D100	DHGAX	4.50			0.84000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - Class C	05588D209	DHGCX		1.00	.75	1.59000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - Class I	05586X801	SDGIX				0.54000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - Class Y	05588D761	DSDYX				0.47000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Class A	05587N844	DRRAX	5.75			1.24000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Class C	05587N836	DRRCX		1.00	.75	2.00000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Class I	05587N828	DRRIX				0.99000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Class Y	05587N794	DRRYX				0.90000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class A	05587K683	DGLAX	5.75			1.22000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class C	05587K691	DGLCX		1.00	.75	2.01000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class I	05587K717	DGLRX				0.91000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class Y	05587K840	DGLYX				0.89000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Fund - Class A	05588E835	DPLTX	4.50		.25	0.96000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Fund - Class C	05588E843	PTHIX		1.00	1.00	1.71000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Fund - Class I	05588E850	DLHRX				0.71000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund - Class C	05588R877	DHYCX		1.00	.75	1.88000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund - Class Y	05588R109	DHYYX				0.84000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund - Class Z	05588R851	DHMBX			.12	0.96000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund- Class I	05588R885	DYBIX				0.85000		SELL ONLY
BNY Mellon	BNY Mellon Institutional S&P 500 Stock Index Fund Class I	05589K863	DSPIX				0.22000		SELL ONLY
BNY Mellon	BNY Mellon Intermediate Municipal Bond Fund	05588V100	DITEX				0.63000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class A	05588E868	DIBAX	4.50			1.21000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class C	05588E876	DIBCX		1.00	.75	1.94000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class I	05588E884	DIBRX				0.84000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class Y	05588E108	DIBYX				0.74000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund Class A	09661F107	DIEAX	5.75			1.53000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund Class C	09661F206	DIECX		1.00	.75	2.45000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund Class I	09661F305	DIERX				1.29000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund Class Y	09661F602	DIEYX				1.15000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Class A	05588D845	NIEAX	5.75			1.23000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Class C	05588D852	NIECX		1.00	.75	2.13000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Class I	05588D837	SNIEX				0.95000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Class Y	05588D753	NIEYX				0.89000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Fund Class A	05587K725	DISAX	5.75			1.24000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon International Stock Fund Class C	05587K733	DISCX		1.00	.75	1.97000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Fund Class I	05587K741	DISRX				0.93000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Fund Class Y	05587K857	DISYX				0.89000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Index Fund Class I	09661L203	DINIX				0.36000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Index Fund Investor Shares	09661L500	DIISX				0.61000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class A	05588F840	DLQAX	5.75			1.12000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class C	05588F857	DEYCX		1.00	.75	2.08000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class I	05588F865	DLQIX				0.83000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class Y	05588F501	DLACX				0.80000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Securities Inc	05588K104	DRE VX				0.69000		SELL ONLY
BNY Mellon	BNY Mellon MidCap Index Fund Class I	05588M100	DMIDX				0.26000		SELL ONLY
BNY Mellon	BNY Mellon MidCap Index Fund Investor Shares	05588M209	PES PX				0.51000		SELL ONLY
BNY Mellon	BNY Mellon Midcap Value Fund Class A	05587N646	DM CVX	5.75			1.16000		SELL ONLY
BNY Mellon	BNY Mellon Midcap Value Fund Class C	05587N786	DVLCX		1.00	.75	1.99000		SELL ONLY
BNY Mellon	BNY Mellon Midcap Value Fund Class I	05587N778	DVLIX				0.98000		SELL ONLY
BNY Mellon	BNY Mellon Midcap Value Fund Class Y	05587N752	DM CYX				0.86000		SELL ONLY
BNY Mellon	BNY Mellon Natural Resources Fund Class A	05587A834	DNLAX	5.75			1.16000		SELL ONLY
BNY Mellon	BNY Mellon Natural Resources Fund Class C	05587A842	DLDCX		1.00	.75	1.87000		SELL ONLY
BNY Mellon	BNY Mellon Natural Resources Fund Class I	05587A859	DLDRX				0.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Natural Resources Fund Class Y	05587A867	DLDYX				0.80000		SELL ONLY
BNY Mellon	BNY Mellon New Jersey Municipal Bond Fund, Inc. Class A	05587D101	DRNJX	4.50			0.83000		SELL ONLY
BNY Mellon	BNY Mellon New Jersey Municipal Bond Fund, Inc. Class C	05587D200	DCNJX		1.00	.75	1.88000		SELL ONLY
BNY Mellon	BNY Mellon New Jersey Municipal Bond Fund, Inc. Class I	05587D408	DNMIX				0.60000		SELL ONLY
BNY Mellon	BNY Mellon New Jersey Municipal Bond Fund, Inc. Class Y	05587D507	DNJYX				0.56000		SELL ONLY
BNY Mellon	BNY Mellon New Jersey Municipal Bond Fund, Inc. Class Z	05587D309	DZNXJ				0.67000		SELL ONLY
BNY Mellon	BNY Mellon New York AMT-Free Municipal Bond Fund Class A	05588G301	PSNYX	4.50			0.77000		SELL ONLY
BNY Mellon	BNY Mellon New York AMT-Free Municipal Bond Fund Class C	05588G400	PNYCX		1.00	.75	1.60000		SELL ONLY
BNY Mellon	BNY Mellon New York AMT-Free Municipal Bond Fund Class I	05588G509	DNYIX				0.53000		SELL ONLY
BNY Mellon	BNY Mellon New York AMT-Free Municipal Bond Fund Class Y	05588G103	DNYXX				0.49000		SELL ONLY
BNY Mellon	BNY Mellon New York AMT-Free Municipal Bond Fund Class Z	05588G707	DNYAX				0.60000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Municipal Securities Fund Class A	05589A105	PTEBX	4.50			0.78000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Municipal Securities Fund Class C	05589A204	DMBCX		1.00	.75	1.58000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Municipal Securities Fund Class I	05589A402	DMBVX				0.54000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Municipal Securities Fund Class Y	05589A501	DMBYX				0.49000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Municipal Securities Fund Class Z	05589A303	DMBZX				0.74000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Small Cap Fund Class I	05587N745	DOPIX				1.00000		SELL ONLY
BNY Mellon	BNY Mellon Opportunistic Small Cap Fund Class Y	05587N737	DSCYX				0.88000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Opportunistic Small Cap Fund Investor Shares	05587N638	DSCVX				1.19000		SELL ONLY
BNY Mellon	BNY Mellon Research Growth Fund, Inc. Class A	05588Q200	DWOA X	5.75			1.09000		SELL ONLY
BNY Mellon	BNY Mellon Research Growth Fund, Inc. Class C	05588Q309	DWOC X		1.00	.75	1.93000		SELL ONLY
BNY Mellon	BNY Mellon Research Growth Fund, Inc. Class I	05588Q408	DWOIX				0.84000		SELL ONLY
BNY Mellon	BNY Mellon Research Growth Fund, Inc. Class Y	05588Q507	DRYQX				0.79000		SELL ONLY
BNY Mellon	BNY Mellon Research Growth Fund, Inc. Class Z	05588Q101	DREQX				0.86000		SELL ONLY
BNY Mellon	BNY Mellon S&P 500 Index Fund	09661L302	PEOPX				0.51000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Income Fund Class A	05600G602	BYSAX	2.50			0.97000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Income Fund Class D	05600G107	DSTIX				0.95000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Income Fund Class I	05600G701	BYSIX				0.73000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Income Fund Class Y	05600G800	BYSYX				0.79000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Municipal Bond Fund Class A	05589J304	DMBAX	2.50			0.74000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Municipal Bond Fund Class D	05589J106	DSIBX			.10	0.57000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Municipal Bond Fund Class I	05589J205	DIMIX				0.48000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Municipal Bond Fund Class Y	05589J403	DMYBX				0.44000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Stock Index Fund Class I	09661L104	DISIX				0.26000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Stock Index Fund Investor Shares	09661L401	DISSX				0.51000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class A	05588D308	RUDAX	5.75			1.45000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class C	05588D407	BOSCX		1.00	.75	2.36000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Small Cap Value Fund Class I	05586X884	STSVX				1.11000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class Y	05588D506	BOSYX				1.05000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund Class A	05586X108	DBMAX	5.75			1.02000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund Class C	05588D720	DBMCX		1.00	.75	1.84000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund Class I	05586X405	SDSCX				0.77000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund Class Y	05588D795	DBMYX				0.67000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund Class Z	05588D829	DBMZX			.16	0.88000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund Class A	09661D102	DTCAX	5.75			0.98000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund Class C	09661D201	DTCCX		1.00	.75	1.86000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund Class I	09661D300	DRTCX				0.70000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund Class Y	09661D508	DTCYX				0.77000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund Class Z	09661D409	DRTHX				0.75000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Class A	05587N588	DTGRX	5.75			1.16000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Class C	05587N570	DTGCX		1.00	.75	1.97000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Class I	05587N562	DGVRX				0.94000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Class Y	05587N687	DTEYX				0.85000		SELL ONLY
BNY Mellon	BNY Mellon Worldwide Growth Fund Class A	05588J107	PGROX	5.75			1.12000		BUY,SELL
BNY Mellon	BNY Mellon Worldwide Growth Fund Class C	05588J206	PGRGX		1.00	.75	1.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
BNY Mellon	BNY Mellon Worldwide Growth Fund Class I	05588J305	DPWRX				0.91000		SELL ONLY
BNY Mellon	BNY Mellon Worldwide Growth Fund Class Y	05588J404	DPRIX				0.81000		SELL ONLY
BNY Mellon Funds	BNY Mellon Asset Allocation Fund Class M	05569M657	MPBLX				0.91000		SELL ONLY
BNY Mellon Funds	BNY Mellon Asset Allocation Fund Investor Class	05569M640	MIBLX				1.16000		SELL ONLY
BNY Mellon Funds	BNY Mellon Bond Fund Class Investor	05569M822	MIBDX				0.82000		SELL ONLY
BNY Mellon Funds	BNY Mellon Bond Fund Class M	05569M830	MPBFX				0.57000		SELL ONLY
BNY Mellon Funds	BNY Mellon Emerging Markets Fund Class Investor	05569M848	MIEGX				1.77000		SELL ONLY
BNY Mellon Funds	BNY Mellon Emerging Markets Fund Class M	05569M855	MEMKX				1.52000		SELL ONLY
BNY Mellon Funds	BNY Mellon International Fund Class Investor	05569M863	MIINX				1.33000		SELL ONLY
BNY Mellon Funds	BNY Mellon International Fund Class M	05569M871	MPITX				1.08000		SELL ONLY
BNY Mellon Funds	BNY Mellon MA Intermediate Municipal Bond Fund Class Investor	05569M673	MMBIX				0.85000		SELL ONLY
BNY Mellon Funds	BNY Mellon MA Intermediate Municipal Bond Fund Class M	05569M681	MMBMX				0.60000		SELL ONLY
BNY Mellon Funds	BNY Mellon Mid Cap Multi-Strategy Fund Class Investor	05569M608	MIMSX				1.18000		SELL ONLY
BNY Mellon Funds	BNY Mellon Mid Cap Multi-Strategy Fund Class M	05569M509	MPMCX				0.93000		SELL ONLY
BNY Mellon Funds	BNY Mellon Small Cap Multi-Strategy Fund Class Investor	05569M889	MISCX				1.31000		SELL ONLY
BNY Mellon Funds	BNY Mellon Small Cap Multi-Strategy Fund Class M	05569M806	MPSSX				1.06000		SELL ONLY
Bridge Builder	Bridge Builder Core Bond Fund	10803R108	BBTBX				0.34000		SELL ONLY
Bridge Builder	Bridge Builder Core Plus Bond Fund	10803R702	BBCPX				0.39000		SELL ONLY
Bridge Builder	Bridge Builder International Equity Fund	10803R603	BBIEIX				0.62000		SELL ONLY
Bridge Builder	Bridge Builder Large Cap Growth Fund	10803R207	BBGLX				0.45000		SELL ONLY
Bridge Builder	Bridge Builder Large Cap Value Fund	10803R306	BBVLX				0.46000		SELL ONLY
Bridge Builder	Bridge Builder Municipal Bond Fund	10803R801	BBMUX				0.38000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Bridge Builder	Bridge Builder Municipal High-Income Bond Fund	10803R827	BBMHX				0.39000		SELL ONLY
Bridge Builder	Bridge Builder Small/Mid Cap Growth Fund	10803R405	BBGSX				0.66000		SELL ONLY
Bridge Builder	Bridge Builder Small/Mid Cap Value Fund	10803R504	BBVSX				0.67000		SELL ONLY
Bridge Builder	Bridge Builder Tax Managed International Equity Fund	10803R835	BBTIX				0.64000		SELL ONLY
Bridge Builder	Bridge Builder Tax Managed Large Cap Fund	10803R850	BBTLX				0.46000		SELL ONLY
Bridge Builder	Bridge Builder Tax Managed Small/Mid Cap Fund	10803R843	BBTSX				0.67000		SELL ONLY
Brown Advisory	Brown Advisory Sustainable International Leaders Fund Institutional Shares	115233272	BAILX				1.17000		SELL ONLY
Brown Advisory	Brown Advisory Sustainable International Leaders Fund Investor Shares	115233264	BISLX				1.32000		SELL ONLY
Brown Advisory Funds	Brown Advisory - Beutel Goodman Large-Cap Value Fund Institutional Shares	115233421	BVALX				0.55000		SELL ONLY
Brown Advisory Funds	Brown Advisory - Beutel Goodman Large-Cap Value Fund Investor Shares	115233314	BIAVX				0.70000		SELL ONLY
Brown Advisory Funds	Brown Advisory Emerging Markets Select Fund Advisor Shares	115233637	BAQAX			.25	1.50000		SELL ONLY
Brown Advisory Funds	Brown Advisory Emerging Markets Select Fund Institutional Shares	115233652	BAFQX				1.10000		SELL ONLY
Brown Advisory Funds	Brown Advisory Emerging Markets Select Fund Investor Shares	115233645	BIAQX				1.25000		SELL ONLY
Brown Advisory Funds	Brown Advisory Flexible Equity Fund Advisor Shares	115233850	BAFAX			.25	0.92000		SELL ONLY
Brown Advisory Funds	Brown Advisory Flexible Equity Fund Institutional Shares	115233843	BAFFX				0.52000		SELL ONLY
Brown Advisory Funds	Brown Advisory Flexible Equity Fund Investor Shares	115233868	BIAFX				0.67000		SELL ONLY
Brown Advisory Funds	Brown Advisory Global Leaders Fund Institutional Shares	115233355	BAFLX				0.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Brown Advisory Funds	Brown Advisory Global Leaders Fund Investor Shares	115233462	BIALX				0.91000		SELL ONLY
Brown Advisory Funds	Brown Advisory Growth Equity Fund Advisor Shares	115233603	BAGAX			.25	1.11000		SELL ONLY
Brown Advisory Funds	Brown Advisory Growth Equity Fund Institutional Shares	115233702	BAFGX			.00	0.71000		SELL ONLY
Brown Advisory Funds	Brown Advisory Growth Equity Fund Investor Shares	115233504	BIAGX			.00	0.86000		SELL ONLY
Brown Advisory Funds	Brown Advisory Intermediate Income Advisor Shares	115233736	BAIAX			.25	0.79000		SELL ONLY
Brown Advisory Funds	Brown Advisory Intermediate Income Fund Investor Shares	115233744	BIAIX				0.54000		SELL ONLY
Brown Advisory Funds	Brown Advisory Maryland Bond Fund Investor Shares	115233751	BIAMX				0.49000		SELL ONLY
Brown Advisory Funds	Brown Advisory Mid-Cap Growth Fund Institutional Class	115233413	BAFMX				0.86000		SELL ONLY
Brown Advisory Funds	Brown Advisory Mid-Cap Growth Fund Investor Class	115233439	BMIDX				1.01000		SELL ONLY
Brown Advisory Funds	Brown Advisory Mortgage Securities Fund Institutional Shares	115233546	BAFZX				0.46000		SELL ONLY
Brown Advisory Funds	Brown Advisory Mortgage Securities Fund Investor Shares	115233587	BIAZX				0.51000		SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Fundamental Value Fund Advisor Shares	115233785	BAUAX			.25	1.36000		SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Fundamental Value Fund Institutional Shares	115233777	BAUUX				0.96000		SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Fundamental Value Fund Investor Shares	115233793	BIAUX				1.11000		SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Growth Fund Advisor Shares	115233827	BASAX			.25	1.36000		SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Growth Fund Institutional Shares	115233819	BAFSX				0.96000		SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Growth Fund Investor Shares	115233835	BIASX				1.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Brown Advisory Funds	Brown Advisory Sustainable Bond Fund Institutional Shares	115233389	BAISX				0.42000		SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Bond Fund Investor Shares	115233447	BASBX				0.47000		SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Growth Fund Advisor Shares	115233405	BAWAX			.25	1.00000		SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Growth Fund Institutional Shares	115233207	BAFWX				0.60000		SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Growth Fund Investor Shares	115233306	BIAWX				0.75000		SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Small-Cap Core Fund Institutional Shares	115233298	BAFYX				1.05000		SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Small-Cap Core Fund Investor Shares	115233280	BIAYX				1.20000		SELL ONLY
Brown Advisory Funds	Brown Advisory Tax Exempt Bond Fund Institutional Shares	115233371	BTEIX				0.41000		SELL ONLY
Brown Advisory Funds	Brown Advisory Tax Exempt Bond Fund Investor Shares	115233108	BIAEX				0.46000		SELL ONLY
Brown Advisory Funds	Brown Advisory Tax-Exempt Sustainable Bond Fund Investor Shares	115233348	BITEX				0.48000		SELL ONLY
Brown Advisory Funds	Brown Advisory WMC Strategic European Equity Fund Advisor Shares	115233595	BAHAX			.25	1.45000		SELL ONLY
Brown Advisory Funds	Brown Advisory WMC Strategic European Equity Fund Institutional Shares	115233629	BAFHX				1.05000		SELL ONLY
Brown Advisory Funds	Brown Advisory WMC Strategic European Equity Fund Investor Shares	115233611	BIAHX				1.20000		SELL ONLY
Brown Capital Management	Brown Capital Management International All Company Fund Institutional Shares	115291767	BCISX				1.69000		SELL ONLY
Brown Capital Management	Brown Capital Management International All Company Fund Investor Shares	115291858	BCIIX			.25	1.95000		SELL ONLY
Brown Capital Management	Brown Capital Management International Small Company Fund Institutional Shares	115291759	BCSFX				1.06000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Brown Capital Management	Brown Capital Management International Small Company Fund Investor Shares	115291742	BCSVX			.25	1.31000		SELL ONLY
Brown Capital Management	Brown Capital Management Small Company Fund Institutional Shares	115291403	BCSSX				1.12000		SELL ONLY
Brown Capital Management	Brown Capital Management Small Company Fund Investor Shares	115291833	BCSIX			.20	1.32000		SELL ONLY
Buffalo	Buffalo Blue Chip Growth Fund	119628105	BUFEX				0.90000		SELL ONLY
Buffalo	Buffalo Blue Chip Growth Fund Institutional Class	119530830	BUIEX				0.78000		SELL ONLY
Buffalo	Buffalo Early Stage Growth Fund	119530301	BUFOX				1.39000		SELL ONLY
Buffalo	Buffalo Early Stage Growth Fund Institutional Class	119530889	BUIOX				1.36000		SELL ONLY
Buffalo	Buffalo Flexible Allocation Fund	119428100	BUFBX				1.00000		SELL ONLY
Buffalo	Buffalo Flexible Allocation Fund Institutional Class	119530871	BUIBX				0.86000		SELL ONLY
Buffalo	Buffalo Growth & Income Fund	119530608	BUFDX				0.92000		SELL ONLY
Buffalo	Buffalo Growth & Income Fund Institutional Class	119530806	BUIDX				0.79000		SELL ONLY
Buffalo	Buffalo Growth Fund	119826105	BUFGX				0.87000		SELL ONLY
Buffalo	Buffalo Growth Fund Institutional Class	119530863	BIIGX				0.77000		SELL ONLY
Buffalo	Buffalo High Yield Fund	119539104	BUFHX				1.02000		SELL ONLY
Buffalo	Buffalo High Yield Fund Institutional Class	119530855	BUIHX				0.87000		SELL ONLY
Buffalo	Buffalo International Fund	119530509	BUFIX				1.05000		SELL ONLY
Buffalo	Buffalo International Fund Institutional Class	119530848	BUIIX				0.89000		SELL ONLY
Buffalo	Buffalo Mid Cap Discovery Fund	119530103	BUFTX				0.99000		SELL ONLY
Buffalo	Buffalo Mid Cap Discovery Fund Institutional Class	119530707	BUITX				0.86000		SELL ONLY
Buffalo	Buffalo Mid Cap Growth Fund	119530202	BUFMX				1.01000		SELL ONLY
Buffalo	Buffalo Mid Cap Growth Fund Institutional Class	119530822	BUIMX				0.88000		SELL ONLY
Buffalo	Buffalo Small Cap Growth Fund	119804102	BUFSX				0.89000		SELL ONLY
Buffalo	Buffalo Small Cap Growth Fund Institutional Class	119530814	BUISX				0.87000		SELL ONLY