

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
UBS Asset Management	PACE Alternative Strategies Investments Class A	69373W707	PASIX	5.50		.25	3.09000		SELL ONLY
UBS Asset Management	PACE Alternative Strategies Investments Class P	69373W202	PASPX				2.83000		SELL ONLY
UBS Asset Management	PACE Global Fixed Income Investments Class A	69373W103	PWFAX	3.75		.25	1.23000		SELL ONLY
UBS Asset Management	PACE Global Fixed Income Investments Class P	69373W624	PCGLX				1.04000		SELL ONLY
UBS Asset Management	PACE Global Real Estate Securities Investments Class A	69373W855	PREAX	5.50		.25	1.71000		SELL ONLY
UBS Asset Management	PACE Global Real Estate Securities Investments Class P	69373W806	PREQX				1.74000		SELL ONLY
UBS Asset Management	PACE High Yield Investments Class A	69373W780	PHIAX	3.75		.25	1.20000		SELL ONLY
UBS Asset Management	PACE High Yield Investments Class P	69373W830	PHYPX				1.06000		SELL ONLY
UBS Asset Management	PACE Intermediate Fixed Income Investments Class A	69373W384	PIFAX	3.75		.25	1.09000		SELL ONLY
UBS Asset Management	PACE Intermediate Fixed Income Investments Class P	69373W350	PCIFX				0.87000		SELL ONLY
UBS Asset Management	PACE International Emerging Markets Equity Investments Class A	69373W178	PWEAX	5.50		.25	1.68000		SELL ONLY
UBS Asset Management	PACE International Emerging Markets Equity Investments Class P	69373W293	PCEMX				1.46000		SELL ONLY
UBS Asset Management	PACE International Equity Investments Class A	69373W277	PWGA X	5.50		.25	1.87000		SELL ONLY
UBS Asset Management	PACE International Equity Investments Class P	69373W244	PCIEX				1.59000		SELL ONLY
UBS Asset Management	PACE Large Co Growth Equity Investments Class A	69373W228	PLAAX	5.50		.25	1.15000		SELL ONLY
UBS Asset Management	PACE Large Co Growth Equity Investments Class P	69373W442	PCLCX				0.91000		SELL ONLY
UBS Asset Management	PACE Large Co Value Equity Investments Class A	69373W459	PCPAX	5.50		.25	1.13000		SELL ONLY
UBS Asset Management	PACE Large Co Value Equity Investments Class P	69373W491	PCLVX				0.89000		SELL ONLY

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UBS Asset Management	PACE Mortgage-Backed Securities Fixed Income Investments Class A	69373W517	PFAX	3.75		.25	1.13000		SELL ONLY
UBS Asset Management	PACE Mortgage-Backed Securities Fixed Income Investments Class P	69373W772	PCGTX				0.94000		SELL ONLY
UBS Asset Management	PACE Municipal Fixed Income Investments Class A	69373W525	PMUAX	2.25		.25	0.90000		SELL ONLY
UBS Asset Management	PACE Municipal Fixed Income Investments Class P	69373W566	PCMNX				0.64000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Growth Equity Investments Class A	69373W574	PQUAX	5.50		.25	1.26000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Growth Equity Investments Class P	69373W632	PCSGX				1.13000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Value Equity Investments Class A	69373W640	PEVAX	5.50		.25	1.26000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Value Equity Investments Class P	69373W681	PCSVX				1.08000		SELL ONLY
UBS Asset Management	PACE Strategic Fixed Income Investments Class A	69373W699	PBNAX	3.75		.25	1.12000		SELL ONLY
UBS Asset Management	PACE Strategic Fixed Income Investments Class P	69373W756	PCSIX				0.89000		SELL ONLY
UBS Asset Management	UBS Global Allocation Fund Class A	90262H627	BNGLX	5.50		.25	1.58000		SELL ONLY
UBS Asset Management	UBS Global Allocation Fund Class P	90262H585	BPGLX				1.30000		SELL ONLY
UBS Asset Management	UBS International Sustainable Equity Fund Class A	90262H395	BNIEX	5.50		.25	1.48000		SELL ONLY
UBS Asset Management	UBS International Sustainable Equity Fund Class P	90262H361	BNUEX				1.26000		SELL ONLY
UBS Asset Management	UBS Multi Income Bond Fund Class A	90267D811	UTBAX	3.75		.25	2.18000		SELL ONLY
UBS Asset Management	UBS Multi Income Bond Fund Class P	90267D787	UTBPX				2.00000		SELL ONLY
UBS Asset Management	UBS U.S. Allocation Fund Class A	90262E103	PWTAX	5.50		.25	1.01000		SELL ONLY
UBS Asset Management	UBS U.S. Allocation Fund Class P	90262E400	PWTYX				0.75000		SELL ONLY

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UBS Asset Management	UBS U.S. Small Cap Growth Fund Class A	90262H668	BNSCX	5.50		.25	1.54000		SELL ONLY
UBS Asset Management	UBS U.S. Small Cap Growth Fund Class P	90262H635	BISCX				1.30000		SELL ONLY
UBS Asset Management	UBS Ultra Short Income Fund Class A	902656107	USIAX			.10	0.50000		SELL ONLY
UBS Asset Management	UBS Ultra Short Income Fund Class P	902656206	USIPX				0.40000		SELL ONLY
Value Line	Value Line Asset Allocation Fund Institutional Class	920395209	VLAIX			.00	0.82000		SELL ONLY
Value Line	Value Line Asset Allocation Fund Investor Class	920395100	VLAAX			.25	1.06000		SELL ONLY
Value Line	Value Line Capital Appreciation Fund, Inc. Institutional Class	920440203	VLIIX			.00	0.89000		SELL ONLY
Value Line	Value Line Capital Appreciation Fund, Inc. Investor Class	920440104	VALIX			.25	1.10000		SELL ONLY
Value Line	Value Line Core Bond Fund	920394103	VAGIX			.25	1.28000		SELL ONLY
Value Line	Value Line Larger Companies Focused Fund Institutional Class	920447208	VLLIX			.00	1.45000		SELL ONLY
Value Line	Value Line Larger Companies Focused Fund Investor Class	920447109	VALLX			.25	1.16000		SELL ONLY
Value Line	Value Line Mid Cap Focused Fund	920423100	VLIFX			.25	1.08000		SELL ONLY
Value Line	Value Line Mid Cap Focused Fund Institutional Class	920423209	VLMIX			.00	0.87000		SELL ONLY
Value Line	Value Line Select Growth Fund	920457108	VALSX			.25	1.16000		SELL ONLY
Value Line	Value Line Select Growth Fund Institutional Class	920457306	VILSX			.00	1.10000		SELL ONLY
Value Line	Value Line Small Cap Opportunities Fund Institutional Class	920454204	VLEIX			.00	0.98000		SELL ONLY
Value Line	Value Line Small Cap Opportunities Fund Investor Class	920454105	VLEOX			.25	1.18000		SELL ONLY
VanEck	VanEck CM Commodity Index Fund Class A	921075313	CMCAX	5.75		.25	1.32000		SELL ONLY
VanEck	VanEck CM Commodity Index Fund Class Y	921075289	CMCYX			.00	0.93000		SELL ONLY
VanEck	VanEck Emerging Markets Bond Fund Class A	921075271	EMBAX	5.75		.25	2.08000		SELL ONLY

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VanEck	VanEck Emerging Markets Bond Fund Class Y	921075248	EMBYX			.00	1.35000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class A	921075875	GBFAX	5.75		.25	1.59000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class C	921075453	EMRCX		1.00	1.00	2.58000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class Y	921075370	EMRYX			.00	1.23000		SELL ONLY
VanEck	VanEck Global Resources Fund - Class C	921075743	GHACX		1.00	1.00	2.50000		SELL ONLY
VanEck	VanEck Global Resources Fund ? Class A	921075750	GHAAX	5.75		.25	1.50000		SELL ONLY
VanEck	VanEck Global Resources Fund ? Class Y	921075362	GHAYX			.00	1.16000		SELL ONLY
VanEck	VanEck International Investors Gold Fund Class A	921075503	INIVX	5.75		.25	1.43000		SELL ONLY
VanEck	VanEck International Investors Gold Fund Class C	921075776	IIGCX		1.00	1.00	2.25000		SELL ONLY
VanEck	VanEck International Investors Gold Fund Class Y	921075388	INIYX			.00	1.13000		SELL ONLY
Vanguard	Vanguard 500 Index Fund Admiral Shares	922908710	VFIAX				0.04000		SELL ONLY
Vanguard	Vanguard Baillie Gifford Global Positive Impact Stock Fund Investor Shares	92205M408	VBPIX				0.59000		SELL ONLY
Vanguard	Vanguard Balanced Index Fund Admiral Shares	921931200	VBIAX				0.07000		SELL ONLY
Vanguard	Vanguard Balanced Index Fund Institutional Shares	921931309	VBAIX				0.06000		SELL ONLY
Vanguard	Vanguard California Intermediate-Term Tax-Exempt Fund Admiral Shares	922021407	VCADX				0.09000		SELL ONLY
Vanguard	Vanguard California Intermediate-Term Tax-Exempt Fund Investor Shares	922021308	VCAIX				0.17000		SELL ONLY
Vanguard	Vanguard California Long-Term Tax-Exempt Fund Admiral Shares	922021506	VCLAX				0.09000		SELL ONLY
Vanguard	Vanguard California Long-Term Tax-Exempt Fund Investor Shares	922021100	VCITX				0.17000		SELL ONLY

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Vanguard	Vanguard Capital Opportunity Fund Admiral Shares	922038500	VHCAX				0.36000		SELL ONLY
Vanguard	Vanguard Capital Opportunity Fund Investor Shares	922038302	VHCOX				0.43000		SELL ONLY
Vanguard	Vanguard Commodity Strategy Fund Admiral Shares	921939708	VCMDX				0.21000		SELL ONLY
Vanguard	Vanguard Communication Services Index Fund Admiral Class	92204A777	VTCAAX				0.10000		SELL ONLY
Vanguard	Vanguard Consumer Discretionary Index Fund Admiral Shares	92204A868	VCDAX				0.10000		SELL ONLY
Vanguard	Vanguard Consumer Staples Index Fund Class Admiral Shares	92204A850	VCSAX				0.10000		SELL ONLY
Vanguard	Vanguard Core Bond Fund Admiral Shares	922020839	VCOBX				0.10000		SELL ONLY
Vanguard	Vanguard Core Bond Fund Investor Shares	922020847	VCORX				0.20000		SELL ONLY
Vanguard	Vanguard Core-Plus Bond Fund Admiral Shares	922020789	VCPAX				0.20000		SELL ONLY
Vanguard	Vanguard Core-Plus Bond Fund Investor Shares	922020797	VCPIX				0.30000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund Admiral Shares	921943809	VTMGX				0.08000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund Institutional Plus Shares	92206J206	VDIPX				0.05000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund Institutional Shares	921943882	VTMNX				0.06000		SELL ONLY
Vanguard	Vanguard Diversified Equity Fund Investor Shares	921939401	VDEQX				0.35000		SELL ONLY
Vanguard	Vanguard Dividend Appreciation Index Fund Admiral Shares	921908828	VDADX				0.08000		SELL ONLY
Vanguard	Vanguard Dividend Growth Fund Investor Shares	921908604	VDIGX				0.29000		SELL ONLY
Vanguard	Vanguard Emerging Markets Bond Fund Admiral Shares	922020813	VEGBX				0.40000		SELL ONLY
Vanguard	Vanguard Emerging Markets Bond Fund Investor Shares	922020821	VEMBX				0.55000		SELL ONLY

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Vanguard	Vanguard Emerging Markets Government Bond Index Fund Admiral Shares	921946802	VGAVX				0.20000		SELL ONLY
Vanguard	Vanguard Emerging Markets Government Bond Index Fund Institutional Shares	921946703	VGIVX				0.18000		SELL ONLY
Vanguard	Vanguard Emerging Markets Select Stock Fund Investor Shares	921939500	VMMSX				0.80000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index Fund Admiral Shares	922042841	VEMAX				0.14000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index Fund Institutional Plus Shares	922042650	VEMRX				0.08000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index Fund Institutional Shares	922042601	VEMIX				0.10000		SELL ONLY
Vanguard	Vanguard Energy Fund Admiral Shares	921908802	VGELX				0.36000		SELL ONLY
Vanguard	Vanguard Energy Fund Investor Shares	921908109	VGENX				0.44000		SELL ONLY
Vanguard	Vanguard Energy Index Fund Admiral Shares	92204A843	VENAX				0.10000		SELL ONLY
Vanguard	Vanguard Equity-Income Fund Admiral Shares	921921300	VEIRX				0.18000		SELL ONLY
Vanguard	Vanguard Equity-Income Fund Investor Shares	921921102	VEIPX				0.27000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Admiral Shares	922042809	VEUSX				0.13000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Institutional Plus Shares	922042627	VEUPX				0.08000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Institutional Shares	922042502	VESIX				0.09000		SELL ONLY
Vanguard	Vanguard Explorer Fund Admiral Shares	921926200	VEXRX				0.34000		SELL ONLY
Vanguard	Vanguard Explorer Fund Investor Class	921926101	VEXPX				0.45000		SELL ONLY
Vanguard	Vanguard Explorer Value Fund Investor Shares	92206C748	VEVFX				0.49000		SELL ONLY
Vanguard	Vanguard Extended Duration Treasury Index Fund Institutional Plus Shares	921910808	VEDIX				0.04000		SELL ONLY
Vanguard	Vanguard Extended Duration Treasury Index Fund Institutional Shares	921910881	VEDTX				0.06000		SELL ONLY

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Vanguard	Vanguard Extended Market Index Fund Admiral Shares	922908694	VEXAX				0.06000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Institutional Plus Shares	922908371	VEMPX				0.04000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Institutional Shares	922908884	VIEIX				0.05000		SELL ONLY
Vanguard	Vanguard Financials Index Fund Admiral Shares	92204A835	VFAIX				0.10000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fund Admiral Shares	921937736	VFWAX				0.11000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fund Institutional Plus Shares	922042635	VFWPX				0.06000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fund Institutional Shares	922042783	VFWSX				0.08000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Small Capital Index Fund Admiral Shares	922042593	VFSAX				0.17000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Small-Cap Index Fund Institutional Shares	922042726	VFSNX				0.12000		SELL ONLY
Vanguard	Vanguard FTSE Social Index Fund Admiral	921910717	VFTAX				0.14000		SELL ONLY
Vanguard	Vanguard FTSE Social Index Fund Institutional Shares	921910402	VFTNX				0.12000		SELL ONLY
Vanguard	Vanguard Global Capital Cycles Fund Investor Shares	921908208	VGPMX				0.44000		SELL ONLY
Vanguard	Vanguard Global Credit Bond Fund Admiral Shares	92203J852	VGCAx				0.25000		SELL ONLY
Vanguard	Vanguard Global Credit Bond Fund Investor Shares	92203J860	VGCIx				0.35000		SELL ONLY
Vanguard	Vanguard Global Environmental Opportunities Stock Fund Admiral Shares	921939880	VEOAX				0.62000		SELL ONLY
Vanguard	Vanguard Global Environmental Opportunities Stock Fund Investor Shares	921939807	VEOIX				0.77000		SELL ONLY
Vanguard	Vanguard Global Equity Fund Investor Shares	922038203	VHGEX				0.42000		SELL ONLY

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Vanguard	Vanguard Global ESG Select Stock Fund Admiral Shares	921908794	VESGX				0.48000		SELL ONLY
Vanguard	Vanguard Global ESG Select Stock Fund Investor Shares	921908810	VEIGX				0.58000		SELL ONLY
Vanguard	Vanguard Global ex-U.S. Real Estate Index Fund Admiral Shares	922042668	VGRLX				0.12000	0.25	SELL ONLY
Vanguard	Vanguard Global ex-U.S. Real Estate Index Fund Institutional Shares	922042684	VGRNX				0.11000	0.25	SELL ONLY
Vanguard	Vanguard Global Minimum Volatility Fund Admiral Shares	921946869	VMNVX				0.14000		SELL ONLY
Vanguard	Vanguard Global Minimum Volatility Fund Investor Shares	921946877	VMVFX				0.21000		SELL ONLY
Vanguard	Vanguard Global Wellesley Income Fund Admiral Shares	921910741	VGYAX				0.31000		SELL ONLY
Vanguard	Vanguard Global Wellesley Income Fund Investor Shares	921910758	VGWIX				0.44000		SELL ONLY
Vanguard	Vanguard Global Wellington Fund Admiral Shares	921910766	VGWA X				0.37000		SELL ONLY
Vanguard	Vanguard Global Wellington Fund Investor Shares	921910774	VGWLX				0.50000		SELL ONLY
Vanguard	Vanguard GNMA Fund Admiral Shares	922031794	VFIJX				0.11000		SELL ONLY
Vanguard	Vanguard GNMA Fund Investor Shares	922031307	VFIIX				0.21000		SELL ONLY
Vanguard	Vanguard Growth and Income Fund Admiral Shares	921913208	VGIAX				0.22000		SELL ONLY
Vanguard	Vanguard Growth and Income Fund Investor Shares	921913109	VQNPX				0.32000		SELL ONLY
Vanguard	Vanguard Growth Index Fund Admiral Shares	922908660	VIGAX				0.05000		SELL ONLY
Vanguard	Vanguard Growth Index Fund Institutional Shares	922908868	VIGIX				0.04000		SELL ONLY
Vanguard	Vanguard Health Care Fund Admiral Shares	921908885	VGHAX				0.30000		SELL ONLY
Vanguard	Vanguard Health Care Fund Investor Shares	921908307	VGHCX				0.35000		SELL ONLY
Vanguard	Vanguard Health Care Index Fund Admiral Shares	92204A827	VHCIX				0.10000		SELL ONLY

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Vanguard	Vanguard High Dividend Yield Index Fund Admiral	921946786	VHYAX				0.08000		SELL ONLY
Vanguard	Vanguard High-Yield Corporate Fund Admiral Shares	922031760	VWEAX				0.12000		SELL ONLY
Vanguard	Vanguard High-Yield Corporate Fund Investor Shares	922031208	VWEHX				0.22000		SELL ONLY
Vanguard	Vanguard High-Yield Tax-Exempt Fund	922907407	VWAHX				0.17000		SELL ONLY
Vanguard	Vanguard High-Yield Tax-Exempt Fund Admiral Shares	922907845	VWALX				0.09000		SELL ONLY
Vanguard	Vanguard Industrials Index Fund Admiral Shares	92204A819	VINAX				0.10000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fund Admiral Shares	922031737	VAIPX				0.10000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fund Institutional Shares	922031745	VIPIX				0.07000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fund Investor Shares	922031869	VIPSX				0.20000		SELL ONLY
Vanguard	Vanguard Information Technology Index Fund Admiral Shares	92204A793	VITAX				0.10000		SELL ONLY
Vanguard	Vanguard Institutional Index Fund Institutional Plus Shares	922040209	VIIIX				0.02000		SELL ONLY
Vanguard	Vanguard Institutional Index Fund Institutional Shares	922040100	VINIX				0.03500		SELL ONLY
Vanguard	Vanguard Institutional Total Stock Market Index Fund Institutional Plus Shares	922040407	VITPX				0.02000		SELL ONLY
Vanguard	Vanguard Institutional Total Stock Market Index Fund Institutional Shares	922040308	VITNX				0.03000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index Fund Admiral Shares	921937801	VBILX				0.07000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index Fund Institutional Plus Shares	921937751	VBIUX				0.04000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index Fund Institutional Shares	921937884	VBIMX				0.05000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Corporate Bond Index Fund Admiral Shares	92206C854	VICSX				0.07000		SELL ONLY

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Vanguard	Vanguard Intermediate-Term Corporate Bond Index Fund Institutional Shares	92206C862	VICBX				0.05000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Investment-Grade Fund Admiral Shares	922031810	VFIDX				0.10000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares	922031885	VFICX				0.20000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares	922907878	VWIUX				0.09000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Tax-Exempt Fund Investor Shares	922907209	VWITX				0.17000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Fund Admiral Shares	922031828	VFIUX				0.10000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Fund Investor Shares	922031802	VFITX				0.20000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Index Fund Admiral Shares	92206C888	VSIGX				0.07000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Index Fund Institutional Shares	92206C805	VIIGX				0.05000		SELL ONLY
Vanguard	Vanguard International Core Stock Fund Admiral Shares	922038880	VZICX				0.38000		SELL ONLY
Vanguard	Vanguard International Core Stock Fund Investor Shares	922038807	VWICX				0.48000		SELL ONLY
Vanguard	Vanguard International Dividend Appreciation Index Fund Admiral Shares	921946844	VIAAX				0.16000	0.25	SELL ONLY
Vanguard	Vanguard International Dividend Growth Fund Investor Shares	921946729	VIDGX				0.54000		SELL ONLY
Vanguard	Vanguard International Explorer Fund Investor Shares	921946208	VINEX				0.52000		SELL ONLY
Vanguard	Vanguard International Growth Fund Admiral Shares	921910501	VWILX				0.31000		SELL ONLY
Vanguard	Vanguard International Growth Fund Investor Shares	921910204	VWIGX				0.42000		SELL ONLY
Vanguard	Vanguard International High Dividend Yield Fund Admiral Shares	921946828	VIHAX				0.22000	0.25	SELL ONLY
Vanguard	Vanguard International Value Fund Investor Shares	921939203	VTRIX				0.39000		SELL ONLY

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Vanguard	Vanguard Large Cap Index Fund Admiral Shares	922908579	VLCAx				0.05000		SELL ONLY
Vanguard	Vanguard Large Cap Index Fund Institutional Shares	922908561	VLISX				0.04000		SELL ONLY
Vanguard	Vanguard LifeStrategy Conservative Growth Fund Investor Shares	921909305	VSCGX				0.12000		SELL ONLY
Vanguard	Vanguard LifeStrategy Growth Fund Investor Shares	921909503	VASGX				0.14000		SELL ONLY
Vanguard	Vanguard LifeStrategy Income Fund Investor Shares	921909206	VASIX				0.11000		SELL ONLY
Vanguard	Vanguard LifeStrategy Moderate Growth Fund Investor Shares	921909404	VSMGX				0.13000		SELL ONLY
Vanguard	Vanguard Limited-Term Tax-Exempt Fund	922907704	VMLTX				0.17000		SELL ONLY
Vanguard	Vanguard Limited-Term Tax-Exempt Fund Admiral Shares	922907886	VMLUX				0.09000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Admiral Shares	921937652	VBLAX				0.07000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Institutional Plus Shares	921937744	VBLIX				0.04000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Institutional Shares	921937876	VBLIX				0.05000		SELL ONLY
Vanguard	Vanguard Long-Term Corporate Bond Index Fund Admiral Shares	92206C789	VLTCX				0.07000		SELL ONLY
Vanguard	Vanguard Long-Term Corporate Bond Index Fund Institutional Shares	92206C797	VLCIX				0.05000		SELL ONLY
Vanguard	Vanguard Long-Term Investment-Grade Fund Admiral Shares	922031778	VWETX				0.11000		SELL ONLY
Vanguard	Vanguard Long-Term Investment-Grade Fund Investor Shares	922031109	VWESX				0.21000		SELL ONLY
Vanguard	Vanguard Long-Term Tax-Exempt Fund	922907308	VWLTx				0.17000		SELL ONLY
Vanguard	Vanguard Long-Term Tax-Exempt Fund Admiral Shares	922907860	VWLUX				0.09000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Fund Admiral Shares	922031786	VUSUX				0.10000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Fund Investor Shares	922031505	VUSTX				0.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Long-Term Treasury Index Fund Admiral Shares	92206C821	VLGSX				0.07000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Index Fund Institutional Shares	92206C839	VLGIX				0.05000		SELL ONLY
Vanguard	Vanguard Market Neutral Fund Institutional Shares	92205G203	VMNIX				1.74000		SELL ONLY
Vanguard	Vanguard Market Neutral Fund Investor Shares	92205G104	VMNFX				1.80000		SELL ONLY
Vanguard	Vanguard Massachusetts Tax-Exempt Fund Investor Shares	92204X108	VMATX				0.13000		SELL ONLY
Vanguard	Vanguard Materials Index Fund Admiral Shares	92204A785	VMIAX				0.10000		SELL ONLY
Vanguard	Vanguard Mega Cap Growth Index Fund Institutional Shares	921910782	VMGAX				0.06000		SELL ONLY
Vanguard	Vanguard Mega Cap Index Fund Institutional Shares	921910857	VMCTX				0.06000		SELL ONLY
Vanguard	Vanguard Mega Cap Value Index Fund Institutional Shares	921910824	VMVLX				0.06000		SELL ONLY
Vanguard	Vanguard Mid-Cap Growth Fund Investor Shares	921946307	VMGRX				0.37000		SELL ONLY
Vanguard	Vanguard Mid-Cap Growth Index Fund Admiral Shares	921937728	VMGMX				0.07000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Admiral Shares	922908645	VIMAX				0.05000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Institutional Plus Shares	922908389	VMCPX				0.03000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Institutional Shares	922908835	VMCIX				0.04000		SELL ONLY
Vanguard	Vanguard Mid-Cap Value Index Fund Admiral Shares	921937694	VMVAX				0.07000		SELL ONLY
Vanguard	Vanguard Mortgage-Backed Securities Index Fund Admiral Shares	92206C755	VMBSX				0.07000		SELL ONLY
Vanguard	Vanguard Mortgage-Backed Securities Index Fund Institutional Shares	92206C763	VMBIX				0.05000		SELL ONLY
Vanguard	Vanguard Multi-Sector Income Bond Fund Admiral Shares	922020763	VMSAX				0.30000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Multi-Sector Income Bond Fund Investor Shares	922020771	VMSIX				0.40000		SELL ONLY
Vanguard	Vanguard New Jersey Long-Term Tax-Exempt Fund Admiral Shares	92204F305	VNJUX				0.09000		SELL ONLY
Vanguard	Vanguard New Jersey Long-Term Tax-Exempt Fund Investor Shares	92204F206	VNJTX				0.17000		SELL ONLY
Vanguard	Vanguard New York Long-Term Tax-Exempt Fund Admiral Shares	92204H301	VNYUX				0.09000		SELL ONLY
Vanguard	Vanguard New York Long-Term Tax-Exempt Fund Investor Shares	92204H103	VNYTX				0.17000		SELL ONLY
Vanguard	Vanguard Ohio Long-Term Tax-Exempt Fund	921929204	VOHIX				0.13000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Fund Admiral Shares	922042700	VPADX				0.10000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Fund Institutional Shares	922042403	VPKIX				0.08000		SELL ONLY
Vanguard	Vanguard Pennsylvania Long-Term Tax-Exempt Fund Admiral Shares	92204L302	VPALX				0.09000		SELL ONLY
Vanguard	Vanguard Pennsylvania Long-Term Tax-Exempt Fund Investor Shares	92204L104	VPAIX				0.17000		SELL ONLY
Vanguard	Vanguard PRIMECAP Core Fund Investor Shares	921921508	VPCCX				0.46000		SELL ONLY
Vanguard	Vanguard PRIMECAP Fund Admiral Shares	921936209	VPMAX				0.31000		SELL ONLY
Vanguard	Vanguard PRIMECAP Fund Investor Shares	921936100	VPMCX				0.38000		SELL ONLY
Vanguard	Vanguard Real Estate Index Fund Admiral Shares	921908877	VGSLX				0.13000		SELL ONLY
Vanguard	Vanguard Real Estate Index Fund Institutional Shares	921908869	VGSNX				0.11000		SELL ONLY
Vanguard	Vanguard Russell 1000 Growth Index Fund Institutional Shares	92206C672	VRGW X				0.07000		SELL ONLY
Vanguard	Vanguard Russell 1000 Index Fund Institutional Shares	92206C722	VRNIX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 1000 Value Index Fund Institutional Shares	92206C698	VRVIX				0.07000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Russell 2000 Growth Index Fund Institutional Shares	92206C615	VRTGX				0.08000		SELL ONLY
Vanguard	Vanguard Russell 2000 Index Fund Institutional Shares	92206C656	VRTIX				0.08000		SELL ONLY
Vanguard	Vanguard Russell 2000 Value Index Fund Institutional Shares	92206C631	VRTVX				0.08000		SELL ONLY
Vanguard	Vanguard Russell 3000 Index Fund Institutional Shares	92206C581	VRTTX				0.08000		SELL ONLY
Vanguard	Vanguard S&P 500 Growth Index Fund Institutional Shares	921932604	VSPGX				0.08000		SELL ONLY
Vanguard	Vanguard S&P 500 Value Index Fund Institutional Shares	921932802	VSPVX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Growth Index Fund Institutional Shares	921932851	VMFGX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Index Fund Institutional Shares	921932877	VSPMX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Value Index Fund Institutional Shares	921932836	VMFVX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Index Fund Institutional Shares	921932810	VSMSX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Value Index Fund Institutional Shares	921932760	VSMVX				0.08000		SELL ONLY
Vanguard	Vanguard Selected Value Fund Investor Shares	921946109	VASVX				0.43000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Admiral Shares	921937702	VBIRX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Institutional Plus Shares	921937769	VBIPX				0.04000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Institutional Shares	921937777	VBITX				0.05000		SELL ONLY
Vanguard	Vanguard Short-Term Corporate Bond Index Fund Admiral Shares	92206C607	VSCSX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Corporate Bond Index Fund Institutional Shares	92206C508	VSTBX				0.05000		SELL ONLY
Vanguard	Vanguard Short-Term Federal Fund Admiral Shares	922031844	VSGDX				0.10000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Short-Term Federal Fund Investor Shares	922031604	VSGBX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Inflation-Protected Securities Index Fund Admiral Shares	922020706	VTAPX				0.06000		SELL ONLY
Vanguard	Vanguard Short-Term Inflation-Protected Securities Index Fund Institutional Shares	922020607	VTSPX				0.04000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund Admiral Shares	922031836	VFSUX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund Institutional Shares	922031877	VFSIX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund Investor Shares	922031406	VFSTX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Fund Admiral Shares	922031851	VFIRX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Fund Investor Shares	922031703	VFISX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Index Fund Admiral Shares	92206C300	VSBSX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Index Fund Institutional Shares	92206C201	VSBIK				0.05000		SELL ONLY
Vanguard	Vanguard Small Cap Value Index Fund Admiral Shares	921937686	VSIAX				0.07000		SELL ONLY
Vanguard	Vanguard Small-Cap Growth Index Fund Admiral Shares	921937710	VSGAX				0.07000		SELL ONLY
Vanguard	Vanguard Small-Cap Growth Index Fund Institutional Shares	922908819	VSGIX				0.06000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Admiral Shares	922908686	VSMAX				0.05000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Institutional Plus Shares	922908397	VSCPX				0.03000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Institutional Shares	922908876	VSCIX				0.04000		SELL ONLY
Vanguard	Vanguard Small-Cap Value Index Fund Institutional Shares	922908785	VSIIX				0.06000		SELL ONLY
Vanguard	Vanguard Star Fund Investor Shares	921909107	VGSTX				0.31000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Strategic Equity Fund Investor Shares	922038104	VSEQX				0.17000		SELL ONLY
Vanguard	Vanguard Strategic Small-Cap Equity Fund Investor Shares	922038609	VSTCX				0.26000		SELL ONLY
Vanguard	Vanguard Target Retirement 2020 Fund	92202E805	VTW NX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2025 Fund	92202E409	VTTVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2030 Fund	92202E888	VTHR X				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2035 Fund	92202E508	VTTHX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2040 Fund	92202E870	VFOR X				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2045 Fund	92202E607	VTIVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2050 Fund	92202E862	VFIFX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2055 Fund	92202E847	VFFVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2060 Fund	92202E839	VTTSX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2065 Fund	92202E680	VLXVX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement 2070 Fund	92202E664	VSVNX				0.08000		SELL ONLY
Vanguard	Vanguard Target Retirement Income Fund	92202E102	VTINX				0.08000		SELL ONLY
Vanguard	Vanguard Tax-Exempt Bond Index Fund Admiral Shares	922907753	VTEAX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Balanced Fund Admiral Shares	921943304	VTMFX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Capital Appreciation Fund Admiral Shares	921943866	VTCLX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Capital Appreciation Fund Institutional Shares	921943601	VTCIX				0.06000		SELL ONLY
Vanguard	Vanguard Tax-Managed Small Cap Fund Admiral Shares	921943403	VTMSX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Small-Cap Fund Institutional Shares	921943502	VTSIX				0.06000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund Admiral Shares	921937603	VBTLX				0.05000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund Institutional Plus Shares	921937785	VBMPX				0.03000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund Institutional Shares	921937504	VBTIX				0.03500		SELL ONLY
Vanguard	Vanguard Total International Bond Index Fund Admiral? Shares	92203J308	VTABX				0.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Total International Bond Index Fund Institutional Shares	92203J209	VTIFX				0.07000		SELL ONLY
Vanguard	Vanguard Total International Stock Index Fund Admiral Shares	921909818	VTIAX				0.12000		SELL ONLY
Vanguard	Vanguard Total International Stock Index Fund Institutional Plus Shares	921909776	VTPSX				0.08000		SELL ONLY
Vanguard	Vanguard Total International Stock Index Fund Institutional Shares	921909784	VTSNX				0.09000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund Admiral Shares	922908728	VTSAX				0.04000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund Institutional Plus Shares	922908355	VSMPX				0.02000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund Institutional Shares	922908801	VITSX				0.03000		SELL ONLY
Vanguard	Vanguard Total World Stock Index Fund Admiral	922042619	VTWAX				0.10000		SELL ONLY
Vanguard	Vanguard Total World Stock Index Fund Institutional Shares	922042759	VTWIX				0.08000		SELL ONLY
Vanguard	Vanguard U.S. Growth Fund Admiral? Shares	921910600	VWUAX				0.20000		SELL ONLY
Vanguard	Vanguard U.S. Growth Fund Investor Shares	921910105	VWUSX				0.30000		SELL ONLY
Vanguard	Vanguard U.S. Multifactor Fund Admiral? Shares	921935888	VFMFX				0.18000		SELL ONLY
Vanguard	Vanguard Ultra Short-Term Tax-Exempt Fund	922907100	VWSTX				0.17000		SELL ONLY
Vanguard	Vanguard Ultra Short-Term Tax-Exempt Fund Class Admiral	922907803	VWSUX				0.09000		SELL ONLY
Vanguard	Vanguard Ultra-Short-Term Bond Fund Admiral Shares	922031711	VUSFX				0.10000		SELL ONLY
Vanguard	Vanguard Ultra-Short-Term Bond Fund Investor Shares	922031729	VUBFX				0.20000		SELL ONLY
Vanguard	Vanguard Utilities Index Fund Admiral Shares	92204A769	VUIAX				0.10000		SELL ONLY
Vanguard	Vanguard Value Index Fund Admiral Shares	922908678	VVIAX				0.05000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Vanguard	Vanguard Value Index Fund Institutional Shares	922908850	VVIX				0.04000		SELL ONLY
Vanguard	Vanguard Wellesley? Income Fund Admiral? Shares	921938205	VWIX				0.16000		SELL ONLY
Vanguard	Vanguard Wellesley? Income Fund Investor Shares	921938106	VWINX				0.23000		SELL ONLY
Vanguard	Vanguard Wellington? Fund Admiral? Shares	921935201	VWENX				0.18000		SELL ONLY
Vanguard	Vanguard Wellington? Fund Investor Shares	921935102	VWELX				0.26000		SELL ONLY
Vanguard	Vanguard Windsor Fund Investor Shares	922018106	VWNDX				0.42000		SELL ONLY
Vanguard	Vanguard Windsor? Fund Admiral? Shares	922018403	VWNEX				0.32000		SELL ONLY
Vanguard	Vanguard Windsor? II Fund Admiral? Shares	922018304	VWNAX				0.26000		SELL ONLY
Vanguard	Vanguard Windsor? II Fund Investor Share	922018205	VWNFX				0.34000		SELL ONLY
Victory Capital	New York Bond Fund Institutional Shares	903287753	UNYIX			.00	0.71000		SELL ONLY
Victory Capital	Victory 500 Index Fund Member Shares	903288884	USSPX			.00	0.24000		SELL ONLY
Victory Capital	Victory 500 Index Fund Reward Shares	903288769	USPRX			.00	0.19000		SELL ONLY
Victory Capital	Victory Aggressive Growth Fund	903288405	USAUX			.00	0.64000		SELL ONLY
Victory Capital	Victory Aggressive Growth Fund Institutional Shares	903288686	UIAGX			.00	0.91000		SELL ONLY
Victory Capital	Victory California Bond Fund	903289502	USCBX			.00	0.58000		SELL ONLY
Victory Capital	Victory California Bond Fund Class A	90291A718	UXABX	2.25		.25	0.86000		SELL ONLY
Victory Capital	Victory California Bond Fund Institutional Shares	903287761	UCBIX			.00	0.60000		SELL ONLY
Victory Capital	Victory Capital Growth Fund	903288785	USCGX			.00	1.13000		SELL ONLY
Victory Capital	Victory Capital Growth Fund Institutional Shares	903287811	UICGX			.00	1.80000		SELL ONLY
Victory Capital	Victory Core Plus Intermediate Bond Fund	903288835	USIBX			.00	0.66000		SELL ONLY
Victory Capital	Victory Core Plus Intermediate Bond Fund C Shares	903287639	UITCX		1.00	1.00	1.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Core Plus Intermediate Bond Fund Class A	90291A775	UITBX	2.25		.25	0.91000		BUY,SELL
Victory Capital	Victory Core Plus Intermediate Bond Fund Institutional Shares	903288595	UIITX			.00	0.59000		SELL ONLY
Victory Capital	Victory Core Plus Intermediate Bond Fund R6 Shares	90291A601	URIBX			.00	0.42000		SELL ONLY
Victory Capital	Victory Cornerstone Aggressive Fund	903288280	UCAGX			.00	1.31000		SELL ONLY
Victory Capital	Victory Cornerstone Conservative Fund	903288314	USCCX			.00	0.60000		SELL ONLY
Victory Capital	Victory Cornerstone Equity Fund	903288272	UCEQX			.00	0.62000		SELL ONLY
Victory Capital	Victory Cornerstone Moderate Fund	903287886	USBSX			.00	1.21000		SELL ONLY
Victory Capital	Victory Cornerstone Moderately Aggressive Fund	903287209	USCRX			.00	1.17000		SELL ONLY
Victory Capital	Victory Cornerstone Moderately Conservative Fund	903288298	UCMCX			.00	1.32000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class A	926464603	SRVEX	5.75		.25	1.07000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class C	926464157	VDSCX		1.00	1.00	2.85000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class I	92646A856	VDSIX				0.86000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class R	926464421	GRINX			.50	1.36000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class R6	92646A435	VDSRX				1.55000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class Y	92646A641	VDSYX				1.09000		SELL ONLY
Victory Capital	Victory Emerging Markets Fund	903287803	USEMX			.00	1.60000		SELL ONLY
Victory Capital	Victory Emerging Markets Fund Institutional Shares	903288629	UIEMX			.00	1.39000		SELL ONLY
Victory Capital	Victory Extended Market Index Fund	903288827	USMIX			.00	0.36000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class A	92647K572	RSFLX	2.25		.25	1.12000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class C	92647K564	RSFCX		1.00	1.00	1.88000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class Y	92647K549	RSFYX			.00	0.86000		SELL ONLY
Victory Capital	Victory Fund for Income Class A	926464751	IPFIX	2.25		.25	0.96000		BUY,SELL
Victory Capital	Victory Fund for Income Class C	926464173	VFFCX		1.00	1.00	1.94000		SELL ONLY
Victory Capital	Victory Fund for Income Class I	92646A658	VFFIX				0.70000		SELL ONLY
Victory Capital	Victory Fund for Income Class R	926464397	GGIFX			.25	1.00000		SELL ONLY
Victory Capital	Victory Fund for Income Class R6	92646A112	VFFRX				0.65000		SELL ONLY
Victory Capital	Victory Fund for Income Class Y	92646A625	VFFYX			.00	0.74000		SELL ONLY
Victory Capital	Victory Global Energy Transition Fund Class A	92647Q678	RSNRX	5.75		.25	1.55000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Global Energy Transition Fund Class C	92647Q660	RGNCX		1.00	1.00	2.77000		SELL ONLY
Victory Capital	Victory Global Energy Transition Fund Class Y	92647Q645	RSNYX			.00	1.21000		SELL ONLY
Victory Capital	Victory Global Equity Income Fund Fund Shares	903287845	UGEIX			.00	1.19000		SELL ONLY
Victory Capital	Victory Global Managed Volatility Fund Fund Shares	903288231	UGMVX			.00	1.24000		SELL ONLY
Victory Capital	Victory Global Managed Volatility Fund Institutional Shares	903288710	UGOFX			.00	0.77000		SELL ONLY
Victory Capital	Victory Government Securities Fund	903287506	USGNX			.00	0.53000		SELL ONLY
Victory Capital	Victory Government Securities Fund Institutional Shares	903287787	UIGSX			.00	0.42000		SELL ONLY
Victory Capital	Victory Government Securities Fund R6 Shares	90291A205	URGSX			.00	0.44000		SELL ONLY
Victory Capital	Victory Growth & Income Fund	903288801	USGRX			.00	0.85000		SELL ONLY
Victory Capital	Victory Growth & Income Fund Institutional Shares	903287795	UIGIX			.00	1.37000		SELL ONLY
Victory Capital	Victory Growth and Tax Strategy Fund	903287407	USBLX			.00	0.51000		SELL ONLY
Victory Capital	Victory Growth and Tax Strategy Fund Class A	903287670	UGTAX	2.25		.25	0.93000		SELL ONLY
Victory Capital	Victory Growth and Tax Strategy Fund Class C	903287621	UGTCX		1.00	1.00	1.76000		SELL ONLY
Victory Capital	Victory Growth and Tax Strategy Fund Institutional Shares	903287696	UGTIX			.00	0.54000		SELL ONLY
Victory Capital	Victory Growth Fund	903288108	USAAX			.00	0.89000		SELL ONLY
Victory Capital	Victory Growth Fund Institutional Shares	903288678	UIGRX			.00	0.89000		SELL ONLY
Victory Capital	Victory High Income Fund	903288843	USHYX			.00	0.81000		SELL ONLY
Victory Capital	Victory High Income Fund Institutional Shares	903288579	UIHIX			.00	0.73000		SELL ONLY
Victory Capital	Victory High Income Fund R6 Shares	90291A403	URHIX			.00	2.17000		SELL ONLY
Victory Capital	Victory High Income Municipal Bond Fund Class A	92647K531	RSHMX	2.25		.25	1.13000		SELL ONLY
Victory Capital	Victory High Income Municipal Bond Fund Class C	92647K523	RSHCX		1.00	1.00	3.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory High Income Municipal Bond Fund Class Y	92647K499	RHMYX			.00	0.89000		SELL ONLY
Victory Capital	Victory High Yield Fund Class A	92647K481	GUHYX	2.25		.25	1.09000		SELL ONLY
Victory Capital	Victory High Yield Fund Class C	92647K473	RHYCX		1.00	1.00	1.81000		SELL ONLY
Victory Capital	Victory High Yield Fund Class R	92647K465	RHYKX			.50	1.31000		SELL ONLY
Victory Capital	Victory High Yield Fund Class Y	92647K457	RSYYX			.00	0.89000		SELL ONLY
Victory Capital	Victory Income Fund	903288207	USAIX			.00	0.60000		SELL ONLY
Victory Capital	Victory Income Fund Class A	90291A791	UINCX	2.25		.25	0.88000		SELL ONLY
Victory Capital	Victory Income Fund Institutional Shares	903288611	UIINX			.00	0.55000		SELL ONLY
Victory Capital	Victory Income Fund R6 Shares	90291A106	URIFX			.00	0.57000		SELL ONLY
Victory Capital	Victory Income Stock Fund	903288603	USISX			.00	0.75000		SELL ONLY
Victory Capital	Victory Income Stock Fund Institutional Shares	903288660	UIISX			.00	0.75000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class A	92647K606	MMEAX	5.75		.25	1.59000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class C	92647K705	MMECX		1.00	1.00	3.41000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class Y	92647K887	MMEYX			.00	1.40000		SELL ONLY
Victory Capital	Victory Integrity Mid-Cap Value Fund Class A	92646A229	MAIMX	5.75		.25	1.35000		BUY,SELL
Victory Capital	Victory Integrity Mid-Cap Value Fund Class C	92647Q256	MCIMX		1.00	1.00	13.08000		SELL ONLY
Victory Capital	Victory Integrity Mid-Cap Value Fund Class R6	92647K598	MRIMX				0.87000		SELL ONLY
Victory Capital	Victory Integrity Mid-Cap Value Fund Class Y	92646A211	MYIMX				1.04000		SELL ONLY
Victory Capital	Victory Integrity Small/Mid-Cap Value Fund Class A	92646A195	MAISX	5.75		.25	1.51000		BUY,SELL
Victory Capital	Victory Integrity Small/Mid-Cap Value Fund Class R6	92647K739	MIRSX				0.93000		SELL ONLY
Victory Capital	Victory Integrity Small/Mid-Cap Value Fund Class Y	92646A187	MYISX				0.98000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Class A	92647K820	VSCVX	5.75		.25	1.43000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Integrity Small-Cap Value Fund Class C	92647K812	MCVSX		1.00	1.00	2.29000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Class R	92647K796	MRVSX			.50	1.99000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Class R6	92647K788	MVSSX				0.96000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Class Y	92647K770	VSVIX				1.06000		SELL ONLY
Victory Capital	Victory International Fund	903287308	USIFX			.00	1.09000		SELL ONLY
Victory Capital	Victory International Fund Institutional Shares	903288637	UIIFX			.00	1.02000		SELL ONLY
Victory Capital	Victory International Fund R6 Shares	903288181	URITX			.00	2.40000		SELL ONLY
Victory Capital	Victory Investment Grade Convertible Fund Class A	926464538	SBFCX	2.25		.00	1.20000		SELL ONLY
Victory Capital	Victory Investment Grade Convertible Fund Class I	92646A781	VICIX			.00	0.92000		SELL ONLY
Victory Capital	Victory Low Duration Bond Fund Class A	92647K390	RLDAX	5.75		.25	0.96000		SELL ONLY
Victory Capital	Victory Low Duration Bond Fund Class C	92647K382	RLDCX		1.00	1.00	2.04000		SELL ONLY
Victory Capital	Victory Low Duration Bond Fund Class Y	92647K366	RSDYX			.00	0.68000		SELL ONLY
Victory Capital	Victory Market Neutral Income Fund Class A	92647P639	CBHAX	5.75		.25	0.80000		SELL ONLY
Victory Capital	Victory Market Neutral Income Fund Class C	92647P647	CBHCX		1.00	1.00	1.62000		SELL ONLY
Victory Capital	Victory Market Neutral Income Fund Class I	92647P654	CBHIX			.00	0.59000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fund Class A	92647K879	MGOAX	5.75		.25	1.28000		BUY,SELL
Victory Capital	Victory Munder Mid-Cap Core Growth Fund Class C	92647K861	MGOTX		1.00	1.00	2.28000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fund Class R6	92647K846	MGOSX				0.88000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fund Class Y	92647K838	MGOYX				0.97000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Munder Multi-Cap Fund Class A	92646A294	MNNAX	5.75		.25	1.29000		SELL ONLY
Victory Capital	Victory Munder Multi-Cap Fund Class C	92646A286	MNNCX		1.00	1.00	2.38000		SELL ONLY
Victory Capital	Victory Munder Multi-Cap Fund Class Y	92646A260	MNNYX				0.96000		SELL ONLY
Victory Capital	Victory Nasdaq 100 Index Fund C Shares	903287613	UCNQX		1.00	1.00	1.61000		SELL ONLY
Victory Capital	Victory Nasdaq 100 Index Fund Class A	903287662	UANQX	2.25		.25	0.78000		SELL ONLY
Victory Capital	Victory Nasdaq 100 Index Fund Institutional Shares	903287688	UINQX			.00	0.42000		SELL ONLY
Victory Capital	Victory Nasdaq 100 Index Fund R6 Shares	90291A700	URNQX			.00	0.29000		SELL ONLY
Victory Capital	Victory NASDAQ-100 Index Fund	903288819	USNQX			.00	0.42000		SELL ONLY
Victory Capital	Victory New York Bond Fund	903289700	USNYX			.00	0.70000		SELL ONLY
Victory Capital	Victory New York Bond Fund Class A	90291A692	UNYBX	2.25		.25	0.90000		SELL ONLY
Victory Capital	Victory Precious Metals and Minerals Fund	903287100	USAGX			.00	1.18000		SELL ONLY
Victory Capital	Victory Precious Metals and Minerals Fund Class A	90291A882	UPMMX	5.75		.25	1.41000		SELL ONLY
Victory Capital	Victory Precious Metals and Minerals Fund Institutional Shares	903288561	UIPMX			.00	1.09000		SELL ONLY
Victory Capital	Victory RS Global Fund Class A	92647Q769	RSGGX	5.75		.25	1.17000		SELL ONLY
Victory Capital	Victory RS Global Fund Class C	92647Q751	RGGCX		1.00	1.00	2.09000		SELL ONLY
Victory Capital	Victory RS Global Fund Class R	92647Q744	RGGKX			.50	1.56000		SELL ONLY
Victory Capital	Victory RS Global Fund Class R6	92647Q272	RGGRX			.00	0.72000		SELL ONLY
Victory Capital	Victory RS Global Fund Class Y	92647Q736	RGGYX			.00	0.84000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class A	92647K275	RSGRX	5.75		.25	1.18000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class C	92647K267	RGWCX		1.00	1.00	3.33000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class Y	92647K242	RGRYX				0.97000		SELL ONLY
Victory Capital	Victory RS International Fund Class A	92647Q728	GUBGX	5.75		.25	1.41000		SELL ONLY
Victory Capital	Victory RS International Fund Class C	92647Q710	RIGCX		1.00	1.00	2.51000		SELL ONLY
Victory Capital	Victory RS International Fund Class R	92647Q694	RIGKX			.50	2.39000		SELL ONLY
Victory Capital	Victory RS International Fund Class R6	92647Q264	RSIRX			.00	0.92000		SELL ONLY
Victory Capital	Victory RS International Fund Class Y	92647Q686	RSIGX			.00	1.05000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory RS Investors Fund Class A	92647Q637	RSINX	5.75		.25	1.63000		SELL ONLY
Victory Capital	Victory RS Investors Fund Class C	92647Q629	RIVCX		1.00	1.00	3.41000		SELL ONLY
Victory Capital	Victory RS Investors Fund Class Y	92647Q595	RSIYX			.00	1.38000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class A	92647Q587	GPAFX	5.75		.25	0.93000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class C	92647Q579	RCOCX		1.00	1.00	2.15000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class Y	92647Q553	RCEYX			.00	0.72000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class A	92647K234	RSMOX	5.75		.25	1.44000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class C	92647K226	RMOCX		1.00	1.00	2.57000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class R6	92647Q389	RMORX			.00	1.77000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class Y	92647K192	RMOYX			.00	1.12000		SELL ONLY
Victory Capital	Victory RS Partners Fund Class A	92647Q546	RSPFX	5.75		.25	1.39000		BUY,SELL
Victory Capital	Victory RS Partners Fund Class R	92647Q538	RSPKX			.50	2.29000		SELL ONLY
Victory Capital	Victory Rs Partners Fund Class R6	92647Y309	RPPRX			.00	2.10000		SELL ONLY
Victory Capital	Victory RS Partners Fund Class Y	92647Q520	RSPYX			.00	1.13000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund Class A	92647Q504	RSIFX	5.75		.25	1.49000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund Class C	92647Q603	RINCX		1.00	1.00	2.51000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund Class Y	92647Q801	RIFYX			.00	1.29000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class A	92647K184	RSDGX	5.75		.25	1.54000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class C	92647K176	RSGFX		1.00	1.00	2.78000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class R6	92647Q397	RSSRX			.00	3.62000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class Y	92647K150	RSSYX			.00	1.28000		SELL ONLY
Victory Capital	Victory RS Small Cap Equity Fund Class A	92647K143	GPSCX	5.75		.25	1.37000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory RS Small Cap Equity Fund Class C	92647K135	RSCCX		1.00	1.00	12.00000		SELL ONLY
Victory Capital	Victory RS Small Cap Equity Fund Class Y	92647K119	RSCYX			.00	1.55000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class A	92647Q108	RSEGX	5.75		.25	1.44000		BUY,SELL
Victory Capital	Victory RS Small Cap Growth Fund Class C	92647Q207	REGWX		1.00	1.00	2.45000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class R6	92647Q363	RSEJX			.00	1.09000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class Y	92647Q405	RSYEX			.00	1.16000		SELL ONLY
Victory Capital	Victory RS Value Fund Class A	92647Q512	RSVAX	5.75		.25	1.34000		SELL ONLY
Victory Capital	Victory RS Value Fund Class C	92647Q496	RVACX		1.00	1.00	2.94000		SELL ONLY
Victory Capital	Victory RS Value Fund Class Y	92647Q470	RSVYX			.00	1.07000		SELL ONLY
Victory Capital	Victory S&P 500 Index Fund Class A	92646A252	MUXAX	2.25		.15	0.54000		SELL ONLY
Victory Capital	Victory S&P 500 Index Fund Class R	92646A245	MUXRX			.50	0.92000		SELL ONLY
Victory Capital	Victory S&P 500 Index Fund Class Y	92646A237	MUXYX				0.46000		SELL ONLY
Victory Capital	Victory Science & Technology Fund	903288876	USSCX			.00	0.97000		SELL ONLY
Victory Capital	Victory Science & Technology Fund Class A	90291A874	USTCX	5.75		.25	1.26000		SELL ONLY
Victory Capital	Victory Short Term Bond Fund R6 Shares	90291A304	URSBX			.00	0.33000		SELL ONLY
Victory Capital	Victory Short-Term Bond Fund	903288702	USSBX			.00	0.59000		SELL ONLY
Victory Capital	Victory Short-Term Bond Fund Class A	90291A767	UASBX	2.25		.25	0.87000		BUY,SELL
Victory Capital	Victory Short-Term Bond Fund Institutional Shares	903288587	UISBX			.00	0.49000		SELL ONLY
Victory Capital	Victory Small Cap Stock Fund	903288850	USCAX			.00	1.02000		SELL ONLY
Victory Capital	Victory Small Cap Stock Fund Institutional Shares	903288645	UISCX			.00	0.95000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund Class A	92647Q843	GBEMX	5.75		.25	1.67000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund Class C	92647Q835	REMGX		1.00	1.00	4.08000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund Class R	92647Q827	REMKX			.50	1.99000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Sophus Emerging Markets Fund Class R6	92647Q371	RSERX				1.21000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund Class Y	92647Q819	RSENX				1.35000		SELL ONLY
Victory Capital	Victory Special Value Fund Class A	926464843	SSVSX	5.75		.25	1.38000		SELL ONLY
Victory Capital	Victory Special Value Fund Class C	926464116	VSVCX		1.00	1.00	3.44000		SELL ONLY
Victory Capital	Victory Special Value Fund Class I	92646A823	VSPIX			.00	1.48000		SELL ONLY
Victory Capital	Victory Special Value Fund Class R	926464264	VSVGX			.50	1.70000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class A	926464876	SBALX	2.25		.25	1.56000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class C	92646A104	VBFCX		1.00	1.00	2.75000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class I	92646A799	VBFIX				1.35000		SELL ONLY
Victory Capital	Victory Sustainable World Fund Class A	90291A841	USWG X	5.75		.25	1.90000		SELL ONLY
Victory Capital	Victory Sustainable World Fund Institutional Shares	903287779	UIWGX			.00	1.66000		SELL ONLY
Victory Capital	Victory Sustainable World Fund Shares	903287704	USAWX			.00	1.08000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund Class A	926464231	VETAX	5.75		.25	0.90000		BUY,SELL
Victory Capital	Victory Sycamore Established Value Fund Class C	92646A401	VEVCX		1.00	1.00	1.66000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund Class I	92646A831	VEVIX				0.58000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund Class R	926464371	GETGX			.50	1.10000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund Class R6	92646A427	VEVRX				0.54000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund Class Y	92646A633	VEVYX				0.66000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportunity Fund Class A	926464835	SSGSX	5.75		.25	1.25000		BUY,SELL
Victory Capital	Victory Sycamore Small Company Opportunity Fund Class I	92646A815	VSOIX				0.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Sycamore Small Company Opportunity Fund Class R	926464389	GOGFX			.50	1.43000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportunity Fund Class Y	92646A559	VSOYX				0.98000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportunity Fund R6	92647K630	VSORX				0.85000		SELL ONLY
Victory Capital	Victory Target Retirement 2030 Fund	903288744	URTRX			.00	0.55000		SELL ONLY
Victory Capital	Victory Target Retirement 2040 Fund	903288736	URFRX			.00	0.56000		SELL ONLY
Victory Capital	Victory Target Retirement 2050 Fund	903288728	URFFX			.00	0.57000		SELL ONLY
Victory Capital	Victory Target Retirement 2060 Fund	903288215	URSIX			.00	0.69000		SELL ONLY
Victory Capital	Victory Target Retirement Income Fund	903288694	URINX			.00	0.55000		SELL ONLY
Victory Capital	Victory Tax Exempt Intermediate-Term Fund	903289205	USATX			.00	0.50000		SELL ONLY
Victory Capital	Victory Tax Exempt Intermediate-Term Fund Institutional Shares	903287720	UITIX			.00	0.53000		SELL ONLY
Victory Capital	Victory Tax Exempt Long-Term Fund	903289106	USTEX			.00	0.44000		SELL ONLY
Victory Capital	Victory Tax Exempt Long-Term Fund Class A	90291A742	UTELX	2.25		.25	1.13000		SELL ONLY
Victory Capital	Victory Tax Exempt Long-Term Fund Institutional Shares	903287738	ULTIX			.00	0.53000		SELL ONLY
Victory Capital	Victory Tax Exempt Short-Term Fund	903289304	USSTX			.00	0.50000		SELL ONLY
Victory Capital	Victory Tax Exempt Short-Term Fund Institutional Shares	903287712	USTIX			.00	0.57000		SELL ONLY
Victory Capital	Victory Tax-Exempt Fund Class A	92647K317	GUTEX	2.25		.25	1.12000		SELL ONLY
Victory Capital	Victory Tax-Exempt Fund Class C	92647K291	RETCX		1.00	1.00	3.23000		SELL ONLY
Victory Capital	Victory Tax-Exempt Fund Class Y	92647K283	RSTYX			.00	0.98000		SELL ONLY
Victory Capital	Victory THB US Small Opportunities Fund Class A	92647Y101	THBVX	5.75		.25	3.02000		SELL ONLY
Victory Capital	Victory THB US Small Opportunities Fund Class I	92647Y200	THBIX				1.41000		SELL ONLY
Victory Capital	Victory Total Return Bond Fund Class A	92646A351	MUCAX	2.25		.25	1.27000		SELL ONLY
Victory Capital	Victory Total Return Bond Fund Class C	92646A344	MUCCX		1.00	1.00	9.74000		SELL ONLY
Victory Capital	Victory Total Return Bond Fund Class R6	92646A138	MUCRX				0.64000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Total Return Bond Fund Class Y	92646A336	MUCYX				0.67000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core Equity Class A	92646A179	MAICX	5.75		.25	1.79000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core Equity Class I	92646A153	MICIX				1.37000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core Equity Class R6	92646A120	MAIRX				0.98000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core Equity Class Y	92646A146	MICYX				1.16000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap Fund Class A	92647K101	MISAX	5.75		.25	1.65000		BUY,SELL
Victory Capital	Victory Trivalent International Small-Cap Fund Class C	92647K200	MCISX		1.00	1.00	2.57000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap Fund Class I	92647K309	MISIX				1.21000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap Fund Class R6	92647K408	MSSIX				1.03000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap Fund Class Y	92647K507	MYSIX			.00	1.15000		SELL ONLY
Victory Capital	Victory Ultra Short-Term Bond Fund Class A	903287654	USBAX			.25	8.30000		SELL ONLY
Victory Capital	Victory Ultra Short-Term Bond Fund Institutional Shares	903288199	UUSIX			.00	0.58000		SELL ONLY
Victory Capital	Victory Ultra Short-Term Bond Fund R6 Shares	90291A809	URUSX			.00	0.55000		SELL ONLY
Victory Capital	Victory Ultra Short-Term Bond Fund Shares	903288348	UUSTX			.00	0.62000		SELL ONLY
Victory Capital	Victory US 500 Enhanced Volatility Wtd Index Fund Class A	92647P266	CUHAX	5.75		.25	1.36000		SELL ONLY
Victory Capital	Victory US 500 Enhanced Volatility Wtd Index Fund Class C	92647P241	CUHCX		1.00	1.00	2.17000		SELL ONLY
Victory Capital	Victory US 500 Enhanced Volatility Wtd Index Fund Class I	92647P258	CUHIX			.00	1.20000		SELL ONLY
Victory Capital	Victory Value Fund	903288777	UVALX			.00	0.94000		SELL ONLY
Victory Capital	Victory Value Fund Institutional Shares	903288652	UIVAX			.00	0.90000		SELL ONLY
Victory Capital	Victory Virginia Bond Fund	903289874	USVAX			.00	0.59000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Victory Capital	Victory Virginia Bond Fund Class A	90291A759	UVABX	2.25		.25	0.87000		SELL ONLY
Victory Capital	Victory Virginia Bond Fund Institutional Shares	903287746	UVAIX			.00	0.59000		SELL ONLY
Virtus	The Merger Fund? Class A	589509108	MERFX	5.50		.25	1.67000		SELL ONLY
Virtus	The Merger Fund? Class I	589509207	MERIX				1.37000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class A	92835M489	GAFAX	5.75		.25	1.64000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class C	92835M471	GAFCX		1.00	1.00	2.39000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class I	92835M463	GAFYX			.00	1.39000		SELL ONLY
Virtus	Virtus AlphaSimplex Global Alternatives Fund Class R6	92835M455	GAFNX			.00	1.32000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class A	92835M448	AMFAX	5.75		.25	1.70000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class C	92835M430	ASFCX		1.00	1.00	2.45000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class I	92835M422	ASFYX			.00	1.45000		SELL ONLY
Virtus	Virtus AlphaSimplex Managed Futures Strategy Fund Class R6	92835M414	AMFNX			.00	1.33000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class A	92837F524	SVIIX	5.50		.25	1.28000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class C	92837F516	SVIFX		1.00	1.00	2.03000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class I	92837F490	STVTX				1.12000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fund Class R6	92837F482	STVZX				0.88000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class A	92837F474	SAMVX	5.50		.25	1.30000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class C	92837F466	SMVFX		1.00	1.00	1.91000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class I	92837F458	SMVTX				1.02000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund Class R6	92837F441	SMVZX				0.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class A	92837F433	SASVX	5.50		.25	1.46000		BUY,SELL
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class C	92837F425	STCEX		1.00	1.00	2.15000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class I	92837F417	SCETX				1.22000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fund Class R6	92837X665	VVERX				1.06000		SELL ONLY
Virtus	Virtus Convertible Fund Class A	92838V791	ANZAX	5.50		.25	1.10000		SELL ONLY
Virtus	Virtus Convertible Fund Class C	92838V817	ANZCX		1.00	1.00	1.83000		SELL ONLY
Virtus	Virtus Convertible Fund Class R6	92838V353	VAADX				0.75000		SELL ONLY
Virtus	Virtus Convertible Fund Institutional Class	92838V833	ANNPX				0.86000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class A	92828R826	PGUAX	5.50		.25	1.30000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class C	92828R818	PGUCX		1.00	1.00	2.08000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class I	92828R792	PGIUX				1.05000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure Fund Class R6	92828W221	VGIRX				0.94000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class A	92828R412	VGSAX	5.50		.25	3.15000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class C	92828R396	VGSCX		1.00	1.00	2.18000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class I	92828R388	VGISX				1.17000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Securities Fund Class R6	92828W239	VRGEX				1.05000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class A	92828R875	PDPAX	5.50		.25	1.73000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class C	92828R867	PDPCX		1.00	1.00	2.53000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class I	92828R313	VADIX				1.49000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Class R6	92828Y623	VAABX				1.36000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class A	92828R586	PHRAX	5.50		.25	1.36000		BUY,SELL
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class C	92828R560	PHRCX		1.00	1.00	2.08000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class I	92828R552	PHRIX				1.12000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities Fund Class R6	92828W528	VRREX				0.95000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Energy Fund Class A	92835M729	VLPAX	5.50		.25	1.69000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Energy Fund Class C	92835M711	VLPCX		1.00	1.00	2.46000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Energy Fund Class I	92835M695	VLPIX				1.44000		SELL ONLY
Virtus	Virtus Duff & Phelps Water Fund Class A	92838V494	AWTAX	5.50		.25	1.48000		SELL ONLY
Virtus	Virtus Duff & Phelps Water Fund Class C	92838V486	AWTCX		1.00	1.00	2.23000		SELL ONLY
Virtus	Virtus Duff & Phelps Water Fund Institutional Class	92838V460	AWTIX				1.24000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund Class A	92837N808	AOTAX	5.50		.25	1.52000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund Class C	92837N881	AOTCX		1.00	1.00	2.31000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund Institutional Class	92837N840	AOTIX				1.26000		SELL ONLY
Virtus	Virtus Emerging Markets Opportunities Fund R6	92837N865	AEMOX				1.14000		SELL ONLY
Virtus	Virtus Global Allocation Fund Class A	92838V767	PALAX	5.50		.25	1.50000		SELL ONLY
Virtus	Virtus Global Allocation Fund Class C	92838V775	PALCX		1.00	1.00	2.24000		SELL ONLY
Virtus	Virtus Global Allocation Fund Class R6	92838V551	AGASX				1.16000		SELL ONLY
Virtus	Virtus Global Allocation Fund Institutional Class	92838V759	PALLX				1.24000		SELL ONLY
Virtus	Virtus Income & Growth Fund Class A	92837N584	AZNAX	5.50		.25	1.14000		BUY,SELL
Virtus	Virtus Income & Growth Fund Class C	92837N576	AZNCX		1.00	1.00	1.89000		SELL ONLY
Virtus	Virtus Income & Growth Fund Institutional Class	92837N535	AZNIX				0.90000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus International Small-Cap Fund Class A	92838V601	AOPAX	5.50		.25	1.84000		SELL ONLY
Virtus	Virtus International Small-Cap Fund Class C	92838V502	AOPCX		1.00	1.00	2.63000		SELL ONLY
Virtus	Virtus International Small-Cap Fund Class R6	92838V577	AISX				1.46000		SELL ONLY
Virtus	Virtus International Small-Cap Fund Institutional Class	92838V205	ALOIX				1.56000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class A	92828N510	PSTAX	5.50		.25	1.22000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class C	92828N486	SSTFX		1.00	1.00	2.05000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class I	92828N478	PLXGX				0.99000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class R6	92828N270	VCGRX				0.91000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class A	92828Y664	VDMAX	5.50	1.00	.25	4.23000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class C	92828Y656	VDMCX		1.00	1.00	4.93000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class I	92828Y649	VIDMX				3.99000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Class R6	92828Y631	VDMRX				3.91000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class A	92828W627	VAESX	5.50		.25	1.79000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class C	92828W619	VCESX		1.00	1.00	2.51000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class I	92828W593	VIESX				1.52000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap Fund Class R6	92828W189	VRESX				1.43000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class A	92828N874	PDIAX	5.50		.25	1.34000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class C	92828N858	PGICX		1.00	1.00	2.13000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus KAR Equity Income Fund Class I	92828N841	PXII				1.09000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class R6	92828N239	VECRX				1.01000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund Class A	92828N460	PPTAX	5.50		.25	1.55000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund Class C	92828N452	PPTCX		1.00	1.00	2.38000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund Class I	92828N445	PIPTX				1.31000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund Class R6	92836N874	VGQRX				1.19000		SELL ONLY
Virtus	Virtus KAR Global Small-Cap Fund Class A	92837N741	RGSAX	5.50		.25	1.66000		SELL ONLY
Virtus	Virtus KAR Global Small-Cap Fund Class C	92837N733	RGSCX		1.00	1.00	2.43000		SELL ONLY
Virtus	Virtus KAR Global Small-Cap Fund Institutional Class	92837N683	DGSCX				1.40000		SELL ONLY
Virtus	Virtus KAR Health Sciences Fund Class A	92837N667	RAGHX	5.50		.25	1.39000		SELL ONLY
Virtus	Virtus KAR Health Sciences Fund Class C	92837N659	RCGHX		1.00	1.00	2.14000		SELL ONLY
Virtus	Virtus KAR Health Sciences Fund Institutional Class	92837N618	HLHIX				1.14000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class A	92828W841	VISAX	5.50		.25	1.45000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class C	92828W833	VCISX		1.00	1.00	2.21000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class I	92828W825	VIISX				1.21000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fund Class R6	92828W551	VRISX				1.10000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class A	92835M539	VLSAX	5.50		.25	2.39000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class C	92835M521	VLSCX		1.00	1.00	2.98000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus KAR Long/Short Equity Fund Class I	92835M513	VLSIX				2.02000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class R6	92835M497	VLSRX				1.88000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class A	92828N114	VMACX	5.50		.25	1.31000		BUY,SELL
Virtus	Virtus KAR Mid-Cap Core Fund Class C	92828N122	VMCCX		1.00	1.00	2.06000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class I	92828N130	VIMCX				1.06000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class R6	92828N262	VRMCX				0.96000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class A	92828N767	PHSKX	5.50		.25	1.25000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class C	92828N742	PSKCX		1.00	1.00	2.03000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class I	92828N734	PICMX				1.03000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class R6	92828N254	VRMGX				0.91000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class A	92828N551	PKSAX	5.50		.25	1.27000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class C	92828N536	PKSCX		1.00	1.00	1.99000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class I	92828N528	PKSFX				1.00000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class R6	92828N429	VSCRX				0.92000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class A	92828N627	PSGAX	5.50		.25	1.36000		BUY,SELL
Virtus	Virtus KAR Small-Cap Growth Fund Class C	92828N619	PSGCX		1.00	1.00	2.08000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class I	92828N593	PXSGX				1.09000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class R6	92828N247	VRSGX				0.99000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class A	92828N684	PQSAX	5.50		.25	1.22000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus KAR Small-Cap Value Fund Class C	92828N676	PQSCX		1.00	1.00	1.98000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class I	92828N668	PXQSX				0.99000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class R6	92828N411	VQSRX				0.89000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class A	92828N221	VKSAX	5.50		.25	1.26000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class C	92828N213	VKSCX		1.00	1.00	2.04000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class I	92828N197	VKSIX				1.02000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class R6	92828N189	VKSRX				0.92000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class A	92836N817	VAKSX	5.50		.25	2.24000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class C	92836N791	VCKSX		1.00	1.00	2.92000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class I	92836N783	VIKSX				1.98000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class R6	92836N775	VRKSX				1.85000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class A	92836N767	VKSDX	5.50		.25	2.19000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class C	92836N759	VKSEX		1.00	1.00	2.96000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class I	92836N742	VKSFX				2.06000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class R6	92836N734	VKSGX				1.92000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class A	92828R107	SAVAX	3.75		.25	1.10000		BUY,SELL
Virtus	Virtus Newfleet Core Plus Bond Fund Class C	92828R305	SAVCX		1.00	1.00	1.87000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class I	92828R404	SAVYX				0.84000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Newfleet Core Plus Bond Fund Class R6	92828W270	VBFRX				0.74000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class A	92828R784	PHCHX	3.75		.25	1.32000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class C	92828R768	PGHCX		1.00	1.00	2.09000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class I	92828W767	PHCIX				1.11000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class R6	92828W262	VRHYX				0.97000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class A	92828W346	HIMZX	2.25		.25	0.94000		BUY,SELL
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class C	92828W338	PCMZX		1.00	1.00	1.67000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class I	92828W320	HIBIX				0.69000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bond Fund Class R6	92828W197	VLDRX				0.61000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class A	92828R677	NAMFX	3.75		.25	1.11000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class C	92828R651	NCMFX		1.00	1.00	1.85000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class I	92828R289	VMFIX				0.83000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate Bond Fund Class R6	92828W544	VMFRX				0.78000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class A	92828R644	NARAX	2.25		.25	0.98000		BUY,SELL
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class C	92828R628	PSTCX			.50	1.24000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class C1	92828R594	PMSTX		1.00	1.00	1.72000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class I	92828R610	PIMSX				0.73000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bond Fund Class R6	92828W247	VMSSX				0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Newfleet Senior Floating Rate Fund Class A	92828R545	PSFRX	2.75		.25	1.12000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class C	92828R537	PFSRX		1.00	1.00	1.89000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class I	92828R529	PSFIX				0.87000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund Class R6	92828W254	VRSEFX				0.80000		SELL ONLY
Virtus	Virtus Newfleet Short Duration High Income Fund Class A	92838V544	ASHAX	2.25		.25	1.00000		BUY,SELL
Virtus	Virtus Newfleet Short Duration High Income Fund Class C	92838V536	ASHCX		1.00	.50	1.27000		SELL ONLY
Virtus	Virtus Newfleet Short Duration High Income Fund Class R6	92838V643	ASHSX				0.69000		SELL ONLY
Virtus	Virtus Newfleet Short Duration High Income Fund Institutional Class	92838V510	ASHIX				0.78000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Class A	92837N105	PNEAX	5.50		.25	1.04000		BUY,SELL
Virtus	Virtus NFJ Dividend Value Fund Class C	92837N204	PNECX		1.00	1.00	1.75000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Class R6	92837N402	ANDVX				0.67000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Institutional Class	92837N600	NFJEX				0.74000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund Class A	92838V395	AZMAX	5.50		.25	1.70000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund Class C	92838V387	AZMCX		1.00	1.00	2.44000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund Institutional Class	92838V361	AZMIX				1.40000		SELL ONLY
Virtus	Virtus NFJ Global Sustainability Fund Class A	92838V650	ASUAX	5.50		.25	1.45000		SELL ONLY
Virtus	Virtus NFJ Global Sustainability Fund Institutional Class	92838V627	ASTNX				1.11000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class A	92837N519	AFJAX	5.50		.25	1.38000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus NFJ International Value Fund Class C	92837N493	AFJCX		1.00	1.00	2.10000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class R6	92837N477	ANAVX				0.98000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Institutional Class	92837N451	ANJIX				1.02000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Class A	92837N436	PNBAX	5.50		.25	1.01000		BUY,SELL
Virtus	Virtus NFJ Large-Cap Value Fund Class C	92837N428	PNBCX		1.00	1.00	1.79000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Class R6	92837Q777	VAAGX				0.57000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Institutional Class	92837N378	ANVIX				0.77000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class A	92837N279	PQNAX	5.50		.25	1.12000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class C	92837N261	PQNCX		1.00	1.00	1.83000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class R6	92837N246	ANPRX				0.74000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Institutional Class	92837N220	PRNIX				0.82000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class A	92837Q108	PCVAX	5.50		.25	1.23000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class C	92837Q207	PCVCX		1.00	1.00	1.97000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class R6	92837Q405	ANFVX				0.83000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Institutional Class	92837Q603	PSVIX				0.92000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class A	92837F102	STGIX	3.75		.25	1.02000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class I	92837F300	STIGX				0.71000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class R6	92837F409	STGZX				0.60000		SELL ONLY
Virtus	Virtus Seix Corporate Bond Fund Class A	92837F508	SAINX	3.75		.25	1.14000		SELL ONLY
Virtus	Virtus Seix Corporate Bond Fund Class C	92837F607	STIFX		1.00	1.00	1.90000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Seix Corporate Bond Fund Class I	92837F706	STICX				0.91000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class A	92837F789	SFRAX	2.75		.25	0.99000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class C	92837F771	SFRCX		1.00	1.00	1.75000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class I	92837F763	SAMBX				0.78000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fund Class R6	92837F755	SFRZX				0.65000		SELL ONLY
Virtus	Virtus Seix High Grade Municipal Bond Fund Class A	92837F631	SFLTIX	2.75		.15	1.05000		SELL ONLY
Virtus	Virtus Seix High Grade Municipal Bond Fund Class I	92837F623	SCFTX				0.96000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class A	92837F698	HYPSTX	3.75		.25	1.11000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class I	92837F672	SAMHX				0.78000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class R6	92837F664	HYIZX				0.67000		SELL ONLY
Virtus	Virtus Seix Investment Grade Tax-Exempt Bond Fund Class A	92837F615	SISIX	2.75		.25	1.04000		SELL ONLY
Virtus	Virtus Seix Investment Grade Tax-Exempt Bond Fund Class I	92837F599	STTBX				0.84000		SELL ONLY
Virtus	Virtus Seix Tax-Exempt Bond Fund Class A	92828W312	HXBZX	2.75		.25	1.08000		SELL ONLY
Virtus	Virtus Seix Tax-Exempt Bond Fund Class C	92828W296	PXCZX		1.00	1.00	1.81000		SELL ONLY
Virtus	Virtus Seix Tax-Exempt Bond Fund Class I	92828W288	HXBIX				0.83000		SELL ONLY
Virtus	Virtus Seix Total Return Bond Fund Class A	92837F805	CBPSX	3.75		.25	0.95000		BUY,SELL
Virtus	Virtus Seix Total Return Bond Fund Class I	92837F870	SAMFX				0.61000		SELL ONLY
Virtus	Virtus Seix Total Return Bond Fund Class R6	92837F862	SAMZX				0.49000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class A	92837X681	SSAGX			.25	0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class I	92837F821	SIGVX				0.52000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ultra-Short Bond Fund Class R6	92837F813	SIGZX				0.41000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Equity Fund Class A	92828W387	HEMZX	5.50		.25	1.60000		BUY,SELL
Virtus	Virtus SGA Emerging Markets Equity Fund Class C	92828W379	PICEX		1.00	1.00	2.29000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Equity Fund Class I	92828W361	HIEMX				1.25000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Equity Fund Class R6	92828W353	VREMX				1.16000		SELL ONLY
Virtus	Virtus SGA Global Growth A Class	92836N106	SGAAX	5.50		.25	1.45000		SELL ONLY
Virtus	Virtus SGA Global Growth C Class	92836N205	SGACX		1.00	1.00	2.17000		SELL ONLY
Virtus	Virtus SGA Global Growth I Class	92836N304	SGAPX				1.18000		SELL ONLY
Virtus	Virtus SGA Global Growth R6 Class	92836N403	SGARX				1.07000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class A	92837F284	SCIIX	5.50		.25	1.57000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class C	92837X632	VSGEX		1.00	1.00	2.32000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class I	92837F276	STITX				1.32000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Class R6	92837F268	SCIZX				1.18000		SELL ONLY
Virtus	Virtus Silvant Focused Growth Fund Class A	92837N824	PGWA X	5.50		.25	0.96000		BUY,SELL
Virtus	Virtus Silvant Focused Growth Fund Class C	92837N816	PGWC X		1.00	1.00	1.72000		SELL ONLY
Virtus	Virtus Silvant Focused Growth Fund Institutional Class	92837N766	PGFIX				0.70000		SELL ONLY
Virtus	Virtus Silvant Focused Growth Fund R6	92837N782	AFGFX				0.63000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fund Class A	92837F391	STCIX	5.50		.25	1.26000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Silvant Large-Cap Growth Stock Fund Class I	92837F375	STCAX				1.07000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fund Class R6	92837F367	STCZX				0.95000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Class A	92837N352	RMDAX	5.50		.25	1.00000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Class C	92837N345	RMDCX		1.00	1.00	1.78000		SELL ONLY
Virtus	Virtus Silvant Mid-Cap Growth Fund Institutional Class	92837N295	DRMCX				0.77000		SELL ONLY
Virtus	Virtus Small-Cap Fund Class A	92837N196	AZBAX	5.50		.25	1.29000		SELL ONLY
Virtus	Virtus Small-Cap Fund Class C	92837N188	AZBCX		1.00	1.00	2.06000		SELL ONLY
Virtus	Virtus Small-Cap Fund Institutional Class	92837N147	AZBIX				1.02000		SELL ONLY
Virtus	Virtus Small-Cap Fund R6	92837N162	ASCFX				0.95000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Bond Fund A Class	92828Y615	VSHAX	3.75		.25	2.25000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Bond Fund I Class	92828Y599	SHCDX				2.21000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Debt Income Fund A Class	92828Y565	VSHCX	3.75		.25	1.11000		SELL ONLY
Virtus	Virtus Stone Harbor Emerging Markets Debt Income Fund I Class	92828Y557	SHMDX				0.82000		SELL ONLY
Virtus	Virtus Stone Harbor Local Markets Fund Class A	92828Y524	VSHEX	3.75		.25	1.65000		SELL ONLY
Virtus	Virtus Stone Harbor Local Markets Fund I Class	92828Y516	SHLMX				1.26000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class A	92828N791	NAINX	5.50		.25	1.07000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class C	92828N775	POICX		1.00	1.00	1.87000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class I	92836N502	VTAIX				0.86000		SELL ONLY
Virtus	Virtus Westchester Credit Event Fund Class A	95737C707	WCFRX	5.50	1.00	.25	1.93000		SELL ONLY
Virtus	Virtus Westchester Credit Event Fund Class I	95737C806	WCFIX				1.59000		SELL ONLY
Virtus	Virtus Westchester Event-Driven Fund Class A	95737C509	WCERX	5.50	1.00	.25	1.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Virtus	Virtus Westchester Event-Driven Fund Class I	95737C608	WCEIX				1.67000		SELL ONLY
Virtus	Virtus Zevenbergen Innovative Growth Stock Fund Class A	92837F318	SAGAX	5.50		.25	1.38000		BUY,SELL
Virtus	Virtus Zevenbergen Innovative Growth Stock Fund Class I	92837F292	SCATX				1.10000		SELL ONLY
Virtus	Virtus Zevenbergen Innovative Growth Stock Fund Class R6	92837X640	VZGRX				0.99000		SELL ONLY
Virtus	Virtus Zevenbergen Technology Fund Class A	92837Q801	RAGTX	5.50		.25	1.44000		SELL ONLY
Virtus	Virtus Zevenbergen Technology Fund Class C	92837Q884	RCGTX		1.00	1.00	2.18000		SELL ONLY
Virtus	Virtus Zevenbergen Technology Fund Institutional Class	92837Q843	DRGTX				1.17000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class A	92913K298	VYCAx	5.75		.25	0.86000		BUY,SELL
Voya	Voya Corporate Leaders 100 Fund Class C	92913K280	VYCBX		1.00	1.00	1.61000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class I	92913K272	VYCCX				0.60000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class R	92913K249	VYCFX			.50	1.11000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class R6	92913K231	VYCGX				0.54000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class W	92913K215	VYCIX				0.61000		SELL ONLY
Voya	Voya Corporate Leaders Trust Fund Series B	92912M105	LEXCX				0.40000		SELL ONLY
Voya	Voya Floating Rate Fund Class A	92913L791	IFRAX	2.50		.25	1.17000		SELL ONLY
Voya	Voya Floating Rate Fund Class C	92913L817	IFRCX		1.00	1.00	1.92000		SELL ONLY
Voya	Voya Floating Rate Fund Class I	92913L825	IFRIX				0.92000		SELL ONLY
Voya	Voya Floating Rate Fund Class R	92913L841	IFRRX			.50	1.42000		SELL ONLY
Voya	Voya Floating Rate Fund Class W	92913L833	IFRWX				0.92000		SELL ONLY
Voya	Voya Global Bond Fund Class A	92914A828	INGBX	2.50		.25	1.09000		SELL ONLY
Voya	Voya Global Bond Fund Class C	92914A844	IGBCX		1.00	1.00	1.84000		SELL ONLY
Voya	Voya Global Bond Fund Class I	92914A851	IGBIX				0.73000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Global Bond Fund Class R	92913W649	IGBRX			.50	1.34000		SELL ONLY
Voya	Voya Global Bond Fund Class R6	92913W854	IGBZX				0.65000		SELL ONLY
Voya	Voya Global Bond Fund Class W	92913W508	IGBWX				0.84000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Class A	92914A463	VYGQX	5.75		.25	1.13000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Class C	92914A455	VYGRX		1.00	1.00	1.88000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Class I	92914A448	VYGSX				0.87000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Class R	92914A430	VYGTX			.50	1.38000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Class R6	92914A422	VYGUX				0.86000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Class W	92914A398	VYGWX				0.88000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund A	92913X811	NAWG X	5.75		.25	0.97000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund C	92913X837	NAWC X		1.00	1.00	1.72000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund I	92914A752	NAWIX				0.62000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund R6	92914A380	VGHRX				0.68000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility Fund W	92913W706	IGVWX				0.72000		SELL ONLY
Voya	Voya Global Income & Growth Fund A	92913K199	VYGJX	5.75		.25	1.19000		BUY,SELL
Voya	Voya Global Income & Growth Fund C	92913K181	VYGKX		1.00	1.00	1.94000		SELL ONLY
Voya	Voya Global Income & Growth Fund I	92913K173	VYGLX				0.93000		SELL ONLY
Voya	Voya Global Income & Growth Fund R6	92913K157	VYGNX				0.86000		SELL ONLY
Voya	Voya Global Income & Growth Fund W	92913K132	VYGPX				0.94000		SELL ONLY
Voya	Voya Global Perspectives Fund Class A	92913W862	IAPVX	5.75		.25	1.38000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Global Perspectives Fund Class C	92913W870	ICPVX		1.00	1.00	2.13000		SELL ONLY
Voya	Voya Global Perspectives Fund Class I	92913W888	IIPVX				1.06000		SELL ONLY
Voya	Voya Global Perspectives Fund Class R	92913W805	IRPVX			.50	1.63000		SELL ONLY
Voya	Voya Global Perspectives Fund Class W	92913W607	IWPVX				1.13000		SELL ONLY
Voya	Voya GNMA Income Fund Class A	92913L692	LEXNX	2.50		.25	0.86000		SELL ONLY
Voya	Voya GNMA Income Fund Class C	92913L726	LEGNX		1.00	1.00	1.61000		SELL ONLY
Voya	Voya GNMA Income Fund Class I	92913L734	LEINX				0.59000		SELL ONLY
Voya	Voya GNMA Income Fund Class R6	92913L577	VGMBX				0.50000		SELL ONLY
Voya	Voya GNMA Income Fund Class W	92913L767	IGMWX				0.61000		SELL ONLY
Voya	Voya High Yield Bond Fund Class A	92913L627	IHYAX	2.50		.25	1.09000		SELL ONLY
Voya	Voya High Yield Bond Fund Class C	92913L643	IMYCX		1.00	1.00	1.84000		SELL ONLY
Voya	Voya High Yield Bond Fund Class I	92913L783	IHYIX				0.75000		SELL ONLY
Voya	Voya High Yield Bond Fund Class R	92913L544	IRSTX			.50	1.34000		SELL ONLY
Voya	Voya High Yield Bond Fund Class W	92913L866	IHYWX				0.84000		SELL ONLY
Voya	Voya High Yield Bond Fund Class R6	92913L528	VHYRX				0.68000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class A	92913L650	IIBAX	2.50		.25	0.72000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class C	92913L676	IICCX		1.00	1.00	1.47000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class I	92913L684	IICIX				0.34000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class R	92913L742	IIBOX			.50	0.97000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class R6	92913L569	IIBZX				0.29000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class W	92913L775	IIBWX				0.47000		SELL ONLY
Voya	Voya Investment Grade Credit Fund A	92913M484	VACFX	2.50		.25	1.28000		SELL ONLY
Voya	Voya Investment Grade Credit Fund Class R6	92913M864	VIGTX				0.83000		SELL ONLY
Voya	Voya Investment Grade Credit Fund I	92913M476	VIGCX				0.92000		SELL ONLY
Voya	Voya Investment Grade Credit Fund W	92913M286	VIGWX				1.03000		SELL ONLY
Voya	Voya Large Cap Value Fund Class A	92913K645	IEDAX	5.75		.25	1.16000		SELL ONLY
Voya	Voya Large Cap Value Fund Class C	92913K678	IEDCX		1.00	1.00	1.91000		SELL ONLY
Voya	Voya Large Cap Value Fund Class I	92913K686	IEDIX				0.84000		SELL ONLY
Voya	Voya Large Cap Value Fund Class R	92913K751	IEDRX			.50	1.41000		SELL ONLY
Voya	Voya Large Cap Value Fund Class R6	92913K827	IEDZX				0.80000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Large Cap Value Fund Class W	92913K736	IWEDX				0.91000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class A	92913K801	NLCAX	5.75		.25	0.84000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class C	92913K603	NLCCX		1.00	1.00	1.59000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class I	92913K504	PLCIX				0.57000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class R	92913K561	VGORX			.50	1.09000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class R6	92913K553	VGOSX				0.55000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class W	92913K579	IGOWX				0.59000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index Fund Class A	92918A105	VYMQX	5.75		.25	1.05000		BUY,SELL
Voya	Voya Mid Cap Research Enhanced Index Fund Class C	92918A204	VYMRX		1.00	.75	1.55000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index Fund Class I	92918A303	VYMSX				0.74000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index Fund Class R	92918A600	VYMVX			.50	1.30000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index Fund Class W	92918A808	VYMYX				0.80000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class A	92913K884	NMCAX	5.75		.25	1.25000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class C	92913K868	NMCCX		1.00	1.00	2.00000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class I	92913K850	NMCIX				0.94000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class R	92913K769	IMORX			.50	1.50000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class R6	92913K835	IMOZX				0.88000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class W	92913K744	IMOWX				1.00000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class A	92913W714	IEMHX	5.75		.25	1.76000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class C	92913W722	IEMJX		1.00	1.00	2.51000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class I	92913W680	IEMGX				1.21000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class R	92913W730	IEMKX			.50	2.01000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Equity Fund Class W	92913W748	IEMLX				1.51000		SELL ONLY
Voya	Voya Multi-Manager International Equity Fund Class I	92914A596	IIGIX				0.94000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class A	92913X779	NTKLX	5.75		.25	1.57000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class C	92913X795	NARCX		1.00	1.00	2.32000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class I	92914A760	NAPIX				1.33000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class R6	92914A372	VVJFX				2.41000		SELL ONLY
Voya	Voya Multi-Manager International Small Cap Fund Class W	92914A307	ISCWX				1.32000		SELL ONLY
Voya	Voya Multi-Manager Mid Cap Value Fund Class I	92913K793	IMCVX				0.80000		SELL ONLY
Voya	Voya Securitized Credit Fund Class A	92913M740	VCFAX	2.50		.25	1.07000		SELL ONLY
Voya	Voya Securitized Credit Fund Class I	92913M732	VCFIX				0.71000		SELL ONLY
Voya	Voya Securitized Credit Fund Class R6	92913M112	VCFRX				0.67000		SELL ONLY
Voya	Voya Securitized Credit Fund W	92913M310	VSCWX				0.82000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class A	92913L502	IASBX	2.50		.25	0.64000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class C	92913L403	ICSBX		1.00	1.00	1.39000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class I	92913L304	IISBX				0.38000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class R	92913L205	VSTRX			.50	0.89000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class R6	92913L551	IGZAX				0.31000		SELL ONLY
Voya	Voya Short Duration Bond Fund Class W	92913L106	IWSBX				0.39000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class A	92913L254	VVJBX	2.50		.25	0.97000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Short Duration High Income Fund Class C	92913L221	VVJGX		1.00	1.00	1.72000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class I	92913L247	VVJCX				0.74000		SELL ONLY
Voya	Voya Short Duration High Income Fund Class R6	92913L239	VVJDX				0.71000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class A	92918A782	VWYFX	5.75		.25	1.26000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class C	92918A774	VWYGX		1.00	1.00	2.01000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class I	92913L288	TCMSX				0.93000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class R	92918A758	VWYIX			.50	1.51000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class R6	92913L270	VLNPX				0.85000		SELL ONLY
Voya	Voya Small Cap Growth Fund Class W	92918A733	VWYKX				1.01000		SELL ONLY
Voya	Voya Small Company Fund Class A	92918A881	VYSYX	5.75		.25	1.18000		BUY,SELL
Voya	Voya Small Company Fund Class C	92918A873	VYSZX		1.00	1.00	1.93000		SELL ONLY
Voya	Voya Small Company Fund Class I	92918A865	VYSAX				0.87000		SELL ONLY
Voya	Voya Small Company Fund Class R	92918A832	VYSDX			.50	1.43000		SELL ONLY
Voya	Voya Small Company Fund Class R6	92918A824	VYSEX				0.82000		SELL ONLY
Voya	Voya Small Company Fund Class W	92918A790	VYSGX				0.93000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class A	92913L700	ISIAX	2.50		.25	0.88000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class C	92913L601	ISICX		1.00	1.00	1.63000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class I	92913L874	IISIX				0.63000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class R	92913L882	ISIRX			.50	1.13000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class R6	92913L536	VSIRX				0.56000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund Class W	92913L809	ISIWX				0.63000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class A	92913M716	VTRVX	5.75		.25	0.75000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Target In-Retirement Fund Class I	92913M856	ISOLX				0.36000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class R	92913M278	VRRFX			.50	1.00000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class R6	92913M690	VTRWX				0.35000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class A	92913M666	VTRCX	5.75		.25	0.65000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class I	92913M773	IRSLX				0.48000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class R	92913M252	VRRHX			.50	0.90000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class R6	92913M658	VTRDX				0.35000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class A	92913M641	VTREX	5.75		.25	0.65000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class I	92913M781	IRSMX				0.40000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class R6	92913M633	VTRFX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund ClassR	92913M245	VRRIX			.50	0.90000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class A	92913M625	VTRGX	5.75		.25	0.69000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class I	92913M799	IRSNX				0.39000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class R	92913M237	VRRJX			.50	0.94000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class R6	92913M617	VTRHX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class A	92913M591	VTRJX	5.75		.25	0.70000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class I	92913M815	IRSOX				0.42000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class R	92913M229	VRRKX			.50	0.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Target Retirement 2040 Fund Class R6	92913M583	VTRKX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class A	92913M575	VTRMX	5.75		.25	0.74000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class I	92913M823	IRSPX				0.40000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class R	92913M211	VRRLX			.50	0.99000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class R6	92913M567	VTRNX				0.35000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class A	92913M559	VTROX	5.75		.25	0.74000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class I	92913M831	IRSQX				0.43000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class R	92913M195	VRRMX			.50	0.99000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class R6	92913M542	VTRPX				0.36000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class A	92913M534	VTRQX	5.75		.25	0.83000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class I	92913M849	IRSVX				0.40000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class R	92913M187	VRRNX			.50	1.08000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class R6	92913M526	VTRRX				0.37000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class A	92913M518	VTRSX	5.75		.25	0.88000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class I	92913M724	VRSAK				0.42000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class R	92913M179	VRROX			.50	1.13000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class R6	92913M492	VTRUX				0.37000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class A	92913M161	VTAUX	5.75		.25	0.63000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Voya	Voya Target Retirement 2065 Fund Class I	92913M153	VTIUX				0.41000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class R	92913M146	VTURX			.50	0.88000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class R6	92913M138	VTUFX				0.37000		SELL ONLY
Wasatch	Wasatch Core Growth Fund	936772201	WGROX				1.17000	2.00	SELL ONLY
Wasatch	Wasatch Core Growth Fund Institutional Class Shares	936793793	WIGRX				1.06000	2.00	SELL ONLY
Wasatch	Wasatch Emerging India Fund? Institutional Class	936793660	WIINX				1.36000	2.00	SELL ONLY
Wasatch	Wasatch Emerging India Fund? Investor Class	936793827	WAINX				1.50000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Select Fund Institutional Class Shares	936793744	WIESX				1.13000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Select Fund Investor Class Shares	936793751	WAESX				1.36000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Small Cap Fund? Institutional Class	936793736	WIEMX				1.78000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Small Cap Fund? Investor Class	936793884	WAEMX				1.96000	2.00	SELL ONLY
Wasatch	Wasatch Frontier Emerging Small Countries Fund Investor Class Shares	936793819	WAFMX				2.34000	2.00	SELL ONLY
Wasatch	Wasatch Frontier Emerging Small Countries Fund? Institutional Class	936793652	WIFMX				2.64000	2.00	SELL ONLY
Wasatch	Wasatch Global Opportunities Fund? Institutional Class	936793728	WIGOX				1.40000	2.00	SELL ONLY
Wasatch	Wasatch Global Opportunities Fund? Investor Class	936793868	WAGOX				1.50000	2.00	SELL ONLY
Wasatch	Wasatch Global Select Fund Institutional Class Shares	936793637	WGGSX				1.74000	2.00	SELL ONLY
Wasatch	Wasatch Global Select Fund Investor Class Shares	936793645	WAGSX				2.57000	2.00	SELL ONLY
Wasatch	Wasatch Global Value Fund? Institutional Class Shares	936793785	WILCX				1.12000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Wasatch	Wasatch Global Value Fund? Investor Class Shares	936793843	FMIEX				1.18000	2.00	SELL ONLY
Wasatch	Wasatch Greater China Fund Institutional Class Shares	936793553	WGGC X				3.39000	2.00	SELL ONLY
Wasatch	Wasatch Greater China Fund Investor Class Shares	936793561	WAGC X				4.77000	2.00	SELL ONLY
Wasatch	Wasatch International Growth Fund? Institutional Class	936793694	WIIGX				1.29000	2.00	SELL ONLY
Wasatch	Wasatch International Growth Fund? Investor Class	936793405	WAIGX				1.41000	2.00	SELL ONLY
Wasatch	Wasatch International Opportunities Fund? Institutional Class	936793686	WIOX				1.92000	2.00	SELL ONLY
Wasatch	Wasatch International Opportunities Fund? Investor Class	936793702	WAIOX				1.97000	2.00	SELL ONLY
Wasatch	Wasatch International Select Fund Institutional Class Shares	936793611	WGISX				3.19000	2.00	SELL ONLY
Wasatch	Wasatch International Select Fund Investor Class Shares	936793629	WAISX				3.88000	2.00	SELL ONLY
Wasatch	Wasatch Long/Short Alpha Fund Institutional Class Shares	936793538	WGLSX				2.71000	2.00	SELL ONLY
Wasatch	Wasatch Long/Short Alpha Fund Investor Class Shares	936793546	WALSX				2.85000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Fund	936772508	WMICX				1.66000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Fund Institutional Class Shares	936793587	WGICX				1.59000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Value Fund	936793504	WAMV X				1.73000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Value Fund Institutional Class Shares	936793595	WGMV X				1.65000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Growth Fund? Institutional Class	936793678	WIAEX				1.07000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Growth Fund? Investor Class	936772102	WAAEX				1.15000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Value Fund	936793207	WMCV X				1.18000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Value Fund Institutional Class Shares	936793777	WICVX				1.07000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Wasatch	Wasatch U.S. Select Fund? Institutional Class	936793512	WGUS X				2.46000	2.00	SELL ONLY
Wasatch	Wasatch Ultra Growth Fund	936772409	WAMC X				1.23000	2.00	SELL ONLY
Wasatch	Wasatch Ultra Growth Fund Institutional Class Shares	936793579	WGMC X				1.08000	2.00	SELL ONLY
Wasatch	Wasatch-Hoisington U.S. Treasury Fund	936772300	WHOS X				0.70000	2.00	SELL ONLY
WCM Investment Management	WCM China Quality Growth Fund Institutional Class Shares	46143U807	WCMC X				5.21000		SELL ONLY
WCM Investment Management	WCM China Quality Growth Fund Investor Class Shares	46143U708	WCQG X			.25	5.46000		SELL ONLY
WCM Investment Management	Wcm Focused Emerging Markets Ex China Fund Institutional Class	46143U633	WCMW X				16.53000		SELL ONLY
WCM Investment Management	Wcm Focused Emerging Markets Ex China Fund Investor Class	46143U641	WCFEX X			.25	16.78000		SELL ONLY
WCM Investment Management	WCM Focused Emerging Markets Fund Institutional Class	46141P834	WCME X				1.27000		SELL ONLY
WCM Investment Management	WCM Focused Emerging Markets Fund Investor Class	46141P842	WFEM X			.25	1.52000		SELL ONLY
WCM Investment Management	WCM Focused International Growth Fund Institutional Class	461418444	WCMIX X				1.04000		SELL ONLY
WCM Investment Management	WCM Focused International Growth Fund Investor Class	461418386	WCMR X			.25	1.29000		SELL ONLY
WCM Investment Management	WCM Focused International Opportunities Fund Institutional Class Shares	46143U682	WCMO X				2.05000		SELL ONLY
WCM Investment Management	WCM Focused International Opportunities Fund Investor Class Shares	46143U690	WCFO X			.25	2.30000		SELL ONLY
WCM Investment Management	WCM Focused International Value Fund Institutional Class Shares	46143U823	WCMV X				3.36000		SELL ONLY
WCM Investment Management	WCM Focused International Value Fund Investor Class Shares	46143U831	WLIVX X			.25	3.61000		SELL ONLY
WCM Investment Management	WCM International Small Cap Growth Fund Institutional Class	46141Q683	WCMS X				1.33000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
WCM Investment Management	WCM Mid Cap Quality Value Fund Institutional Class Shares	46143U666	WCMA X				36.47000		SELL ONLY
WCM Investment Management	WCM Mid Cap Quality Value Fund Investor Class Shares	46143U658	WMIDX			.25	36.72000		SELL ONLY
WCM Investment Management	WCM Quality Dividend Growth Fund Institutional Class Shares	46143U617	WCMY X				2.84000		SELL ONLY
WCM Investment Management	WCM Quality Dividend Growth Fund Investor Class Shares	46143U625	WQDG X			.25	3.09000		SELL ONLY
WCM Investment Management	WCM Small Cap Growth Fund Institutional Class Shares	46143U203	WCML X				1.86000		SELL ONLY
WCM Investment Management	WCM Small Cap Growth Fund Investor Class Shares	46143U104	WCMN X			.25	2.11000		SELL ONLY
WCM Investment Management	WCM SMID Quality Value Fund Institutional Class Shares	46143U401	WCMF X				1.27000		SELL ONLY
WCM Investment Management	WCM SMID Quality Value Fund Investor Class Shares	46143U302	WCMJX			.25	1.52000		SELL ONLY
William Blair	William Blair Emerging Markets ex China Growth Fund Class I	969251420	WXCIX				2.35000		SELL ONLY
William Blair	William Blair Emerging Markets ex China Growth Fund Class R6	969251412	WXCR X				2.26000		SELL ONLY
William Blair	William Blair Emerging Markets Fund Class N	093001212	WBENX			.25	1.46000		SELL ONLY
William Blair	William Blair Emerging Markets Growth Fund Class I	093001220	WBEIX				1.20000		SELL ONLY
William Blair	William Blair Emerging Markets Growth Fund Class R6	093001196	BIEMX				1.08000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund Class I	969251404	WBELX				1.18000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund Class N	969251867	WELNX			.25	1.46000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund R6 Class	969251503	WELIX				1.08000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund Class I	969251859	BESIX				1.43000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund Class N	969251818	WESNX			.25	1.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
William Blair	William Blair Emerging Markets Small Cap Growth Fund R6 Class	969251842	WESJX				1.32000		SELL ONLY
William Blair	William Blair Global Leaders Fund Class I	093001113	WGFIX				1.14000		SELL ONLY
William Blair	William Blair Global Leaders Fund Class N	093001121	WGGNX			.25	1.42000		SELL ONLY
William Blair	William Blair Global Leaders Fund R6 Class	093001139	BGGIX				1.05000		SELL ONLY
William Blair	William Blair Growth Fund Class I	093001865	BGFIX				0.91000		SELL ONLY
William Blair	William Blair Growth Fund Class N	093001105	WBGSX			.25	1.26000		SELL ONLY
William Blair	William Blair Growth Fund Class R6	969251644	BGFRX				0.87000		SELL ONLY
William Blair	William Blair Institutional International Growth Fund	093001352	WBIX				1.00000		SELL ONLY
William Blair	William Blair International Growth Fund Class I	093001774	BIGIX				1.10000		SELL ONLY
William Blair	William Blair International Growth Fund Class N	093001402	WBIGX			.25	1.39000		SELL ONLY
William Blair	William Blair International Growth Fund Class R6	969251552	WBIRX				1.00000		SELL ONLY
William Blair	William Blair International Leaders Fund Class I Shares	969251719	WILIX				1.04000		SELL ONLY
William Blair	William Blair International Leaders Fund Class N Shares	969251693	WILNX			.25	1.26000		SELL ONLY
William Blair	William Blair International Leaders Fund R6 Class Shares	969251685	WILJX				0.91000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class I	093001188	WISIX				1.25000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class N	093001170	WISNX			.25	1.53000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class R6	093001162	WIISX				1.15000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class I	093001543	LCGFX				0.78000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class N	093001535	LCGNX			.25	1.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
William Blair	William Blair Large Cap Growth Fund Class R6	969251636	LCGJX				0.65000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class I	093001485	WBSIX				1.13000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class N	093001477	WBSNX			.25	1.40000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class R6	969251586	WBSRX				1.02000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class I	969251487	ICSCX				0.92000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class N	969251479	WBVNX			.25	1.11000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class R6	969251461	WBVRX				0.79000		SELL ONLY
William Blair	William Blair Small-Mid Cap Core Fund Class I	969251537	WBCIX				1.16000		SELL ONLY
William Blair	William Blair Small-Mid Cap Core Fund Class R6	969251529	WBCRX				1.01000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund Class I	093001295	WSMDX				1.12000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund Class N	093001345	WSMNX			.25	1.37000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund Class R6	969251610	WSMRX				0.99000		SELL ONLY