Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
RiverPark Funds	RiverPark Floating Rate CMBS Fund Institutional Class Shares	76882K660	RCRIX				0.99000		SELL ONLY
RiverPark Funds	RiverPark Floating Rate CMBS Fund Retail Class Shares	76882K678	RCRFX				1.34000		SELL ONLY
RiverPark Funds	RiverPark Large Growth Fund Class Institutional	76882K108	RPXIX				1.01000		SELL ONLY
RiverPark Funds	RiverPark Large Growth Fund Class Retail	76882K207	RPXFX				1.31000		SELL ONLY
RiverPark Funds	RiverPark Long/Short Opportunity Fund Institutional Class	76882K850	RLSIX				1.89000		SELL ONLY
RiverPark Funds	RiverPark Long/Short Opportunity Fund Retail Class	76882K868	RLSFX				2.14000		SELL ONLY
RiverPark Funds	RiverPark Short Term High Yield Fund Class Institutional	76882K702	RPHIX				0.92000		SELL ONLY
RiverPark Funds	RiverPark Short Term High Yield Fund Class Retail	76882K801	RPHYX				1.19000		SELL ONLY
RiverPark Funds	Wedgewood Fund Class Institutional	76882K306	RWGIX				1.09000		SELL ONLY
RiverPark Funds	Wedgewood Fund Class Retail	76882K405	RWGFX				1.34000		SELL ONLY
RMB Funds	RMB Fund Class A	74968B605	RMBHX	5.00		.25	1.20000		SELL ONLY
RMB Funds	RMB Fund Class I	74968B886	RMBGX				0.94000		SELL ONLY
RMB Funds	RMB International Fund Class I	74968B860	RMBTX				0.96000		SELL ONLY
RMB Funds	RMB Japan Fund Class I	74968B852	RMBPX				1.64000		SELL ONLY
RMB Funds	RMB Mendon Financial Services Fund Class A	74968B407	RMBKX	5.00		.25	1.50000		SELL ONLY
RMB Funds	RMB Mendon Financial Services Fund Class I	74968B803	RMBLX				1.25000		SELL ONLY
RMB Funds	RMB Small Cap Fund Class I	74968B837	RMBBX				1.14000		SELL ONLY
RMB Funds	RMB SMID Cap Fund Class I	74968B811	RMBMX				0.92000		SELL ONLY
Russell	Russell Investment Tax-Managed International Equity Fund Class A	78249R198	RTNAX	5.75		.25	1.37000		SELL ONLY
Russell	Russell Investment Tax-Managed International Equity Fund Class C	78249R180	RTNCX			.75	2.12000		SELL ONLY
Russell	Russell Investment Tax-Managed International Equity Fund Class S	78249R164	RTNSX				1.12000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Russell	Russell Investment Tax-Managed Real Assets Fund Class A	78250F265	RTXAX	5.75		.25	1.42000		SELL ONLY
Russell	Russell Investment Tax-Managed Real Assets Fund Class C	78250F257	RTXCX			.75	2.17000		SELL ONLY
Russell	Russell Investment Tax-Managed Real Assets Fund Class S	78250F182	RTXSX				1.17000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Large Cap Fund Class A	782494348	RTLAX	5.75		.25	1.18000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Large Cap Fund Class C	782478309	RTLCX			.75	1.93000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Large Cap Fund Class S	782493720	RETSX				0.93000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid & Small Cap Fund Class A	782494330	RTSAX	5.75		.25	1.51000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid & Small Cap Fund Class C	782478507	RTSCX			.75	2.26000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid & Small Cap Fund Class S	782478408	RTSSX				1.26000		SELL ONLY
Russell	Russell Investments Emerging Markets Fund Class A	782494603	REMAX	5.75		.25	1.57000		SELL ONLY
Russell	Russell Investments Emerging Markets Fund Class C	782493431	REMCX			.75	2.32000		SELL ONLY
Russell	Russell Investments Emerging Markets Fund Class S	782493746	REMSX				1.32000		SELL ONLY
Russell	Russell Investments Equity Income Fund Class A	782494611	RSQAX	5.75		.25	1.19000		SELL ONLY
Russell	Russell Investments Equity Income Fund Class C	782494595	REQSX			.75	1.94000		SELL ONLY
Russell	Russell Investments Equity Income Fund Class S	782494587	RLISX				0.94000		SELL ONLY
Russell	Russell Investments Global Equity Fund Class A	782478143	RGEAX	5.75		.25	1.27000		SELL ONLY
Russell	Russell Investments Global Equity Fund Class C	782478135	RGECX			.75	2.02000		SELL ONLY
Russell	Russell Investments Global Equity Fund Class S	782478119	RGESX				1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Russell	Russell Investments Global Infrastructure Fund Class A	782494280	RGIAX	5.75		.25	1.50000		SELL ONLY
Russell	Russell Investments Global Infrastructure Fund Class C	782494272	RGCIX			.75	2.25000		SELL ONLY
Russell	Russell Investments Global Infrastructure Fund Class S	782494256	RGISX				1.25000		SELL ONLY
Russell	Russell Investments Global Real Estate Securities Fund Class A	782494405	RREAX	5.75		.25	1.42000		SELL ONLY
Russell	Russell Investments Global Real Estate Securities Fund Class C	782493423	RRSCX			.75	2.17000		SELL ONLY
Russell	Russell Investments Global Real Estate Securities Fund Class S	782493761	RRESX				1.17000		SELL ONLY
Russell	Russell Investments International Developed Markets Fund Class A	782494512	RLNAX	5.75		.25	1.26000		SELL ONLY
Russell	Russell Investments International Developed Markets Fund Class C	782494496	RLNCX			.75	2.01000		SELL ONLY
Russell	Russell Investments International Developed Markets Fund Class S	782494488	RINTX				1.01000		SELL ONLY
Russell	Russell Investments Investment Grade Bond Fund Class A	782494322	RFAAX	3.75		.25	0.82000		SELL ONLY
Russell	Russell Investments Investment Grade Bond Fund Class C	782494827	RFACX			.75	1.57000		SELL ONLY
Russell	Russell Investments Investment Grade Bond Fund Class S	782494835	RFATX				0.57000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class A	782478622	RBLAX	5.75		.25	1.27000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class C	782493373	RBLCX			.75	2.02000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class R1	782478366	RBLRX				1.02000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class R4	78249R677	RBLUX			.25	1.27000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class R5	78249R669	RBLVX			.50	1.52000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class S	782493134	RBLSX				1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Russell	Russell Investments LifePoints Conservative Strategy Fund Class A	782478648	RCLAX	5.75		.25	1.33000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class C	782493357	RCLCX			.75	2.08000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class R1	782478325	RCLRX				1.08000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class R4	78249R719	RCLUX			.25	1.33000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class R5	78249R693	RCLVX			.50	1.58000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class S	782478200	RCLSX				1.08000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class A	782478598	REAAX	5.75		.25	1.41000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class C	782493399	RELCX			.75	2.16000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class R1	782478416	RELRX				1.16000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class R4	78249R636	RELUX			.25	1.41000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class R5	78249R628	RELVX			.50	1.66000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class S	782493118	RELSX				1.16000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class A	782478614	RALAX	5.75		.25	1.36000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class C	782493381	RALCX			.75	2.11000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class R1	782478382	RALRX				1.11000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class R4	78249R651	RALUX			.25	1.36000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class R5	78249R644	RALVX			.50	1.61000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class S	782493126	RALSX				1.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Russell	Russell Investments LifePoints Moderate Strategy Fund Class A	782478630	RMLAX	5.75		.25	1.31000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class C	782493365	RMLCX			.75	2.06000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class R1	782478341	RMLRX				1.06000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class R4	78249R727	RMLUX			.25	1.31000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class R5	78249R685	RMLVX			.50	1.56000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class S	782478101	RMLSX				1.06000		SELL ONLY
Russell	Russell Investments Long Duration Bond Fund Class S	78250F422	RMHSX				0.55000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth Strategy Fund Class A	78250F596	RAZAX	5.75		.25	1.36000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth Strategy Fund Class C	78250F588	RAZCX			.75	2.11000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth Strategy Fund Class S	78250F554	RMGSX				1.11000		SELL ONLY
Russell	Russell Investments Multifactor International Equity Fund Class S	78249R297	RTISX				0.84000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equity Fund Class A	78249R388	RTDAX	5.75		.25	0.87000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equity Fund Class C	78249R370	RTDCX			.75	1.62000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equity Fund Class S	78249R354	RTDSX				0.62000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income Fund Class A	78249R255	RMYAX	5.75		.25	1.20000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income Fund Class C	78249R248	RMYCX			.75	1.95000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income Fund Class S	78249R222	RMYSX				0.95000		SELL ONLY
Russell	Russell Investments Opportunistic Credit Fund Class A	782494231	RGCAX	3.75		.25	1.19000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Russell	Russell Investments Opportunistic Credit Fund Class C	782494223	RGCCX			.75	1.94000		SELL ONLY
Russell	Russell Investments Opportunistic Credit Fund Class S	782494199	RGCSX				0.94000		SELL ONLY
Russell	Russell Investments Short Duration Bond Fund Class A	782494801	RSBTX	2.50		.25	0.95000		SELL ONLY
Russell	Russell Investments Short Duration Bond Fund Class C	782493340	RSBCX			.75	1.70000		SELL ONLY
Russell	Russell Investments Short Duration Bond Fund Class S	782493506	RFBSX				0.70000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund Class A	782494470	RFDAX	3.75		.25	0.92000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund Class C	782494462	RFCCX			.75	1.67000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund Class S	782494454	RFCTX				0.67000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fund Class A	782494579	REQAX	5.75		.25	1.45000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fund Class C	782494561	REQCX			.75	2.20000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fund Class S	782494553	REQTX				1.20000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fund Class A	782494314	RTEAX	3.75		.25	0.83000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fund Class C	782493324	RTECX			.75	1.58000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fund Class S	782493837	RLVSX				0.58000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yield Bond Fund Class A	78249R156	RTHAX	3.75		.25	1.01000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yield Bond Fund Class C	78249R149	RTHCX			.75	1.76000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yield Bond Fund Class S	78249R123	RTHSX				0.76000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equity Fund Class A	782494546	RLACX	5.75		.25	1.38000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Russell	Russell Investments U.S. Small Cap Equity Fund Class C	782494538	RLECX			.75	2.13000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equity Fund Class S	782494520	RLESX				1.13000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity Fund Class A	78249R859	RSEAX	5.75		.25	1.21000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity Fund Class C	78249R842	RSECX			.75	1.96000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity Fund Class S	78249R826	RSESX				0.96000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class A	78355E379	RYBKX	4.75		.25	1.65000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class C	783554314	RYKCX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class H	783554876	RYKAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class Investor	783554868	RYKIX				1.40000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class A	78355E361	RYBMX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class C	783554298	RYBCX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class H	783554850	RYBAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class Investor	783554843	RYBIX				1.39000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class A	78355E353	RYBOX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class C	783554280	RYCFX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class H	783554835	RYOAX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class Investor	783554827	RYOIX				1.39000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class A	78356A301	RYMEX	4.75		.25	1.76000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class C	78356A400	RYMJX		1.00	1.00	2.51000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class H	78356A509	RYMBX			.25	1.77000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class A	78355E346	RYPDX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class C	783554272	RYCPX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class H	783554819	RYCAX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class Investor	783554793	RYCIX				1.39000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class A	78355Y888	RYLDX	4.75		.25	1.90000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class C	78355Y102	RYCYX		1.00	1.00	2.65000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Dow 2x Strategy Fund Class H	78355Y201	RYCVX			.25	1.91000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fund Class A	78356C539	RYDAX	4.75		.25	1.59000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fund Class C	78356C521	RYDKX		1.00	1.00	2.34000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fund Class H	78356C513	RYDHX			.25	1.59000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class A	78355E338	RYELX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class C	783554264	RYSCX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class H	783554785	RYSAX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class Investor	783554777	RYSIX				1.39000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund A Class	78356C760	RYWTX	4.75		.25	1.85000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund C Class	78356C778	RYWUX		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund H Class	78356C794	RYWVX			.25	1.82000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy Fund A-Class	78356C653	RYIEX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy Fund C-Class	78356C661	RYFTX		1.00	1.00	2.35000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy Fund H-Class	78356C646	RYGTX			.25	1.61000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class A	78355E320	RYENX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class C	783554256	RYECX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class H	783554769	RYEAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class Investor	783554751	RYEIX				1.39000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class A	78355E130	RYESX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class C	783554249	RYVCX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class H	783554744	RYVAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class Investor	783554736	RYVIX				1.39000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class A	78355E692	RYAEX	4.75		.25	1.81000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class C	783554116	RYCEX		1.00	1.00	2.55000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Europe Advantage Fund Class H	783554447	RYEUX			.25	1.79000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class A	78355E312	RYFNX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class C	783554231	RYFCX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class H	783554728	RYFAX			.25	1.66000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class Investor	783554710	RYFIX				1.40000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Class A	78355E460	RYABX	4.75		.25	1.27000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Class C	783554330	RYCGX		1.00	1.00	2.03000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Class H	78356C588	RYHBX			.25	1.27000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Investor Class	783554504	RYGBX				1.03000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class A	78355E296	RYHEX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class C	783554223	RYHCX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class H	783554694	RYHAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class Investor	783554686	RYHIX				1.39000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class A	78356A483	RYHDX	4.75		.25	1.58000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class C	78356A467	RYHHX		1.00	1.00	2.33000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class H	78356A442	RYHGX			.25	1.59000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class A	78355E288	RYINX	4.75		.25	1.65000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class C	783554215	RYICX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class H	783554488	RYIAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class Investor	783554496	RYIIX				1.39000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Class A	78355Y870	RYIDX	4.75		.25	2.03000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Class C	78355Y300	RYCZX		1.00	1.00	2.72000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Class H	78355Y409	RYCWX			.25	2.04000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strategy Fund A Class	78356C745	RYWWX	4.75		.25	2.00000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strategy Fund C Class	78356C752	RYWZX		1.00	1.00	2.76000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strategy Fund H Class	78356C786	RYWYX			.25	2.01000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Class A	78355E429	RYAQX	4.75		.25	4.63000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Class C	783554322	RYJCX		1.00	1.00	5.37000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Class H	78356C570	RYHJX			.25	4.57000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Investor Class	783554702	RYJUX				4.34000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund Class A	78356A475	RYILX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund Class C	78356A459	RYIYX		1.00	1.00	2.29000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund Class H	78356A434	RYIHX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Class A	78355E627	RYAGX	4.75		.25	1.68000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Class C	78355E619	RYCLX		1.00	1.00	2.41000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Class H	78355E593	RYMHX			.25	1.73000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy Fund Class A	78355Y805	RYVTX	4.75		.25	3.02000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy Fund Class C	78355E502	RYCDX		1.00	1.00	3.80000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy Fund Class H	783554397	RYVNX			.25	2.53000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Class A	78355E437	RYAPX	4.75		.25	1.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Class C	783554348	RYACX		1.00	1.00	2.56000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Class H	78356C596	RYALX			.25	1.83000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Investor Class	783554512	RYAIX				1.59000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy Fund Class A	78356A723	RYIUX	4.75		.25	2.08000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy Fund Class C	78356A715	RYIZX		1.00	1.00	2.80000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy Fund Class H	78356A699	RYIRX			.25	2.10000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fund Class A	78355E544	RYAFX	4.75		.25	1.84000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fund Class C	78355E536	RYCQX		1.00	1.00	2.59000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fund Class H	78355E528	RYSHX			.25	1.82000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund Class A	78355Y607	RYTMX	4.75		.25	2.14000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund Class C	78355E304	RYCBX		1.00	1.00	2.99000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund Class H	783554421	RYTPX			.25	2.20000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Class A	78355E718	RYARX	4.75		.25	1.82000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Class C	783554363	RYUCX		1.00	1.00	2.56000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Class H	78356C620	RYUHX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Investor Class	783554405	RYURX				1.56000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class A	78356A368	RYJSX	4.75		.25	1.52000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class C	78356A335	RYJTX		1.00	1.00	2.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Japan 2x Strategy Fund Class H	78356A293	RYJHX			.25	1.56000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class A	78355E270	RYLSX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class C	783554199	RYLCX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class Investor	783554660	RYLIX				1.39000		SELL ONLY
Rydex Funds	Rydex Leisure Fund H Class	783554678	RYLAX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class A	78355E486	RYAHX	4.75		.25	1.73000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class C	78355E700	RYDCX		1.00	1.00	2.48000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class H	78355E882	RYMDX			.25	1.73000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100? 2x Strategy Fund Class A	78356C562	RMQAX	4.75		.25	1.79000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100? 2x Strategy Fund Class C	78356C554	RMQCX		1.00	1.00	2.54000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100? 2x Strategy Fund Class H	78356C547	RMQHX			.25	1.79000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund Class A	78355Y706	RYVLX	4.75		.25	1.90000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund Class C	78355E403	RYCCX		1.00	1.00	2.64000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund Class H	783554413	RYVYX			.25	1.90000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class A	78355E445	RYATX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class C	783554355	RYCOX		1.00	1.00	2.30000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class H	78356C612	RYHOX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Investor Class	783554603	RYOCX				1.31000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class A	78355E452	RYANX	4.75		.25	1.58000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class C	783554371	RYNCX		1.00	1.00	2.33000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class H	78356C638	RYNHX			.25	1.58000		SELL ONLY
Rydex Funds	Rydex Nova Fund Investor Class	783554108	RYNVX				1.33000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class A	78355E262	RYMNX	4.75		.25	1.56000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class C	783554181	RYZCX		1.00	1.00	2.31000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class H	78355E858	RYMPX			.25	1.56000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Precious Metals Fund Class Investor	783554207	RYPMX				1.32000		SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class A	78355E254	RYREX	4.75		.25	1.65000		SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class C	78355E809	RYCRX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class H	78355E387	RYHRX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class A	78355E247	RYRTX	4.75		.25	1.65000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class C	783554173	RYRCX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class H	783554652	RYRAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class Investor	783554645	RYRIX				1.40000		SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Class A	78355E478	RYAKX	4.75		.25	1.79000		SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Class C	78355E601	RYCMX		1.00	1.00	2.54000		SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Class H	783554389	RYMKX			.25	1.79000		SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Class A	78356A756	RYRUX	4.75		.25	1.89000		SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Class C	78356A749	RYRLX		1.00	1.00	2.64000		SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Class H	78356A731	RYRSX			.25	1.91000		SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class A	78356A681	RYRRX	4.75		.25	1.65000		SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class C	78356A673	RYROX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class H	78356A665	RYRHX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class A	78355Y508	RYTTX	4.75		.25	1.85000		SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class C	78355E205	RYCTX		1.00	1.00	2.59000		SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class H	783554439	RYTNX			.25	1.85000		SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class A	78356A657	RYSOX	4.75		.25	1.60000		SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class C	78356A640	RYSYX		1.00	1.00	2.36000		SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class H	78356A632	RYSPX			.25	1.61000		SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class A	78355E189	RYLGX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class C	78355E668	RYGRX		1.00	1.00	2.30000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class H	78355E650	RYAWX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class A	78355E171	RYLVX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class C	78355E684	RYVVX		1.00	1.00	2.30000		SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class H	78355E676	RYZAX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund Class A	78355E742	RYMGX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund Class C	78355E734	RYCKX		1.00	1.00	2.30000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund Class H	78355E726	RYBHX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund Class A	78355E163	RYMVX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund Class C	78355E643	RYMMX		1.00	1.00	2.30000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund Class H	78355E635	RYAVX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fund Class A	78355E148	RYSGX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fund Class C	78355E569	RYWCX		1.00	1.00	2.30000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fund Class H	78355E551	RYWAX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fund Class A	78355E155	RYSVX	4.75		.25	1.55000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fund Class C	78355E585	RYYCX		1.00	1.00	2.29000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fund Class H	78355E577	RYAZX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fund Class A	78356A608	RYSDX	4.75		.25	1.82000		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fund Class C	78356A707	RYSJX		1.00	1.00	2.56000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fund Class H	78356A806	RYSBX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class A	78355E239	RYTHX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class C	783554165	RYCHX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class H	783554637	RYTAX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class Investor	783554629	RYTIX				1.39000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class A	78355E221	RYTLX	4.75		.25	1.65000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class C	783554157	RYCSX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class H	783554611	RYMAX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class Investor	783554595	RYMIX				1.40000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class A	78355E213	RYTSX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class C	783554140	RYCNX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class H	783554587	RYPAX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class Investor	783554579	RYPIX				1.39000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class A	78355E197	RYUTX	4.75		.25	1.64000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class C	783554132	RYCUX		1.00	1.00	2.39000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class H	783554462	RYAUX			.25	1.64000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class Investor	783554470	RYUIX				1.39000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund Class A	78356A889	RYWDX	4.75		.25	1.77000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund Class C	78356A871	RYWJX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund Class H	78356A863	RYWBX			.25	1.77000		SELL ONLY