

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rainier	Mn Rainier Intermediate Fixed Income I	750869844					0.7600		SELL ONLY
Rainier	Rainier Balanced Original	750869406	RIMBX			0.25	1.3400		SELL ONLY
Rainier	Rainier Intermediate Fixed Inc Original	750869307				0.1	0.9200		SELL ONLY
Riverbridge	Riverbridge Growth Fund Institutional Class	46141P404	RIVBX				1.00000	1.00	SELL ONLY
RiverPark Funds	RiverPark Floating Rate CMBS Fund Institutional Class Shares	76882K660	RCRIX				0.94000		SELL ONLY
RiverPark Funds	RiverPark Floating Rate CMBS Fund Retail Class Shares	76882K678	RCRFX				1.29000		SELL ONLY
RiverPark Funds	RiverPark Large Growth Fund Class Institutional	76882K108	RPXIX				0.91000		SELL ONLY
RiverPark Funds	RiverPark Large Growth Fund Class Retail	76882K207	RPXFX				1.20000		SELL ONLY
RiverPark Funds	RiverPark Long/Short Opportunity Fund Institutional Class	76882K850	RLSIX				1.73000		SELL ONLY
RiverPark Funds	RiverPark Long/Short Opportunity Fund Retail Class	76882K868	RLSFX				2.03000		SELL ONLY
RiverPark Funds	RiverPark Short Term High Yield Fund Class Institutional	76882K702	RPHIX				0.89000		SELL ONLY
RiverPark Funds	RiverPark Short Term High Yield Fund Class Retail	76882K801	RPHYX				1.14000		SELL ONLY
RiverPark Funds	RiverPark Strategic Income Fund Institutional Class	76882K769	RSIIX				1.18000		SELL ONLY
RiverPark Funds	RiverPark Strategic Income Fund Retail Class	76882K751	RSIVX				1.33000		SELL ONLY
RiverPark Funds	Wedgewood Fund Class Institutional	76882K306	RWGIX				0.95000		SELL ONLY
RiverPark Funds	Wedgewood Fund Class Retail	76882K405	RWGF X				1.24000		SELL ONLY
RMB Funds	RMB Fund Class A	74968B605	RMBHX	5.00		.25	1.12000		SELL ONLY
RMB Funds	RMB Fund Class I	74968B886	RMBGX				0.87000		SELL ONLY
RMB Funds	RMB International Fund Class I	74968B860	RMBTX				0.91000		SELL ONLY
RMB Funds	RMB Japan Fund Class I	74968B852	RMBPX				1.38000		SELL ONLY
RMB Funds	RMB Mendon Financial Services Fund Class A	74968B407	RMBKX	5.00		.25	1.27000		SELL ONLY
RMB Funds	RMB Mendon Financial Services Fund Class I	74968B803	RMBLX				1.02000		SELL ONLY

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RMB Funds	RMB Small Cap Fund Class I	74968B837	RMBBX				1.06000		SELL ONLY
RMB Funds	RMB SMID Cap Fund Class I	74968B811	RMBMX				0.84000		SELL ONLY
Russell	Russell Investment Tax-Managed International Equity Fund Class A	78249R198	RTNAX	5.75		.25	1.38000		SELL ONLY
Russell	Russell Investment Tax-Managed International Equity Fund Class C	78249R180	RTNCX			.75	2.13000		SELL ONLY
Russell	Russell Investment Tax-Managed International Equity Fund Class S	78249R164	RTNSX				1.13000		SELL ONLY
Russell	Russell Investment Tax-Managed Real Assets Fund Class A	78250F265	RTXAX	5.75		.25	1.42000		SELL ONLY
Russell	Russell Investment Tax-Managed Real Assets Fund Class C	78250F257	RTXCX			.75	2.17000		SELL ONLY
Russell	Russell Investment Tax-Managed Real Assets Fund Class S	78250F182	RTXSX				1.17000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Large Cap Fund Class A	782494348	RTLAX	5.75		.25	1.18000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Large Cap Fund Class C	782478309	RTL CX			.75	1.93000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Large Cap Fund Class S	782493720	RETSX				0.93000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid & Small Cap Fund Class A	782494330	RTSAX	5.75		.25	1.52000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid & Small Cap Fund Class C	782478507	RTSCX			.75	2.27000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid & Small Cap Fund Class S	782478408	RTSSX				1.27000		SELL ONLY
Russell	Russell Investments Emerging Markets Fund Class A	782494603	REMAX	5.75		.25	1.74000		SELL ONLY
Russell	Russell Investments Emerging Markets Fund Class C	782493431	REMCX			.75	2.49000		SELL ONLY
Russell	Russell Investments Emerging Markets Fund Class S	782493746	REMSX				1.49000		SELL ONLY
Russell	Russell Investments Equity Income Fund Class A	782494611	RSQAX	5.75		.25	1.16000		SELL ONLY
Russell	Russell Investments Equity Income Fund Class C	782494595	REQSX			.75	1.91000		SELL ONLY

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Russell	Russell Investments Equity Income Fund Class S	782494587	RLISX				0.91000		SELL ONLY
Russell	Russell Investments Global Equity Fund Class A	782478143	RGEAX	5.75		.25	1.50000		SELL ONLY
Russell	Russell Investments Global Equity Fund Class C	782478135	RGECX			.75	2.25000		SELL ONLY
Russell	Russell Investments Global Equity Fund Class S	782478119	RGESX				1.25000		SELL ONLY
Russell	Russell Investments Global Infrastructure Fund Class A	782494280	RGIAX	5.75		.25	2.05000		SELL ONLY
Russell	Russell Investments Global Infrastructure Fund Class C	782494272	RGCIX			.75	2.80000		SELL ONLY
Russell	Russell Investments Global Infrastructure Fund Class S	782494256	RGISX				1.80000		SELL ONLY
Russell	Russell Investments Global Real Estate Securities Fund Class A	782494405	RREAX	5.75		.25	1.38000		SELL ONLY
Russell	Russell Investments Global Real Estate Securities Fund Class C	782493423	RRSCX			.75	2.13000		SELL ONLY
Russell	Russell Investments Global Real Estate Securities Fund Class S	782493761	RRESX				1.13000		SELL ONLY
Russell	Russell Investments International Developed Markets Fund Class A	782494512	RLNAX	5.75		.25	1.25000		SELL ONLY
Russell	Russell Investments International Developed Markets Fund Class C	782494496	RLNCX			.75	2.00000		SELL ONLY
Russell	Russell Investments International Developed Markets Fund Class S	782494488	RINTX				1.00000		SELL ONLY
Russell	Russell Investments Investment Grade Bond Fund Class A	782494322	RFAAX	3.75		.25	0.82000		SELL ONLY
Russell	Russell Investments Investment Grade Bond Fund Class C	782494827	RFACX			.75	1.57000		SELL ONLY
Russell	Russell Investments Investment Grade Bond Fund Class S	782494835	RFATX				0.57000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class A	782478622	RBLAX	5.75		.25	1.35000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class C	782493373	RBLCX			.75	2.10000		SELL ONLY

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Russell	Russell Investments LifePoints Balanced Strategy Fund Class R1	782478366	RBLRX				1.10000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class R4	78249R677	RBLUX			.25	1.35000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class R5	78249R669	RBLVX			.50	1.60000		SELL ONLY
Russell	Russell Investments LifePoints Balanced Strategy Fund Class S	782493134	RBLSX				1.10000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class A	782478648	RCLAX	5.75		.25	1.34000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class C	782493357	RCLCX			.75	2.09000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class R1	782478325	RCLRX				1.09000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class R4	78249R719	RCLUX			.25	1.34000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class R5	78249R693	RCLVX			.50	1.59000		SELL ONLY
Russell	Russell Investments LifePoints Conservative Strategy Fund Class S	782478200	RCLSX				1.09000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class A	782478598	REAAX	5.75		.25	1.46000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class C	782493399	RELCX			.75	2.21000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class R1	782478416	RELRX				1.21000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class R4	78249R636	RELUX			.25	1.46000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class R5	78249R628	RELVX			.50	1.71000		SELL ONLY
Russell	Russell Investments LifePoints Equity Growth Strategy Fund Class S	782493118	RELSX				1.21000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class A	782478614	RALAX	5.75		.25	1.40000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class C	782493381	RALCX			.75	2.15000		SELL ONLY

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Russell	Russell Investments LifePoints Growth Strategy Fund Class R1	782478382	RALRX				1.15000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class R4	78249R651	RALUX			.25	1.40000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class R5	78249R644	RALVX			.50	1.65000		SELL ONLY
Russell	Russell Investments LifePoints Growth Strategy Fund Class S	782493126	RALSX				1.15000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class A	782478630	RMLAX	5.75		.25	1.34000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class C	782493365	RMLCX			.75	2.09000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class R1	782478341	RMLRX				1.09000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class R4	78249R727	RMLUX			.25	1.34000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class R5	78249R685	RMLVX			.50	1.59000		SELL ONLY
Russell	Russell Investments LifePoints Moderate Strategy Fund Class S	782478101	RMLSX				1.09000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth Strategy Fund Class A	78250F596	RAZAX	5.75		.25	1.44000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth Strategy Fund Class C	78250F588	RAZCX			.75	2.19000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth Strategy Fund Class S	78250F554	RMGSX				1.19000		SELL ONLY
Russell	Russell Investments Multifactor International Equity Fund Class S	78249R297	RTISX				0.79000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equity Fund Class A	78249R388	RTDAX	5.75		.25	0.86000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equity Fund Class C	78249R370	RTDCX			.75	1.61000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equity Fund Class S	78249R354	RTDSX				0.61000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income Fund Class A	78249R255	RMYAX	5.75		.25	1.36000		SELL ONLY

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Russell	Russell Investments Multi-Strategy Income Fund Class C	78249R248	RMYCX			.75	2.11000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income Fund Class S	78249R222	RMYSX				1.11000		SELL ONLY
Russell	Russell Investments Opportunistic Credit Fund Class A	782494231	RGCAx	3.75		.25	1.61000		SELL ONLY
Russell	Russell Investments Opportunistic Credit Fund Class C	782494223	RGCCX			.75	2.36000		SELL ONLY
Russell	Russell Investments Opportunistic Credit Fund Class S	782494199	RGCSX				1.36000		SELL ONLY
Russell	Russell Investments Short Duration Bond Fund Class A	782494801	RSBTX	2.50		.25	1.06000		SELL ONLY
Russell	Russell Investments Short Duration Bond Fund Class C	782493340	RSBCX			.75	1.81000		SELL ONLY
Russell	Russell Investments Short Duration Bond Fund Class S	782493506	RFBSX				0.81000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund Class A	782494470	RFDAx	3.75		.25	1.02000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund Class C	782494462	RFCCX			.75	1.77000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund Class S	782494454	RFCTX				0.77000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fund Class A	782494579	REQAX	5.75		.25	1.33000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fund Class C	782494561	REQCX			.75	2.08000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fund Class S	782494553	REQTX				1.08000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fund Class A	782494314	RTEAX	3.75		.25	0.84000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fund Class C	782493324	RTECX			.75	1.59000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fund Class S	782493837	RLVSX				0.59000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yield Bond Fund Class A	78249R156	RTHAX	3.75		.25	1.05000		SELL ONLY

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Russell	Russell Investments Tax-Exempt High Yield Bond Fund Class C	78249R149	RTHCX			.75	1.80000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yield Bond Fund Class S	78249R123	RTHSX				0.80000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equity Fund Class A	782494546	RLACX	5.75		.25	1.35000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equity Fund Class C	782494538	RLECX			.75	2.10000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equity Fund Class S	782494520	RLESX				1.10000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity Fund Class A	78249R859	RSEAX	5.75		.25	1.25000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity Fund Class C	78249R842	RSECX			.75	2.00000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity Fund Class S	78249R826	RSESX				1.00000		SELL ONLY
Russell	Russell Investments Unconstrained Total Return Fund Class A	78250F737	RUTAX	3.75		.25	1.85000		SELL ONLY
Russell	Russell Investments Unconstrained Total Return Fund Class C	78250F729	RUTCX			.75	2.60000		SELL ONLY
Russell	Russell Investments Unconstrained Total Return Fund Class S	78250F687	RUTSX				1.60000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class A	78355E379	RYBKX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class C	783554314	RYKCX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class H	783554876	RYKAX			.25	1.63000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class Investor	783554868	RYKIX				1.43000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class A	78355E361	RYBMX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class C	783554298	RYBCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class H	783554850	RYBAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class Investor	783554843	RYBIX				1.43000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class A	78355E353	RYBOX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class C	783554280	RYCFX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class H	783554835	RYOAX			.25	1.72000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class Investor	783554827	RYOIX				1.45000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class A	78356A301	RYMEX	4.75		.25	1.81000		SELL ONLY

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Rydex Funds	Rydex Commodities Fund Class C	78356A400	RYMJX		1.00	1.00	2.56000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class H	78356A509	RYMBX			.25	1.80000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class A	78355E346	RYPDX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class C	783554272	RYCPX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class H	783554819	RYCAX			.25	1.69000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class Investor	783554793	RYCIX				1.45000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class A	78355Y888	RYLDX	4.75		.25	1.84000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class C	78355Y102	RYCYX		1.00	1.00	2.59000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class H	78355Y201	RYCVX			.25	1.84000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fund Class A	78356C539	RYDAX	4.75		.25	1.71000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fund Class C	78356C521	RYDKX		1.00	1.00	2.46000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fund Class H	78356C513	RYDHX			.25	1.72000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class A	78355E338	RYELX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class C	783554264	RYSCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class H	783554785	RYSAX			.25	1.67000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class Investor	783554777	RYSIX				1.44000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund A Class	78356C760	RYWTX	4.75		.25	1.83000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund C Class	78356C778	RYWU X		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund H Class	78356C794	RYWVX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy Fund A-Class	78356C653	RYIEX	4.75		.25	1.74000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy Fund C-Class	78356C661	RYFTX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy Fund H-Class	78356C646	RYGTX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class A	78355E320	RYENX	4.75		.25	1.68000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class C	783554256	RYECX		1.00	1.00	2.44000		SELL ONLY

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Rydex Funds	Rydex Energy Fund Class H	783554769	RYEAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class Investor	783554751	RYEIX				1.42000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class A	78355E130	RYESX	4.75		.25	1.68000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class C	783554249	RYVCX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class H	783554744	RYVAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class Investor	783554736	RYVIX				1.42000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class A	78355E692	RYAEX	4.75		.25	1.80000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class C	783554116	RYCEX		1.00	1.00	2.63000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class H	783554447	RYEUX			.25	1.82000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class A	78355E312	RYFNX	4.75		.25	1.70000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class C	783554231	RYFCX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class H	783554728	RYFAX			.25	1.69000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class Investor	783554710	RYFIX				1.41000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Advisor Class	78355E767	RYADX			0.25	1.4600		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Class A	78355E460	RYABX	4.75		.25	1.33000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Class C	783554330	RYCGX		1.00	1.00	2.08000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Class H	78356C588	RYHBX			.25	1.32000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strategy Fund Investor Class	783554504	RYGBX				1.10000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class A	78355E296	RYHEX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class C	783554223	RYHCX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class H	783554694	RYHAX			.25	1.76000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class Investor	783554686	RYHIX				1.46000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class A	78356A483	RYHDX	4.75		.25	1.64000		SELL ONLY

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Rydex Funds	Rydex High Yield Strategy Fund Class C	78356A467	RYHHX		1.00	1.00	2.38000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class H	78356A442	RYHGX			.25	1.65000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class A	78355E288	RYINX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class C	783554215	RYICX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class H	783554488	RYIAX			.25	1.76000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class Investor	783554496	RYIIX				1.44000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Class A	78355Y870	RYIDX	4.75		.25	1.85000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Class C	78355Y300	RYCZX		1.00	1.00	2.59000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Class H	78355Y409	RYCW X			.25	1.84000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strategy Fund A Class	78356C745	RYWW X	4.75		.25	1.86000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strategy Fund C Class	78356C752	RYWZX		1.00	1.00	2.66000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strategy Fund H Class	78356C786	RYWYX			.25	1.89000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Advisor Class	78355E775	RYJAX			0.25	3.6400		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Class A	78355E429	RYAQX	4.75		.25	3.00000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Class C	783554322	RYJCX		1.00	1.00	3.73000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Class H	78356C570	RYHJX			.25	2.97000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Strategy Fund Investor Class	783554702	RYJUX				2.78000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund Class A	78356A475	RYILX	4.75		.25	1.60000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund Class C	78356A459	RYIYX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund Class H	78356A434	RYIHX			.25	1.66000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Class A	78355E627	RYAGX	4.75		.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Class C	78355E619	RYCLX		1.00	1.00	2.54000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Class H	78355E593	RYMHX			.25	1.82000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy Fund Class A	78355Y805	RYVTX	4.75		.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy Fund Class C	78355E502	RYCDX		1.00	1.00	2.55000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy Fund Class H	783554397	RYVNX			.25	1.85000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Class A	78355E437	RYAPX	4.75		.25	1.80000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Class C	783554348	RYACX		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Class H	78356C596	RYALX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fund Investor Class	783554512	RYAIX				1.56000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100® Strategy Fund Advisor Class	78355E759	RYAAX			0.25	1.9600		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy Fund Class A	78356A723	RYIUX	4.75		.25	1.82000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy Fund Class C	78356A715	RYIZX		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy Fund Class H	78356A699	RYIRX			.25	1.89000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fund Class A	78355E544	RYAFX	4.75		.25	1.84000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fund Class C	78355E536	RYCQX		1.00	1.00	2.61000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fund Class H	78355E528	RYSHX			.25	1.85000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund Class A	78355Y607	RYTMX	4.75		.25	1.81000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund Class C	78355E304	RYCBX		1.00	1.00	2.55000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund Class H	783554421	RYTPX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 Strategy Fund Cl Advisor	783554538	RYUAX			0.25	1.9100		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Class A	78355E718	RYARX	4.75		.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Class C	783554363	RYUCX		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Class H	78356C620	RYUHX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund Investor Class	783554405	RYURX				1.54000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class A	78356A368	RYJSX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class C	78356A335	RYJTX		1.00	1.00	2.35000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class H	78356A293	RYJHX			.25	1.61000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class A	78355E270	RYLSX	4.75		.25	1.68000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class C	783554199	RYLCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class Investor	783554660	RYLIX				1.41000		SELL ONLY
Rydex Funds	Rydex Leisure Fund H Class	783554678	RYLAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class A	78355E486	RYAHX	4.75		.25	1.79000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class C	78355E700	RYDCX		1.00	1.00	2.56000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class H	78355E882	RYMDX			.25	1.78000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100? 2x Strategy Fund Class A	78356C562	RMQAX	4.75		.25	1.79000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100? 2x Strategy Fund Class C	78356C554	RMQCX		1.00	1.00	2.54000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100? 2x Strategy Fund Class H	78356C547	RMQHX			.25	1.79000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund Class A	78355Y706	RYVLX	4.75		.25	1.84000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund Class C	78355E403	RYCCX		1.00	1.00	2.59000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund Class H	783554413	RYVYX			.25	1.84000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class A	78355E445	RYATX	4.75		.25	1.58000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class C	783554355	RYCOX		1.00	1.00	2.33000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class H	78356C612	RYHOX			.25	1.59000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Investor Class	783554603	RYOCX				1.33000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class A	78355E452	RYANX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class C	783554371	RYNCX		1.00	1.00	2.37000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class H	78356C638	RYNHX			.25	1.61000		SELL ONLY
Rydex Funds	Rydex Nova Fund Investor Class	783554108	RYNVX				1.35000		SELL ONLY
Rydex Funds	Rydex Otc Fund Advisor Class	783554520	RYAOX			0.25	1.7500		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class A	78355E262	RYMNX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class C	783554181	RYZCX		1.00	1.00	2.37000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class H	78355E858	RYMPX			.25	1.62000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class Investor	783554207	RYPMX				1.36000		SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class A	78355E254	RYREX	4.75		.25	1.70000		SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class C	78355E809	RYCRX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class H	78355E387	RYHRX			.25	1.66000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class A	78355E247	RYRTX	4.75		.25	1.67000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class C	783554173	RYRCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class H	783554652	RYRAX			.25	1.69000		SELL ONLY
Rydex Funds	Rydex Retailing Fund Class Investor	783554645	RYRIX				1.43000		SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Class A	78355E478	RYAKX	4.75		.25	1.82000		SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Class C	78355E601	RYCMX		1.00	1.00	2.55000		SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Class H	783554389	RYMKX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Class A	78356A756	RYRUX	4.75		.25	1.81000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Class C	78356A749	RYRLX			1.00	1.00	2.57000	SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Class H	78356A731	RYRSX				.25	1.85000	SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class A	78356A681	RYRRX	4.75			.25	1.67000	SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class C	78356A673	RYROX			1.00	1.00	2.43000	SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class H	78356A665	RYRHX				.25	1.67000	SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class A	78355Y508	RYTTX	4.75			.25	1.81000	SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class C	78355E205	RYCTX			1.00	1.00	2.56000	SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class H	783554439	RYTNX				.25	1.81000	SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class A	78356A657	RYSOX	4.75			.25	1.65000	SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class C	78356A640	RYSYX			1.00	1.00	2.39000	SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class H	78356A632	RYSPX				.25	1.65000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class A	78355E189	RYLGX	4.75			.25	1.60000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class C	78355E668	RYGRX			1.00	1.00	2.35000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class H	78355E650	RYAWX				.25	1.61000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class A	78355E171	RYLVX	4.75			.25	1.60000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class C	78355E684	RYVVX			1.00	1.00	2.35000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class H	78355E676	RYZAX				.25	1.58000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund Class A	78355E742	RYMGX	4.75			.25	1.59000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund Class C	78355E734	RYCKX			1.00	1.00	2.35000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund Class H	78355E726	RYBHX				.25	1.59000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund Class A	78355E163	RYMVX	4.75			.25	1.59000	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund Class C	78355E643	RYMMX		1.00	1.00	2.34000		SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund Class H	78355E635	RYAVX			.25	1.54000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fund Class A	78355E148	RYSGX	4.75		.25	1.58000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fund Class C	78355E569	RYWCX		1.00	1.00	2.33000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fund Class H	78355E551	RYWAX			.25	1.54000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fund Class A	78355E155	RYSVX	4.75		.25	1.59000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fund Class C	78355E585	RYYCX		1.00	1.00	2.34000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fund Class H	78355E577	RYAZX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex Series Funds Nova Fund Advisor Class	783554546	RYNAX			0.25	1.7800		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fund Class A	78356A608	RYSDX	4.75		.25	1.98000		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fund Class C	78356A707	RYSJX		1.00	1.00	2.74000		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fund Class H	78356A806	RYSBX			.25	2.01000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class A	78355E239	RYTHX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class C	783554165	RYCHX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class H	783554637	RYTAX			.25	1.74000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class Investor	783554629	RYTIX				1.44000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class A	78355E221	RYTLX	4.75		.25	1.70000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class C	783554157	RYCSX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class H	783554611	RYMAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class Investor	783554595	RYMIX				1.46000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class A	78355E213	RYTSX	4.75		.25	1.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Rydex Funds	Rydex Transportation Fund Class C	783554140	RYCNX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class H	783554587	RYPAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class Investor	783554579	RYPIX				1.41000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class A	78355E197	RYUTX	4.75		.25	1.70000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class C	783554132	RYCUX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class H	783554462	RYAUX			.25	1.72000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class Investor	783554470	RYUIX				1.45000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund Class A	78356A889	RYWD X	4.75		.25	1.94000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund Class C	78356A871	RYWJX		1.00	1.00	2.70000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund Class H	78356A863	RYWBX			.25	1.92000		SELL ONLY