

## Research Report Disclosures

Symbol	Company Name	1	2	3	4	5	23	30	6	7	8	9	28	11	25	12	31	13	14	15	17	18	26	27
AB	AllianceBernstein Holding L.P.					X																		
ABCW	Anchor Bancorp Wisconsin Inc					X																		
ADP	Automatic Data Processing, Inc.					X		X																
AEG	Aegon NV ADR					X	X	X																
AET	Aetna Inc.					X																		
AFC	Allied Capital					X																		
AHFCF	Flow Capital Corp.						X																	
AIG	American International Group, Inc.					X		X																
ALIZF	Allianz SE					X	X																	
ALL	Allstate Corporation					X																		
ALRS	Alerus Financial Corporation					X																		
AMG	Affiliated Managers Group, Inc.					X																		
AMP	Ameriprise Financial, Inc.					X																		
APAM	Artisan Partners Asset Management, Inc. Class A					X																		
ASBC	Associated Banc-Corp													X	X									
AXAHY	AXA SA Sponsored ADR					X																		
AXP	American Express Company														X									
BAC	Bank of America Corp		X		X									X								X		
BACHY	Bank of China Limited Unsponsored ADR Class H														X									
BAM	Brookfield Asset Management Inc. Class A					X																		
BBT	Truist Financial Corporation					X								X										
BBVA	Banco Bilbao Vizcaya Argentaria, S.A. Sponsored ADR														X									
BCS	Barclays PLC Sponsored ADR		X		X																			
BEN	Franklin Resources, Inc.					X	X	X																
BHF	Brighthouse Financial, Inc.					X		X																

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BK	Bank of New York Mellon Corporation					X								X										
BLK	BlackRock, Inc.					X		X																
BMO	Bank of Montreal		X		X	X	X	X						X										
BMO.TO	Bank of Montreal		X		X	X	X	X						X										
BNPQY	BNP Paribas SA Sponsored ADR					X								X	X									
BNS	Bank of Nova Scotia		X		X		X							X										
BNS.TO	Bank of Nova Scotia		X		X		X							X										
BOKF	BOK Financial Corporation													X	X									
C	Citigroup Inc.		X		X										X									
CBSH	Commerce Bancshares, Inc.													X										
CFG	Citizens Financial Group, Inc.													X										
CG	Carlyle Group Inc					X																		
CI	Cigna Corporation					X																		
CIX.TO	CI Financial Corp					X	X	X																
CLMS	Calamos Asset Management, Inc. Class A					X																		
CM	Canadian Imperial Bank of Commerce		X		X	X	X							X	X									
CM.TO	Canadian Imperial Bank of Commerce		X		X	X	X							X	X									
CMA	Comerica Incorporated													X										
CNS	Cohen & Steers, Inc.					X																		
CPFH	Capital Financial Holdings, Inc.					X																		
CS	Credit Suisse Group AG Sponsored ADR					X																		
CTL	Lumen Technologies, Inc.																							X
CYB.TO	Cymbria Corporation						X																	
D107301	Dividend Select 15 Corp COM						X																	
DB	Deutsche Bank AG					X																		
DGS.TO	Dividend Growth Split Corp.						X																	
DVSPF	Dividend 15 Split Corp. Class A						X																	
EBTC	Enterprise Bancorp, Inc.													X										

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EFSC	Enterprise Financial Services Corp														X									
EV	Eaton Vance Corp.					X																		
EWBC	East West Bancorp, Inc.														X									
F	Ford Motor Company																							X
FFN.TO	North American Financial 15 Split Corp						X																	
FII	Federated Hermes, Inc. Class B					X		X																
FIS	Fidelity National Information Services, Inc.					X																		
FISI	Financial Institutions, Inc.														X									
FITB	Fifth Third Bancorp													X	X									
GBL	GAMCO Investors, Inc. Class A					X																		
GE	General Electric Company																							X
GNW	Genworth Financial, Inc. Class A					X		X																
GS	Goldman Sachs Group, Inc.		X		X	X		X							X									
GWLIF	Great-West Lifeco Inc.					X	X	X																
GWO.TO	Great-West Lifeco Inc					X	X	X																
HIG	Hartford Financial Services Group, Inc.					X		X																
HWC	Hancock Whitney Corporation					X																		
ICFNF	Income Financial Trust 1999-1.1.19 Trust Units						X																	
IGM.TO	IGM Financial Inc.					X	X	X																
ING	ING Groep NV Sponsored ADR					X																		
IVZ	Invesco Ltd.					X	X	X																
IX	ORIX Corporation Sponsored ADR					X																		
JNS	Janus Capital Group Inc.					X																		
JPM	JPMorgan Chase & Co.		X		X	X		X						X	X									
KEY	KeyCorp														X									
LAZ	Lazard Ltd Class A					X	X																	

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LB.TO	Laurentian Bank						X																	
LM	Legg Mason, Inc.					X																		
LNC	Lincoln National Corporation					X		X																
LUMN	Lumen Technologies, Inc.																							X
MET	MetLife, Inc.					X		X																
MFC	Manulife Financial Corporation					X	X	X																
MFC.TO	Manulife Financial Corporation					X	X	X																
MN	Manning & Napier, Inc. Class A					X																		
MS	Morgan Stanley		X		X	X									X									
MTB	M&T Bank Corporation					X									X									
NA.TO	National Bank of Canada						X																	
NFS	Nationwide Financial Services Inc.					X																		
NTIOF	National Bank of Canada						X																	
NTRS	Northern Trust Corporation					X								X										
NTXFF	NATIXIS					X	X																	
OMAM	BrightSphere Investment Group, Inc.					X																		
OPY	Oppenheimer Holdings Inc. Class A					X		X																
OSP.TO	Brompton Oil Split Corporation						X																	
PAYX	Paychex, Inc.					X		X																
PBCT	People's United Financial, Inc.													X										
PDV.TO	Prime Dividend Corp.						X																	
PFG	Principal Financial Group, Inc.					X		X																
PJC	Piper Sandler Companies					X																		
PL	Protective Life Corporation					X		X																
PMMTF	Precious Metals and Mining Trust Units						X																	
PNC	PNC Financial Services Group, Inc.													X	X									

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PNX	Phoenix Companies, Inc.					X																		
POW.TO	Power Corporation of Canada					X	X	X																
PRU	Prudential Financial, Inc.					X		X																
PWCDF	Power Corporation of Canada					X	X	X																
RY	Royal Bank of Canada						X	X						X										
RY.TO	Royal Bank of Canada						X	X						X										
SBCF	Seacoast Banking Corporation of Florida														X									
SBHGF	SBI Holdings, Inc.					X																		
SBNY	Signature Bank													X										
SCGLF	Societe Generale S.A. Class A		X		X																			
SCHW	Charles Schwab Corporation					X																		
SCP.TO	Stellar Capital Partners						X																	
SEIC	SEI Investments Company						X																	
SHNWF	Schroders PLC					X																		
SII.TO	Sprott Inc.					X																		
SLF	Sun Life Financial Inc.					X	X	X																
SLF.TO	Sun Life Financial Inc					X	X	X																
SLFPF	Standard Life Aberdeen PLC					X	X																	
SLM	SLM Corp					X		X																
STT	State Street Corporation					X								X	X									
T	AT&T Inc.																							X
TD	Toronto-Dominion Bank		X		X		X																	
TD.TO	Toronto-Dominion Bank		X		X		X																	
TFC	Truist Financial Corporation					X								X	X									
THG	Hanover Insurance Group, Inc.					X																		
TRMK	Trustmark Corporation					X																		
TROW	T. Rowe Price Group					X																		
UBS	UBS Group AG					X																		
UMBF	UMB Financial Corporation					X								X										
USB	U.S. Bancorp					X			X	X				X	X						X			

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VCTR	Victory Capital Holdings, Inc. Class A					X																		
VOYA	Voya Financial, Inc.					X		X																
VRTS	Virtus Investment Partners, Inc.					X																		
VZ	Verizon Communications		X		X																			
WDR	Waddell & Reed Financial, Inc. Class A					X	X	X																
WFC	Wells Fargo & Company		X		X	X								X	X									
WHG	Westwood Holdings Group, Inc.					X	X																	
WNMLA	Winmill & Co. Incorporated Class A					X																		
ZFSVF	Zurich Insurance Group Ltd					X																		
ZURVY	Zurich Insurance Group Ltd Sponsored ADR					X																		

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### Legend - Listed in Display Order

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2. Edward Jones has received compensation from this company for investment banking services within the last twelve months.
3. Edward Jones expects to receive or intends to seek compensation from this company for investment banking services within the next three months.
4. Edward Jones has provided investment banking services for this company within the past twelve months.
5. Edward Jones has received compensation from this company for providing non-investment banking securities-related services within the past twelve months.
23. An affiliate of Edward Jones has received compensation from this company for products or services other than for investment banking services within the past twelve months.
30. This company, its parent or an affiliate is a product partner of Edward Jones. Edward Jones received both standard compensation and reimbursement for certain expenses as well as additional financial and non-cash incentives and benefits for non-investment banking services in connection with the sales of financial products from this product partner within the past twelve months.
6. Edward Jones has provided non-securities services for this company within the past twelve months.
7. Edward Jones has received compensation for products or services other than investment banking services from this company within the past twelve months.
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31. The analyst received compensation from the subject company in the past twelve months.
13. The analyst or a member of the research analyst's household has a financial interest in the securities of this company.
14. The research analyst or a member of the research analyst's household serves as an officer, director, or advisory board member of this company or an affiliate.
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