

**Edward Jones**<sup>®</sup>

# Summary of changes to your Edward Jones Advisory Solutions<sup>®</sup> Brochure

## Summary of changes to your Edward Jones Advisory Solutions® Brochure

Each year we are required to provide you a summary of material changes we have made to your Advisory Solutions Brochure, along with a summary of Brochure changes, over the past 12 months. The following is a summary of those changes.

### Summary of previous Brochure changes

- As of May 6, 2021, if we remove an Advisory Solutions account from the program, the account will be converted to a self-directed brokerage account with specific, limited services and features. In the event we remove an account from the program and convert it to a self-directed brokerage account, clients should work with their financial advisor to determine what solution best meets their long-term needs. The Advisory Solutions Brochure and Client Services Agreement have been updated to reflect this process change.
- In certain situations, when a fund is removed from the Advisory Solutions Program List and therefore your account, we may elect to use an affiliated transition fund. The affiliated transition fund is a short-term investment vehicle used to facilitate fund replacements. Edward Jones may use this affiliated transition fund at its discretion, even for clients who have not previously consented to the use of affiliated funds within their Advisory Solutions account.

This notice is informational only. No action is required.

The most up-to-date version of your Brochure and Client Services Agreement is available at [edwardjones.com/disclosures](http://edwardjones.com/disclosures) and from your financial advisor. The Brochure will also be available on the SEC's website at [adviserinfo.sec.gov](http://adviserinfo.sec.gov).

Please contact your financial advisor if you have any questions. Thank you for choosing Edward Jones to help you reach your financial goals.

**Note: If you have more than one advisory account, you may receive a duplicate notice for each account.**

### Update to Brochure Supplements for Home Office

**Associates:** Disclosure guidelines adopted by the U. S. Securities and Exchange Commission (SEC) require all firms offering advisory services to provide clients with brochure supplements. A brochure supplement is a resume-like document for both your financial advisor and for the home-office associates who provide oversight of the investments selected for the firm's advisory programs. We wanted to inform you of some recent changes to the brochure supplements you previously received. Please take a moment to review the most recent updates to these documents, which are listed below.

**Summary of Recent Updates:** Mark Hoppe has replaced Dave Powers as a home-office associate providing oversight of the investments selected for the firm's advisory programs. As a result the change, we are enclosing information on Mark Hoppe. Please review his brochure supplement below.

#### Mark Hoppe

Edward Jones,  
12555 Manchester Road, Saint Louis, MO 63131,  
314-515-2000

This brochure supplement provides information about individuals at Edward Jones who have primary decision-making authority over the investments available in our advisory programs. This information supplements the Edward Jones brochure provided to clients who invest in an advisory program, which contains important information regarding Edward Jones as an investment adviser. You should have received a copy of that brochure. Please contact Edward Jones Client Relations at 800-803-3333 if you did not receive the Edward Jones brochure or if you have questions about the content of this supplement.

### **Business Experience / Education Background**

This section describes the individual's business experience for the past five years and education.

Miami University Oxford, Bachelor's Degree

University of Texas-Austin, Master's Degree

Year of Birth, 1985

CFA® (Chartered Financial Analyst®) – A professional designation granted by the CFA Institute. The CFA designation, or charter, may only be earned by individuals with four years of qualified investment work experience who become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct and complete the CFA Program. The CFA Program consists of three levels including three exams which test and represent the individual's competence, integrity and extensive knowledge in accounting, ethical and professional standards, economics, portfolio management and security analysis.

### **This individual holds the following securities registration(s):**

General Securities Representative (Series 7)

Uniform Combined State Law Examination (Series 66)

**Disciplinary Information** - This section provides information about certain legal or disciplinary actions available through FINRA BrokerCheck: None

**Other Business Activities** - This individual engages in the following investment-related business activities: Not applicable

**Additional Compensation** - This section describes other economic benefits the individual may receive as a result of providing advisory services to clients: This individual may receive profit sharing distributions and partnership earnings based on the profitability of the firm.

**Supervision** - This section describes how Edward Jones monitors the advice provided by the individual – Edward Jones supervises and monitors the advice given by the individual through regular reviews of the investments and portfolio construction. Clients can contact Glenn Regan, Principal, at 855-283-3672 with questions or comments regarding the supervision of the individual.

edwardjones.com

**Edward Jones**®