

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
UBS	SMA Relationship Trust Service T	784453102	SRTTX				0.0400		SELL ONLY
UBS Asset Management	PACE Alternative Strategies Investments C	69373W707	PASIX	5.50		.25	2.91000		SELL ONLY
UBS Asset Management	PACE Alternative Strategies Investments C	69373W202	PASPX				2.67000		SELL ONLY
UBS Asset Management	PACE Global Fixed Income Investments C	69373W103	PWFAX	3.75		.25	1.27000		SELL ONLY
UBS Asset Management	PACE Global Fixed Income Investments C	69373W624	PCGLX				1.08000		SELL ONLY
UBS Asset Management	PACE Global Real Estate Securities Inves	69373W855	PREAX	5.50		.25	1.59000		SELL ONLY
UBS Asset Management	PACE Global Real Estate Securities Inves	69373W806	PREQX				1.59000		SELL ONLY
UBS Asset Management	PACE High Yield Investments Class A	69373W780	PHIAX	3.75		.25	1.24000		SELL ONLY
UBS Asset Management	PACE High Yield Investments Class P	69373W830	PHYPX				1.10000		SELL ONLY
UBS Asset Management	PACE Intermediate Fixed Income Investm	69373W384	PIFAX	3.75		.25	1.04000		SELL ONLY
UBS Asset Management	PACE Intermediate Fixed Income Investm	69373W350	PCIFX				0.84000		SELL ONLY
UBS Asset Management	PACE International Emerging Markets Equ	69373W178	PWEAX	5.50		.25	1.72000		SELL ONLY
UBS Asset Management	PACE International Emerging Markets Equ	69373W293	PCEMX				1.51000		SELL ONLY
UBS Asset Management	PACE International Equity Investments Cla	69373W277	PWGAX	5.50		.25	1.78000		SELL ONLY
UBS Asset Management	PACE International Equity Investments Cla	69373W244	PCIEX				1.49000		SELL ONLY
UBS Asset Management	PACE Large Co Growth Equity Investment	69373W228	PLAAX	5.50		.25	1.16000		SELL ONLY
UBS Asset Management	PACE Large Co Growth Equity Investment	69373W442	PCLCX				0.92000		SELL ONLY
UBS Asset Management	PACE Large Co Value Equity Investments	69373W459	PCPAX	5.50		.25	1.47000		SELL ONLY
UBS Asset Management	PACE Large Co Value Equity Investments	69373W491	PCLVX				1.24000		SELL ONLY
UBS Asset Management	PACE Mortgage-Backed Securities Fixed	69373W517	PFAX	3.75		.25	1.36000		SELL ONLY
UBS Asset Management	PACE Mortgage-Backed Securities Fixed	69373W772	PCGTX				1.18000		SELL ONLY
UBS Asset Management	PACE Municipal Fixed Income Investment	69373W525	PMUAX	2.25		.25	0.92000		SELL ONLY
UBS Asset Management	PACE Municipal Fixed Income Investment	69373W566	PCMNX				0.67000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Growth Equity Inv	69373W574	PQUAX	5.50		.25	1.23000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Growth Equity Inv	69373W632	PCSGX				1.09000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Value Equity Inve	69373W640	PEVAX	5.50		.25	1.23000		SELL ONLY
UBS Asset Management	PACE Small/Medium Co Value Equity Inve	69373W681	PCSVX				1.09000		SELL ONLY
UBS Asset Management	PACE Strategic Fixed Income Investments	69373W699	PBNAX	3.75		.25	1.35000		SELL ONLY
UBS Asset Management	PACE Strategic Fixed Income Investments	69373W756	PCSIX				1.13000		SELL ONLY
UBS Asset Management	UBS Dynamic Alpha Fund Class A	90262H353	BNAAX	5.50		.25	2.03000		SELL ONLY
UBS Asset Management	UBS Dynamic Alpha Fund Class P	90262H320	BNAYX				1.78000		SELL ONLY
UBS Asset Management	UBS Emerging Markets Equity Opportunity	90267D720	UEMPX				1.19000		SELL ONLY
UBS Asset Management	UBS Global Allocation Fund Class A	90262H627	BNGLX	5.50		.25	1.50000		SELL ONLY
UBS Asset Management	UBS Global Allocation Fund Class P	90262H585	BPGLX				1.22000		SELL ONLY
UBS Asset Management	UBS International Sustainable Equity Fund	90262H395	BNIEX	5.50		.25	1.41000		SELL ONLY
UBS Asset Management	UBS International Sustainable Equity Fund	90262H361	BNUEX				1.12000		SELL ONLY
UBS Asset Management	UBS Municipal Bond Fund Class A	90267D845	UMBAX	2.25		.25	1.00000		SELL ONLY

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UBS Asset Management	UBS Municipal Bond Fund Class P	90267D829	UMBPX				0.74000		SELL ONLY
UBS Asset Management	UBS Total Return Bond Fund Class A	90267D811	UTBAX	3.75		.25	1.60000		SELL ONLY
UBS Asset Management	UBS Total Return Bond Fund Class P	90267D787	UTBPX				1.39000		SELL ONLY
UBS Asset Management	UBS U.S. Allocation Fund Class A	90262E103	PWTAX	5.50		.25	1.01000		SELL ONLY
UBS Asset Management	UBS U.S. Allocation Fund Class P	90262E400	PWTYX				0.74000		SELL ONLY
UBS Asset Management	UBS U.S. Small Cap Growth Fund Class A	90262H668	BNSCX	5.50		.25	1.61000		SELL ONLY
UBS Asset Management	UBS U.S. Small Cap Growth Fund Class P	90262H635	BISCX				1.30000		SELL ONLY
UBS Asset Management	UBS Ultra Short Income Fund Class P	902656206	USIPX				0.36000		SELL ONLY
Value Line	Value Line Asset Allocation Fund Institutional	920395209	VLAIX			.00	0.83000		SELL ONLY
Value Line	Value Line Asset Allocation Fund Investor	920395100	VLAAX			.25	1.08000		SELL ONLY
Value Line	Value Line Capital Appreciation Fund, Inc.	920440203	VLIIX			.00	0.89000		SELL ONLY
Value Line	Value Line Capital Appreciation Fund, Inc.	920440104	VALIX			.25	1.07000		SELL ONLY
Value Line	Value Line Core Bond Fund	920394103	VAGIX			.25	1.19000		SELL ONLY
Value Line	Value Line Larger Companies Focused Fund	920447208	VLLIX			.00	1.80000		SELL ONLY
Value Line	Value Line Larger Companies Focused Fund	920447109	VALLX			.25	1.15000		SELL ONLY
Value Line	Value Line Mid Cap Focused Fund	920423100	VLIFX			.25	1.10000		SELL ONLY
Value Line	Value Line Mid Cap Focused Fund Institutional	920423209	VLMIX			.00	0.89000		SELL ONLY
Value Line	Value Line Select Growth Fund	920457108	VALSX			.25	1.16000		SELL ONLY
Value Line	Value Line Select Growth Fund Institutional	920457306	VILSX			.00	1.96000		SELL ONLY
Value Line	Value Line Small Cap Opportunities Fund	920454204	VLEIX			.00	1.00000		SELL ONLY
Value Line	Value Line Small Cap Opportunities Fund	920454105	VLEOX			.25	1.19000		SELL ONLY
Value Line	Value Line Tax-Exempt Fund	920461209	VLHYX			.25	1.16000		SELL ONLY
Van Eck	VanEck Long/Short Equity Index Class A	921075222	LSNAX	5.75		0.25	4.1200		SELL ONLY
VanEck	VanEck CM Commodity Index Fund Class A	921075313	CMCAX	5.75		.25	1.41000		SELL ONLY
VanEck	VanEck CM Commodity Index Fund Class C	921075289	CMCYX			.00	1.03000		SELL ONLY
VanEck	VanEck Emerging Markets Bond Fund Class A	921075271	EMBAX	5.75		.25	2.30000		SELL ONLY
VanEck	VanEck Emerging Markets Bond Fund Class C	921075248	EMBYX			.00	2.78000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class A	921075875	GBFAX	5.75		.25	1.47000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class C	921075453	EMRCX		1.00	1.00	2.27000		SELL ONLY
VanEck	VanEck Emerging Markets Fund Class Y	921075370	EMRYX			.00	1.14000		SELL ONLY
VanEck	VanEck Global Resources Fund - Class C	921075743	GHACX		1.00	1.00	2.65000		SELL ONLY
VanEck	VanEck Global Resources Fund ? Class A	921075750	GHAAX	5.75		.25	1.62000		SELL ONLY
VanEck	VanEck Global Resources Fund ? Class Y	921075362	GHAYX			.00	1.29000		SELL ONLY
VanEck	VanEck International Investors Gold Fund	921075503	INIVX	5.75		.25	1.34000		SELL ONLY
VanEck	VanEck International Investors Gold Fund	921075776	IIGCX		1.00	1.00	2.12000		SELL ONLY
VanEck	VanEck International Investors Gold Fund	921075388	INIYX			.00	1.05000		SELL ONLY
VanEck	VanEck NDR Managed Allocation Fund Class A	921075180	NDRMX	5.75		.25	2.02000		SELL ONLY

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				Load	CDSC		Expense Ratio		
VanEck	VanEck NDR Managed Allocation Fund Cl	921075164	NDRYX			.00	1.83000		SELL ONLY
Vanguard	Vanguard 500 Index Fund Admiral Shares	922908710	VFIAX				0.04000		SELL ONLY
Vanguard	Vanguard Alternative Strategies Fund Inve	921939609	VASFX				0.78000		SELL ONLY
Vanguard	Vanguard Balanced Index Fund Admiral S	921931200	VBIAX				0.07000		SELL ONLY
Vanguard	Vanguard Balanced Index Fund Institutional	921931309	VBAIX				0.06000		SELL ONLY
Vanguard	Vanguard California Intermediate-Term Ta	922021407	VCADX				0.09000		SELL ONLY
Vanguard	Vanguard California Intermediate-Term Ta	922021308	VCAIX				0.17000		SELL ONLY
Vanguard	Vanguard California Long-Term Tax-Exem	922021506	VCLAX				0.09000		SELL ONLY
Vanguard	Vanguard California Long-Term Tax-Exem	922021100	VCITX				0.17000		SELL ONLY
Vanguard	Vanguard Capital Opportunity Fund Admira	922038500	VHCAX				0.37000		SELL ONLY
Vanguard	Vanguard Capital Opportunity Fund Invest	922038302	VHCOX				0.44000		SELL ONLY
Vanguard	Vanguard Commodity Strategy Fund Admi	921939708	VCMDX				0.20000		SELL ONLY
Vanguard	Vanguard Communication Services Index	92204A777	VTCAX				0.10000		SELL ONLY
Vanguard	Vanguard Consumer Discretionary Index F	92204A868	VCDAX				0.10000		SELL ONLY
Vanguard	Vanguard Consumer Staples Index Fund C	92204A850	VCSAX				0.10000		SELL ONLY
Vanguard	Vanguard Core Bond Fund Admiral Shares	922020839	VCOBX				0.10000		SELL ONLY
Vanguard	Vanguard Core Bond Fund Investor Share	922020847	VCORX				0.25000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund	921943809	VTMGX				0.07000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund	92206J206	VDIPX				0.04000		SELL ONLY
Vanguard	Vanguard Developed Markets Index Fund	921943882	VTMNX				0.05000		SELL ONLY
Vanguard	Vanguard Diversified Equity Fund Investor	921939401	VDEQX				0.35000		SELL ONLY
Vanguard	Vanguard Dividend Appreciation Index Fur	921908828	VDADX				0.08000		SELL ONLY
Vanguard	Vanguard Dividend Growth Fund Investor	921908604	VDIGX				0.26000		SELL ONLY
Vanguard	Vanguard Emerging Markets Bond Admira	922020813	VEGBX						SELL ONLY
Vanguard	Vanguard Emerging Markets Bond Fund A	922020813	VEGBX				0.45000		SELL ONLY
Vanguard	Vanguard Emerging Markets Bond Fund Ir	922020821	VEMBX				0.60000		SELL ONLY
Vanguard	Vanguard Emerging Markets Government	921946802	VGAVX				0.25000		SELL ONLY
Vanguard	Vanguard Emerging Markets Government	921946703	VGIVX				0.23000		SELL ONLY
Vanguard	Vanguard Emerging Markets Select Stock	921939500	VMMSX				0.85000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index F	922042841	VEMAX				0.14000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index F	922042650	VEMRX				0.08000		SELL ONLY
Vanguard	Vanguard Emerging Markets Stock Index F	922042601	VEMIX				0.10000		SELL ONLY
Vanguard	Vanguard Energy Fund Admiral Shares	921908802	VGELX				0.25000		SELL ONLY
Vanguard	Vanguard Energy Fund Investor Shares	921908109	VGEXX				0.33000		SELL ONLY
Vanguard	Vanguard Energy Index Fund Admiral Sha	92204A843	VENAX				0.10000		SELL ONLY
Vanguard	Vanguard Equity-Income Fund Admiral Sh	921921300	VEIRX				0.19000		SELL ONLY
Vanguard	Vanguard Equity-Income Fund Investor Sh	921921102	VEIPX				0.28000		SELL ONLY

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				Load	CDSC		Expense Ratio		
Vanguard	Vanguard European Stock Index Fund Ad	922042809	VEUSX				0.10000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Ins	922042627	VEUPX				0.07000		SELL ONLY
Vanguard	Vanguard European Stock Index Fund Ins	922042502	VESIX				0.08000		SELL ONLY
Vanguard	Vanguard Explorer Fund Admiral Shares	921926200	VEXRX				0.30000		SELL ONLY
Vanguard	Vanguard Explorer Fund Investor Class	921926101	VEXPX				0.41000		SELL ONLY
Vanguard	Vanguard Explorer Value Fund Investor Sh	92206C748	VEVFX				0.64000		SELL ONLY
Vanguard	Vanguard Extended Duration Treasury Ind	921910808	VEDIX				0.04000		SELL ONLY
Vanguard	Vanguard Extended Duration Treasury Ind	921910881	VEDTX				0.06000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Ac	922908694	VEXAX				0.06000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Ins	922908371	VEMPX				0.04000		SELL ONLY
Vanguard	Vanguard Extended Market Index Fund Ins	922908884	VIEIX				0.05000		SELL ONLY
Vanguard	Vanguard Financials Index Fund Admiral S	92204A835	VFAIX				0.10000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fu	921937736	VFWAX				0.11000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fu	922042635	VFWPX				0.06000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Index Fu	922042783	VFWSX				0.08000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Small Ca	922042593	VFSAX				0.16000		SELL ONLY
Vanguard	Vanguard FTSE All-World ex-US Small-Ca	922042726	VFSNX				0.11000		SELL ONLY
Vanguard	Vanguard FTSE Social Index Fund Admira	921910717	VFTAX				0.14000		SELL ONLY
Vanguard	Vanguard FTSE Social Index Fund Institut	921910402	VFTNX				0.12000		SELL ONLY
Vanguard	Vanguard Global Capital Cycles Fund Inve	921908208	VGPMX				0.35000		SELL ONLY
Vanguard	Vanguard Global Credit Bond Fund Admira	92203J852	VGCAIX				0.25000		SELL ONLY
Vanguard	Vanguard Global Credit Bond Fund Invest	92203J860	VGCIIX				0.35000		SELL ONLY
Vanguard	Vanguard Global Equity Fund Investor Sha	922038203	VHGEX				0.45000		SELL ONLY
Vanguard	Vanguard Global ESG Select Stock Fund A	921908794	VESGX				0.45000		SELL ONLY
Vanguard	Vanguard Global ESG Select Stock Fund I	921908810	VEIGX				0.55000		SELL ONLY
Vanguard	Vanguard Global ex-U.S. Real Estate Inde	922042668	VGRLX				0.12000	0.25	SELL ONLY
Vanguard	Vanguard Global ex-U.S. Real Estate Inde	922042684	VGRNX				0.11000	0.25	SELL ONLY
Vanguard	Vanguard Global Minimum Volatility Fund A	921946869	VMNVX				0.14000		SELL ONLY
Vanguard	Vanguard Global Minimum Volatility Fund I	921946877	VMVFX				0.21000		SELL ONLY
Vanguard	Vanguard Global Wellesley Income Fund A	921910741	VGYAX				0.31000		SELL ONLY
Vanguard	Vanguard Global Wellesley Income Fund I	921910758	VGWIX				0.41000		SELL ONLY
Vanguard	Vanguard Global Wellington Fund Admiral	921910766	VGWAX				0.34000		SELL ONLY
Vanguard	Vanguard Global Wellington Fund Investor	921910774	VGWLX				0.44000		SELL ONLY
Vanguard	Vanguard GNMA Fund Admiral Shares	922031794	VFIJX				0.11000		SELL ONLY
Vanguard	Vanguard GNMA Fund Investor Shares	922031307	VFIIX				0.21000		SELL ONLY
Vanguard	Vanguard Growth and Income Fund Admir	921913208	VGIAX				0.22000		SELL ONLY
Vanguard	Vanguard Growth and Income Fund Invest	921913109	VQNPX				0.32000		SELL ONLY



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Vanguard	Vanguard Growth Index Fund Admiral Sha	922908660	VIGAX				0.05000		SELL ONLY
Vanguard	Vanguard Growth Index Fund Institutional	922908868	VIGIX				0.04000		SELL ONLY
Vanguard	Vanguard Health Care Fund Admiral Share	921908885	VGHAX				0.27000		SELL ONLY
Vanguard	Vanguard Health Care Fund Investor Shar	921908307	VGHCX				0.32000		SELL ONLY
Vanguard	Vanguard Health Care Index Fund Admira	92204A827	VHCIX				0.10000		SELL ONLY
Vanguard	Vanguard High Dividend Yield Index Fund	921946786	VHYAX				0.08000		SELL ONLY
Vanguard	Vanguard High-Yield Corporate Fund Adm	922031760	VWEAX				0.13000		SELL ONLY
Vanguard	Vanguard High-Yield Corporate Fund Inve	922031208	VWEHX				0.23000		SELL ONLY
Vanguard	Vanguard High-Yield Tax-Exempt Fund	922907407	VWAHX				0.17000		SELL ONLY
Vanguard	Vanguard High-Yield Tax-Exempt Fund Ac	922907845	VWALX				0.09000		SELL ONLY
Vanguard	Vanguard Industrials Index Fund Admiral S	92204A819	VINAX				0.10000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fu	922031737	VAIPX				0.10000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fu	922031745	VIPIX				0.07000		SELL ONLY
Vanguard	Vanguard Inflation-Protected Securities Fu	922031869	VIPSX				0.20000		SELL ONLY
Vanguard	Vanguard Information Technology Index F	92204A793	VITAX				0.10000		SELL ONLY
Vanguard	Vanguard Institutional Index Fund Instituti	922040209	VIIIX				0.02000		SELL ONLY
Vanguard	Vanguard Institutional Index Fund Instituti	922040100	VINIX				0.03500		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E813	VITVX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E797	VITWX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E789	VRIVX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E771	VTTWX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E763	VITFX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E755	VIRSX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E748	VITLX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E730	VTRLX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E722	VIVLX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E714	VILVX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement 2	92202E672	VSXFX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Target Retirement In	92202E698	VITRX				0.09000		SELL ONLY
Vanguard	Vanguard Institutional Total Stock Market	922040407	VITPX				0.02000		SELL ONLY
Vanguard	Vanguard Institutional Total Stock Market	922040308	VITNX				0.03000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index F	921937801	VBILX				0.07000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index F	921937751	VBIUX				0.04000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Bond Index F	921937884	VBIMX				0.05000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Corporate Bd	92206C854	VICSX				0.07000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Corporate Bd	92206C862	VICBX				0.05000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Investment-C	922031810	VFIDX				0.10000		SELL ONLY

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Vanguard	Vanguard Intermediate-Term Investment-C	922031885	VFICX				0.20000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Tax-Exempt	922907878	VWIUX				0.09000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Tax-Exempt	922907209	VWITX				0.17000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Fur	922031828	VFIUX				0.10000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Fur	922031802	VFITX				0.20000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Ind	92206C888	VSIGX				0.07000		SELL ONLY
Vanguard	Vanguard Intermediate-Term Treasury Ind	92206C805	VIIGX				0.05000		SELL ONLY
Vanguard	Vanguard International Core Stock Fund A	922038880	VZICX				0.36000		SELL ONLY
Vanguard	Vanguard International Core Stock Fund Ir	922038807	VWICX				0.46000		SELL ONLY
Vanguard	Vanguard International Dividend Appreciat	921946844	VIAAX				0.20000	0.25	SELL ONLY
Vanguard	Vanguard International Explorer Fund Inve	921946208	VINEX				0.39000		SELL ONLY
Vanguard	Vanguard International Growth Fund Admi	921910501	VWILX				0.33000		SELL ONLY
Vanguard	Vanguard International Growth Fund Inves	921910204	VWIGX				0.44000		SELL ONLY
Vanguard	Vanguard International High Dividend Yield	921946828	VIHAX				0.28000	0.25	SELL ONLY
Vanguard	Vanguard International Value Fund Investd	921939203	VTRIX				0.35000		SELL ONLY
Vanguard	Vanguard Large Cap Index Fund Admiral \$	922908579	VLCAX				0.05000		SELL ONLY
Vanguard	Vanguard Large Cap Index Fund Institution	922908561	VLISX				0.04000		SELL ONLY
Vanguard	Vanguard LifeStrategy Conservative Grow	921909305	VSCGX				0.12000		SELL ONLY
Vanguard	Vanguard LifeStrategy Growth Fund Inves	921909503	VASGX				0.14000		SELL ONLY
Vanguard	Vanguard LifeStrategy Income Fund Inves	921909206	VASIX				0.11000		SELL ONLY
Vanguard	Vanguard LifeStrategy Moderate Growth F	921909404	VSMGX				0.13000		SELL ONLY
Vanguard	Vanguard Limited-Term Tax-Exempt Fund	922907704	VMLTX				0.17000		SELL ONLY
Vanguard	Vanguard Limited-Term Tax-Exempt Fund	922907886	VMLUX				0.09000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Ac	921937652	VBLAX				0.07000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Ins	921937744	VBLIX				0.04000		SELL ONLY
Vanguard	Vanguard Long-Term Bond Index Fund Ins	921937876	VBLIX				0.05000		SELL ONLY
Vanguard	Vanguard Long-Term Corporate Bond Inde	92206C789	VLTCX				0.07000		SELL ONLY
Vanguard	Vanguard Long-Term Corporate Bond Inde	92206C797	VLCIX				0.05000		SELL ONLY
Vanguard	Vanguard Long-Term Investment-Grade F	922031778	VWETX				0.12000		SELL ONLY
Vanguard	Vanguard Long-Term Investment-Grade F	922031109	VWESX				0.22000		SELL ONLY
Vanguard	Vanguard Long-Term Tax-Exempt Fund	922907308	VWLTX				0.17000		SELL ONLY
Vanguard	Vanguard Long-Term Tax-Exempt Fund A	922907860	VWLUX				0.09000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Fund Admi	922031786	VUSUX				0.10000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Fund Inves	922031505	VUSTX				0.20000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Index Fund	92206C821	VLGSX				0.07000		SELL ONLY
Vanguard	Vanguard Long-Term Treasury Index Fund	92206C839	VLGIX				0.05000		SELL ONLY
Vanguard	Vanguard Managed Allocation Fund Invest	92205M200	VPGDX				0.28000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1	Gross	Redemption	Buy/Sell Eligible
				Load	CDSC		Expense Ratio		
Vanguard	Vanguard Market Neutral Fund Institutional	92205G203	VMNIX				1.12000		SELL ONLY
Vanguard	Vanguard Market Neutral Fund Investor Sh	92205G104	VMNFX				1.18000		SELL ONLY
Vanguard	Vanguard Massachusetts Tax-Exempt Fur	92204X108	VMATX				0.13000		SELL ONLY
Vanguard	Vanguard Materials Index Fund Admiral Sh	92204A785	VMIAX				0.10000		SELL ONLY
Vanguard	Vanguard Mega Cap Growth Index Fund In	921910782	VMGAX				0.06000		SELL ONLY
Vanguard	Vanguard Mega Cap Index Fund Institutional	921910857	VMCTX				0.06000		SELL ONLY
Vanguard	Vanguard Mega Cap Value Index Fund Ins	921910824	VMVLX				0.06000		SELL ONLY
Vanguard	Vanguard Mid-Cap Growth Fund Investor S	921946307	VMGRX				0.34000		SELL ONLY
Vanguard	Vanguard Mid-Cap Growth Index Fund Ad	921937728	VMGMX				0.07000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Admiral Sh	922908645	VIMAX				0.05000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Institutional	922908389	VMCPX				0.03000		SELL ONLY
Vanguard	Vanguard Mid-Cap Index Fund Institutional	922908835	VMCIX				0.04000		SELL ONLY
Vanguard	Vanguard Mid-Cap Value Index Fund Adm	921937694	VMVAX				0.07000		SELL ONLY
Vanguard	Vanguard Mortgage-Backed Securities Ind	92206C755	VMBSX				0.07000		SELL ONLY
Vanguard	Vanguard Mortgage-Backed Securities Ind	92206C763	VMBIX				0.05000		SELL ONLY
Vanguard	Vanguard New Jersey Long-Term Tax-Exe	92204F305	VNJUX				0.09000		SELL ONLY
Vanguard	Vanguard New Jersey Long-Term Tax-Exe	92204F206	VNJTX				0.17000		SELL ONLY
Vanguard	Vanguard New York Long-Term Tax-Exem	92204H301	VNYUX				0.09000		SELL ONLY
Vanguard	Vanguard New York Long-Term Tax-Exem	92204H103	VNYTX				0.17000		SELL ONLY
Vanguard	Vanguard Ohio Long-Term Tax-Exempt Fu	921929204	VOHIX				0.13000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Fund Admira	922042700	VPADX				0.10000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Fund Instit	922042403	VPKIX				0.08000		SELL ONLY
Vanguard	Vanguard Pacific Stock Index Institutional	922042643	VPAPX				0.0700		SELL ONLY
Vanguard	Vanguard Pennsylvania Long-Term Tax-E	92204L302	VPALX				0.09000		SELL ONLY
Vanguard	Vanguard Pennsylvania Long-Term Tax-E	92204L104	VPAIX				0.17000		SELL ONLY
Vanguard	Vanguard PRIMECAP Core Fund Investor	921921508	VPCCX				0.46000		SELL ONLY
Vanguard	Vanguard PRIMECAP Fund Admiral Share	921936209	VPMAX				0.31000		SELL ONLY
Vanguard	Vanguard PRIMECAP Fund Investor Share	921936100	VPMCX				0.38000		SELL ONLY
Vanguard	Vanguard Real Estate Index Fund Admiral	921908877	VGSLX				0.12000		SELL ONLY
Vanguard	Vanguard Real Estate Index Fund Instit	921908869	VGSNX				0.10000		SELL ONLY
Vanguard	Vanguard Russell 1000 Growth Index Fund	92206C672	VRGWX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 1000 Index Fund Instit	92206C722	VRNIX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 1000 Value Index Fund	92206C698	VRVIX				0.07000		SELL ONLY
Vanguard	Vanguard Russell 2000 Growth Index Fund	92206C615	VRTGX				0.08000		SELL ONLY
Vanguard	Vanguard Russell 2000 Index Fund Instit	92206C656	VRTIX				0.08000		SELL ONLY
Vanguard	Vanguard Russell 2000 Value Index Fund	92206C631	VRTVX				0.08000		SELL ONLY
Vanguard	Vanguard Russell 3000 Index Fund Instit	92206C581	VRTTX				0.08000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Vanguard	Vanguard S&P 500 Growth Index Institutional	921932604	VSPGX				0.0800		SELL ONLY
Vanguard	Vanguard S&P 500 Value Index Fund Institutional	921932802	VSPVX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Growth Index Institutional	921932851	VMFGX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Index Fund Institutional	921932877	VSPMX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Mid-Cap 400 Value Index Fund Institutional	921932836	VMFVX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Growth Index Institutional	921932786	VSGNX				0.0800		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Index Fund Institutional	921932810	VSMSX				0.08000		SELL ONLY
Vanguard	Vanguard S&P Small-Cap 600 Value Index Fund Institutional	921932760	VSMVX				0.08000		SELL ONLY
Vanguard	Vanguard Selected Value Fund Investor Shares	921946109	VASVX				0.31000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Admiral	921937702	VBIRX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Institutional	921937769	VBIPX				0.04000		SELL ONLY
Vanguard	Vanguard Short-Term Bond Index Fund Institutional	921937777	VBITX				0.05000		SELL ONLY
Vanguard	Vanguard Short-Term Corporate Bond Index	92206C607	VSCSX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Corporate Bond Index	92206C508	VSTBX				0.05000		SELL ONLY
Vanguard	Vanguard Short-Term Federal Fund Admiral	922031844	VSGDX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Federal Fund Investor	922031604	VSGBX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Inflation-Protected Securities	922020706	VTAPX				0.06000		SELL ONLY
Vanguard	Vanguard Short-Term Inflation-Protected Securities	922020607	VTSPX				0.04000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund	922031836	VFSUX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund	922031877	VFSIX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Investment-Grade Fund	922031406	VFSTX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Tax-Exempt Fund	922907100	VWSTX				0.17000		SELL ONLY
Vanguard	Vanguard Short-Term Tax-Exempt Fund	922907803	VWSUX				0.09000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Fund Admiral	922031851	VFIRX				0.10000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Fund Investor	922031703	VFISX				0.20000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Index Fund	92206C300	VSBSX				0.07000		SELL ONLY
Vanguard	Vanguard Short-Term Treasury Index Fund	92206C201	VSBIX				0.05000		SELL ONLY
Vanguard	Vanguard Small Cap Value Index Fund Admiral	921937686	VSIAX				0.07000		SELL ONLY
Vanguard	Vanguard Small-Cap Growth Index Fund Admiral	921937710	VSGAX				0.07000		SELL ONLY
Vanguard	Vanguard Small-Cap Growth Index Fund Institutional	922908819	VSGIX				0.06000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Admiral	922908686	VSMAX				0.05000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Institutional	922908397	VSCPX				0.03000		SELL ONLY
Vanguard	Vanguard Small-Cap Index Fund Institutional	922908876	VSCIX				0.04000		SELL ONLY
Vanguard	Vanguard Small-Cap Value Index Fund Institutional	922908785	VSIIIX				0.06000		SELL ONLY
Vanguard	Vanguard Star Fund Investor Shares	921909107	VGSTX				0.31000		SELL ONLY
Vanguard	Vanguard Strategic Equity Fund Investor Shares	922038104	VSEQX				0.17000		SELL ONLY
Vanguard	Vanguard Strategic Small-Cap Equity Fund	922038609	VSTCX				0.26000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Vanguard	Vanguard Target Retirement 2015 Fund In	92202E300	VTXVX				0.12000		SELL ONLY
Vanguard	Vanguard Target Retirement 2020 Fund In	92202E805	VTWNX				0.13000		SELL ONLY
Vanguard	Vanguard Target Retirement 2025 Fund In	92202E409	VTTVX				0.13000		SELL ONLY
Vanguard	Vanguard Target Retirement 2030 Fund In	92202E888	VTHRX				0.14000		SELL ONLY
Vanguard	Vanguard Target Retirement 2035 Fund In	92202E508	VTTHX				0.14000		SELL ONLY
Vanguard	Vanguard Target Retirement 2040 Fund In	92202E870	VFORX				0.14000		SELL ONLY
Vanguard	Vanguard Target Retirement 2045 Fund In	92202E607	VTIVX				0.15000		SELL ONLY
Vanguard	Vanguard Target Retirement 2050 Fund In	92202E862	VFIFX				0.15000		SELL ONLY
Vanguard	Vanguard Target Retirement 2055 Fund In	92202E847	VFFVX				0.15000		SELL ONLY
Vanguard	Vanguard Target Retirement 2060 Fund In	92202E839	VTTSX				0.15000		SELL ONLY
Vanguard	Vanguard Target Retirement 2065 Fund In	92202E680	VLXVX				0.15000		SELL ONLY
Vanguard	Vanguard Target Retirement Income Fund	92202E102	VTINX				0.12000		SELL ONLY
Vanguard	Vanguard Tax-Exempt Bond Index Fund A	922907753	VTEAX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Balanced Fund A	921943304	VTMFX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Capital Appreciat	921943866	VTCLX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Capital Appreciat	921943601	VTCIX				0.06000		SELL ONLY
Vanguard	Vanguard Tax-Managed Small Cap Fund A	921943403	VTMSX				0.09000		SELL ONLY
Vanguard	Vanguard Tax-Managed Small-Cap Fund I	921943502	VTSIX				0.06000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund A	921937603	VBTLX				0.05000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund I	921937785	VBMPX				0.03000		SELL ONLY
Vanguard	Vanguard Total Bond Market Index Fund I	921937504	VBTIX				0.03500		SELL ONLY
Vanguard	Vanguard Total International Bond Index F	92203J308	VTABX				0.11000		SELL ONLY
Vanguard	Vanguard Total International Bond Index F	92203J209	VTIFX				0.07000		SELL ONLY
Vanguard	Vanguard Total International Stock Index F	921909818	VTIAX				0.11000		SELL ONLY
Vanguard	Vanguard Total International Stock Index F	921909776	VTPSX				0.07000		SELL ONLY
Vanguard	Vanguard Total International Stock Index F	921909784	VTSNX				0.08000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund A	922908728	VTSAX				0.04000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund I	922908355	VSMPX				0.02000		SELL ONLY
Vanguard	Vanguard Total Stock Market Index Fund I	922908801	VITSX				0.03000		SELL ONLY
Vanguard	Vanguard Total World Stock Index Fund A	922042619	VTWAX				0.10000		SELL ONLY
Vanguard	Vanguard Total World Stock Index Fund In	922042759	VTWIX				0.08000		SELL ONLY
Vanguard	Vanguard U.S. Growth Fund Admiral? Sha	921910600	VWUAX				0.28000		SELL ONLY
Vanguard	Vanguard U.S. Growth Fund Investor Shar	921910105	VWUSX				0.38000		SELL ONLY
Vanguard	Vanguard U.S. Multifactor Fund Admiral? S	921935888	VFMFX				0.18000		SELL ONLY
Vanguard	Vanguard Ultra-Short-Term Bond Fund Ad	922031711	VUSFX				0.10000		SELL ONLY
Vanguard	Vanguard Ultra-Short-Term Bond Fund Inv	922031729	VUBFX				0.20000		SELL ONLY
Vanguard	Vanguard Utilities Index Fund Admiral Sha	92204A769	VUIAX				0.10000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1	Gross	Redemption	Buy/Sell Eligible
				Load	CDSC		Expense Ratio		
Vanguard	Vanguard Value Index Fund Admiral Share	922908678	VVIAX				0.05000		SELL ONLY
Vanguard	Vanguard Value Index Fund Institutional S	922908850	VIVIX				0.04000		SELL ONLY
Vanguard	Vanguard Wellesley? Income Fund Admira	921938205	VWIAX				0.16000		SELL ONLY
Vanguard	Vanguard Wellesley? Income Fund Investo	921938106	VWINX				0.22000		SELL ONLY
Vanguard	Vanguard Wellington? Fund Admiral? Sha	921935201	VWENX				0.16000		SELL ONLY
Vanguard	Vanguard Wellington? Fund Investor Shar	921935102	VWELX				0.24000		SELL ONLY
Vanguard	Vanguard Windsor Fund Investor Shares	922018106	VWNDX				0.29000		SELL ONLY
Vanguard	Vanguard Windsor? Fund Admiral? Share	922018403	VWNEX				0.19000		SELL ONLY
Vanguard	Vanguard Windsor? II Fund Admiral? Shar	922018304	VWNAX				0.26000		SELL ONLY
Vanguard	Vanguard Windsor? II Fund Investor Share	922018205	VWNFX				0.34000		SELL ONLY
Victory	Victory Dividend Growth Fund Class A	92646A542	VDGAX	5.75		0.25	2.2600		SELL ONLY
Victory	Victory Dividend Growth Fund Class C	92646A534	VDGCX		1.00	1	3.2000		SELL ONLY
Victory	Victory Dividend Growth Fund Class I	92646A526	VDGIX				1.8100		SELL ONLY
Victory	Victory Dividend Growth Fund Class Y	92646A492	VDGYX				2.2400		SELL ONLY
Victory	Victory International Select Fund Class C	92646A724	VISKX		1.00	1	2.8000		SELL ONLY
Victory Capital	New York Bond Fund Institutional Shares	903287753	UNYIX				0.75000		SELL ONLY
Victory Capital	USAA 500 Index Fund Member Shares	903288884	USSPX				0.25000		SELL ONLY
Victory Capital	USAA 500 Index Fund Reward Shares	903288769	USPRX				0.18000		SELL ONLY
Victory Capital	USAA Aggressive Growth Fund	903288405	USAUX				0.72000		SELL ONLY
Victory Capital	USAA Aggressive Growth Fund Institutional	903288686	UIAGX				0.81000		SELL ONLY
Victory Capital	USAA California Bond Fund	903289502	USCBX				0.53000		SELL ONLY
Victory Capital	USAA California Bond Fund Class A	90291A718	UXABX	2.25		.25	0.77000		SELL ONLY
Victory Capital	USAA California Bond Fund Institutional S	903287761	UCBIX				0.66000		SELL ONLY
Victory Capital	USAA Capital Growth Fund	903288785	USCGX				1.13000		SELL ONLY
Victory Capital	USAA Capital Growth Fund Institutional Sh	903287811	UICGX				1.01000		SELL ONLY
Victory Capital	USAA Cornerstone Aggressive Fund	903288280	UCAGX				1.32000		SELL ONLY
Victory Capital	USAA Cornerstone Conservative Fund	903288314	USCCX				0.57000		SELL ONLY
Victory Capital	USAA Cornerstone Equity Fund	903288272	UCEQX				0.67000		SELL ONLY
Victory Capital	USAA Cornerstone Moderate Fund	903287886	USBSX				1.14000		SELL ONLY
Victory Capital	USAA Cornerstone Moderately Aggressive	903287209	USCRX				1.12000		SELL ONLY
Victory Capital	USAA Cornerstone Moderately Conservati	903288298	UCMCX				1.16000		SELL ONLY
Victory Capital	USAA Emerging Markets Fund	903287803	USEMX				1.54000		SELL ONLY
Victory Capital	USAA Emerging Markets Fund Class A	90291A833	UAEMX	5.75		.25	1.76000		SELL ONLY
Victory Capital	USAA Emerging Markets Fund Institutional	903288629	UIEMX				1.33000		SELL ONLY
Victory Capital	USAA Extended Market Index Fund	903288827	USMIX				0.42000		SELL ONLY
Victory Capital	USAA Global Equity Income Fund Fund S	903287845	UGEIX				1.14000		SELL ONLY
Victory Capital	USAA Global Equity Income Fund Institutio	903287837	UIGEX				1.51000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
Victory Capital	USAA Global Managed Volatility Fund Fun	903288231	UGMVX				1.32000		SELL ONLY
Victory Capital	USAA Global Managed Volatility Fund Inst	903288710	UGOFX				0.91000		SELL ONLY
Victory Capital	USAA Government Securities Fund	903287506	USGNX				0.43000		SELL ONLY
Victory Capital	USAA Government Securities Fund Class	90291A783	UAGNX	2.25		.25	0.80000		SELL ONLY
Victory Capital	USAA Government Securities Fund Institu	903287787	UIGSX				0.36000		SELL ONLY
Victory Capital	USAA Government Securities Fund R6 Sh	90291A205	URGSX				0.39000		SELL ONLY
Victory Capital	USAA Growth & Income Fund	903288801	USGRX				0.87000		SELL ONLY
Victory Capital	USAA Growth & Income Fund Class A	90291A866	USGIX	5.75		.25	1.14000		SELL ONLY
Victory Capital	USAA Growth & Income Fund Institutional	903287795	UIGIX				0.83000		SELL ONLY
Victory Capital	USAA Growth and Tax Strategy Fund	903287407	USBLX				0.57000		SELL ONLY
Victory Capital	USAA Growth and Tax Strategy Fund Clas	903287670	UGTAX	2.25		.25	0.99000		SELL ONLY
Victory Capital	USAA Growth and Tax Strategy Fund Clas	903287621	UGTCX		1.00	1.00	1.71000		SELL ONLY
Victory Capital	USAA Growth and Tax Strategy Fund Insti	903287696	UGTIX				0.61000		SELL ONLY
Victory Capital	USAA Growth Fund	903288108	USAAX				0.91000		SELL ONLY
Victory Capital	USAA Growth Fund Institutional Shares	903288678	UIGRX				0.87000		SELL ONLY
Victory Capital	USAA High Income Fund	903288843	USHYX				0.84000	1.00	SELL ONLY
Victory Capital	USAA High Income Fund Class A	90291A817	UHYOX	2.25		.25	1.12000		SELL ONLY
Victory Capital	USAA High Income Fund Institutional Shar	903288579	UIHIX				0.76000	1.00	SELL ONLY
Victory Capital	USAA High Income Fund R6 Shares	90291A403	URHIX				0.85000	1.00	SELL ONLY
Victory Capital	USAA Income Fund	903288207	USAIX				0.50000		SELL ONLY
Victory Capital	USAA Income Fund C Shares	903287647	UCINX		1.00	1.00	1.56000		SELL ONLY
Victory Capital	USAA Income Fund Class A	90291A791	UINCX	2.25		.25	0.77000		SELL ONLY
Victory Capital	USAA Income Fund Institutional Shares	903288611	UIINX				0.45000		SELL ONLY
Victory Capital	USAA Income Fund R6 Shares	90291A106	URIFX				0.37000		SELL ONLY
Victory Capital	USAA Income Stock Fund	903288603	USISX				0.74000		SELL ONLY
Victory Capital	USAA Income Stock Fund Institutional Sha	903288660	UIISX				0.72000		SELL ONLY
Victory Capital	USAA Income Stock Fund R6 Shares	90291A502	URISX				0.72000		SELL ONLY
Victory Capital	USAA Intermediate Term Bond Fund C Sh	903287639	UITCX		1.00	1.00	1.64000		SELL ONLY
Victory Capital	USAA Intermediate Term Bond Fund R6 S	90291A601	URIBX				0.46000		SELL ONLY
Victory Capital	USAA Intermediate-Term Bond Fund	903288835	USIBX				0.58000		SELL ONLY
Victory Capital	USAA Intermediate-Term Bond Fund Clas	90291A775	UITBX	2.25		.25	0.86000		BUY,SELL
Victory Capital	USAA Intermediate-Term Bond Fund Instit	903288595	UIITX				0.52000		SELL ONLY
Victory Capital	USAA International Fund	903287308	USIFX				1.07000		SELL ONLY
Victory Capital	USAA International Fund Class A	90291A825	UAIFX	5.75		.25	1.39000		SELL ONLY
Victory Capital	USAA International Fund Institutional Shar	903288637	UIIFX				0.99000		SELL ONLY
Victory Capital	USAA Nasdaq 100 Index Fund C Shares	903287613	UCNQX		1.00	1.00	20.93000		SELL ONLY
Victory Capital	USAA Nasdaq 100 Index Fund Class A	903287662	UANQX	2.25		.25	46.99000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Victory Capital	USAA Nasdaq 100 Index Fund Institutional	903287688	UINQX				0.47000		SELL ONLY
Victory Capital	USAA Nasdaq 100 Index Fund R6 Shares	90291A700	URNQX				0.31000		SELL ONLY
Victory Capital	USAA NASDAQ-100 Index Fund	903288819	USNQX				0.44000		SELL ONLY
Victory Capital	USAA New York Bond Fund	903289700	USNYX				0.62000		SELL ONLY
Victory Capital	USAA New York Bond Fund Class A	90291A692	UNYBX	2.25		.25	0.85000		SELL ONLY
Victory Capital	USAA Precious Metals and Minerals Fund	903287100	USAGX				1.19000		SELL ONLY
Victory Capital	USAA Precious Metals and Minerals Fund	90291A882	UPMMX	5.75		.25	1.27000		SELL ONLY
Victory Capital	USAA Precious Metals and Minerals Fund	903288561	UIPMX				1.06000		SELL ONLY
Victory Capital	USAA Science & Technology Fund	903288876	USSCX				1.04000		SELL ONLY
Victory Capital	USAA Science & Technology Fund Class A	90291A874	USTCX	5.75		.25	1.33000		SELL ONLY
Victory Capital	USAA Short Term Bond Fund R6 Shares	90291A304	URSBX				0.45000		SELL ONLY
Victory Capital	USAA Short-Term Bond Fund	903288702	USSBX				0.52000		SELL ONLY
Victory Capital	USAA Short-Term Bond Fund Class A	90291A767	UASBX	2.25		.25	0.74000		BUY,SELL
Victory Capital	USAA Short-Term Bond Fund Institutional	903288587	UISBX				0.42000		SELL ONLY
Victory Capital	USAA Small Cap Stock Fund	903288850	USCAX				1.13000		SELL ONLY
Victory Capital	USAA Small Cap Stock Fund Institutional	903288645	UISCX				1.02000		SELL ONLY
Victory Capital	USAA Sustainable World Fund Class A	90291A841	USWGX	5.75		.25	1.45000		SELL ONLY
Victory Capital	USAA Sustainable World Fund Institutional	903287779	UIWGX				1.15000		SELL ONLY
Victory Capital	USAA Sustainable World Fund Shares	903287704	USAWX				1.09000		SELL ONLY
Victory Capital	USAA Target Retirement 2030 Fund	903288744	URTRX				0.54000		SELL ONLY
Victory Capital	USAA Target Retirement 2040 Fund	903288736	URFRX				0.58000		SELL ONLY
Victory Capital	USAA Target Retirement 2050 Fund	903288728	URFFX				0.60000		SELL ONLY
Victory Capital	USAA Target Retirement 2060 Fund	903288215	URSIX				0.73000		SELL ONLY
Victory Capital	USAA Target Retirement Income Fund	903288694	URINX				0.52000		SELL ONLY
Victory Capital	USAA Tax Exempt Intermediate-Term Fund	903289205	USATX				0.49000		SELL ONLY
Victory Capital	USAA Tax Exempt Intermediate-Term Fund	903287720	UITIX				0.51000		SELL ONLY
Victory Capital	USAA Tax Exempt Long-Term Fund	903289106	USTEX				0.48000		SELL ONLY
Victory Capital	USAA Tax Exempt Long-Term Fund Class A	90291A742	UTELX	2.25		.25	1.08000		SELL ONLY
Victory Capital	USAA Tax Exempt Long-Term Fund Institutional	903287738	ULTIX				0.98000		SELL ONLY
Victory Capital	USAA Tax Exempt Short-Term Fund	903289304	USSTX				0.50000		SELL ONLY
Victory Capital	USAA Tax Exempt Short-Term Fund Institutional	903287712	USTIX				0.69000		SELL ONLY
Victory Capital	USAA Ultra Short-Term Bond Fund Class A	903287654	USBAX				108.95000		SELL ONLY
Victory Capital	USAA Ultra Short-Term Bond Fund Institutional	903288199	UUSIX				0.63000		SELL ONLY
Victory Capital	USAA Ultra Short-Term Bond Fund R6 Shares	90291A809	URUSX				0.51000		SELL ONLY
Victory Capital	USAA Ultra Short-Term Bond Fund Shares	903288348	UUSTX				0.60000		SELL ONLY
Victory Capital	USAA Value Fund	903288777	UVALX				0.97000		SELL ONLY
Victory Capital	USAA Value Fund Class A	90291A858	UAVAX	5.75		.25	1.21000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
Victory Capital	USAA Value Fund Institutional Shares	903288652	UIVAX				0.89000		SELL ONLY
Victory Capital	USAA Virginia Bond Fund	903289874	USVAX				0.54000		SELL ONLY
Victory Capital	USAA Virginia Bond Fund Class A	90291A759	UVABX	2.25		.25	0.79000		SELL ONLY
Victory Capital	USAA Virginia Bond Fund Institutional Sha	903287746	UVAIX				0.67000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class A	926464603	SRVEX	5.75		.25	1.09000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class C	926464157	VDSCX		1.00	1.00	2.14000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class I	92646A856	VDSIX			.00	0.86000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class R	926464421	GRINX			.50	1.37000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class R6	92646A435	VDSRX			.00	1.34000		SELL ONLY
Victory Capital	Victory Diversified Stock Fund Class Y	92646A641	VDSYX			.00	1.31000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class A	92647K572	RSFLX	2.25		.25	1.17000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class C	92647K564	RSFCX		1.00	1.00	1.94000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class R	92647K556	RSFKX			.50	6.20000		SELL ONLY
Victory Capital	Victory Floating Rate Fund Class Y	92647K549	RSFYX				0.93000		SELL ONLY
Victory Capital	Victory Global Energy Transition Fund Clas	92647Q678	RSNRX	5.75		.25	1.79000		SELL ONLY
Victory Capital	Victory Global Energy Transition Fund Clas	92647Q660	RGNCX		1.00	1.00	3.32000		SELL ONLY
Victory Capital	Victory Global Energy Transition Fund Clas	92647Q652	RSNKX			.50	5.74000		SELL ONLY
Victory Capital	Victory Global Energy Transition Fund Clas	92647Q645	RSNYX				1.28000		SELL ONLY
Victory Capital	Victory High Income Municipal Bond Fund	92647K531	RSHMX	2.25		.25	1.10000		SELL ONLY
Victory Capital	Victory High Income Municipal Bond Fund	92647K523	RSHCX		1.00	1.00	1.90000		SELL ONLY
Victory Capital	Victory High Income Municipal Bond Fund	92647K499	RHMYX				0.93000		SELL ONLY
Victory Capital	Victory High Yield Fund Class A	92647K481	GUHYX	2.25		.25	1.12000		SELL ONLY
Victory Capital	Victory High Yield Fund Class C	92647K473	RHYCX		1.00	1.00	1.81000		SELL ONLY
Victory Capital	Victory High Yield Fund Class R	92647K465	RHYKX			.50	1.32000		SELL ONLY
Victory Capital	Victory High Yield Fund Class Y	92647K457	RSYYX				0.90000		SELL ONLY
Victory Capital	Victory INCORE Fund for Income Class A	926464751	IPFIX	2.25		.25	0.92000		BUY,SELL
Victory Capital	Victory INCORE Fund for Income Class C	926464173	VFFCX		1.00	1.00	1.74000		SELL ONLY
Victory Capital	Victory INCORE Fund for Income Class I	92646A658	VFFIX			.00	0.66000		SELL ONLY
Victory Capital	Victory INCORE Fund for Income Class R	926464397	GGIFX			.25	0.92000		SELL ONLY
Victory Capital	Victory INCORE Fund for Income Class R6	92646A112	VFFRX			.00	0.64000		SELL ONLY
Victory Capital	Victory INCORE Fund for Income Class Y	92646A625	VFFYX			.00	0.74000		SELL ONLY
Victory Capital	Victory INCORE Investment Grade Conve	926464538	SBFCX	2.25		.25	1.38000		SELL ONLY
Victory Capital	Victory INCORE Investment Grade Conve	92646A781	VICIX			.00	0.96000		SELL ONLY
Victory Capital	Victory INCORE Investment Quality Bond	92647K440	GUIQX	2.25		.25	1.27000		SELL ONLY
Victory Capital	Victory INCORE Investment Quality Bond	92647K432	RIQCX		1.00	1.00	2.39000		SELL ONLY
Victory Capital	Victory INCORE Investment Quality Bond	92647K416	RIQKX			.50	1.84000		SELL ONLY
Victory Capital	Victory INCORE Investment Quality Bond	92647K424	RSQYX			.00	1.31000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Victory Capital	Victory INCORE Low Duration Bond Fund	92647K390	RLDAX	5.75		.25	0.95000		SELL ONLY
Victory Capital	Victory INCORE Low Duration Bond Fund	92647K382	RLDCX		1.00	1.00	1.72000		SELL ONLY
Victory Capital	Victory INCORE Low Duration Bond Fund	92647K374	RLDKX			.50	2.38000		SELL ONLY
Victory Capital	Victory INCORE Low Duration Bond Fund	92647K366	RSDYX			.00	0.68000		SELL ONLY
Victory Capital	Victory INCORE Total Return Bond Fund	92646A351	MUCAX	2.25		.25	1.11000		SELL ONLY
Victory Capital	Victory INCORE Total Return Bond Fund	92646A344	MUCCX		1.00	1.00	3.54000		SELL ONLY
Victory Capital	Victory INCORE Total Return Bond Fund	92646A138	MUCRX			.00	0.59000		SELL ONLY
Victory Capital	Victory INCORE Total Return Bond Fund	92646A336	MUCYX			.00	0.62000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class A	92647K606	MMEAX	5.75		.25	1.59000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class C	92647K705	MMECX		1.00	1.00	2.52000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class R	92647K804	MMERX			.50	2.33000		SELL ONLY
Victory Capital	Victory Integrity Discovery Fund Class Y	92647K887	MMEYX			.00	1.38000		SELL ONLY
Victory Capital	Victory Integrity Mid-Cap Value Fund Class	92646A229	MAIMX	5.75		.25	1.43000		BUY,SELL
Victory Capital	Victory Integrity Mid-Cap Value Fund Class	92647Q256	MCIMX		1.00	1.00	43.95000		SELL ONLY
Victory Capital	Victory Integrity Mid-Cap Value Fund Class	92647K598	MRIMX			.00	0.88000		SELL ONLY
Victory Capital	Victory Integrity Mid-Cap Value Fund Class	92646A211	MYIMX			.00	1.21000		SELL ONLY
Victory Capital	Victory Integrity Small/Mid-Cap Value Fund	92646A195	MAISX	5.75		.25	1.65000		BUY,SELL
Victory Capital	Victory Integrity Small/Mid-Cap Value Fund	92647K739	MIRSX			.00	0.97000		SELL ONLY
Victory Capital	Victory Integrity Small/Mid-Cap Value Fund	92646A187	MYISX			.00	1.01000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Cla	92647K820	VSCVX	5.75		.25	1.48000		BUY,SELL
Victory Capital	Victory Integrity Small-Cap Value Fund Cla	92647K812	MCVSX		1.00	1.00	2.25000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Cla	92647K796	MRVSX			.50	1.86000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Cla	92647K788	MVSSX			.00	0.97000		SELL ONLY
Victory Capital	Victory Integrity Small-Cap Value Fund Cla	92647K770	VSVIX			.00	1.11000		SELL ONLY
Victory Capital	Victory Market Neutral Income Fund Class	92647P639	CBHAX	5.75		.25	0.92000		SELL ONLY
Victory Capital	Victory Market Neutral Income Fund Class	92647P647	CBHCX		1.00	1.00	2.37000		SELL ONLY
Victory Capital	Victory Market Neutral Income Fund Class	92647P654	CBHIX			.00	0.64000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fun	92647K879	MGOAX	5.75		.25	1.29000		BUY,SELL
Victory Capital	Victory Munder Mid-Cap Core Growth Fun	92647K861	MGOTX		1.00	1.00	2.03000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fun	92647K853	MMSRX			.50	1.67000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fun	92647K846	MGOSX			.00	0.86000		SELL ONLY
Victory Capital	Victory Munder Mid-Cap Core Growth Fun	92647K838	MGOYX			.00	1.04000		SELL ONLY
Victory Capital	Victory Munder Multi-Cap Fund Class A	92646A294	MNNAX	5.75		.25	1.35000		SELL ONLY
Victory Capital	Victory Munder Multi-Cap Fund Class C	92646A286	MNNCX		1.00	1.00	2.20000		SELL ONLY
Victory Capital	Victory Munder Multi-Cap Fund Class Y	92646A260	MNNYX			.00	0.99000		SELL ONLY
Victory Capital	Victory Munder Small Cap Growth Fund C	92647K762	MASCX	5.75		.25	2.26000		SELL ONLY
Victory Capital	Victory Munder Small Cap Growth Fund C	92647K754	MIGSX			.00	1.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Ratio	Expense	Fee	
Victory Capital	Victory Munder Small Cap Growth Fund C	92647K747	MYSGX				.00	2.84000		SELL ONLY
Victory Capital	Victory NewBridge Large Cap Growth Fund	92646A500	VFGAX	5.75			.25	1.52000		SELL ONLY
Victory Capital	Victory NewBridge Large Cap Growth Fund	92646A708	VFGCX		1.00	1.00	1.00	2.67000		SELL ONLY
Victory Capital	Victory NewBridge Large Cap Growth Fund	92646A666	VFGIX				.00	1.31000		SELL ONLY
Victory Capital	Victory NewBridge Large Cap Growth Fund	92646A583	VFGYX				.00	1.78000		SELL ONLY
Victory Capital	Victory RS Global Fund Class A	92647Q769	RSGGX	5.75			.25	1.42000		SELL ONLY
Victory Capital	Victory RS Global Fund Class C	92647Q751	RGGCX		1.00	1.00	1.00	2.55000		SELL ONLY
Victory Capital	Victory RS Global Fund Class R	92647Q744	RGGKX				.50	1.95000		SELL ONLY
Victory Capital	Victory RS Global Fund Class Y	92647Q736	RGGYX				.00	1.12000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class A	92647K275	RSGRX	5.75			.25	1.19000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class C	92647K267	RGWCX		1.00	1.00	1.00	2.43000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class R	92647K259	RSGKX				.50	6.08000		SELL ONLY
Victory Capital	Victory RS Growth Fund Class Y	92647K242	RGRYX				.00	0.99000		SELL ONLY
Victory Capital	Victory RS International Fund Class A	92647Q728	GUBGX	5.75			.25	1.47000		SELL ONLY
Victory Capital	Victory RS International Fund Class C	92647Q710	RIGCX		1.00	1.00	1.00	3.07000		SELL ONLY
Victory Capital	Victory RS International Fund Class R	92647Q694	RIGKX				.50	2.24000		SELL ONLY
Victory Capital	Victory RS International Fund Class Y	92647Q686	RSIGX				.00	1.09000		SELL ONLY
Victory Capital	Victory RS Investors Fund Class A	92647Q637	RSINX	5.75			.25	1.71000		SELL ONLY
Victory Capital	Victory RS Investors Fund Class C	92647Q629	RIVCX		1.00	1.00	1.00	2.75000		SELL ONLY
Victory Capital	Victory RS Investors Fund Class R	92647Q611	RSIKX				.50	4.41000		SELL ONLY
Victory Capital	Victory RS Investors Fund Class Y	92647Q595	RSIYX				.00	1.50000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class A	92647Q587	GPAFX	5.75			.25	0.95000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class C	92647Q579	RCOCX		1.00	1.00	1.00	1.79000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class R	92647Q561	RCEKX				.50	1.50000		SELL ONLY
Victory Capital	Victory RS Large Cap Alpha Fund Class Y	92647Q553	RCEYX				.00	0.82000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class A	92647K234	RSMOX	5.75			.25	1.35000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class C	92647K226	RMOCX		1.00	1.00	1.00	2.14000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class R	92647K218	RSMKX				.50	4.13000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class R	92647Q389	RMORX				.00	1.01000		SELL ONLY
Victory Capital	Victory RS Mid Cap Growth Fund Class Y	92647K192	RMOYX				.00	1.10000		SELL ONLY
Victory Capital	Victory RS Partners Fund Class A	92647Q546	RSPFX	5.75			.25	1.53000		SELL ONLY
Victory Capital	Victory RS Partners Fund Class R	92647Q538	RSPKX				.50	2.87000		SELL ONLY
Victory Capital	Victory RS Partners Fund Class Y	92647Q520	RSPYX				.00	1.23000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund	92647Q504	RSIFX	5.75			.25	1.48000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund	92647Q603	RINCX		1.00	1.00	1.00	2.32000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund	92647Q702	RIFKX				.50	3.69000		SELL ONLY
Victory Capital	Victory RS Science and Technology Fund	92647Q801	RIFYX				.00	1.26000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Victory Capital	Victory RS Select Growth Fund Class A	92647K184	RSDGX	5.75		.25	1.49000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class C	92647K176	RSGFX		1.00	1.00	2.29000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class R	92647K168	RSDKX			.50	5.35000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class R6	92647Q397	RSSRX			.00	4.52000		SELL ONLY
Victory Capital	Victory RS Select Growth Fund Class Y	92647K150	RSSYX			.00	1.24000		SELL ONLY
Victory Capital	Victory RS Small Cap Equity Fund Class A	92647K143	GPSCX	5.75		.25	1.29000		SELL ONLY
Victory Capital	Victory RS Small Cap Equity Fund Class C	92647K135	RSCCX		1.00	1.00	5.26000		SELL ONLY
Victory Capital	Victory RS Small Cap Equity Fund Class F	92647K127	RSCXK			.50	2.05000		SELL ONLY
Victory Capital	Victory RS Small Cap Equity Fund Class Y	92647K119	RSCYX				1.58000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class	92647Q108	RSEGX	5.75		.25	1.43000		BUY,SELL
Victory Capital	Victory RS Small Cap Growth Fund Class	92647Q207	REGWX		1.00	1.00	2.26000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class	92647Q306	RSEKX			.50	1.97000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class	92647Q363	RSEJX			.00	1.05000		SELL ONLY
Victory Capital	Victory RS Small Cap Growth Fund Class	92647Q405	RSYEX			.00	1.15000		SELL ONLY
Victory Capital	Victory RS Value Fund Class A	92647Q512	RSVAX	5.75		.25	1.37000		SELL ONLY
Victory Capital	Victory RS Value Fund Class C	92647Q496	RVACX		1.00	1.00	2.60000		SELL ONLY
Victory Capital	Victory RS Value Fund Class R	92647Q488	RSVKX			.50	4.03000		SELL ONLY
Victory Capital	Victory RS Value Fund Class Y	92647Q470	RSVYX			.00	1.10000		SELL ONLY
Victory Capital	Victory S&P 500 Index Fund Class A	92646A252	MUXAX	2.25		.15	0.55000		SELL ONLY
Victory Capital	Victory S&P 500 Index Fund Class R	92646A245	MUXRX			.50	0.95000		SELL ONLY
Victory Capital	Victory S&P 500 Index Fund Class Y	92646A237	MUXYX			.00	0.43000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund C	92647Q843	GBEMX	5.75		.25	1.64000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund C	92647Q835	REMGX		1.00	1.00	2.69000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund C	92647Q827	REMKX			.50	1.95000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund C	92647Q371	RSERX			.00	1.21000		SELL ONLY
Victory Capital	Victory Sophus Emerging Markets Fund C	92647Q819	RSENX			.00	1.31000		SELL ONLY
Victory Capital	Victory Special Value Fund Class A	926464843	SSVSX	5.75		.25	1.33000		SELL ONLY
Victory Capital	Victory Special Value Fund Class C	926464116	V SVCX		1.00	1.00	2.99000		SELL ONLY
Victory Capital	Victory Special Value Fund Class I	92646A823	VSPIX			.00	1.31000		SELL ONLY
Victory Capital	Victory Special Value Fund Class R	926464264	VSVGX			.50	1.64000		SELL ONLY
Victory Capital	Victory Special Value Fund Class Y	92646A567	VSVYX			.00	3.68000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class A	926464876	SBALX	2.25		.25	1.52000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class C	92646A104	VBFCX		1.00	1.00	2.61000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class I	92646A799	VBFIX			.00	1.30000		SELL ONLY
Victory Capital	Victory Strategic Allocation Fund Class R	926464272	V BFGX			.50	2.47000		SELL ONLY
Victory Capital	Victory Strategic Income Fund Class A	92647K358	RSIAX	2.25		.25	1.09000		SELL ONLY
Victory Capital	Victory Strategic Income Fund Class C	92647K341	RSICX		1.00	1.00	1.97000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Victory Capital	Victory Strategic Income Fund Class R	92647K333	RINKX			.50	1.73000		SELL ONLY
Victory Capital	Victory Strategic Income Fund Class Y	92647K325	RSRYX				0.92000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund	926464231	VETAX	5.75		.25	0.92000		BUY,SELL
Victory Capital	Victory Sycamore Established Value Fund	92646A401	VEVCX		1.00	1.00	1.69000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund	92646A831	VEVIX			.00	0.60000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund	926464371	GETGX			.50	1.12000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund	92646A427	VEVRX			.00	0.57000		SELL ONLY
Victory Capital	Victory Sycamore Established Value Fund	92646A633	VEVYX			.00	0.63000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportu	926464835	SSGSX	5.75		.25	1.26000		BUY,SELL
Victory Capital	Victory Sycamore Small Company Opportu	92646A815	VSOIX			.00	0.93000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportu	926464389	GOGFX			.50	1.44000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportu	92646A559	VSOYX			.00	1.08000		SELL ONLY
Victory Capital	Victory Sycamore Small Company Opportu	92647K630	VSORX			.00	0.86000		SELL ONLY
Victory Capital	Victory Tax-Exempt Fund Class A	92647K317	GUTEX	2.25		.25	1.04000		SELL ONLY
Victory Capital	Victory Tax-Exempt Fund Class C	92647K291	RETCX		1.00	1.00	1.91000		SELL ONLY
Victory Capital	Victory Tax-Exempt Fund Class Y	92647K283	RSTYX				0.85000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core E	92646A179	MAICX	5.75		.25	1.84000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core E	92646A161	MICCX		1.00	1.00	6.44000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core E	92646A153	MICIX			.00	1.54000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core E	92646A120	MAIRX			.00	1.01000		SELL ONLY
Victory Capital	Victory Trivalent International Fund-Core E	92646A146	MICYX			.00	1.32000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap F	92647K101	MISAX	5.75		.25	1.64000		BUY,SELL
Victory Capital	Victory Trivalent International Small-Cap F	92647K200	MCISX		1.00	1.00	2.43000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap F	92647K309	MISIX			.00	1.19000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap F	92647K408	MSSIX				1.07000		SELL ONLY
Victory Capital	Victory Trivalent International Small-Cap F	92647K507	MYSIX			.00	1.20000		SELL ONLY
Victory Capital	Victory US 500 Enhanced Volatility Wtd Inc	92647P266	CUHAX	5.75		.25	1.31000		SELL ONLY
Victory Capital	Victory US 500 Enhanced Volatility Wtd Inc	92647P241	CUHCX		1.00	1.00	2.06000		SELL ONLY
Victory Capital	Victory US 500 Enhanced Volatility Wtd Inc	92647P258	CUHIX			.00	1.05000		SELL ONLY
Villere	Villere Balanced Fund Investor Class	742935539	VILLX				0.99000		SELL ONLY
Villere	Villere Equity Fund	74316J391	VLEQX				1.25000	2.00	SELL ONLY
Virtus	Virtus AllianzGI Convertible Fund Administ	92838V841	ANNAX			.25	1.00000		SELL ONLY
Virtus	Virtus AllianzGI Convertible Fund Class A	92838V791	ANZAX	5.50		.25	1.06000		SELL ONLY
Virtus	Virtus AllianzGI Convertible Fund Class C	92838V817	ANZCX		1.00	1.00	1.79000		SELL ONLY
Virtus	Virtus AllianzGI Convertible Fund Class P	92838V106	ANCMX				0.82000		SELL ONLY
Virtus	Virtus AllianzGI Convertible Fund Institutio	92838V833	ANNPX				0.79000		SELL ONLY
Virtus	Virtus AllianzGI Core Plus Bond Fund Clas	92838V452	ACKPX				0.76000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
Virtus	Virtus AllianzGI Core Plus Bond Fund Clas	92838V445	ACOSX				0.76000		SELL ONLY
Virtus	Virtus AllianzGI Core Plus Bond Fund Insti	92838V437	ACKIX				0.76000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Consur	92838V429	AMMAX	5.50		.25	1.95000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Consur	92838V411	AERIX				1.67000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Opportu	92837N808	AOTAX	5.50		.25	1.46000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Opportu	92837N881	AOTCX		1.00	1.00	2.19000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Opportu	92837N857	AEMPX				1.21000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Opportu	92837N840	AOTIX				1.22000		SELL ONLY
Virtus	Virtus AllianzGI Emerging Markets Opportu	92837N865	AEMOX				1.15000		SELL ONLY
Virtus	Virtus AllianzGI Focused Growth Fund Adr	92837N758	PGFAX			.25	0.96000		SELL ONLY
Virtus	Virtus AllianzGI Focused Growth Fund Cla	92837N824	PGWAX	5.50		.25	0.95000		BUY,SELL
Virtus	Virtus AllianzGI Focused Growth Fund Cla	92837N816	PGWCX		1.00	1.00	1.67000		SELL ONLY
Virtus	Virtus AllianzGI Focused Growth Fund Cla	92837N774	AOGPX				0.71000		SELL ONLY
Virtus	Virtus AllianzGI Focused Growth Fund Inst	92837N766	PGFIX				0.69000		SELL ONLY
Virtus	Virtus AllianzGI Focused Growth Fund R6	92837N782	AFGFX				0.63000		SELL ONLY
Virtus	Virtus AllianzGI Global Allocation Fund Ad	92838V742	AGAMX			.25	1.59000		SELL ONLY
Virtus	Virtus AllianzGI Global Allocation Fund Cla	92838V767	PALAX	5.50		.25	1.64000		SELL ONLY
Virtus	Virtus AllianzGI Global Allocation Fund Cla	92838V775	PALCX		1.00	1.00	2.37000		SELL ONLY
Virtus	Virtus AllianzGI Global Allocation Fund Cla	92838V726	AGAPX				1.43000		SELL ONLY
Virtus	Virtus AllianzGI Global Allocation Fund Cla	92838V551	AGASX				1.34000		SELL ONLY
Virtus	Virtus AllianzGI Global Allocation Fund Ins	92838V759	PALLX				1.39000		SELL ONLY
Virtus	Virtus AllianzGI Global Dynamic Allocation	92838V692	AGFAX			.25	1.64000		SELL ONLY
Virtus	Virtus AllianzGI Global Dynamic Allocation	92838V783	ASGAX	5.50		.25	1.70000		SELL ONLY
Virtus	Virtus AllianzGI Global Dynamic Allocation	92838V718	ASACX		1.00	1.00	2.43000		SELL ONLY
Virtus	Virtus AllianzGI Global Dynamic Allocation	92838V668	AGSPX				1.46000		SELL ONLY
Virtus	Virtus AllianzGI Global Dynamic Allocation	92838V569	ADYFX				1.39000		SELL ONLY
Virtus	Virtus AllianzGI Global Dynamic Allocation	92838V684	AGAIX				1.47000		SELL ONLY
Virtus	Virtus AllianzGI Global Small-Cap Fund Cl	92837N741	RGSAX	5.50		.25	1.65000		SELL ONLY
Virtus	Virtus AllianzGI Global Small-Cap Fund Cl	92837N733	RGSCX		1.00	1.00	2.39000		SELL ONLY
Virtus	Virtus AllianzGI Global Small-Cap Fund Cl	92837N691	ARSPX				1.42000		SELL ONLY
Virtus	Virtus AllianzGI Global Small-Cap Fund Ins	92837N683	DGSCX				1.41000		SELL ONLY
Virtus	Virtus AllianzGI Global Sustainability Fund	92838V650	ASUAX	5.50		.25	1.43000		SELL ONLY
Virtus	Virtus AllianzGI Global Sustainability Fund	92838V635	ASTPX				1.20000		SELL ONLY
Virtus	Virtus AllianzGI Global Sustainability Fund	92838V627	ASTNX				1.13000		SELL ONLY
Virtus	Virtus AllianzGI Health Sciences Fund Cla	92837N667	RAGHX	5.50		.25	1.34000		SELL ONLY
Virtus	Virtus AllianzGI Health Sciences Fund Cla	92837N659	RCGHX		1.00	1.00	2.07000		SELL ONLY
Virtus	Virtus AllianzGI Health Sciences Fund Cla	92837N626	AAEX				1.09000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Virtus	Virtus AllianzGI Health Sciences Fund Inst	92837N618	HLHIX				1.10000		SELL ONLY
Virtus	Virtus AllianzGI High Yield Bond Fund Adn	92838V809	AYBVX			.25	1.16000		SELL ONLY
Virtus	Virtus AllianzGI High Yield Bond Fund Clas	92838V858	AYBAX	3.75		.25	1.14000		SELL ONLY
Virtus	Virtus AllianzGI High Yield Bond Fund Clas	92838V866	AYBCX		1.00	1.00	1.86000		SELL ONLY
Virtus	Virtus AllianzGI High Yield Bond Fund Clas	92838V882	AYBPX				0.88000		SELL ONLY
Virtus	Virtus AllianzGI High Yield Bond Fund Inst	92838V700	AYBIX				0.87000		SELL ONLY
Virtus	Virtus AllianzGI Income & Growth Fund Cla	92837N584	AZNAX	5.50		.25	1.13000		BUY,SELL
Virtus	Virtus AllianzGI Income & Growth Fund Cla	92837N576	AZNCX		1.00	1.00	1.87000		SELL ONLY
Virtus	Virtus AllianzGI Income & Growth Fund Ins	92837N535	AZNIX				0.88000		SELL ONLY
Virtus	Virtus AllianzGI International Small-Cap Fu	92838V601	AOPAX	5.50		.25	1.85000		SELL ONLY
Virtus	Virtus AllianzGI International Small-Cap Fu	92838V502	AOPCX		1.00	1.00	2.58000		SELL ONLY
Virtus	Virtus AllianzGI International Small-Cap Fu	92838V304	ALOPX				1.60000		SELL ONLY
Virtus	Virtus AllianzGI International Small-Cap Fu	92838V577	AIISX				1.54000		SELL ONLY
Virtus	Virtus AllianzGI International Small-Cap Fu	92838V205	ALOIX				1.62000		SELL ONLY
Virtus	Virtus AllianzGI Mid-Cap Growth Fund Adr	92837N287	DRMAX			.25	1.01000		SELL ONLY
Virtus	Virtus AllianzGI Mid-Cap Growth Fund Cla	92837N352	RMDAX	5.50		.25	0.97000		SELL ONLY
Virtus	Virtus AllianzGI Mid-Cap Growth Fund Cla	92837N345	RMDCX		1.00	1.00	1.70000		SELL ONLY
Virtus	Virtus AllianzGI Mid-Cap Growth Fund Cla	92837N311	ARMPX				0.73000		SELL ONLY
Virtus	Virtus AllianzGI Mid-Cap Growth Fund Inst	92837N295	DRMCX				0.74000		SELL ONLY
Virtus	Virtus AllianzGI Preferred Securities and Ir	92838V593	APUPX				1.07000		SELL ONLY
Virtus	Virtus AllianzGI Preferred Securities and Ir	92838V585	ARISX				1.07000		SELL ONLY
Virtus	Virtus AllianzGI Preferred Securities and Ir	92838V619	APEIX				1.13000		SELL ONLY
Virtus	Virtus AllianzGI Short Duration High Incom	92838V544	ASHAX	2.25		.25	1.00000		BUY,SELL
Virtus	Virtus AllianzGI Short Duration High Incom	92838V536	ASHCX		1.00	.50	1.23000		SELL ONLY
Virtus	Virtus AllianzGI Short Duration High Incom	92838V528	ASHPX				0.77000		SELL ONLY
Virtus	Virtus AllianzGI Short Duration High Incom	92838V643	ASHSX				0.69000		SELL ONLY
Virtus	Virtus AllianzGI Short Duration High Incom	92838V510	ASHIX				0.75000		SELL ONLY
Virtus	Virtus AllianzGI Small-Cap Fund Class A	92837N196	AZBAX	5.50		.25	1.30000		SELL ONLY
Virtus	Virtus AllianzGI Small-Cap Fund Class C	92837N188	AZBCX		1.00	1.00	2.04000		SELL ONLY
Virtus	Virtus AllianzGI Small-Cap Fund Class P	92837N154	AZBPX				1.07000		SELL ONLY
Virtus	Virtus AllianzGI Small-Cap Fund Institution	92837N147	AZBIX				1.07000		SELL ONLY
Virtus	Virtus AllianzGI Small-Cap Fund R6	92837N162	ASCFX				1.00000		SELL ONLY
Virtus	Virtus AllianzGI Technology Fund Adminis	92837Q835	DGTAX			.25	1.39000		SELL ONLY
Virtus	Virtus AllianzGI Technology Fund Class A	92837Q801	RAGTX	5.50		.25	1.40000		SELL ONLY
Virtus	Virtus AllianzGI Technology Fund Class C	92837Q884	RCGTX		1.00	1.00	2.13000		SELL ONLY
Virtus	Virtus AllianzGI Technology Fund Class P	92837Q850	ARTPX				1.15000		SELL ONLY
Virtus	Virtus AllianzGI Technology Fund Institutio	92837Q843	DRGTX				1.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
Virtus	Virtus AllianzGI Water Fund Class A	92838V494	AWTAX	5.50			.25	1.49000		SELL ONLY
Virtus	Virtus AllianzGI Water Fund Class C	92838V486	AWTCX		1.00	1.00		2.23000		SELL ONLY
Virtus	Virtus AllianzGI Water Fund Class P	92838V478	AWTPX					1.25000		SELL ONLY
Virtus	Virtus AllianzGI Water Fund Institutional C	92838V460	AWTIX					1.27000		SELL ONLY
Virtus	Virtus AllianzGI Income & Growth Fund Cla	92837N543	AIGPX					0.90000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fu	92837F524	SVIIX	5.50			.25	1.27000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fu	92837F516	SVIFX		1.00	1.00		1.94000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fu	92837F490	STVTX					1.03000		SELL ONLY
Virtus	Virtus Ceredex Large-Cap Value Equity Fu	92837F482	STVZX					0.86000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund	92837F474	SAMVX	5.50			.25	1.30000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund	92837F466	SMVFX		1.00	1.00		1.98000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund	92837F458	SMVTX					1.04000		SELL ONLY
Virtus	Virtus Ceredex Mid-Cap Value Equity Fund	92837F441	SMVZX					0.87000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fu	92837F433	SASVX	5.50			.25	1.48000		BUY,SELL
Virtus	Virtus Ceredex Small-Cap Value Equity Fu	92837F425	STCEX		1.00	1.00		2.18000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fu	92837F417	SCETX					1.21000		SELL ONLY
Virtus	Virtus Ceredex Small-Cap Value Equity Fu	92837X665	VVERX					1.05000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure	92828R826	PGUAX	5.50			.25	1.26000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure	92828R818	PGUCX		1.00	1.00		2.01000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure	92828R792	PGIUX					1.02000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Infrastructure	92828W221	VGIRX					0.93000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Se	92828R412	VGSAX	5.50			.25	2.59000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Se	92828R396	VGSCX		1.00	1.00		2.19000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Se	92828R388	VGISX					1.20000		SELL ONLY
Virtus	Virtus Duff & Phelps Global Real Estate Se	92828W239	VRGEX					1.09000		SELL ONLY
Virtus	Virtus Duff & Phelps International Real Est	92828R750	PXRAX	5.50			.25	1.71000		SELL ONLY
Virtus	Virtus Duff & Phelps International Real Est	92828R743	PXRCX		1.00	1.00		2.44000		SELL ONLY
Virtus	Virtus Duff & Phelps International Real Est	92828R735	PXRIX					1.44000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Clas	92828R875	PDPAX	5.50			.25	1.40000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Clas	92828R867	PDPCX		1.00	1.00		2.17000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Asset Fund Clas	92828R313	VADIX					1.13000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities	92828R586	PHRAX	5.50			.25	1.38000		BUY,SELL
Virtus	Virtus Duff & Phelps Real Estate Securities	92828R560	PHRCX		1.00	1.00		2.09000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities	92828R552	PHRIX					1.09000		SELL ONLY
Virtus	Virtus Duff & Phelps Real Estate Securities	92828W528	VRREX					0.95000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Ener	92835M729	VLPAX	5.50			.25	3.12000		SELL ONLY
Virtus	Virtus Duff & Phelps Select MLP and Ener	92835M711	VLPCX		1.00	1.00		3.85000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
Virtus	Virtus Duff & Phelps Select MLP and Ener	92835M695	VLPIX				2.80000		SELL ONLY
Virtus	Virtus FORT Trend Fund Class A	92828R255	VAPAX	5.75		.25	1.60000		SELL ONLY
Virtus	Virtus FORT Trend Fund Class C	92828R248	VAPCX		1.00	1.00	2.34000		SELL ONLY
Virtus	Virtus FORT Trend Fund Class I	92828R230	VAPIX				1.34000		SELL ONLY
Virtus	Virtus FORT Trend Fund Class R6	92828W536	VRPAX				1.25000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class A	92828N510	PSTAX	5.50		.25	1.23000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class C	92828N486	SSTFX		1.00	1.00	2.00000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class I	92828N478	PLXGX				1.01000		SELL ONLY
Virtus	Virtus KAR Capital Growth Fund Class R6	92828N270	VCGRX				0.94000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Clas	92828Y664	VDMAX	5.50	1.00	.25	3.60000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Clas	92828Y656	VDMCX		1.00	1.00	4.35000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Clas	92828Y649	VIDMX				3.35000		SELL ONLY
Virtus	Virtus KAR Developing Markets Fund Clas	92828Y631	VDMRX				3.34000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap F	92828W627	VAESX	5.50		.25	1.91000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap F	92828W619	VCESX		1.00	1.00	2.63000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap F	92828W593	VIESX				1.68000		SELL ONLY
Virtus	Virtus KAR Emerging Markets Small-Cap F	92828W189	VRESX				1.63000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class A	92828N874	PDIAX	5.50		.25	1.35000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class C	92828N858	PGICX		1.00	1.00	2.11000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class I	92828N841	PXIIX				1.10000		SELL ONLY
Virtus	Virtus KAR Equity Income Fund Class R6	92828N239	VECRX				1.03000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund C	92828N460	PPTAX	5.50		.25	1.61000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund C	92828N452	PPTCX		1.00	1.00	2.35000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund C	92828N445	PIPTX				1.40000		SELL ONLY
Virtus	Virtus KAR Global Quality Dividend Fund C	92836N874	VGQRX				1.27000		SELL ONLY
Virtus	Virtus KAR International Small-Cap Fund C	92828W841	VISAX	5.50		.25	1.56000		SELL ONLY
Virtus	Virtus KAR International Small-Cap Fund C	92828W833	VCISX		1.00	1.00	2.28000		SELL ONLY
Virtus	Virtus KAR International Small-Cap Fund C	92828W825	VISX			.00	1.29000		SELL ONLY
Virtus	Virtus KAR International Small-Cap Fund C	92828W551	VRISX			.00	1.19000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fu	92828W171	VKIAx	5.50		.25	2.42000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fu	92828W163	VKICX		1.00	1.00	3.17000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fu	92828W155	VKIIX				2.26000		SELL ONLY
Virtus	Virtus KAR International Small-Mid Cap Fu	92828W148	VKIRX				2.13000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class	92835M539	VLSAX	5.50		.25	2.54000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class	92835M521	VLSCX		1.00	1.00	3.28000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class	92835M513	VLSIX				2.31000		SELL ONLY
Virtus	Virtus KAR Long/Short Equity Fund Class	92835M497	VLSRX				2.19000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
Virtus	Virtus KAR Mid-Cap Core Fund Class A	92828N114	VMACX	5.50			.25	1.35000		BUY,SELL
Virtus	Virtus KAR Mid-Cap Core Fund Class C	92828N122	VMCCX		1.00	1.00		2.10000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class I	92828N130	VIMCX					1.10000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Core Fund Class R6	92828N262	VRMCX					1.01000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class A	92828N767	PHSKX	5.50			.25	1.28000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class C	92828N742	PSKCX		1.00	1.00		2.04000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class I	92828N734	PICMX					1.05000		SELL ONLY
Virtus	Virtus KAR Mid-Cap Growth Fund Class R	92828N254	VRMGX					0.95000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class A	92828N551	PKSAX	5.50			.25	1.28000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class C	92828N536	PKSCX		1.00	1.00		2.01000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class I	92828N528	PKSFX					1.00000		SELL ONLY
Virtus	Virtus KAR Small-Cap Core Fund Class R	92828N429	VSCRX					0.93000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class	92828N627	PSGAX	5.50			.25	1.36000		BUY,SELL
Virtus	Virtus KAR Small-Cap Growth Fund Class	92828N619	PSGCX		1.00	1.00		2.08000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class	92828N593	PXSGX					1.10000		SELL ONLY
Virtus	Virtus KAR Small-Cap Growth Fund Class	92828N247	VRSGX					1.00000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class A	92828N684	PQSAX	5.50			.25	1.23000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class C	92828N676	PQSCX		1.00	1.00		1.98000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class I	92828N668	PXQSX					0.99000		SELL ONLY
Virtus	Virtus KAR Small-Cap Value Fund Class F	92828N411	VQSRX					0.89000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class	92828N221	VKSAX	5.50			.25	1.40000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class	92828N213	VKSCX		1.00	1.00		2.08000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class	92828N197	VKSIX					1.10000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Core Fund Class	92828N189	VKSRX					1.00000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class	92836N817	VAKSX	5.50			.25	1.40000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class	92836N791	VCKSX		1.00	1.00		2.09000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class	92836N783	VIKSX					1.14000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Growth Fund Class	92836N775	VRKSX					1.05000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class	92836N767	VKSDX	5.50			.25	1.28000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class	92836N759	VKSEX		1.00	1.00		2.03000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class	92836N742	VKSFX					1.05000		SELL ONLY
Virtus	Virtus KAR Small-Mid Cap Value Fund Class	92836N734	VKSGX					0.95000		SELL ONLY
Virtus	Virtus Mid-Cap Value Fund CI R6	92828N437	VMVRX					1.0700		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class	92828R107	SAVAX	5.50			.25	1.07000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class	92828R305	SAVCX		1.00	1.00		1.82000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class	92828R404	SAVYX					0.80000		SELL ONLY
Virtus	Virtus Newfleet Core Plus Bond Fund Class	92828W270	VBFRX					0.74000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
Virtus	Virtus Newfleet High Yield Fund Class A	92828R784	PHCHX	5.50			.25	1.27000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class C	92828R768	PGHCX			1.00	1.00	2.01000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class I	92828W767	PHCIX					1.07000		SELL ONLY
Virtus	Virtus Newfleet High Yield Fund Class R6	92828W262	VRHYX					0.93000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bd	92828W346	HIMZX	5.50			.25	0.92000		BUY,SELL
Virtus	Virtus Newfleet Low Duration Core Plus Bd	92828W338	PCMZX			1.00	1.00	1.68000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bd	92828W320	HIBIX					0.68000		SELL ONLY
Virtus	Virtus Newfleet Low Duration Core Plus Bd	92828W197	VLDRX					0.62000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate B	92828R677	NAMFX	5.50			.25	1.08000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate B	92828R651	NCMFX			1.00	1.00	1.83000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate B	92828R289	VMFIX					0.83000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Intermediate B	92828W544	VMFRX					0.76000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bd	92828R644	NARAX	5.50			.25	0.99000		BUY,SELL
Virtus	Virtus Newfleet Multi-Sector Short Term Bd	92828R628	PSTCX				.50	1.22000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bd	92828R594	PMSTX			1.00	1.00	1.72000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bd	92828R610	PIMSX					0.73000		SELL ONLY
Virtus	Virtus Newfleet Multi-Sector Short Term Bd	92828W247	VMSSX					0.66000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund	92828R545	PSFRX	5.50			.25	1.12000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund	92828R537	PFSRX			1.00	1.00	1.91000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund	92828R529	PSFIX					0.91000		SELL ONLY
Virtus	Virtus Newfleet Senior Floating Rate Fund	92828W254	VRSFX					0.84000		SELL ONLY
Virtus	Virtus Newfleet Tax-Exempt Bond Fund Cl	92828W312	HXBZX	5.50			.25	1.01000		SELL ONLY
Virtus	Virtus Newfleet Tax-Exempt Bond Fund Cl	92828W296	PXCZX			1.00	1.00	1.74000		SELL ONLY
Virtus	Virtus Newfleet Tax-Exempt Bond Fund Cl	92828W288	HXBIX					0.78000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Administr	92837N709	ANDAX				.25	0.99000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Class A	92837N105	PNEAX	5.50			.25	0.97000		BUY,SELL
Virtus	Virtus NFJ Dividend Value Fund Class C	92837N204	PNECX			1.00	1.00	1.71000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Class P	92837N501	ADJPX					0.73000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Class R6	92837N402	ANDVX					0.65000		SELL ONLY
Virtus	Virtus NFJ Dividend Value Fund Institution	92837N600	NFJEX					0.73000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund	92838V395	AZMAX	5.50			.25	1.68000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund	92838V387	AZMCX			1.00	1.00	2.37000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund	92838V379	AZMPX					1.42000		SELL ONLY
Virtus	Virtus NFJ Emerging Markets Value Fund	92838V361	AZMIX					1.42000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Admir	92837N444	AIVAX				.25	1.23000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class	92837N519	AFJAX	5.50			.25	1.28000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class	92837N493	AFJCX			1.00	1.00	1.97000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Virtus	Virtus NFJ International Value Fund Class	92837N469	AFVPX				1.02000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Class	92837N477	ANAVX				0.93000		SELL ONLY
Virtus	Virtus NFJ International Value Fund Institu	92837N451	ANJIX				0.97000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Adminis	92837N360	ALNFX			.25	0.99000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Class A	92837N436	PNBAX	5.50		.25	0.99000		BUY,SELL
Virtus	Virtus NFJ Large-Cap Value Fund Class C	92837N428	PNBCX		1.00	1.00	1.72000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Class P	92837N386	ALCPX				0.76000		SELL ONLY
Virtus	Virtus NFJ Large-Cap Value Fund Institutio	92837N378	ANVIX				0.74000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Administrat	92837N212	PRAAX			.25	1.07000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class A	92837N279	PQNAX	5.50		.25	1.05000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class C	92837N261	PQNCX		1.00	1.00	1.78000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class P	92837N238	ANRPX				0.82000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Class R6	92837N246	ANPRX				0.74000		SELL ONLY
Virtus	Virtus NFJ Mid-Cap Value Fund Institution	92837N220	PRNIX				0.80000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Adminis	92837Q702	PVADX			.25	1.16000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class A	92837Q108	PCVAX	5.50		.25	1.19000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class C	92837Q207	PCVCX		1.00	1.00	1.86000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class P	92837Q504	ASVPX				0.92000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Class R	92837Q405	ANFVX				0.83000		SELL ONLY
Virtus	Virtus NFJ Small-Cap Value Fund Institutio	92837Q603	PSVIX				0.88000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class A	92837F102	STGIX	3.75		.25	0.89000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class I	92837F300	STIGX				0.59000		SELL ONLY
Virtus	Virtus Seix Core Bond Fund Class R6	92837F409	STGZX				0.49000		SELL ONLY
Virtus	Virtus Seix Corporate Bond Fund Class A	92837F508	SAINX	3.75		.25	1.04000		SELL ONLY
Virtus	Virtus Seix Corporate Bond Fund Class C	92837F607	STIFX		1.00	1.00	1.78000		SELL ONLY
Virtus	Virtus Seix Corporate Bond Fund Class I	92837F706	STICX				0.81000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fur	92837F789	SFRAX	5.50		.25	0.94000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fur	92837F771	SFRCX		1.00	1.00	1.66000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fur	92837F763	SAMBX				0.73000		SELL ONLY
Virtus	Virtus Seix Floating Rate High Income Fur	92837F755	SFRZX				0.61000		SELL ONLY
Virtus	Virtus Seix High Grade Municipal Bond Fu	92837F631	SFLTIX	2.75		.15	0.98000		SELL ONLY
Virtus	Virtus Seix High Grade Municipal Bond Fu	92837F623	SCFTX				0.89000		SELL ONLY
Virtus	Virtus Seix High Income Fund Class A	92837F748	SAHIX	5.50		.25	1.16000		SELL ONLY
Virtus	Virtus Seix High Income Fund Class I	92837F722	STHTX				0.92000		SELL ONLY
Virtus	Virtus Seix High Income Fund Class R6	92837F714	STHZX				0.77000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class A	92837F698	HYPSX	3.75		.25	1.04000		SELL ONLY
Virtus	Virtus Seix High Yield Fund Class I	92837F672	SAMHX				0.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Virtus	Virtus Seix High Yield Fund Class R6	92837F664	HYIZX				0.67000		SELL ONLY
Virtus	Virtus Seix Investment Grade Tax-Exempt	92837F615	SISIX	2.75		.25	1.03000		SELL ONLY
Virtus	Virtus Seix Investment Grade Tax-Exempt	92837F599	STTBX				0.84000		SELL ONLY
Virtus	Virtus Seix Short-Term Bond Fund Class A	92837F854	STSBX	2.25		.20	1.27000		SELL ONLY
Virtus	Virtus Seix Short-Term Bond Fund Class C	92837F847	SCBSX		1.00	1.00	2.10000		SELL ONLY
Virtus	Virtus Seix Short-Term Bond Fund Class I	92837F839	SSBTX				1.16000		SELL ONLY
Virtus	Virtus Seix Short-Term Municipal Bond Fu	92837F565	SMMAX	2.25		.15	1.28000		SELL ONLY
Virtus	Virtus Seix Short-Term Municipal Bond Fu	92837F557	CMDTX				1.18000		SELL ONLY
Virtus	Virtus Seix Total Return Bond Fund Class	92837F805	CBPSX	3.75		.25	0.87000		BUY,SELL
Virtus	Virtus Seix Total Return Bond Fund Class	92837F870	SAMFX				0.57000		SELL ONLY
Virtus	Virtus Seix Total Return Bond Fund Class	92837F862	SAMZX				0.46000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ul	92837X681	SSAGX			.25	0.67000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ul	92837F821	SIGVX				0.50000		SELL ONLY
Virtus	Virtus Seix U.S. Government Securities Ul	92837F813	SIGZX				0.39000		SELL ONLY
Virtus	Virtus Seix U.S. Mortgage Fund Class A	92837F185	SLTMX	2.25		.20	1.82000		SELL ONLY
Virtus	Virtus Seix U.S. Mortgage Fund Class C	92837F177	SCLFX		1.00	1.00	2.06000		SELL ONLY
Virtus	Virtus Seix U.S. Mortgage Fund Class I	92837F169	SLMTX				1.14000		SELL ONLY
Virtus	Virtus Seix Ultra-Short Bond Fund Class A	92837X673	SASSX			.25	0.85000		SELL ONLY
Virtus	Virtus Seix Ultra-Short Bond Fund Class I	92837F797	SISSX				0.63000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Growth Fun	92836N601	VAEGX	5.50		.25	3.99000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Growth Fun	92836N700	VCEGX		1.00	1.00	4.71000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Growth Fun	92836N809	VIEGX				3.58000		SELL ONLY
Virtus	Virtus SGA Emerging Markets Growth Fun	92836N882	VESRX				3.73000		SELL ONLY
Virtus	Virtus SGA Global Growth A Class	92836N106	SGAAX	5.50		.25	1.37000		SELL ONLY
Virtus	Virtus SGA Global Growth C Class	92836N205	SGACX		1.00	1.00	2.15000		SELL ONLY
Virtus	Virtus SGA Global Growth I Class	92836N304	SGAPX				1.21000		SELL ONLY
Virtus	Virtus SGA Global Growth R6 Class	92836N403	SGARX				1.09000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Clas	92837F284	SCIIX	5.50		.25	1.58000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Clas	92837F276	STITX				1.32000		SELL ONLY
Virtus	Virtus SGA International Growth Fund Clas	92837F268	SCIZX				1.22000		SELL ONLY
Virtus	Virtus SGA New Leaders Growth Fund Cla	92836N858	VNLAX	5.50		.25	1.45000		SELL ONLY
Virtus	Virtus SGA New Leaders Growth Fund Cla	92836N841	VNLCX		1.00	1.00	2.16000		SELL ONLY
Virtus	Virtus SGA New Leaders Growth Fund Cla	92836N833	VNLIX				1.24000		SELL ONLY
Virtus	Virtus SGA New Leaders Growth Fund Cla	92836N825	VNLRX				1.13000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fur	92837F391	STCIX	5.50		.25	1.27000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fur	92837F375	STCAX				1.09000		SELL ONLY
Virtus	Virtus Silvant Large-Cap Growth Stock Fur	92837F367	STCZX				0.96000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
Virtus	Virtus Silvant Small-Cap Growth Stock Fur	92837F359	SCGIX	5.50			.25	1.58000		SELL ONLY
Virtus	Virtus Silvant Small-Cap Growth Stock Fur	92837F334	SSCTX					1.42000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class A	92828N791	NAINX	5.50			.25	1.06000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class C	92828N775	POICX			1.00	1.00	1.84000		SELL ONLY
Virtus	Virtus Tactical Allocation Fund Class I	92836N502	VTAIX					0.85000		SELL ONLY
Virtus	Virtus Vontobel Emerging Markets Opportu	92828W387	HEMZX	5.50			.25	1.57000		BUY,SELL
Virtus	Virtus Vontobel Emerging Markets Opportu	92828W379	PICEX			1.00	1.00	2.25000		SELL ONLY
Virtus	Virtus Vontobel Emerging Markets Opportu	92828W361	HIEMX					1.26000		SELL ONLY
Virtus	Virtus Vontobel Emerging Markets Opportu	92828W353	VREMX					1.15000		SELL ONLY
Virtus	Virtus Vontobel Foreign Opportunities Fun	92828R859	JVIAX	5.50			.25	1.43000		SELL ONLY
Virtus	Virtus Vontobel Foreign Opportunities Fun	92828R842	JVICX			1.00	1.00	2.12000		SELL ONLY
Virtus	Virtus Vontobel Foreign Opportunities Fun	92828R834	JVXIX					1.13000		SELL ONLY
Virtus	Virtus Vontobel Foreign Opportunities Fun	92828W569	VFOPX					1.04000		SELL ONLY
Virtus	Virtus Vontobel Global Opportunities Fund	92828R446	NWWOX	5.50			.25	1.41000		SELL ONLY
Virtus	Virtus Vontobel Global Opportunities Fund	92828R420	WWOCX			1.00	1.00	2.12000		SELL ONLY
Virtus	Virtus Vontobel Global Opportunities Fund	92828W775	WWOIX					1.17000		SELL ONLY
Virtus	Virtus Vontobel Global Opportunities Fund	92828W213	VRGOX					1.08000		SELL ONLY
Virtus	Virtus Vontobel Greater European Opportu	92828R347	VGEAX	5.50			.25	3.58000		SELL ONLY
Virtus	Virtus Vontobel Greater European Opportu	92828R339	VGECX			1.00	1.00	4.31000		SELL ONLY
Virtus	Virtus Vontobel Greater European Opportu	92828R321	VGEIX					3.31000		SELL ONLY
Virtus	Virtus Zevenbergen Innovative Growth Sto	92837F318	SAGAX	5.50			.25	1.33000		BUY,SELL
Virtus	Virtus Zevenbergen Innovative Growth Sto	92837F292	SCATX					1.07000		SELL ONLY
Virtus	Virtus Zevenbergen Innovative Growth Sto	92837X640	VZGRX					1.03000		SELL ONLY
Vivaldi	Vivaldi Merger Arbitrage Fund Class A	46141T877	VARAX	5.75			.25	2.49000	1.00	SELL ONLY
Vivaldi	Vivaldi Merger Arbitrage Fund Class I	46141T869	VARBX					2.16000	1.00	SELL ONLY
Vivaldi	Vivaldi Multi-Strategy Fund Class A	46141T687	OMOAX	5.00			.25	2.65000		SELL ONLY
Vivaldi	Vivaldi Multi-Strategy Fund Class I	46141T679	OMOIX					2.38000		SELL ONLY
Voya	Voya Aggregate Bond Portfolio Class A	92914K727	IPRAX				0.5	1.0900		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class A	92913K298	VYCAx	5.75			.25	0.94000		BUY,SELL
Voya	Voya Corporate Leaders 100 Fund Class C	92913K280	VYCBX			1.00	1.00	1.69000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class I	92913K272	VYCCX					0.62000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class F	92913K249	VYCFX				.50	1.19000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class F	92913K231	VYCGX					0.57000		SELL ONLY
Voya	Voya Corporate Leaders 100 Fund Class V	92913K215	VYCIX					0.69000		SELL ONLY
Voya	Voya Corporate Leaders Trust Fund Serie	92912M105	LEXCX					0.52000		SELL ONLY
Voya	Voya Emerging Markets Hard Currency De	92913M336	VMHAX	2.50			.25	1.78000		SELL ONLY
Voya	Voya Emerging Markets Hard Currency De	92913M880	VEMHX					0.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Voya	Voya Emerging Markets Hard Currency De	92913M328	VEMWX				1.53000		SELL ONLY
Voya	Voya Floating Rate Fund Class A	92913L791	IFRAX	2.50		.25	1.33000		SELL ONLY
Voya	Voya Floating Rate Fund Class C	92913L817	IFRCX		1.00	1.00	2.08000		SELL ONLY
Voya	Voya Floating Rate Fund Class I	92913L825	IFRIX				0.99000		SELL ONLY
Voya	Voya Floating Rate Fund Class R	92913L841	IFRRX			.50	1.58000		SELL ONLY
Voya	Voya Floating Rate Fund Class W	92913L833	IFRWX				1.08000		SELL ONLY
Voya	Voya Global Bond Fund Class A	92914A828	INGBX	2.50		.25	1.02000		SELL ONLY
Voya	Voya Global Bond Fund Class C	92914A844	IGBCX		1.00	1.00	1.77000		SELL ONLY
Voya	Voya Global Bond Fund Class I	92914A851	IGBIX				0.67000		SELL ONLY
Voya	Voya Global Bond Fund Class R	92913W649	IGBRX			.50	1.27000		SELL ONLY
Voya	Voya Global Bond Fund Class R6	92913W854	IGBZX				0.62000		SELL ONLY
Voya	Voya Global Bond Fund Class W	92913W508	IGBWX				0.77000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Cla	92914A463	VYGQX	5.75		.25	1.26000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Cla	92914A455	VYGRX		1.00	1.00	2.01000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Cla	92914A448	VYGSX				1.01000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Cla	92914A430	VYGTX			.50	1.51000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Cla	92914A422	VYGUX				1.62000		SELL ONLY
Voya	Voya Global Diversified Payment Fund Cla	92914A398	VYGWX				1.01000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility F	92913X811	NAWGX	5.75		.25	1.03000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility F	92913X837	NAWCX		1.00	1.00	1.78000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility F	92914A752	NAWIX				0.69000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility F	92914A380	VGHRX				2.03000		SELL ONLY
Voya	Voya Global High Dividend Low Volatility F	92913W706	IGVWX				0.78000		SELL ONLY
Voya	Voya Global Multi-Asset Fund Class A	92913K199	VYGJX	5.75		.25	1.45000		BUY,SELL
Voya	Voya Global Multi-Asset Fund Class C	92913K181	VYGKX		1.00	1.00	2.20000		SELL ONLY
Voya	Voya Global Multi-Asset Fund Class I	92913K173	VYGLX				1.14000		SELL ONLY
Voya	Voya Global Multi-Asset Fund Class W	92913K132	VYGPX				1.20000		SELL ONLY
Voya	Voya Global Multi-Asset Fund R6	92913K157	VYGNX				1.79000		SELL ONLY
Voya	Voya Global Perspectives Fund Class A	92913W862	IAPVX	5.75		.25	1.36000		SELL ONLY
Voya	Voya Global Perspectives Fund Class C	92913W870	ICPVX		1.00	1.00	2.11000		SELL ONLY
Voya	Voya Global Perspectives Fund Class I	92913W888	IIPVX				1.09000		SELL ONLY
Voya	Voya Global Perspectives Fund Class R	92913W805	IRPVX			.50	1.61000		SELL ONLY
Voya	Voya Global Perspectives Fund Class W	92913W607	IWPVX				1.11000		SELL ONLY
Voya	Voya GNMA Income Fund Class A	92913L692	LEXNX	2.50		.25	0.85000		SELL ONLY
Voya	Voya GNMA Income Fund Class C	92913L726	LEGNX		1.00	1.00	1.60000		SELL ONLY
Voya	Voya GNMA Income Fund Class I	92913L734	LEINX				0.57000		SELL ONLY
Voya	Voya GNMA Income Fund Class R6	92913L577	VGMBX				0.48000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Voya	Voya GNMA Income Fund Class W	92913L767	IGMWX				0.60000		SELL ONLY
Voya	Voya High Yield Bond Fund Class A	92913L627	IHYAX	2.50		.25	1.04000		SELL ONLY
Voya	Voya High Yield Bond Fund Class C	92913L643	IMYCX		1.00	1.00	1.79000		SELL ONLY
Voya	Voya High Yield Bond Fund Class I	92913L783	IHYIX				0.69000		SELL ONLY
Voya	Voya High Yield Bond Fund Class R	92913L544	IRSTX			.50	1.29000		SELL ONLY
Voya	Voya High Yield Bond Fund Class W	92913L866	IHYWX				0.79000		SELL ONLY
Voya	Voya High Yield Bond Fund ClassR6	92913L528	VHYRX				0.63000		SELL ONLY
Voya	Voya Index Solution 2015 Portfolio Class I	92914H682	ISSIX				0.2700		SELL ONLY
Voya	Voya Intermediate Bond Fund Class A	92913L650	IIBAX	2.50		.25	0.70000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class C	92913L676	IICCX		1.00	1.00	1.45000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class I	92913L684	IICIX				0.34000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class R	92913L742	IIBOX			.50	0.95000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class R6	92913L569	IIBZX				0.30000		SELL ONLY
Voya	Voya Intermediate Bond Fund Class W	92913L775	IIBWX				0.45000		SELL ONLY
Voya	Voya International High Dividend Low Volatility	92913W573	VGLAX	5.75		.25	1.98000		SELL ONLY
Voya	Voya International High Dividend Low Volatility	92913W565	VGLIX				1.83000		SELL ONLY
Voya	Voya International High Dividend Low Volatility	92913W615	VGLRX				2.94000		SELL ONLY
Voya	Voya Investment Grade Credit Fund A	92913M484	VACFX	2.50			1.41000		SELL ONLY
Voya	Voya Investment Grade Credit Fund Class C	92913M864	VIGTX				0.00000		SELL ONLY
Voya	Voya Investment Grade Credit Fund I	92913M476	VIGCX				0.64000		SELL ONLY
Voya	Voya Investment Grade Credit Fund W	92913M286	VIGWX				0.78000		SELL ONLY
Voya	Voya Large Cap Value Fund Class A	92913K645	IEDAX	5.75		.25	1.20000		SELL ONLY
Voya	Voya Large Cap Value Fund Class C	92913K678	IEDCX		1.00	1.00	1.95000		SELL ONLY
Voya	Voya Large Cap Value Fund Class I	92913K686	IEDIX				0.84000		SELL ONLY
Voya	Voya Large Cap Value Fund Class R	92913K751	IEDRX			.50	1.45000		SELL ONLY
Voya	Voya Large Cap Value Fund Class R6	92913K827	IEDZX				0.80000		SELL ONLY
Voya	Voya Large Cap Value Fund Class W	92913K736	IWEDX				0.95000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class A	92913K801	NLCAX	5.75		.25	0.96000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class C	92913K603	NLCCX		1.00	1.00	1.71000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class I	92913K504	PLCIX				0.66000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class R	92913K561	VGORX			.50	1.21000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class R6	92913K553	VGOSX				0.55000		SELL ONLY
Voya	Voya Large-Cap Growth Fund Class W	92913K579	IGOWX				0.71000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index	92918A105	VYMQX	5.75		.25	1.20000		BUY,SELL
Voya	Voya Mid Cap Research Enhanced Index	92918A204	VYMRX		1.00	.75	1.70000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index	92918A303	VYMSX				0.89000		SELL ONLY
Voya	Voya Mid Cap Research Enhanced Index	92918A600	VYMVX			.50	1.45000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Voya	Voya Mid Cap Research Enhanced Index	92918A808	VYMYX				0.95000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class A	92913K884	NMCAX	5.75		.25	1.28000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class C	92913K868	NMCCX		1.00	1.00	2.03000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class I	92913K850	NMCIX				0.98000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class R	92913K769	IMORX			.50	1.53000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class R6	92913K835	IMOZX				0.87000		SELL ONLY
Voya	Voya MidCap Opportunities Fund Class W	92913K744	IMOWX				1.03000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Eq	92913W714	IEMHX	5.75		.25	1.63000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Eq	92913W722	IEMJX		1.00	1.00	2.38000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Eq	92913W680	IEMGX				1.18000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Eq	92913W730	IEMKX			.50	1.88000		SELL ONLY
Voya	Voya Multi-Manager Emerging Markets Eq	92913W748	IEMLX				1.38000		SELL ONLY
Voya	Voya Multi-Manager International Equity Fu	92914A596	IIGIX				0.92000		SELL ONLY
Voya	Voya Multi-Manager International Factors I	92913W631	IICFX				0.76000		SELL ONLY
Voya	Voya Multi-Manager International Factors I	92913W763	IICWX				0.94000		SELL ONLY
Voya	Voya Multi-Manager International Small Ca	92913X779	NTKLX	5.75		.25	1.66000		SELL ONLY
Voya	Voya Multi-Manager International Small Ca	92913X795	NARCX		1.00	1.00	2.41000		SELL ONLY
Voya	Voya Multi-Manager International Small Ca	92914A760	NAPIX				1.34000		SELL ONLY
Voya	Voya Multi-Manager International Small Ca	92914A307	ISCWX				1.41000		SELL ONLY
Voya	Voya Multi-Manager Mid Cap Value Fund C	92913K793	IMCVX				0.84000		SELL ONLY
Voya	Voya Russia Fund Class A	92913X886	LETRX	5.75		.25	1.87000	2.00	SELL ONLY
Voya	Voya Russia Fund Class I	92913W698	IIRFX				1.58000	2.00	SELL ONLY
Voya	Voya Russia Fund Class W	92913W672	IWRFX				1.62000	2.00	SELL ONLY
Voya	Voya Securitized Credit Fund Class A	92913M740	VCFAX	2.50		.25	1.02000		SELL ONLY
Voya	Voya Securitized Credit Fund Class I	92913M732	VCFIX				0.73000		SELL ONLY
Voya	Voya Securitized Credit Fund Class R6	92913M112	VCFRX				1.90000		SELL ONLY
Voya	Voya Securitized Credit Fund W	92913M310	VSCWX				0.77000		SELL ONLY
Voya	Voya Senior Income Fund Class A	92913F109	XSIAX	2.50			2.18000		SELL ONLY
Voya	Voya Short Term Bond Fund Class A	92913L502	IASBX	2.50		.25	0.68000		SELL ONLY
Voya	Voya Short Term Bond Fund Class C	92913L403	ICSBX		1.00	1.00	1.43000		SELL ONLY
Voya	Voya Short Term Bond Fund Class I	92913L304	IISBX				0.49000		SELL ONLY
Voya	Voya Short Term Bond Fund Class R	92913L205	VSTRX			.50	0.93000		SELL ONLY
Voya	Voya Short Term Bond Fund Class R6	92913L551	IGZAX				0.38000		SELL ONLY
Voya	Voya Short Term Bond Fund Class W	92913L106	IWSBX				0.43000		SELL ONLY
Voya	Voya Small Company Fund Class A	92918A881	VYSYX	5.75		.25	1.45000		BUY,SELL
Voya	Voya Small Company Fund Class C	92918A873	VYSZX		1.00	1.00	2.20000		SELL ONLY
Voya	Voya Small Company Fund Class I	92918A865	VYSAX				1.22000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
Voya	Voya Small Company Fund Class R	92918A832	VYSDX				.50	1.70000		SELL ONLY
Voya	Voya Small Company Fund Class R6	92918A824	VYSEX					1.03000		SELL ONLY
Voya	Voya Small Company Fund Class W	92918A790	VYSGX					1.20000		SELL ONLY
Voya	Voya SmallCap Opportunities Fund Class	92913K405	NSPAX	5.75			.25	1.44000		SELL ONLY
Voya	Voya SmallCap Opportunities Fund Class	92913K207	NSPCX		1.00		1.00	2.19000		SELL ONLY
Voya	Voya SmallCap Opportunities Fund Class	92913K108	NSPIX					1.13000		SELL ONLY
Voya	Voya SmallCap Opportunities Fund Class	92913K777	ISORX				.50	1.69000		SELL ONLY
Voya	Voya SmallCap Opportunities Fund Class	92913K843	ISOZX					1.01000		SELL ONLY
Voya	Voya SmallCap Opportunities Fund Class	92913K710	ISOWX					1.19000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund	92913L700	ISIAX	2.50			.25	0.88000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund	92913L601	ISICX		1.00		1.00	1.63000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund	92913L874	IISIX					0.63000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund	92913L882	ISIRX				.50	1.13000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund	92913L536	VSIRX					0.57000		SELL ONLY
Voya	Voya Strategic Income Opportunities Fund	92913L809	ISIWX					0.63000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class A	92913M716	VTRVX	5.75			.25	0.52000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class I	92913M856	ISOLX					0.24000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class R	92913M278	VRRFX				.50	0.77000		SELL ONLY
Voya	Voya Target In-Retirement Fund Class R6	92913M690	VTRWX					0.23000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class	92913M666	VTRCX	5.75			.25	0.51000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class	92913M773	IRSLX					0.28000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class	92913M252	VRRHX				.50	0.76000		SELL ONLY
Voya	Voya Target Retirement 2025 Fund Class	92913M658	VTRDX					0.22000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class	92913M641	VTREX	5.75			.25	0.51000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class	92913M781	IRSMX					0.26000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class	92913M633	VTRFX					0.22000		SELL ONLY
Voya	Voya Target Retirement 2030 Fund Class	92913M245	VRRIX				.50	0.76000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class	92913M625	VTRGX	5.75			.25	0.54000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class	92913M799	IRSNX					0.24000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class	92913M237	VRRJX				.50	0.79000		SELL ONLY
Voya	Voya Target Retirement 2035 Fund Class	92913M617	VTRHX					0.23000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class	92913M591	VTRJX	5.75			.25	0.56000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class	92913M815	IRSOX					0.27000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class	92913M229	VRRKX				.50	0.81000		SELL ONLY
Voya	Voya Target Retirement 2040 Fund Class	92913M583	VTRKX					0.23000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class	92913M575	VTRMX	5.75			.25	0.58000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class	92913M823	IRSPX					0.26000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Voya	Voya Target Retirement 2045 Fund Class	92913M211	VRRLX			.50	0.83000		SELL ONLY
Voya	Voya Target Retirement 2045 Fund Class	92913M567	VTRNX				0.23000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class	92913M559	VTROX	5.75		.25	0.59000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class	92913M831	IRSQX				0.27000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class	92913M195	VRRMX			.50	0.84000		SELL ONLY
Voya	Voya Target Retirement 2050 Fund Class	92913M542	VTRPX				0.24000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class	92913M534	VTRQX	5.75		.25	0.58000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class	92913M849	IRSVX				0.24000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class	92913M187	VRRNX			.50	0.83000		SELL ONLY
Voya	Voya Target Retirement 2055 Fund Class	92913M526	VTRPX				0.24000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class	92913M518	VTRSX	5.75		.25	0.60000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class	92913M724	VRSAX				0.26000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class	92913M179	VRROX			.50	0.85000		SELL ONLY
Voya	Voya Target Retirement 2060 Fund Class	92913M492	VTRUX				0.26000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class	92913M161	VTAUX	5.75		.25	0.60000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class	92913M153	VTIUX				0.31000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class	92913M146	VTURX			.50	0.85000		SELL ONLY
Voya	Voya Target Retirement 2065 Fund Class	92913M138	VTUFX				0.29000		SELL ONLY
Voya	Voya U.S. High Dividend Low Volatility Fur	92913K520	VHDAX	5.75		.25	1.10000		SELL ONLY
Voya	Voya U.S. High Dividend Low Volatility Fur	92913K512	VHDIX				0.35000		SELL ONLY
Voya	Voya U.S. High Dividend Low Volatility Fur	92913K488	VHDRX				0.35000		SELL ONLY
Wasatch	Wasatch Core Growth Fund	936772201	WGROX				1.19000	2.00	SELL ONLY
Wasatch	Wasatch Core Growth Fund Institutional C	936793793	WIGRX				1.08000	2.00	SELL ONLY
Wasatch	Wasatch Emerging India Fund? Institutional	936793660	WIINX				1.45000	2.00	SELL ONLY
Wasatch	Wasatch Emerging India Fund? Investor C	936793827	WAINX				1.64000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Select Fund In	936793744	WIESX				1.41000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Select Fund In	936793751	WAESX				1.80000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Small Cap Fu	936793736	WIEMX				1.81000	2.00	SELL ONLY
Wasatch	Wasatch Emerging Markets Small Cap Fu	936793884	WAEMX				1.95000	2.00	SELL ONLY
Wasatch	Wasatch Frontier Emerging Small Countries	936793819	WAFMX				2.38000	2.00	SELL ONLY
Wasatch	Wasatch Frontier Emerging Small Countries	936793652	WIFMX				2.18000	2.00	SELL ONLY
Wasatch	Wasatch Global Opportunities Fund? Instit	936793728	WIGOX				1.48000	2.00	SELL ONLY
Wasatch	Wasatch Global Opportunities Fund? Inves	936793868	WAGOX				1.53000	2.00	SELL ONLY
Wasatch	Wasatch Global Select Fund Institutional C	936793637	WGGSX				2.79000	2.00	SELL ONLY
Wasatch	Wasatch Global Select Fund Investor Clas	936793645	WAGSX				4.70000	2.00	SELL ONLY
Wasatch	Wasatch Global Value Fund? Institutional	936793785	WILCX				1.38000	2.00	SELL ONLY
Wasatch	Wasatch Global Value Fund? Investor Cla	936793843	FMIEX				1.30000	2.00	SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Wasatch	Wasatch Greater China Fund Institutional	936793553	WGGCX				1.71000	2.00	SELL ONLY
Wasatch	Wasatch Greater China Fund Investor Cla	936793561	WAGCX				2.02000	2.00	SELL ONLY
Wasatch	Wasatch International Growth Fund? Instit	936793694	WIIGX				1.36000	2.00	SELL ONLY
Wasatch	Wasatch International Growth Fund? Inves	936793405	WAIGX				1.47000	2.00	SELL ONLY
Wasatch	Wasatch International Opportunities Fund?	936793686	WIIOX				1.93000	2.00	SELL ONLY
Wasatch	Wasatch International Opportunities Fund?	936793702	WAIOX				2.02000	2.00	SELL ONLY
Wasatch	Wasatch International Select Fund Instituti	936793611	WGISX				7.68000	2.00	SELL ONLY
Wasatch	Wasatch International Select Fund Investo	936793629	WAISX				6.96000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Fund	936772508	WMICX				1.66000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Fund Institutional Clas	936793587	WGICX				1.62000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Value Fund	936793504	WAMVX				1.74000	2.00	SELL ONLY
Wasatch	Wasatch Micro Cap Value Fund Institution	936793595	WGMVX				1.84000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Growth Fund? Institut	936793678	WIAEX				1.08000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Growth Fund? Investo	936772102	WAAEX				1.16000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Value Fund	936793207	WMCVX				1.21000	2.00	SELL ONLY
Wasatch	Wasatch Small Cap Value Fund Institution	936793777	WICVX				1.08000	2.00	SELL ONLY
Wasatch	Wasatch Ultra Growth Fund	936772409	WAMCX				1.19000	2.00	SELL ONLY
Wasatch	Wasatch Ultra Growth Fund Institutional C	936793579	WGMCX				1.07000	2.00	SELL ONLY
Wasatch	Wasatch-Hoisington U.S. Treasury Fund	936772300	WHOSX				0.66000	2.00	SELL ONLY
WCM Investment Manag	WCM China Quality Growth Fund Institutio	46143U807	WCMCX				17.78000		SELL ONLY
WCM Investment Manag	WCM China Quality Growth Fund Investor	46143U708	WCQGX			.25	18.03000		SELL ONLY
WCM Investment Manag	WCM Focused Emerging Markets Fund In	46141P834	WCMEX				1.45000		SELL ONLY
WCM Investment Manag	WCM Focused Emerging Markets Fund In	46141P842	WFEMX			.25	1.69000		SELL ONLY
WCM Investment Manag	WCM Focused Global Growth Fund Institu	46141P818	WCMGX				1.27000		SELL ONLY
WCM Investment Manag	WCM Focused Global Growth Fund Invest	46141P826	WFGGX			.25	1.50000		SELL ONLY
WCM Investment Manag	WCM Focused International Growth Fund	461418444	WCMIX				1.04000		SELL ONLY
WCM Investment Manag	WCM Focused International Growth Fund	461418386	WCMRX			.25	1.26000		SELL ONLY
WCM Investment Manag	WCM Focused International Opportunities	46143U682	WCMOX				1.64000		SELL ONLY
WCM Investment Manag	WCM Focused International Opportunities	46143U690	WCFOX			.25	1.89000		SELL ONLY
WCM Investment Manag	WCM Focused International Value Fund Ir	46143U823	WCMVX				1.49000		SELL ONLY
WCM Investment Manag	WCM Focused International Value Fund Ir	46143U831	WLIVX			.25	1.74000		SELL ONLY
WCM Investment Manag	WCM Focused Small Cap Fund Institution	46143U401	WCMFX				15.24000		SELL ONLY
WCM Investment Manag	WCM Focused Small Cap Fund Investor C	46143U302	WCMJX			.25	15.49000		SELL ONLY
WCM Investment Manag	WCM International Small Cap Growth Fun	46141Q683	WCMSX				1.79000		SELL ONLY
WCM Investment Manag	WCM Small Cap Growth Fund Institutional	46143U203	WCMLX				9.85000		SELL ONLY
WCM Investment Manag	WCM Small Cap Growth Fund Investor Cla	46143U104	WCMNX			.25	10.10000		SELL ONLY
WCM Investment Manag	WCM Sustainable Developing World Fund	46143U856	WCMDX				70.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
WCM Investment Manag	WCM Sustainable Developing World Fund	46143U864	WCMUX			.25	71.18000		SELL ONLY
WCM Investment Manag	WCM Sustainable International Fund Instit	46143U872	WCMMX				69.08000		SELL ONLY
WCM Investment Manag	WCM Sustainable International Fund Inves	46143U880	WESGX			.25	69.33000		SELL ONLY
Wells Fargo Funds	Wells Fargo Absolute Return Fund - Class	94987W109	WARAX	5.75		.00	1.50000		SELL ONLY
Wells Fargo Funds	Wells Fargo Absolute Return Fund - Class	94987W307	WARDX			.00	1.42000		SELL ONLY
Wells Fargo Funds	Wells Fargo Absolute Return Fund - Class	94987W208	WARCX		1.00	.75	2.25000		SELL ONLY
Wells Fargo Funds	Wells Fargo Absolute Return Fund - Class	94987W737	WABIX			.00	1.17000		SELL ONLY
Wells Fargo Funds	Wells Fargo Absolute Return Fund - Class	94988V100	WARHX			.25	1.75000		SELL ONLY
Wells Fargo Funds	Wells Fargo Absolute Return Fund - Class	94988A692	WARRX			.00	1.07000		SELL ONLY
Wells Fargo Funds	Wells Fargo Adjustable Rate Government	94985D665	ESAAAX	2.00		.00	0.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Adjustable Rate Government	94975P785	ESADX			.00	0.83000		SELL ONLY
Wells Fargo Funds	Wells Fargo Adjustable Rate Government	94985D640	ESACX		1.00	.75	1.64000		SELL ONLY
Wells Fargo Funds	Wells Fargo Adjustable Rate Government	94985D632	EKIZX			.00	0.56000		SELL ONLY
Wells Fargo Funds	Wells Fargo Alternative Risk Premia Fund	94988V241	WRPRX			.00	1.04000		SELL ONLY
Wells Fargo Funds	Wells Fargo Alternative Risk Premia Fund	94988V258	WRPIX			.00	1.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Asset Allocation Fund - Class	94985D442	EAAFX	5.75		.00	1.30000		SELL ONLY
Wells Fargo Funds	Wells Fargo Asset Allocation Fund - Class	94985D418	EAFX			.00	1.22000		SELL ONLY
Wells Fargo Funds	Wells Fargo Asset Allocation Fund - Class	94985D426	EACFX		1.00	.75	2.05000		SELL ONLY
Wells Fargo Funds	Wells Fargo Asset Allocation Fund - Class	94987W745	EAAIX			.00	0.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Asset Allocation Fund - Class	94985D392	EAXFX			.25	1.55000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Large Cap Value Fund -	94975J326	CBEAX	5.75		.00	1.28000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Large Cap Value Fund -	94975J276	CBLIX			.00	1.20000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Large Cap Value Fund -	94975J292	CBECX		1.00	.75	2.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Large Cap Value Fund -	94975J268	CBLIX			.00	0.95000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Large Cap Value Fund C	94990B100	CBEJX			.00	0.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Mid Cap Value Fund - C	94975J250	CBMAX	5.75		.00	1.27000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Mid Cap Value Fund - C	94975J219	CBMIX			.00	1.19000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Mid Cap Value Fund - C	94975J235	CBMCX		1.00	.75	2.02000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Mid Cap Value Fund - C	94975J193	CBMSX			.00	0.94000		SELL ONLY
Wells Fargo Funds	Wells Fargo C&B Mid Cap Value Fund - C	94988V449	CBMYX			.00	0.84000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Limited-Term Tax-F	94975H650	SCTIX			.00	0.79000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Limited-Term Tax-F	94975H668	SFCIX	2.00		.00	0.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Limited-Term Tax-F	94975J755	SFCCX		1.00	.75	1.60000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Limited-Term Tax-F	94988A742	SFCNX			.00	0.52000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Tax-Free Fund - Cl	94975H718	SCTAX	4.50		.00	0.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Tax-Free Fund - Cl	94975H676	SGCAX			.00	0.75000		SELL ONLY
Wells Fargo Funds	Wells Fargo California Tax-Free Fund - Cl	94975H684	SCTCX		1.00	.75	1.56000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
Wells Fargo Funds	Wells Fargo California Tax-Free Fund - Cl	94988A734	SGTIX			.00	0.48000		SELL ONLY
Wells Fargo Funds	Wells Fargo Classic Value Fund - Class A	94984B223	EIVAX	5.75		.00	1.19000		SELL ONLY
Wells Fargo Funds	Wells Fargo Classic Value Fund - Class A	94975P538	EIVDX			.00	1.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo Classic Value Fund - Class In	94984B181	EIVIX			.00	0.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo Classic Value Fund - Class R	949917181	EIVTX			.25	1.44000		SELL ONLY
Wells Fargo Funds	Wells Fargo Classic Value Fund - Class R	94987W844	EIVFX			.00	0.76000		SELL ONLY
Wells Fargo Funds	Wells Fargo Classic Value Fund- Class C	94984B199	EIVCX		1.00	.75	1.94000		SELL ONLY
Wells Fargo Funds	Wells Fargo Common Stock Fund - Class	949915441	SCSAX	5.75			1.27000		SELL ONLY
Wells Fargo Funds	Wells Fargo Common Stock Fund - Class	94975P629	SCSDX			.00	1.19000		SELL ONLY
Wells Fargo Funds	Wells Fargo Common Stock Fund - Class	949915425	STSAX		1.00	.75	2.02000		SELL ONLY
Wells Fargo Funds	Wells Fargo Common Stock Fund - Class	94975P611	SCNSX			.00	0.94000		SELL ONLY
Wells Fargo Funds	Wells Fargo Common Stock Fund - Class	94987W554	SCSRX			.00	0.84000		SELL ONLY
Wells Fargo Funds	Wells Fargo Conservative Income Fund - C	94987W562	WCII			.00	0.38000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class A	94975J631	MBFAX	4.50		.00	0.82000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class Adm	94975J599	MNTRX			.00	0.76000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class C	94975J615	MBFCX		1.00	.75	1.57000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class Inst	94975J581	MBFIX			.00	0.49000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class R	94984B322	WTRRX			.25	1.07000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class R4	94987W679	MBFRX			.00	0.59000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Bond Fund - Class R6	94987W794	WTRIX			.00	0.44000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Plus Bond Fund Class A	94975H791	STYAX	4.50		.00	0.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Plus Bond Fund Class A	94975P835	WIPDX			.00	0.83000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Plus Bond Fund Class C	94975H775	WFIPX		1.00	.75	1.64000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Plus Bond Fund Class In	94984B694	WIPIX			.00	0.56000		SELL ONLY
Wells Fargo Funds	Wells Fargo Core Plus Bond Fund Class F	94990B308	STYJX			.00	0.51000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined Small Cap Fund A	94975G488	NVSOX			.00	1.76000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined Small Cap Fund C	94975G512	WDSAX	5.75		.00	1.84000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined Small Cap Fund C	94990B506	WSCJX			.00	1.41000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined Small Cap Fund In	94988A718	WSCOX			.00	1.51000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined U.S. Core Fund - C	94984B173	EVSA	5.75		.00	0.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined U.S. Core Fund - C	94984B157	EVSYX			.00	0.78000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined U.S. Core Fund - C	94984B165	EVSTX		1.00	.75	1.61000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined U.S. Core Fund - C	94975P728	EVSIX			.00	0.53000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined U.S. Core Fund - C	94988V209	EVSHX			.25	1.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo Disciplined U.S. Core Fund - C	94988V308	EVSIX			.00	0.43000		SELL ONLY
Wells Fargo Funds	Wells Fargo Discovery Fund - Class A	949921506	WFDAX	5.75		.00	1.21000		SELL ONLY
Wells Fargo Funds	Wells Fargo Discovery Fund - Class Admin	949915359	WFDDX			.00	1.13000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Wells Fargo Funds	Wells Fargo Discovery Fund - Class C	949921605	WDSCX		1.00	.75	1.96000		SELL ONLY
Wells Fargo Funds	Wells Fargo Discovery Fund - Class Inst	949917355	WFDSX			.00	0.88000		SELL ONLY
Wells Fargo Funds	Wells Fargo Discovery Fund - Class R6	94987W547	WFDRX				0.78000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Capital Builder Fur	94985D384	EKBAX	5.75		.00	1.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Capital Builder Fur	94975P678	EKBDX				1.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Capital Builder Fur	94985D368	EKBCX		1.00	.75	1.87000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Capital Builder Fur	94985D350	EKBYX			.00	0.79000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Equity Fund - Clas	94975G108	NVDAX	5.75		.00	1.40000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Equity Fund - Clas	94975G405	NVDEX			.00	1.32000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Equity Fund - Clas	94975G306	WFDEX		1.00	.75	2.15000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Income Builder Fu	94985D343	EKSAX	5.75		.00	1.06000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Income Builder Fu	94975P660	EKSDX				0.99000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Income Builder Fu	94985D327	EKSCX		1.00	.75	1.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Income Builder Fu	94985D319	EKSYX			.00	0.74000		SELL ONLY
Wells Fargo Funds	Wells Fargo Diversified Income Builder Fu	94988V431	EKSRX				0.64000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2015 Fund C	94988A593	WDTAX	5.75		.00	3.40000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2015 Fund C	94988A585	WDTCX		1.00	.75	4.15000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2015 Fund C	94988A569	WDTYX			.00	3.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2015 Fund C	94988A551	WDTZX			.00	2.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2020 Fund C	94988A544	WDTDY	5.75		.00	2.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2020 Fund C	94988A536	WDTEX		1.00	.75	3.64000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2020 Fund C	94988A510	WDTGX			.00	2.61000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2020 Fund C	94988A494	WDTHX			.00	2.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2025 Fund C	94988A486	WDTIX	5.75		.00	2.21000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2025 Fund C	94988A478	WDTJX		1.00	.75	2.96000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2025 Fund C	94988A452	WDTLX			.00	1.93000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2025 Fund C	94988A445	WDTMX			.00	1.78000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2030 Fund C	94988A437	WDTNX	5.75		.00	2.33000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2030 Fund C	94988A429	WDTOX		1.00	.75	3.08000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2030 Fund C	94988A395	WDTQX			.00	2.05000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2030 Fund C	94988A387	WDTSX			.00	1.90000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2035 Fund C	94988A379	WDTTX	5.75		.00	2.40000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2035 Fund C	94988A361	WDCTX		1.00	.75	3.15000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2035 Fund C	94988A346	WDTVX			.00	2.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2035 Fund C	94988A338	WDTWX			.00	1.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2040 Fund C	94988A320	WTDAX	5.75		.00	2.63000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2040 Fund C	94988A312	WTDCX		1.00	.75	3.38000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Wells Fargo Funds	Wells Fargo Dynamic Target 2040 Fund C	94988A288	WTDEX			.00	2.35000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2040 Fund C	94988A270	WTDFX			.00	2.20000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2045 Fund C	94988A262	WTDGX	5.75		.00	2.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2045 Fund C	94988A254	WTDHX		1.00	.75	3.72000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2045 Fund C	94988A239	WTDJX			.00	2.69000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2045 Fund C	94988A221	WTDKX			.00	2.54000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2050 Fund C	94988A213	WTDLX	5.75		.00	3.28000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2050 Fund C	94988A197	WTDMX		1.00	.75	4.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2050 Fund C	94988A171	WTDQX			.00	3.00000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2050 Fund C	94988A163	WTDPX			.00	2.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2055 Fund C	94988A155	WTDQX	5.75		.00	3.29000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2055 Fund C	94988A148	WTDRX		1.00	.75	4.04000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2055 Fund C	94988A122	WTDTX			.00	3.01000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2055 Fund C	94988A114	WTDUX			.00	2.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2060 Fund C	94987W257	WTDVX	5.75		.00	3.39000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2060 Fund C	94987W240	WTDWX		1.00	.75	4.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2060 Fund C	94987W224	WTDZX			.00	3.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target 2060 Fund C	94987W216	WTSZX			.00	2.96000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target Today Fund C	94988A650	WDYAX	5.75		.00	2.95000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target Today Fund C	94988A643	WDYCX		1.00	.75	3.70000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target Today Fund C	94988A627	WDYYX			.00	2.67000		SELL ONLY
Wells Fargo Funds	Wells Fargo Dynamic Target Today Fund C	94988A619	WDYZX			.00	2.52000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Growth Fund - Clas	949921720	WEMAX	5.75		.00	1.35000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Growth Fund - Clas	949917264	WFGDX			.00	1.27000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Growth Fund - Clas	949921712	WEMCX		1.00	.75	2.10000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Growth Fund - Clas	949921688	WEMIX			.00	1.02000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Growth Fund - Clas	94988V423	WEGRX			.00	0.92000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Fun	94984B389	EMGAX	5.75		.00	1.55000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Fun	94984B355	EMGYX			.00	1.47000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Fun	94984B363	EMGCX		1.00	.75	2.30000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Fun	94975P751	EMGNX			.00	1.22000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Fun	94987W539	EMGDY			.00	1.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Incc	94975P413	EQIAX	5.75		.00	1.66000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Incc	94975P389	EQIDX			.00	1.58000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Incc	94975P397	EQICX		1.00	.75	2.41000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Incc	94975P371	EQIIX			.00	1.33000		SELL ONLY
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Incc	94988V605	EQIHX			.25	1.91000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Wells Fargo Funds	Wells Fargo Emerging Markets Equity Incd	94988V704	EQIRX			.00	1.23000		SELL ONLY
Wells Fargo Funds	Wells Fargo Endeavor Select Fund - Class	949915599	STAEX	5.75		.00	1.26000		SELL ONLY
Wells Fargo Funds	Wells Fargo Endeavor Select Fund - Class	949915557	WECDX			.00	1.18000		SELL ONLY
Wells Fargo Funds	Wells Fargo Endeavor Select Fund - Class	949915573	WECCX		1.00	.75	2.01000		SELL ONLY
Wells Fargo Funds	Wells Fargo Endeavor Select Fund - Class	949915565	WFCIX			.00	0.93000		SELL ONLY
Wells Fargo Funds	Wells Fargo Endeavor Select Fund - Class	94990B738	WECRX			.00	0.83000		SELL ONLY
Wells Fargo Funds	Wells Fargo Enterprise Fund - Class A	949915375	SENAX	5.75			1.24000		SELL ONLY
Wells Fargo Funds	Wells Fargo Enterprise Fund - Class Admi	949915391	SEPXX			.00	1.16000		SELL ONLY
Wells Fargo Funds	Wells Fargo Enterprise Fund - Class C	949921779	WENCX		1.00	.75	1.99000		SELL ONLY
Wells Fargo Funds	Wells Fargo Enterprise Fund - Class Inst	949915367	WFEIX			.00	0.91000		SELL ONLY
Wells Fargo Funds	Wells Fargo Enterprise Fund - Class R6	94988A684	WENRX			.00	0.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo Fundamental Small Cap Grov	94985D251	EGWAX	5.75		.00	1.47000		SELL ONLY
Wells Fargo Funds	Wells Fargo Fundamental Small Cap Grov	94975P652	EGWDX			.00	1.39000		SELL ONLY
Wells Fargo Funds	Wells Fargo Fundamental Small Cap Grov	94975P645	EGWCX		1.00	.75	2.22000		SELL ONLY
Wells Fargo Funds	Wells Fargo Fundamental Small Cap Grov	94985D244	EGRYX			.00	1.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Global Investment Grade Cre	94988V233	WGCRX			.00	0.77000		SELL ONLY
Wells Fargo Funds	Wells Fargo Global Investment Grade Cre	94988V225	WGCIX			.00	0.82000		SELL ONLY
Wells Fargo Funds	Wells Fargo Global Small Cap Fund Class	94985D855	EKGAX	5.75		.00	1.54000		SELL ONLY
Wells Fargo Funds	Wells Fargo Global Small Cap Fund Class	94985D822	EKGYX			.00	1.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Global Small Cap Fund Class	94985D830	EKGCX		1.00	.75	2.29000		SELL ONLY
Wells Fargo Funds	Wells Fargo Global Small Cap Fund Class	94975P744	EKGIX			.00	1.21000		SELL ONLY
Wells Fargo Funds	Wells Fargo Government Securities Fund	949917553	SGVDX	4.50		.00	0.91000		SELL ONLY
Wells Fargo Funds	Wells Fargo Government Securities Fund	949917546	WGSDX			.00	0.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Government Securities Fund	949917587	WGSCX		1.00	.75	1.66000		SELL ONLY
Wells Fargo Funds	Wells Fargo Government Securities Fund	949917579	SGVIX			.00	0.58000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Balanced Fund - Clas	94975G397	WFG BX	5.75		.00	1.41000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Balanced Fund - Clas	94975G363	NVGBX			.00	1.33000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Balanced Fund - Clas	94975G371	WFGWX		1.00	.75	2.16000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Fund - Class A	949915672	SGRAX	5.75		.00	1.17000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Fund - Class Admin	949915698	SGRKX			.00	1.09000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Fund - Class C	949915722	WGFCX		1.00	.75	1.92000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Fund - Class Inst	949915714	SGRNX			.00	0.84000		SELL ONLY
Wells Fargo Funds	Wells Fargo Growth Fund - Class R6	94988V803	SGRHX			.00	0.74000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Bond Fund - Class	94985D210	EKHAX	4.50		.00	1.04000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Bond Fund - Class	94985D178	EKH YX			.00	0.98000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Bond Fund - Class	94985D186	EKHCX		1.00	.75	1.79000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Bond Fund - Class	94988A726	EKHIX			.00	0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Wells Fargo Funds	Wells Fargo High Yield Municipal Bond Fu	94988A106	WHYMX	4.50		.00	1.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Municipal Bond Fu	94988A304	WHYDX			.00	1.05000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Municipal Bond Fu	94988A205	WHYCX		1.00	.75	1.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Municipal Bond Fu	94988A403	WHYIX			.00	0.78000		SELL ONLY
Wells Fargo Funds	Wells Fargo High Yield Municipal Bond Fu	94988V415	EKHRX			.00	0.73000		SELL ONLY
Wells Fargo Funds	Wells Fargo Income Plus Fund - Class A	94988A502	WSIAX	4.00		.00	1.10000		SELL ONLY
Wells Fargo Funds	Wells Fargo Income Plus Fund - Class Ad	94988A700	WSIDX			.00	1.04000		SELL ONLY
Wells Fargo Funds	Wells Fargo Income Plus Fund - Class C	94988A601	WSICX		1.00	.75	1.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Income Plus Fund - Class Ins	94988A809	WSINX			.00	0.77000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Asset Allocation Fund -	94975H247	SFAAX	5.75		.00	1.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Asset Allocation Fund -	94975H213	WFAIX				1.02000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Asset Allocation Fund -	94975H221	WFALX		1.00	.75	1.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Asset Allocation Fund I	94990B704	WFATX			.00	0.77000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Fund - Class A	94984B777	WFILX	5.75		.00	0.67000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Fund - Class Admin	94975G686	WFIOX			.00	0.44000		SELL ONLY
Wells Fargo Funds	Wells Fargo Index Fund - Class C	94984B561	WFINX		1.00	.75	1.42000		SELL ONLY
Wells Fargo Funds	Wells Fargo Intermediate Tax/AMT-Free F	949921597	WFITX			.00	0.73000		SELL ONLY
Wells Fargo Funds	Wells Fargo Intermediate Tax/AMT-Free F	949921852	WFTFX		1.00	.75	1.54000		SELL ONLY
Wells Fargo Funds	Wells Fargo Intermediate Tax/AMT-Free F	949921662	WITIX			.00	0.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Intermediate Tax/AMT-Free F	94988V399	WFRTX			.00	0.41000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Bond Fund - Cla	94985D624	ESIYX	4.50		.00	1.50000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Bond Fund - Cla	94975P850	ESIDX			.00	1.44000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Bond Fund - Cla	94985D590	ESIVX		1.00	.75	2.25000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Bond Fund - Cla	94985D582	ESICX			.00	1.17000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Bond Fund - Cla	94987W786	ESIRX			.00	1.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Equity Fund - Cla	949915177	WFEAX	5.75		.00	1.48000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Equity Fund - Cla	949915144	WFEDX			.00	1.40000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Equity Fund - Cla	949915151	WFEFX		1.00	.75	2.23000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Equity Fund - Cla	94984B348	WFENX			.00	1.15000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Equity Fund - Cla	94984B330	WFERX			.25	1.73000		SELL ONLY
Wells Fargo Funds	Wells Fargo International Equity Fund - Cla	94988V886	WFEHX			.00	1.05000		SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Core Fund - Class	94984B553	EGOAX	5.75		.00	1.22000		SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Core Fund - Class	94984B538	WFLX			.00	1.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Core Fund - Class	94984B546	EGOCX		1.00	.75	1.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Core Fund - Class	94984B520	EGOIX			.00	0.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Core Fund - Class	94988V878	EGOHX			.25	1.47000		SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Core Fund - Class	94988V860	EGORX			.00	0.79000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94975P462	STAFX	5.75			.00	1.18000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94975P546	STDFX				.00	1.10000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94975P454	STOFX		1.00		.75	1.93000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94975P512	STNFX				.00	0.85000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94975P322	STMFX				.25	1.43000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94987W695	SLGRX				.00	0.90000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Cap Growth Fund - Cla	94987W828	STFFX				.00	0.75000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Company Value Fund -	949921696	WLCAX	5.75			.00	0.99000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Company Value Fund -	949915854	WWIDX				.00	0.91000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Company Value Fund -	949921811	WFLVX		1.00		.75	1.74000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Company Value Fund -	949921795	WLCIX				.00	0.66000	SELL ONLY
Wells Fargo Funds	Wells Fargo Large Company Value Fund (	94990B746	WTLVX				.00	0.56000	SELL ONLY
Wells Fargo Funds	Wells Fargo Low Volatility U.S. Equity Fun	94988V746	WLVDX				.00	1.25000	SELL ONLY
Wells Fargo Funds	Wells Fargo Low Volatility U.S. Equity Fun	94988V761	WLV LX	5.75			.00	1.33000	SELL ONLY
Wells Fargo Funds	Wells Fargo Low Volatility U.S. Equity Fun	94988V753	WLVKX		1.00		.75	2.08000	SELL ONLY
Wells Fargo Funds	Wells Fargo Low Volatility U.S. Equity Fun	94988V712	WLVJX				.00	0.90000	SELL ONLY
Wells Fargo Funds	Wells Fargo Low Volatility U.S. Equity Fun	94988V738	WLVOX				.00	1.00000	SELL ONLY
Wells Fargo Funds	Wells Fargo Minnesota Tax-Free Fund - C	94975H593	NMTFX	4.50			.00	0.93000	SELL ONLY
Wells Fargo Funds	Wells Fargo Minnesota Tax-Free Fund - C	94975H577	NWMIX				.00	0.87000	SELL ONLY
Wells Fargo Funds	Wells Fargo Minnesota Tax-Free Fund - C	949917769	WMTCX		1.00		.75	1.68000	SELL ONLY
Wells Fargo Funds	Wells Fargo Minnesota Tax-Free Fund Ins	94990B803	WMTIX				.00	0.60000	SELL ONLY
Wells Fargo Funds	Wells Fargo Moderate Balanced Fund - Cl	94975J425	WFMAX	5.75			.00	1.36000	BUY,SELL
Wells Fargo Funds	Wells Fargo Moderate Balanced Fund - Cl	94975H106	NVMBX				.00	1.28000	SELL ONLY
Wells Fargo Funds	Wells Fargo Moderate Balanced Fund - Cl	94975J391	WFBCX		1.00		.75	2.11000	SELL ONLY
Wells Fargo Funds	Wells Fargo Moderate Balanced Fund - Cl	94988V373	WFMYX				.00	1.03000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Bond Fund - Class	949917843	WMFAX	4.50			.00	0.77000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Bond Fund - Class	949917793	WMFDX				.00	0.71000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Bond Fund - Class	949917827	WMFCX		1.00		.75	1.52000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Bond Fund - Class	949921670	WMBIX				.00	0.44000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Bond Fund - Class	94988V365	WMBRX				.00	0.39000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Sustainability Fund	94990B688	WMSDX				.00	2.20000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Sustainability Fund	94990B720	WMSAX	4.50			.00	2.26000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Sustainability Fund	94990B712	WMSCX		1.00		.75	3.01000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Sustainability Fund	94990B696	WMSRX				.00	1.88000	SELL ONLY
Wells Fargo Funds	Wells Fargo Municipal Sustainability Fund	94990B670	WMSIX				.00	1.93000	SELL ONLY
Wells Fargo Funds	Wells Fargo Omega Growth Fund - Class	94985D103	EKOAX	5.75			.00	1.28000	SELL ONLY
Wells Fargo Funds	Wells Fargo Omega Growth Fund - Class	94985D400	EOMYX				.00	1.20000	SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Wells Fargo Funds	Wells Fargo Omega Growth Fund - Class	94985D301	EKOCX		1.00	.75	2.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo Omega Growth Fund - Class	94975P520	EKONX			.00	0.95000		SELL ONLY
Wells Fargo Funds	Wells Fargo Omega Growth Fund - Class	94985D509	EKORX			.25	1.53000		SELL ONLY
Wells Fargo Funds	Wells Fargo Opportunity Fund - Class A	949915458	SOPVX	5.75			1.21000		SELL ONLY
Wells Fargo Funds	Wells Fargo Opportunity Fund - Class Adm	949915474	WOFDX			.00	1.13000		SELL ONLY
Wells Fargo Funds	Wells Fargo Opportunity Fund - Class C	949921787	WFOPX		1.00	.75	1.96000		SELL ONLY
Wells Fargo Funds	Wells Fargo Opportunity Fund - Class Inst	94975P595	WOFNX			.00	0.88000		SELL ONLY
Wells Fargo Funds	Wells Fargo Pennsylvania Tax-Free Fund	94985D699	EKVAX	4.50		.00	0.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Pennsylvania Tax-Free Fund	94985D681	EKVCX		1.00	.75	1.72000		SELL ONLY
Wells Fargo Funds	Wells Fargo Pennsylvania Tax-Free Fund	94985D673	EKVYX			.00	0.64000		SELL ONLY
Wells Fargo Funds	Wells Fargo Precious Metals Fund - Class	94985D533	EKWAX	5.75		.00	1.17000		SELL ONLY
Wells Fargo Funds	Wells Fargo Precious Metals Fund - Class	94975P694	EKWDX			.00	1.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo Precious Metals Fund - Class	94985D517	EKWCX		1.00	.75	1.92000		SELL ONLY
Wells Fargo Funds	Wells Fargo Precious Metals Fund - Class	94985D491	EKWYX			.00	0.84000		SELL ONLY
Wells Fargo Funds	Wells Fargo Premier Large Company Grov	94984B496	EKJAX	5.75		.00	1.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Premier Large Company Grov	94984B462	WFPDX			.00	1.06000		SELL ONLY
Wells Fargo Funds	Wells Fargo Premier Large Company Grov	94984B470	EKJCX		1.00	.75	1.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Premier Large Company Grov	94984B454	EKJYX			.00	0.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo Premier Large Company Grov	94987W687	EKJRX			.00	0.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo Premier Large Company Grov	94987W810	EKJFX			.00	0.71000		SELL ONLY
Wells Fargo Funds	Wells Fargo Real Return Fund - Class A	94975J730	IPBAX	4.50		.00	1.43000		SELL ONLY
Wells Fargo Funds	Wells Fargo Real Return Fund - Class Adm	94975J698	IPBIX			.00	1.37000		SELL ONLY
Wells Fargo Funds	Wells Fargo Real Return Fund - Class C	94975J714	IPBCX		1.00	.75	2.18000		SELL ONLY
Wells Fargo Funds	Wells Fargo Real Return Fund - Institution	94990B886	IPBNX			.00	1.10000		SELL ONLY
Wells Fargo Funds	Wells Fargo Real Return Fund Class R6	94990B407	IPBJX			.00	1.05000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short Duration Government B	94975J573	MSDAX	2.00		.00	0.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short Duration Government B	94975J540	MNSGX			.00	0.75000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short Duration Government B	94975J557	MSDCX		1.00	.75	1.56000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short Duration Government B	949917595	WSGIX			.00	0.48000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short Duration Government B	94987W778	MSDRX			.00	0.43000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Bond Plus Fund -	949917637	SSTVX	2.00		.00	0.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Bond Plus Fund -	949921639	WFSHX		1.00	.75	1.60000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Bond Plus Fund -	949917652	SSHIX			.00	0.52000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Bond Plus Fund -	94988V357	SSTYX			.00	0.47000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term High Yield Bond F	949917611	SSTHX	3.00		.00	0.95000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term High Yield Bond F	94975P843	WDHYX			.00	0.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term High Yield Bond F	949921621	WFHYX		1.00	.75	1.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Wells Fargo Funds	Wells Fargo Short-Term High Yield Bond F	94987W752	STYIX			.00	0.62000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Municipal Bond F	94975P819	WSTMX			.00	0.70000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Municipal Bond F	949917876	WSSCX		1.00	.75	1.51000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Municipal Bond F	949921654	WSBIX			.00	0.43000		SELL ONLY
Wells Fargo Funds	Wells Fargo Short-Term Municipal Bond F	94988V340	WSSRX			.00	0.38000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Cap Fund - Class A	949921746	WFSMX	5.75		.00	1.69000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Cap Fund - Class Admi	949915250	WFSDX			.00	1.61000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Cap Fund - Class C	949921738	WSCDX		1.00	.75	2.44000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Cap Fund - Class Inst	949915235	WFSSX			.00	1.36000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Growth Fund	94975J359	WFSAX	5.75		.00	1.33000		BUY,SELL
Wells Fargo Funds	Wells Fargo Small Company Growth Fund	94975G447	NVSCX			.00	1.25000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Growth Fund	94975J334	WSMCX		1.00	.75	2.08000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Growth Fund	949921571	WSCGX			.00	1.00000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Growth Fund	94988A676	WSCRX			.00	0.90000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Value Fund -	94975P884	SCVAX	5.75		.00	1.33000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Value Fund -	94975P868	SCVIX			.00	1.25000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Value Fund -	94975J748	SCVFX		1.00	.75	2.08000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Value Fund -	94975P421	SCVNX			.00	1.00000		SELL ONLY
Wells Fargo Funds	Wells Fargo Small Company Value Fund C	94990B209	SCVJX			.00	0.90000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Mid Cap Value Fund -	949921308	WFPAX	5.75			1.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Mid Cap Value Fund -	949915516	WFMDX			.00	1.06000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Mid Cap Value Fund -	949921407	WFPCX		1.00	.75	1.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Mid Cap Value Fund -	949915482	WFMIX			.00	0.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Mid Cap Value Fund -	94988V852	WFHHX			.25	1.39000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Mid Cap Value Fund -	94987W513	WFPRX			.00	0.71000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Small Cap Value Fun	94984B439	ESPAX	5.75		.00	1.28000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Small Cap Value Fun	94984B397	ESPIX			.00	1.20000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Small Cap Value Fun	94984B413	ESPCX		1.00	.75	2.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Small Cap Value Fun	94975P447	ESPNX			.00	0.95000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Small Cap Value Fun	94988V845	ESPHX			.25	1.53000		SELL ONLY
Wells Fargo Funds	Wells Fargo Special Small Cap Value Fun	94988A668	ESPRX			.00	0.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Specialized Technology Fund	94975H148	WFSTX	5.75		.00	1.36000		SELL ONLY
Wells Fargo Funds	Wells Fargo Specialized Technology Fund	94975P710	WFTDX			.00	1.28000		SELL ONLY
Wells Fargo Funds	Wells Fargo Specialized Technology Fund	94975H122	WFTCX		1.00	.75	2.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo Specialized Technology Fund	94990B878	WFTIX			.00	1.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Aggressive Growth	94990B811	WEAFX	5.75		.00	1.05000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Aggressive Growth	94990B753	WEACX		1.00	.75	1.80000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		Gross		Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
Wells Fargo Funds	Wells Fargo Spectrum Aggressive Growth	94988V290	WEAYX			.00	0.72000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Conservative Grow	94990B829	WMBGX	5.75		.00	1.10000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Conservative Grow	94990B761	WMBFX		1.00	.75	1.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Conservative Grow	94988V266	WMBZX			.00	0.77000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Growth Fund Class	94990B852	WGAFX	5.75		.00	1.10000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Growth Fund Class	94990B787	WGCFX		1.00	.75	1.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Growth Fund Institu	94988V282	WGAYX			.00	0.77000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Income Allocation F	94990B845	WCAFX	5.75		.00	1.11000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Income Allocation F	94990B795	WCCFX		1.00	.75	1.86000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Income Allocation F	94988V316	WCYFX			.00	0.78000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Moderate Growth F	94990B837	WGBAX	5.75		.00	1.08000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Moderate Growth F	94990B779	WGBFX		1.00	.75	1.83000		SELL ONLY
Wells Fargo Funds	Wells Fargo Spectrum Moderate Growth F	94988V274	WGBIX			.00	0.75000		SELL ONLY
Wells Fargo Funds	Wells Fargo Strategic Municipal Bond Fun	94985D772	VMPAX	4.00		.00	0.79000		SELL ONLY
Wells Fargo Funds	Wells Fargo Strategic Municipal Bond Fun	94985D749	VMPYX			.00	0.73000		SELL ONLY
Wells Fargo Funds	Wells Fargo Strategic Municipal Bond Fun	94985D756	DHICX		1.00	.75	1.54000		SELL ONLY
Wells Fargo Funds	Wells Fargo Strategic Municipal Bond Fun	94987W760	STRIX			.00	0.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Strategic Municipal Bond Fun	94988V332	VMPRX			.00	0.41000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2010 Fund - Class A	94975G272	STNRX	5.75		.00	1.00000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2010 Fund - Class Adr	94975G249	WFLGX			.00	0.92000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2010 Fund - Class C	94975G256	WFOCX		1.00	.75	1.75000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2010 Fund - Class R	94987W489	WFARX			.25	1.25000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2010 Fund - Class R4	94987W653	WFORX			.00	0.72000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2010 Fund - Class R6	949915201	WFOAX			.00	0.57000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2015 Fund - Class A	94987W620	WFACX	5.75		.00	0.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2015 Fund - Class Adr	94984B108	WFFFY			.00	0.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2015 Fund - Class R	94987W380	WFBRX			.25	1.22000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2015 Fund - Class R4	94987W646	WFSRX			.00	0.69000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2015 Fund - Class R6	94984B207	WFSCX			.00	0.54000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2020 Fund - Class A	94975G231	STTRX	5.75		.00	0.73000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2020 Fund - Class Adr	94975G199	WFLPX			.00	0.65000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2020 Fund - Class C	94975G215	WFLAX		1.00	.75	1.48000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2020 Fund - Class R	94987W471	WFURX			.25	0.98000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2020 Fund - Class R4	94987W406	WFLRX			.00	0.45000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2020 Fund - Class R6	949915300	WFOBX			.00	0.30000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2025 Fund - Class A	94987W612	WFAYX	5.75		.00	0.77000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2025 Fund - Class Adr	94984B405	WFTRX			.00	0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Wells Fargo Funds	Wells Fargo Target 2025 Fund - Class R	94987W463	WFHRX			.25	1.02000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2025 Fund - Class R4	94987W505	WFGRX			.00	0.49000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2025 Fund - Class R6	94984B504	WFTYX			.00	0.34000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2030 Fund - Class A	94975G181	STHRX	5.75		.00	0.72000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2030 Fund - Class Adr	94975G157	WFLIX			.00	0.64000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2030 Fund - Class C	94975G165	WFDMX		1.00	.75	1.47000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2030 Fund - Class R	94987W455	WFJRX			.25	0.97000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2030 Fund - Class R4	94987W604	WTHRXL			.00	0.44000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2030 Fund - Class R6	949915409	WFOOX			.00	0.29000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2035 Fund - Class A	94987W596	WFQBX	5.75		.00	0.78000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2035 Fund - Class Adr	94984B702	WFQWX			.00	0.70000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2035 Fund - Class R	94987W448	WFKRX			.25	1.03000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2035 Fund - Class R4	94987W638	WTTRX			.00	0.50000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2035 Fund - Class R6	94984B801	WFQRX			.00	0.35000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2040 Fund - Class A	94975G140	STFRX	5.75		.00	0.74000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2040 Fund - Class Adr	94975G116	WFLWX			.00	0.66000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2040 Fund - Class C	94975G124	WFOFX		1.00	.75	1.49000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2040 Fund - Class R	94987W430	WFMRX			.25	0.99000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2040 Fund - Class R4	94987W703	WTFRX			.00	0.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2040 Fund - Class R6	949915508	WFOSX			.00	0.31000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2045 Fund - Class A	94987W588	WFQVX	5.75		.00	0.89000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2045 Fund - Class Adr	94984B876	WFQYX			.00	0.81000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2045 Fund - Class R	94987W422	WFNRX			.25	1.14000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2045 Fund - Class R4	94987W802	WFFRX			.00	0.61000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2045 Fund - Class R6	94984B868	WFQPX			.00	0.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2050 Fund - Class A	94987W869	WFQAX	5.75			0.79000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2050 Fund - Class Adr	94984B843	WFQDX			.00	0.71000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2050 Fund - Class C	94987W851	WFQCX		1.00		1.54000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2050 Fund - Class R	94987W414	WFWRX			.25	1.04000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2050 Fund - Class R4	94987W885	WQFRX			.00	0.51000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2050 Fund - Class R6	94984B835	WFQFX			.00	0.36000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2055 Fund - Class A	94987W570	WFQZX	5.75		.00	1.30000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2055 Fund - Class Adr	949921191	WFLHX			.00	1.22000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2055 Fund - Class R	94987W398	WFYRX			.25	1.55000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2055 Fund - Class R4	94987W877	WFVRX			.00	1.02000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2055 Fund - Class R6	949921183	WFQUX			.00	0.87000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2060 Fund - Class A	94987W331	WFAFX	5.75		.00	1.37000		SELL ONLY



Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
Wells Fargo Funds	Wells Fargo Target 2060 Fund - Class Ad	94987W299	WFDFX			.00	1.29000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2060 Fund - Class C	94987W323	WFCFX		1.00	.75	2.12000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2060 Fund - Class R	94987W281	WFRFX			.25	1.62000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2060 Fund - Class R4	94987W273	WFSFX			.00	1.09000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target 2060 Fund - Class R6	94987W265	WFUFX			.00	0.94000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target Today Fund - Class A	94975G322	STWRX	5.75		.00	0.96000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target Today Fund - Class A	94975G280	WFLOX			.00	0.88000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target Today Fund - Class C	94975G298	WFODX		1.00	.76	1.71000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target Today Fund - Class R	94987W497	WFRRX			.25	1.21000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target Today Fund - Class R4	94987W661	WOTRX			.00	0.68000		SELL ONLY
Wells Fargo Funds	Wells Fargo Target Today Fund - Class R6	949915102	WOTDX			.00	0.53000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Income Fun	949917728	SADAX	2.00		.00	0.71000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Income Fun	949917710	WUSDX			.00	0.65000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Income Fun	94984B710	WUSTX		1.00	.75	1.46000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Income Fun	949917744	SADIX				0.38000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Municipal In	949917884	SMAVX	2.00		.00	0.67000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Municipal In	94975P793	WUSMX			.00	0.61000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Municipal In	949921647	WFUSX		1.00	.75	1.42000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Municipal In	949917702	SMAIX			.00	0.34000		SELL ONLY
Wells Fargo Funds	Wells Fargo Ultra Short-Term Municipal In	94988V324	WUSRX			.00	0.29000		SELL ONLY
Wells Fargo Funds	Wells Fargo Utility and Telecommunicator	94985D483	EVUAX	5.75		.00	1.18000		SELL ONLY
Wells Fargo Funds	Wells Fargo Utility and Telecommunicator	94975P686	EVUDX			.00	1.09000		SELL ONLY
Wells Fargo Funds	Wells Fargo Utility and Telecommunicator	94985D467	EVUCX		1.00	.75	1.93000		SELL ONLY
Wells Fargo Funds	Wells Fargo Utility and Telecommunicator	94985D459	EVUYX			.00	0.85000		SELL ONLY
Wells Fargo Funds	Wells Fargo Wisconsin Tax-Free Fund - C	949917785	WWTCX		1.00	.75	1.70000		SELL ONLY
Wells Fargo Funds	Wells Fargo Wisconsin Tax-Free Fund Ins	94990B860	WWTIX			.00	0.62000		SELL ONLY
Westwood	Westwood Alternative Income Fund Institu	00769G238	WMNIX				1.40000	1.00	SELL ONLY
Westwood	Westwood Alternative Income Fund Ultra S	00769G212	WMNUX				1.40000	1.00	SELL ONLY
Westwood	Westwood High Income Fund A Class	0075W0452	WSDAX	3.00		.25	0.82000		SELL ONLY
Westwood	Westwood High Income Fund Institutional	00769G758	WHGHX				0.59000		SELL ONLY
Westwood	Westwood Income Opportunity Fund A Cla	0075W0643	WWIAX	3.00		.25	1.14000		SELL ONLY
Westwood	Westwood Income Opportunity Fund C Cla	0075W0320	WWICX		1.00	1.00	1.90000		SELL ONLY
Westwood	Westwood Income Opportunity Fund Instit	0075W0775	WHGIX				0.89000		SELL ONLY
Westwood	Westwood Quality SmallCap Fund A Class	0075W0346	WHGAX	4.00		.25	1.28000		SELL ONLY
Westwood	Westwood Quality SmallCap Fund C Class	0075W0338	WHGCX		1.00	1.00	2.02000		SELL ONLY
Westwood	Westwood Quality SmallCap Fund Instituti	0075W0726	WHGSX				1.16000		SELL ONLY
Westwood	Westwood Quality SMidCap Fund Instituti	0075W0767	WHGMX				1.05000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Westwood	Westwood Quality Value Fund A Class	0075W0635	WWLAX	3.00		.25	1.00000		SELL ONLY
Westwood	Westwood Quality Value Fund Institutional	0075W0734	WHGLX				0.77000		SELL ONLY
Westwood	Westwood Total Return Fund Institutional	0075W0718	WLVIX				1.10000		SELL ONLY
William Blair	William Blair Bond Fund Class I	969251107	WBFIX				0.55000		SELL ONLY
William Blair	William Blair Bond Fund Class N	969251206	WBBNX			.15	0.79000		SELL ONLY
William Blair	William Blair Emerging Markets Fund Class I	093001212	WBENX			.25	1.55000		SELL ONLY
William Blair	William Blair Emerging Markets Growth Fund Class I	093001220	WBEIX				1.30000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund Class I	969251404	WBELX				1.33000		SELL ONLY
William Blair	William Blair Emerging Markets Leaders Fund Class N	969251867	WELNX			.25	1.63000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund Class I	969251859	BESIX				1.45000		SELL ONLY
William Blair	William Blair Emerging Markets Small Cap Growth Fund Class N	969251818	WESNX			.25	1.77000		SELL ONLY
William Blair	William Blair Funds Mid Capital Value Fund Class I	969251883	WMVIX				4.1100		SELL ONLY
William Blair	William Blair Funds Mid Capital Value Fund Class N	969251875	WMVNX			0.25	4.4700		SELL ONLY
William Blair	William Blair Global Leaders Fund Class I	093001113	WGFIX				1.12000		SELL ONLY
William Blair	William Blair Global Leaders Fund Class N	093001121	WGGNX			.25	1.45000		SELL ONLY
William Blair	William Blair Growth Fund Class I	093001865	BGFIX				0.93000		SELL ONLY
William Blair	William Blair Growth Fund Class N	093001105	WBG SX			.25	1.26000		SELL ONLY
William Blair	William Blair Income Fund Class I	093001683	BIFIX				0.78000		SELL ONLY
William Blair	William Blair Income Fund Class N	093001204	WBRRX			.15	1.03000		SELL ONLY
William Blair	William Blair International Growth Fund Class I	093001774	BIGIX				1.16000		SELL ONLY
William Blair	William Blair International Growth Fund Class N	093001402	WBIGX			.25	1.47000		SELL ONLY
William Blair	William Blair International Leaders Fund Class I	969251719	WILIX				1.01000		SELL ONLY
William Blair	William Blair International Leaders Fund Class N	969251693	WILNX			.25	1.31000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class I	093001188	WISIX				1.25000		SELL ONLY
William Blair	William Blair International Small Cap Growth Fund Class N	093001170	WISNX			.25	1.52000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class I	093001543	LCGFX				0.80000		SELL ONLY
William Blair	William Blair Large Cap Growth Fund Class N	093001535	LCGNX			.25	1.09000		SELL ONLY
William Blair	William Blair Low Duration Fund Class I	969251602	WBLIX				0.60000		SELL ONLY
William Blair	William Blair Low Duration Fund Class N	969251701	WBLNX			.15	0.84000		SELL ONLY
William Blair	William Blair Macro Allocation Fund Class I	969251784	WMCIX				1.15000		SELL ONLY
William Blair	William Blair Macro Allocation Fund Class N	969251792	WMCNX			.25	1.47000		SELL ONLY
William Blair	William Blair Mid Cap Growth Fund Class I	093001154	WCGIX				1.29000		SELL ONLY
William Blair	William Blair Mid Cap Growth Fund Class N	093001147	WCGNX			.25	1.54000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class I	093001485	WBSIX				1.30000		SELL ONLY
William Blair	William Blair Small Cap Growth Fund Class N	093001477	WBSNX			.25	1.58000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class I	969251479	WBNVX			.25	1.19000		SELL ONLY
William Blair	William Blair Small Cap Value Fund Class N	969251487	ICSCX				0.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
William Blair	William Blair Small-Mid Cap Growth Fund	093001295	WSMDX				1.17000		SELL ONLY
William Blair	William Blair Small-Mid Cap Growth Fund	093001345	WSMNX			.25	1.45000		SELL ONLY
William Blair	William Blair Tax Managed Growth Fund C	093001592	WTMIZ				1.8400	1.00	SELL ONLY
Yorktown Funds	Yorktown Capital Appreciation Fund Class	028837409	APIGX	5.75		.25	1.79000		SELL ONLY
Yorktown Funds	Yorktown Capital Appreciation Fund Class	028837854	AFDDX			1.00	2.54000		SELL ONLY
Yorktown Funds	Yorktown Growth Fund Class A	028837870	AFGGX	5.75		.00	1.46000		SELL ONLY
Yorktown Funds	Yorktown Growth Fund Class L	028837102	APITX			1.00	2.46000		SELL ONLY
Yorktown Funds	Yorktown Master Allocation Fund Class A	00186Q108	APIFX	5.75		.25	3.10000		SELL ONLY
Yorktown Funds	Yorktown Master Allocation Fund Class L	00186Q207	APILX			1.00	3.85000		SELL ONLY
Yorktown Funds	Yorktown Multi-Sector Bond Fund Class A	028837888	APIUX	5.75		.50	1.13000		SELL ONLY
Yorktown Funds	Yorktown Multi-Sector Bond Fund Class L	028837847	AFFIX			1.00	1.63000		SELL ONLY
Yorktown Funds	Yorktown Short Term Bond Fund Class A	028837805	APIMX	2.25		.00	0.88000		SELL ONLY
Yorktown Funds	Yorktown Short Term Bond Fund Class L	028837839	AFMMX			.65	1.53000		SELL ONLY
Yorktown Funds	Yorktown Small-Cap Fund Class A	028837748	YOVAX	5.75		.25	1.93000		SELL ONLY
Yorktown Funds	Yorktown Small-Cap Fund Class L	028837722	YOVLX			1.00	2.68000		SELL ONLY
Zacks Funds	Zacks All-Cap Core Fund Institutional Clas	461418204	CZOVX				1.22000	2.00	SELL ONLY
Zacks Funds	Zacks Dividend Fund Institutional Class Sh	46141Q378	ZDIIX				1.12000	2.00	SELL ONLY
Zacks Funds	Zacks Dividend Fund Investor Class	46141P321	ZDIVX			.25	1.37000	2.00	SELL ONLY
Zacks Funds	Zacks Small-Cap Core Fund Institutional C	46141P313	ZSCIX				1.38000	2.00	SELL ONLY
Zacks Funds	Zacks Small-Cap Core Fund Investor Clas	461418428	ZSCCX			.25	1.63000	2.00	SELL ONLY