

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Rainier	Mn Rainier Intermediate Fixed Income I	750869844					0.7600		SELL ONLY
Rainier	Rainier Balanced Original	750869406	RIMBX			0.25	1.3400		SELL ONLY
Rainier	Rainier Intermediate Fixed Inc Original	750869307				0.1	0.9200		SELL ONLY
Riverbridge	Riverbridge Growth Fund Institutional Clas	46141P404	RIVBX				1.06000	1.00	SELL ONLY
Riverbridge	Riverbridge Growth Fund Investor Class	46141P305	RIVRX			.25	1.31000	1.00	SELL ONLY
RiverPark Funds	RiverPark Floating Rate CMBS Fund Instit	76882K660	RCRIX				0.87000		SELL ONLY
RiverPark Funds	RiverPark Floating Rate CMBS Fund Reta	76882K678	RCRFX				1.16000		SELL ONLY
RiverPark Funds	RiverPark Large Growth Fund Class Institu	76882K108	RPXIX				0.93000		SELL ONLY
RiverPark Funds	RiverPark Large Growth Fund Class Retail	76882K207	RPXFX				1.23000		SELL ONLY
RiverPark Funds	RiverPark Long/Short Opportunity Fund Ins	76882K850	RLSIX				1.75000		SELL ONLY
RiverPark Funds	RiverPark Long/Short Opportunity Fund Re	76882K868	RLSFX				2.03000		SELL ONLY
RiverPark Funds	RiverPark Short Term High Yield Fund Cla	76882K702	RPHIX				0.90000		SELL ONLY
RiverPark Funds	RiverPark Short Term High Yield Fund Cla	76882K801	RPHYX				1.05000		SELL ONLY
RiverPark Funds	RiverPark Strategic Income Fund Institutio	76882K769	RSIIX				1.05000		SELL ONLY
RiverPark Funds	RiverPark Strategic Income Fund Retail Cl	76882K751	RSIVX				1.22000		SELL ONLY
RiverPark Funds	Wedgewood Fund Class Institutional	76882K306	RWGIX				0.86000		SELL ONLY
RiverPark Funds	Wedgewood Fund Class Retail	76882K405	RWGFY				1.13000		SELL ONLY
RMB Funds	RMB Fund Class A	74968B605	RMBHX	5.00		.25	1.23000		SELL ONLY
RMB Funds	RMB Fund Class I	74968B886	RMBGX				0.98000		SELL ONLY
RMB Funds	RMB International Fund Class I	74968B860	RMBTX				0.98000		SELL ONLY
RMB Funds	RMB Japan Fund Class I	74968B852	RMBPX				1.33000		SELL ONLY
RMB Funds	RMB Mendon Financial Services Fund Cla	74968B407	RMBKX	5.00		.25	1.42000		SELL ONLY
RMB Funds	RMB Mendon Financial Services Fund Cla	74968B803	RMBLX				1.17000		SELL ONLY
RMB Funds	RMB Small Cap Fund Class I	74968B837	RMBBX				1.13000		SELL ONLY
RMB Funds	RMB SMID Cap Fund Class I	74968B811	RMBMX				0.90000		SELL ONLY
RNC Genter Capital Mar	RNC Genter Dividend Income Fund	461418865	GDIIX			.25	2.17000	2.00	SELL ONLY
Royce Investment Partn	Royce Dividend Value Fund Consultant Cl	780811634	RDVCX		1.00	1.00	3.32000		SELL ONLY
Royce Investment Partn	Royce Dividend Value Fund Institutional S	780811717	RDIIIX			.00	3.75000		SELL ONLY
Royce Investment Partn	Royce Dividend Value Fund Investment Cl	780905188	RDVIX			.00	1.26000	1.00	SELL ONLY
Royce Investment Partn	Royce Dividend Value Fund Service Class	780905436	RYDVX			.25	1.60000	1.00	SELL ONLY
Royce Investment Partn	Royce Global Financial Services Fund Inst	780811626	RGFIX			.00	1.86000		SELL ONLY
Royce Investment Partn	Royce Global Financial Services Fund Ser	780905469	RYFSX			.25	2.07000	1.00	SELL ONLY
Royce Investment Partn	Royce International Premier Fund Consult	780811618	RINPX		1.00	1.00	2.41000		SELL ONLY
Royce Investment Partn	Royce International Premier Fund Institutio	780811576	RIPIX			.00	1.20000		SELL ONLY
Royce Investment Partn	Royce International Premier Fund Investm	780811667	RIPNX			.00	1.26000	2.00	SELL ONLY
Royce Investment Partn	Royce International Premier Fund Service	780811790	RYIPX			.25	1.57000	2.00	SELL ONLY
Royce Investment Partn	Royce Micro-Cap Fund Consultant Class	780905774	RYMCX		1.00	1.00	2.41000		SELL ONLY

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Royce Investment Partn	Royce Micro-Cap Fund Investment Class	780905709	RYOTX			.00	1.29000	1.00	SELL ONLY
Royce Investment Partn	Royce Micro-Cap Fund Service Class	780905659	RMCFX			.25	1.60000	1.00	SELL ONLY
Royce Investment Partn	Royce Opportunity Fund Consultant Class	780905378	ROFCX		1.00	1.00	2.45000		SELL ONLY
Royce Investment Partn	Royce Opportunity Fund Institutional Class	780905691	ROFIX			.00	1.12000		SELL ONLY
Royce Investment Partn	Royce Opportunity Fund Investment Class	780905832	RYPNX			.00	1.23000	1.00	SELL ONLY
Royce Investment Partn	Royce Opportunity Fund Service Class	780905758	RYOFX			.25	1.54000	1.00	SELL ONLY
Royce Investment Partn	Royce Pennsylvania Mutual Fund Consulta	780905816	RYPCX		1.00	1.00	1.96000		SELL ONLY
Royce Investment Partn	Royce Pennsylvania Mutual Fund Institutio	78081A303	RPMIX			.00	0.89000		SELL ONLY
Royce Investment Partn	Royce Pennsylvania Mutual Fund Investme	780905840	PENNX			.00	0.95000	1.00	SELL ONLY
Royce Investment Partn	Royce Pennsylvania Mutual Fund Service	780905386	RYPFX			.25	1.24000	1.00	SELL ONLY
Royce Investment Partn	Royce Premier Fund Consultant Class	780905550	RPRCX		1.00	1.00	2.34000		SELL ONLY
Royce Investment Partn	Royce Premier Fund Institutional Class	780905683	RPFIX			.00	1.15000		SELL ONLY
Royce Investment Partn	Royce Premier Fund Investment Class	780905600	RYPRX			.00	1.21000	1.00	SELL ONLY
Royce Investment Partn	Royce Premier Fund Service Class	780905634	RPFFX			.25	1.57000	1.00	SELL ONLY
Royce Investment Partn	Royce Small-Cap Value Fund Consultant C	780905360	RVFCX		1.00	1.00	2.73000		SELL ONLY
Royce Investment Partn	Royce Small-Cap Value Fund Investment C	780905220	RVVHX			.00	1.39000	1.00	SELL ONLY
Royce Investment Partn	Royce Small-Cap Value Fund Service Clas	780905733	RYVFX			.25	1.62000	1.00	SELL ONLY
Royce Investment Partn	Royce Smaller-Companies Growth Fund C	780905345	RVPCX		1.00	1.00	2.54000		SELL ONLY
Royce Investment Partn	Royce Smaller-Companies Growth Fund Ir	780905337	RVPIX			.00	1.44000		SELL ONLY
Royce Investment Partn	Royce Smaller-Companies Growth Fund Ir	780905212	RVPHX			.00	1.25000	1.00	SELL ONLY
Royce Investment Partn	Royce Smaller-Companies Growth Fund S	780905741	RYVPX			.25	1.54000	1.00	SELL ONLY
Royce Investment Partn	Royce Special Equity Fund Consultant Cla	780905543	RSQCX		1.00	1.00	2.31000		SELL ONLY
Royce Investment Partn	Royce Special Equity Fund Institutional Cla	780905535	RSEIX			.00	1.15000		SELL ONLY
Royce Investment Partn	Royce Special Equity Fund Investment Cla	780905782	RYSEX			.00	1.23000	1.00	SELL ONLY
Royce Investment Partn	Royce Special Equity Fund Service Class	780905527	RSEFX			.25	1.60000	1.00	SELL ONLY
Royce Investment Partn	Royce Total Return Fund Consultant Class	780905592	RYTCX		1.00	1.00	2.23000		SELL ONLY
Royce Investment Partn	Royce Total Return Fund Institutional Clas	780905717	RTRIX			.00	1.14000		SELL ONLY
Royce Investment Partn	Royce Total Return Fund Investment Clas	780905881	RYTRX			.00	1.25000	1.00	SELL ONLY
Royce Investment Partn	Royce Total Return Fund Service Class	780905642	RYTFX			.25	1.56000	1.00	SELL ONLY
Russell	Russell Investment Tax-Managed Internati	78249R198	RTNAX	5.75		.25	1.41000		SELL ONLY
Russell	Russell Investment Tax-Managed Internati	78249R180	RTNCX			.75	2.16000		SELL ONLY
Russell	Russell Investment Tax-Managed Internati	78249R164	RTNSX				1.16000		SELL ONLY
Russell	Russell Investment Tax-Managed Real As	78250F265	RTXAX	5.75		.25	1.47000		SELL ONLY
Russell	Russell Investment Tax-Managed Real As	78250F257	RTXCX			.75	2.22000		SELL ONLY
Russell	Russell Investment Tax-Managed Real As	78250F182	RTXSX				1.22000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Lar	782494348	RTLAX	5.75		.25	1.19000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Lar	782478309	RTL CX			.75	1.94000		SELL ONLY

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							Expense Ratio	Fee	
Russell	Russell Investment Tax-Managed U.S. Lar	782493720	RETSX				0.94000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid	782494330	RTSAX	5.75		.25	1.54000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid	782478507	RTSCX			.75	2.29000		SELL ONLY
Russell	Russell Investment Tax-Managed U.S. Mid	782478408	RTSSX				1.29000		SELL ONLY
Russell	Russell Investments Emerging Markets Fu	782494603	REMAX	5.75		.25	1.80000		SELL ONLY
Russell	Russell Investments Emerging Markets Fu	782493431	REMCX			.75	2.55000		SELL ONLY
Russell	Russell Investments Emerging Markets Fu	782493746	REMSX				1.55000		SELL ONLY
Russell	Russell Investments Equity Income Fund C	782494611	RSQAX	5.75		.25	1.18000		SELL ONLY
Russell	Russell Investments Equity Income Fund C	782494595	REQSX			.75	1.93000		SELL ONLY
Russell	Russell Investments Equity Income Fund C	782494587	RLISX				0.93000		SELL ONLY
Russell	Russell Investments Global Equity Fund C	782478143	RGEAX	5.75		.25	1.55000		SELL ONLY
Russell	Russell Investments Global Equity Fund C	782478135	RGECX			.75	2.30000		SELL ONLY
Russell	Russell Investments Global Equity Fund C	782478119	RGESX				1.30000		SELL ONLY
Russell	Russell Investments Global Infrastructure	782494280	RGIAX	5.75		.25	2.12000		SELL ONLY
Russell	Russell Investments Global Infrastructure	782494272	RGCIX			.75	2.87000		SELL ONLY
Russell	Russell Investments Global Infrastructure	782494256	RGISX				1.87000		SELL ONLY
Russell	Russell Investments Global Real Estate Se	782494405	RREAX	5.75		.25	1.40000		SELL ONLY
Russell	Russell Investments Global Real Estate Se	782493423	RRSCX			.75	2.15000		SELL ONLY
Russell	Russell Investments Global Real Estate Se	782493761	RRESX				1.15000		SELL ONLY
Russell	Russell Investments International Develop	782494512	RLNAX	5.75		.25	1.26000		SELL ONLY
Russell	Russell Investments International Develop	782494496	RLNCX			.75	2.01000		SELL ONLY
Russell	Russell Investments International Develop	782494488	RINTX				1.01000		SELL ONLY
Russell	Russell Investments Investment Grade Bo	782494322	RFAAX	3.75		.25	0.82000		SELL ONLY
Russell	Russell Investments Investment Grade Bo	782494827	RFACX			.75	1.57000		SELL ONLY
Russell	Russell Investments Investment Grade Bo	782494835	RFATX				0.57000		SELL ONLY
Russell	Russell Investments LifePoints Balanced S	782478622	RBLAX	5.75		.25	1.37000		SELL ONLY
Russell	Russell Investments LifePoints Balanced S	782493373	RBLCX			.75	2.12000		SELL ONLY
Russell	Russell Investments LifePoints Balanced S	782478366	RBLRX				1.12000		SELL ONLY
Russell	Russell Investments LifePoints Balanced S	78249R677	RBLUX			.25	1.37000		SELL ONLY
Russell	Russell Investments LifePoints Balanced S	78249R669	RBLVX			.50	1.62000		SELL ONLY
Russell	Russell Investments LifePoints Balanced S	782493134	RBLSX				1.12000		SELL ONLY
Russell	Russell Investments LifePoints Conservati	782478648	RCLAX	5.75		.25	1.33000		SELL ONLY
Russell	Russell Investments LifePoints Conservati	782493357	RCLCX			.75	2.08000		SELL ONLY
Russell	Russell Investments LifePoints Conservati	782478325	RCLRX				1.08000		SELL ONLY
Russell	Russell Investments LifePoints Conservati	78249R719	RCLUX			.25	1.33000		SELL ONLY
Russell	Russell Investments LifePoints Conservati	78249R693	RCLVX			.50	1.58000		SELL ONLY
Russell	Russell Investments LifePoints Conservati	782478200	RCLSX				1.08000		SELL ONLY

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						12b-1 Fees	Expense Ratio		
Russell	Russell Investments LifePoints Equity Gro	782478598	REAX	5.75		.25	1.51000		SELL ONLY
Russell	Russell Investments LifePoints Equity Gro	782493399	RELCX			.75	2.26000		SELL ONLY
Russell	Russell Investments LifePoints Equity Gro	782478416	RELRX				1.26000		SELL ONLY
Russell	Russell Investments LifePoints Equity Gro	78249R636	RELUX			.25	1.51000		SELL ONLY
Russell	Russell Investments LifePoints Equity Gro	78249R628	RELVX			.50	1.76000		SELL ONLY
Russell	Russell Investments LifePoints Equity Gro	782493118	RELSX				1.26000		SELL ONLY
Russell	Russell Investments LifePoints Growth Str	782478614	RALAX	5.75		.25	1.44000		SELL ONLY
Russell	Russell Investments LifePoints Growth Str	782493381	RALCX			.75	2.19000		SELL ONLY
Russell	Russell Investments LifePoints Growth Str	782478382	RALRX				1.19000		SELL ONLY
Russell	Russell Investments LifePoints Growth Str	78249R651	RALUX			.25	1.44000		SELL ONLY
Russell	Russell Investments LifePoints Growth Str	78249R644	RALVX			.50	1.69000		SELL ONLY
Russell	Russell Investments LifePoints Growth Str	782493126	RALSX				1.19000		SELL ONLY
Russell	Russell Investments LifePoints Moderate S	782478630	RMLAX	5.75		.25	1.35000		SELL ONLY
Russell	Russell Investments LifePoints Moderate S	782493365	RMLCX			.75	2.10000		SELL ONLY
Russell	Russell Investments LifePoints Moderate S	782478341	RMLRX				1.10000		SELL ONLY
Russell	Russell Investments LifePoints Moderate S	78249R727	RMLUX			.00	1.35000		SELL ONLY
Russell	Russell Investments LifePoints Moderate S	78249R685	RMLVX			.50	1.60000		SELL ONLY
Russell	Russell Investments LifePoints Moderate S	782478101	RMLSX				1.10000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth S	78250F596	RAZAX	5.75		.25	1.49000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth S	78250F588	RAZCX			.75	2.24000		SELL ONLY
Russell	Russell Investments Multi-Asset Growth S	78250F554	RMGSX				1.24000		SELL ONLY
Russell	Russell Investments Multifactor Internation	78249R297	RTISX				0.85000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equit	78249R388	RTDAX	5.75		.25	0.87000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equit	78249R370	RTDCX			.75	1.62000		SELL ONLY
Russell	Russell Investments Multifactor U.S. Equit	78249R354	RTDSX				0.62000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income	78249R255	RMYAX	5.75		.25	1.38000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income	78249R248	RMYCX			.75	2.13000		SELL ONLY
Russell	Russell Investments Multi-Strategy Income	78249R222	RMYSX				1.13000		SELL ONLY
Russell	Russell Investments Opportunistic Credit F	782494231	RGCAx	3.75		.25	1.61000		SELL ONLY
Russell	Russell Investments Opportunistic Credit F	782494223	RGCCX			.75	2.36000		SELL ONLY
Russell	Russell Investments Opportunistic Credit F	782494199	RGCSX				1.36000		SELL ONLY
Russell	Russell Investments Short Duration Bond	782494801	RSBTX	3.75		.25	1.06000		SELL ONLY
Russell	Russell Investments Short Duration Bond	782493340	RSBCX			.75	1.81000		SELL ONLY
Russell	Russell Investments Short Duration Bond	782493506	RFBSX				0.81000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund	782494470	RFDAX	3.75		.25	1.02000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund	782494462	RFCCX			.75	1.77000		SELL ONLY
Russell	Russell Investments Strategic Bond Fund	782494454	RFCTX				0.77000		SELL ONLY

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Russell	Russell Investments Sustainable Equity Fu	782494579	REQAX	5.75		.25	1.42000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fu	782494561	REQCX			.75	2.17000		SELL ONLY
Russell	Russell Investments Sustainable Equity Fu	782494553	REQTX				1.17000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fu	782494314	RTEAX	3.75		.25	0.84000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fu	782493324	RTECX			.75	1.59000		SELL ONLY
Russell	Russell Investments Tax-Exempt Bond Fu	782493837	RLVSX				0.59000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yie	78249R156	RTHAX	3.75		.25	1.06000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yie	78249R149	RTHCX			.75	1.81000		SELL ONLY
Russell	Russell Investments Tax-Exempt High Yie	78249R123	RTHSX				0.81000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equit	782494546	RLACX	5.75		.25	1.42000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equit	782494538	RLECX			.75	2.17000		SELL ONLY
Russell	Russell Investments U.S. Small Cap Equit	782494520	RLESX				1.17000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity	78249R859	RSEAX	5.75		.25	1.25000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity	78249R842	RSECX			.75	2.00000		SELL ONLY
Russell	Russell Investments U.S. Strategic Equity	78249R826	RSESX				1.00000		SELL ONLY
Russell	Russell Investments Unconstrained Total F	78250F687	RUTSX				1.59000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class A	78355E379	RYBKX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class C	783554314	RYKCX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class H	783554876	RYKAX			.25	1.63000		SELL ONLY
Rydex Funds	Rydex Banking Fund Class Investor	783554868	RYKIX				1.43000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class A	78355E361	RYBMX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class C	783554298	RYBCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class H	783554850	RYBAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Basic Materials Fund Class Investo	783554843	RYBIX				1.43000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class A	78355E353	RYBOX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class C	783554280	RYCFX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class H	783554835	RYOAX			.25	1.72000		SELL ONLY
Rydex Funds	Rydex Biotechnology Fund Class Investor	783554827	RYOIX				1.45000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class A	78356A301	RYMEX	4.75		.25	1.85000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class C	78356A400	RYMJX		1.00	1.00	2.60000		SELL ONLY
Rydex Funds	Rydex Commodities Fund Class H	78356A509	RYMBX			.25	1.84000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class A	78355E346	RYPDX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class C	783554272	RYCPX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class H	783554819	RYCAX			.25	1.69000		SELL ONLY
Rydex Funds	Rydex Consumer Products Fund Class Inv	783554793	RYCIX				1.45000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class A	78355Y888	RYLDX	4.75		.25	1.84000		SELL ONLY
Rydex Funds	Rydex Dow 2x Strategy Fund Class C	78355Y102	RYCYX		1.00	1.00	2.59000		SELL ONLY

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Rydex Funds	Rydex Dow 2x Strategy Fund Class H	78355Y201	RYCVX				.25 1.84000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fun	78356C539	RYDAX	4.75			.25 1.71000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fun	78356C521	RYDKX		1.00	1.00	2.46000		SELL ONLY
Rydex Funds	Rydex Dow Jones Industrial Average? Fun	78356C513	RYDHX				.25 1.72000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class A	78355E338	RYELX	4.75			.25 1.69000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class C	783554264	RYSCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class H	783554785	RYSAX				.25 1.67000		SELL ONLY
Rydex Funds	Rydex Electronics Fund Class Investor	783554777	RYSIX				1.44000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund	78356C760	RYWTX	4.75			.25 1.83000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund	78356C778	RYWUX		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Emerging Markets 2x Strategy Fund	78356C794	RYWVX				.25 1.81000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy F	78356C653	RYIEX	4.75			.25 1.74000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy F	78356C661	RYFTX		1.00	1.00	2.40000		SELL ONLY
Rydex Funds	Rydex Emerging Markets Bond Strategy F	78356C646	RYGTX				.25 1.65000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class A	78355E320	RYENX	4.75			.25 1.68000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class C	783554256	RYECX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class H	783554769	RYEAX				.25 1.68000		SELL ONLY
Rydex Funds	Rydex Energy Fund Class Investor	783554751	RYEIX				1.42000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class A	78355E130	RYESX	4.75			.25 1.68000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class C	783554249	RYVCX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class H	783554744	RYVAX				.25 1.68000		SELL ONLY
Rydex Funds	Rydex Energy Services Fund Class Invest	783554736	RYVIX				1.42000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class A	78355E692	RYAEX	4.75			.25 1.80000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class C	783554116	RYCEX		1.00	1.00	2.63000		SELL ONLY
Rydex Funds	Rydex Europe Advantage Fund Class H	783554447	RYEUX				.25 1.82000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class A	78355E312	RYFNX	4.75			.25 1.70000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class C	783554231	RYFCX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class H	783554728	RYFAX				.25 1.69000		SELL ONLY
Rydex Funds	Rydex Financial Services Fund Class Inve	783554710	RYFIX				1.41000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strate	78355E767	RYADX			0.25	1.4600		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strate	78355E460	RYABX	4.75			.25 1.33000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strate	783554330	RYCGX		1.00	1.00	2.08000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strate	78356C588	RYHBX				.25 1.32000		SELL ONLY
Rydex Funds	Rydex Government Long Bond 1.2x Strate	783554504	RYGBX				1.10000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class A	78355E296	RYHEX	4.75			.25 1.69000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class C	783554223	RYHCX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Health Care Fund Class H	783554694	RYHAX				.25 1.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Ratio	Expense	Fee	
Rydex Funds	Rydex Health Care Fund Class Investor	783554686	RYHIX					1.46000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class A	78356A483	RYHDX	4.75			.25	1.64000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class C	78356A467	RYHHX		1.00	1.00		2.38000		SELL ONLY
Rydex Funds	Rydex High Yield Strategy Fund Class H	78356A442	RYHGX				.25	1.65000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class A	78355E288	RYINX	4.75			.25	1.69000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class C	783554215	RYICX		1.00	1.00		2.43000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class H	783554488	RYIAX				.25	1.76000		SELL ONLY
Rydex Funds	Rydex Internet Fund Class Investor	783554496	RYIIX					1.44000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Clas	78355Y870	RYIDX	4.75			.25	1.85000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Clas	78355Y300	RYCZX		1.00	1.00		2.59000		SELL ONLY
Rydex Funds	Rydex Inverse Dow 2x Strategy Fund Clas	78355Y409	RYCWX				.25	1.84000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strate	78356C745	RYWWX	4.75			.25	1.86000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strate	78356C752	RYWZX		1.00	1.00		2.66000		SELL ONLY
Rydex Funds	Rydex Inverse Emerging Markets 2x Strate	78356C786	RYWYX				.25	1.89000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Str	78355E775	RYJAX				0.25	3.6400		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Str	78355E429	RYAQX	4.75			.25	3.00000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Str	783554322	RYJCX		1.00	1.00		3.73000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Str	78356C570	RYHJX				.25	2.97000		SELL ONLY
Rydex Funds	Rydex Inverse Government Long Bond Str	783554702	RYJUX					2.78000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund C	78356A475	RYILX	4.75			.25	1.60000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund C	78356A459	RYIYX		1.00	1.00		2.40000		SELL ONLY
Rydex Funds	Rydex Inverse High Yield Strategy Fund C	78356A434	RYIHX				.25	1.66000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Cla	78355E627	RYAGX	4.75			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Cla	78355E619	RYCLX		1.00	1.00		2.54000		SELL ONLY
Rydex Funds	Rydex Inverse Mid-Cap Strategy Fund Cla	78355E593	RYMHX				.25	1.82000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy	78355Y805	RYVTX	4.75			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy	78355E502	RYCDX		1.00	1.00		2.55000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? 2x Strategy	783554397	RYVNX				.25	1.85000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fu	78355E437	RYAPX	4.75			.25	1.80000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fu	783554348	RYACX		1.00	1.00		2.57000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fu	78356C596	RYALX				.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100? Strategy Fu	783554512	RYAIX					1.56000		SELL ONLY
Rydex Funds	Rydex Inverse NASDAQ-100@ Strategy Fu	78355E759	RYAAX				0.25	1.9600		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy F	78356A723	RYIUX	4.75			.25	1.82000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy F	78356A715	RYIZX		1.00	1.00		2.57000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000 2x Strategy F	78356A699	RYIRX				.25	1.89000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fur	78355E544	RYAFX	4.75			.25	1.84000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fur	78355E536	RYCQX		1.00	1.00	2.61000		SELL ONLY
Rydex Funds	Rydex Inverse Russell 2000? Strategy Fur	78355E528	RYSHX			.25	1.85000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund	78355Y607	RYTMX	4.75		.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund	78355E304	RYCBX		1.00	1.00	2.55000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 2x Strategy Fund	783554421	RYTPX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500 Strategy Fund Cl	783554538	RYUAX			0.25	1.9100		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund C	78355E718	RYARX	4.75		.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund C	783554363	RYUCX		1.00	1.00	2.57000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund C	78356C620	RYUHX			.25	1.81000		SELL ONLY
Rydex Funds	Rydex Inverse S&P 500? Strategy Fund In	783554405	RYURX				1.54000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class A	78356A368	RYJSX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class C	78356A335	RYJTX		1.00	1.00	2.35000		SELL ONLY
Rydex Funds	Rydex Japan 2x Strategy Fund Class H	78356A293	RYJHX			.25	1.61000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class A	78355E270	RYLSX	4.75		.25	1.68000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class C	783554199	RYLCX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Leisure Fund Class Investor	783554660	RYLIX				1.41000		SELL ONLY
Rydex Funds	Rydex Leisure Fund H Class	783554678	RYLAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class	78355E486	RYAHX	4.75		.25	1.79000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class	78355E700	RYDCX		1.00	1.00	2.56000		SELL ONLY
Rydex Funds	Rydex Mid-Cap 1.5x Strategy Fund Class	78355E882	RYMDX			.25	1.78000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100?	78356C562	RMQAX	4.75		.25	1.79000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100?	78356C554	RMQCX		1.00	1.00	2.54000		SELL ONLY
Rydex Funds	Rydex Monthly Rebalance NASDAQ-100?	78356C547	RMQHX			.25	1.79000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund C	78355Y706	RYVLX	4.75		.25	1.84000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund C	78355E403	RYCCX		1.00	1.00	2.59000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? 2x Strategy Fund C	783554413	RYVYX			.25	1.84000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class A	78355E445	RYATX	4.75		.25	1.58000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class C	783554355	RYCOX		1.00	1.00	2.33000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Class H	78356C612	RYHOX			.25	1.59000		SELL ONLY
Rydex Funds	Rydex NASDAQ-100? Fund Investor Class	783554603	RYOCX				1.33000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class A	78355E452	RYANX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class C	783554371	RYNCX		1.00	1.00	2.37000		SELL ONLY
Rydex Funds	Rydex Nova Fund Class H	78356C638	RYNHX			.25	1.61000		SELL ONLY
Rydex Funds	Rydex Nova Fund Investor Class	783554108	RYNVX				1.35000		SELL ONLY
Rydex Funds	Rydex Otc Fund Advisor Class	783554520	RYAOX			0.25	1.7500		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class A	78355E262	RYMNX	4.75		.25	1.61000		SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class C	783554181	RYZCX		1.00	1.00	2.37000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Rydex Funds	Rydex Precious Metals Fund Class H	78355E858	RYMPX				.25	1.62000	SELL ONLY
Rydex Funds	Rydex Precious Metals Fund Class Investo	783554207	RYPMX					1.36000	SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class A	78355E254	RYREX	4.75			.25	1.70000	SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class C	78355E809	RYCRX		1.00	1.00		2.45000	SELL ONLY
Rydex Funds	Rydex Real Estate Fund Class H	78355E387	RYHRX				.25	1.66000	SELL ONLY
Rydex Funds	Rydex Retailing Fund Class A	78355E247	RYRTX	4.75			.25	1.67000	SELL ONLY
Rydex Funds	Rydex Retailing Fund Class C	783554173	RYRCX		1.00	1.00		2.44000	SELL ONLY
Rydex Funds	Rydex Retailing Fund Class H	783554652	RYRAX				.25	1.69000	SELL ONLY
Rydex Funds	Rydex Retailing Fund Class Investor	783554645	RYRIX					1.43000	SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Cl	78355E478	RYAKX	4.75			.25	1.82000	SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Cl	78355E601	RYCMX		1.00	1.00		2.55000	SELL ONLY
Rydex Funds	Rydex Russell 2000 1.5x Strategy Fund Cl	783554389	RYMKX				.25	1.81000	SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Clas	78356A756	RYRUX	4.75			.25	1.81000	SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Clas	78356A749	RYRLX		1.00	1.00		2.57000	SELL ONLY
Rydex Funds	Rydex Russell 2000 2x Strategy Fund Clas	78356A731	RYRSX				.25	1.85000	SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class A	78356A681	RYRRX	4.75			.25	1.67000	SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class C	78356A673	RYROX		1.00	1.00		2.43000	SELL ONLY
Rydex Funds	Rydex Russell 2000 Fund Class H	78356A665	RYRHX				.25	1.67000	SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class A	78355Y508	RYTTX	4.75			.25	1.81000	SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class C	78355E205	RYCTX		1.00	1.00		2.56000	SELL ONLY
Rydex Funds	Rydex S&P 500 2x Strategy Fund Class H	783554439	RYTNX				.25	1.81000	SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class A	78356A657	RYSOX	4.75			.25	1.65000	SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class C	78356A640	RYSYX		1.00	1.00		2.39000	SELL ONLY
Rydex Funds	Rydex S&P 500 Fund Class H	78356A632	RYSPX				.25	1.65000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class A	78355E189	RYLGX	4.75			.25	1.60000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class C	78355E668	RYGRX		1.00	1.00		2.35000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Growth Fund Class H	78355E650	RYAWX				.25	1.61000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class A	78355E171	RYLVX	4.75			.25	1.60000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class C	78355E684	RYVVX		1.00	1.00		2.35000	SELL ONLY
Rydex Funds	Rydex S&P 500 Pure Value Fund Class H	78355E676	RYZAX				.25	1.58000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund	78355E742	RYMGX	4.75			.25	1.59000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund	78355E734	RYCKX		1.00	1.00		2.35000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Growth Fund	78355E726	RYBHX				.25	1.59000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund	78355E163	RYMVX	4.75			.25	1.59000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund	78355E643	RYMMX		1.00	1.00		2.34000	SELL ONLY
Rydex Funds	Rydex S&P MidCap 400 Pure Value Fund	78355E635	RYAVX				.25	1.54000	SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fu	78355E148	RYSGX	4.75			.25	1.58000	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fu	78355E569	RYWCX		1.00	1.00	2.33000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Growth Fu	78355E551	RYWAX			.25	1.54000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fun	78355E155	RYSVX	4.75		.25	1.59000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fun	78355E585	RYYCX		1.00	1.00	2.34000		SELL ONLY
Rydex Funds	Rydex S&P SmallCap 600 Pure Value Fun	78355E577	RYAZX			.25	1.55000		SELL ONLY
Rydex Funds	Rydex Series Funds Nova Fund Advisor C	783554546	RYNAX			0.25	1.7800		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fu	78356A608	RYSDX	4.75		.25	1.98000		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fu	78356A707	RYSJX		1.00	1.00	2.74000		SELL ONLY
Rydex Funds	Rydex Strengthening Dollar 2x Strategy Fu	78356A806	RYSBX			.25	2.01000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class A	78355E239	RYTHX	4.75		.25	1.69000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class C	783554165	RYCHX		1.00	1.00	2.44000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class H	783554637	RYTAX			.25	1.74000		SELL ONLY
Rydex Funds	Rydex Technology Fund Class Investor	783554629	RYTIX				1.44000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class A	78355E221	RYTLX	4.75		.25	1.70000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class C	783554157	RYGSX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class H	783554611	RYMAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Telecommunications Fund Class In	783554595	RYMIX				1.46000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class A	78355E213	RYTSX	4.75		.25	1.68000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class C	783554140	RYCNX		1.00	1.00	2.43000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class H	783554587	RYPAX			.25	1.68000		SELL ONLY
Rydex Funds	Rydex Transportation Fund Class Investor	783554579	RYPIX				1.41000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class A	78355E197	RYUTX	4.75		.25	1.70000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class C	783554132	RYCUX		1.00	1.00	2.45000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class H	783554462	RYAUX			.25	1.72000		SELL ONLY
Rydex Funds	Rydex Utilities Fund Class Investor	783554470	RYUIX				1.45000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund	78356A889	RYWDX	4.75		.25	1.94000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund	78356A871	RYWJX		1.00	1.00	2.70000		SELL ONLY
Rydex Funds	Rydex Weakening Dollar 2x Strategy Fund	78356A863	RYWBX			.25	1.92000		SELL ONLY