

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Calamos	Calamos Convertible Fund Class A	128119401	CCVIX	2.25		.25	1.14000		SELL ONLY
Calamos	Calamos Convertible Fund Class C	128119823	CCVCX		1.00	1.00	1.89000		SELL ONLY
Calamos	Calamos Convertible Fund Institutional Cla	128119864	CICVX				0.89000		SELL ONLY
Calamos	Calamos Dividend Growth Fund Class A	128120839	CADVX	4.75		.25	2.16000		SELL ONLY
Calamos	Calamos Dividend Growth Fund Class C	128120821	CCDVX		1.00	1.00	2.92000		SELL ONLY
Calamos	Calamos Dividend Growth Fund Class I	128120813	CIDVX				1.89000		SELL ONLY
Calamos	Calamos Evolving World Growth Fund Cla	128119161	CNWXG	4.75		.25	1.64000		SELL ONLY
Calamos	Calamos Evolving World Growth Fund Cla	128119146	CNWDX		1.00	1.00	2.39000		SELL ONLY
Calamos	Calamos Evolving World Growth Fund Cla	128119138	CNWXI				1.39000		SELL ONLY
Calamos	Calamos Global Convertible Fund Class A	128120748	CAGCX	2.25		.25	1.35000		SELL ONLY
Calamos	Calamos Global Convertible Fund Class C	128120730	CCGCX		1.00	1.00	2.10000		SELL ONLY
Calamos	Calamos Global Convertible Fund Class I	128120722	CXGCX				1.10000		SELL ONLY
Calamos	Calamos Global Equity Fund Class A	128119484	CAGEX	4.75		.25	1.65000		SELL ONLY
Calamos	Calamos Global Equity Fund Class C	128119468	CCGEX		1.00	1.00	2.40000		SELL ONLY
Calamos	Calamos Global Equity Fund Institutional C	128119450	CIGEX				1.40000		SELL ONLY
Calamos	Calamos Global Funds PLC - Calamos Sh	128120433	CSTIX				0.42000		SELL ONLY
Calamos	Calamos Global Opportunities Fund Class	128119500	CVLOX	4.75		.25	1.53000		SELL ONLY
Calamos	Calamos Global Opportunities Fund Class	128119708	CVLCX		1.00	1.00	2.28000		SELL ONLY
Calamos	Calamos Global Opportunities Fund Class	128119609	CGCIX				1.28000		SELL ONLY
Calamos	Calamos Growth & Income Fund Class A	128119104	CVTRX	4.75		.25	1.08000		SELL ONLY
Calamos	Calamos Growth & Income Fund Class C	128119831	CVTCX		1.00	1.00	1.84000		SELL ONLY
Calamos	Calamos Growth & Income Fund Institution	128119872	CGIIX				0.83000		SELL ONLY
Calamos	Calamos Growth Fund Class A	128119302	CVGRX	4.75		.25	1.35000		SELL ONLY
Calamos	Calamos Growth Fund Class C	128119856	CVGCX		1.00	1.00	2.11000		SELL ONLY
Calamos	Calamos Growth Fund Institutional Class	128119807	CGRIX				1.10000		SELL ONLY
Calamos	Calamos Hedged Equity Fund Class A	128120698	CAHEX	4.75		.25	1.22000		SELL ONLY
Calamos	Calamos Hedged Equity Fund Class C	128120680	CHEX		1.00	1.00	1.97000		SELL ONLY
Calamos	Calamos Hedged Equity Fund Class I	128120672	CIHEX				0.97000		SELL ONLY
Calamos	Calamos High Income Opportunities Fund	128119815	CHYDX	2.25		.25	1.37000	1.00	SELL ONLY
Calamos	Calamos High Income Opportunities Fund	128119799	CCHYX		1.00	1.00	2.11000	1.00	SELL ONLY
Calamos	Calamos High Income Opportunities Fund	128119781	CIHYX				1.12000	1.00	SELL ONLY
Calamos	Calamos International Growth Fund Class	128119575	CIGRX	4.75		.25	1.52000		SELL ONLY
Calamos	Calamos International Growth Fund Class	128119559	CIGCX		1.00	1.00	2.27000		SELL ONLY
Calamos	Calamos International Growth Fund Institu	128119542	CIGIX				1.28000		SELL ONLY
Calamos	Calamos Market Neutral Income Fund Cla	128119203	CVSIX	2.75		.25	1.23000		SELL ONLY
Calamos	Calamos Market Neutral Income Fund Cla	128119849	CVSCX		1.00	1.00	1.98000		SELL ONLY
Calamos	Calamos Market Neutral Income Fund Inst	128119880	CMNIX				0.98000		SELL ONLY

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Calamos	Calamos Phineus Long/Short Fund Class	128120656	CPLSX	4.75		.25	2.94000		SELL ONLY
Calamos	Calamos Phineus Long/Short Fund Class	128120649	CPCLX		1.00	1.00	3.67000		SELL ONLY
Calamos	Calamos Phineus Long/Short Fund Class	128120631	CPLIX				2.67000		SELL ONLY
Calamos	Calamos Select Fund Class A	128119666	CVAAX	4.75		.25	1.64000		SELL ONLY
Calamos	Calamos Select Fund Class C	128119641	CVACX		1.00	1.00	2.40000		SELL ONLY
Calamos	Calamos Select Fund Institutional Class	128119633	CVAIX				1.39000		SELL ONLY
Calamos	Calamos Timpani Small Cap Growth Fund	128120417	CTASX	4.75		.25	1.40000		SELL ONLY
Calamos	Calamos Timpani Small Cap Growth Fund	128120391	CTSIX				1.16000		SELL ONLY
Calamos	Calamos Timpani SMID Growth Fund Class	128120367	CTIGX				2.25000		SELL ONLY
Calamos	Calamos Total Return Bond Fund Class A	128119310	CTRAX	2.25		.25	1.04000		SELL ONLY
Calamos	Calamos Total Return Bond Fund Class C	128119286	CTRCX		1.00	1.00	1.78000		SELL ONLY
Calamos	Calamos Total Return Bond Fund Class I	128119278	CTRIX				0.78000		SELL ONLY
Calvert Research and M	Calvert Balanced Fund Class A	131618100	CSIFX	4.75		.24	0.93000		BUY,SELL
Calvert Research and M	Calvert Balanced Fund Class C	131618506	CSGCX		1.00	1.00	1.69000		SELL ONLY
Calvert Research and M	Calvert Balanced Fund Class I	131618829	CBAIX				0.69000		SELL ONLY
Calvert Research and M	Calvert Bond Fund Class A	131618407	CSIBX	3.75		.20	0.76000		BUY,SELL
Calvert Research and M	Calvert Bond Fund Class C	131618605	CSBCX		1.00	1.00	1.56000		SELL ONLY
Calvert Research and M	Calvert Bond Fund Class I	131618787	CBDIX				0.56000		SELL ONLY
Calvert Research and M	Calvert Conservative Allocation Fund Class	131618696	CCLAX	4.75		.25	0.95000		BUY,SELL
Calvert Research and M	Calvert Conservative Allocation Fund Class	131618670	CALCX		1.00	1.00	1.69000		SELL ONLY
Calvert Research and M	Calvert Conservative Allocation Fund Class	131618662	CFAIX				0.70000		SELL ONLY
Calvert Research and M	Calvert Core Bond Fund Class A	131582710	CLDAX	3.75		.25	0.95000		BUY,SELL
Calvert Research and M	Calvert Core Bond Fund Class I	131582330	CLDIX				0.70000		SELL ONLY
Calvert Research and M	Calvert Emerging Markets Advancement F	131649733	CEFAX	4.75		.25	1.83000		SELL ONLY
Calvert Research and M	Calvert Emerging Markets Advancement F	131649717	CEFIX				1.58000		SELL ONLY
Calvert Research and M	Calvert Emerging Markets Equity Fund Cla	131649816	CVMAX	4.75		.25	1.27000		BUY,SELL
Calvert Research and M	Calvert Emerging Markets Equity Fund Cla	131649790	CVMCX		1.00	1.00	2.02000		SELL ONLY
Calvert Research and M	Calvert Emerging Markets Equity Fund Cla	131649774	CVMIX				1.02000		SELL ONLY
Calvert Research and M	Calvert Equity Fund Class A	131618308	CSIEX	4.75		.25	0.94000		BUY,SELL
Calvert Research and M	Calvert Equity Fund Class C	131618704	CSECX		1.00	1.00	1.69000		SELL ONLY
Calvert Research and M	Calvert Equity Fund Class I	131618795	CEYIX				0.69000		SELL ONLY
Calvert Research and M	Calvert Equity Fund Class R6	131582280	CEYRX				0.63000		SELL ONLY
Calvert Research and M	Calvert Flexible Bond Fund Class A	13161X105	CUBAX	3.75		.25	0.95000		SELL ONLY
Calvert Research and M	Calvert Flexible Bond Fund Class C	13161X204	CUBCX		1.00	1.00	1.70000		SELL ONLY
Calvert Research and M	Calvert Flexible Bond Fund Class I	13161X303	CUBIX				0.70000		SELL ONLY
Calvert Research and M	Calvert Floating-Rate Advantage Fund Cla	13161X808	CFOAX	3.75		.25	1.78000		SELL ONLY
Calvert Research and M	Calvert Floating-Rate Advantage Fund Cla	13161X873	CFOIX				1.53000		SELL ONLY

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Calvert Research and M	Calvert Global Energy Solutions Fund Class	13161P847	CGAEX	4.75		.25	1.54000		BUY,SELL
Calvert Research and M	Calvert Global Energy Solutions Fund Class	13161P821	CGACX		1.00	1.00	2.29000		SELL ONLY
Calvert Research and M	Calvert Global Energy Solutions Fund Class	13161P813	CAEIX				1.29000		SELL ONLY
Calvert Research and M	Calvert Global Water Fund Class A	13161P797	CFWAX	4.75		.25	1.34000		BUY,SELL
Calvert Research and M	Calvert Global Water Fund Class C	13161P789	CFWCX		1.00	1.00	2.09000		SELL ONLY
Calvert Research and M	Calvert Global Water Fund Class I	13161P698	CFWIX				1.09000		SELL ONLY
Calvert Research and M	Calvert Green Bond Fund Class A	13161P730	CGAFX	3.75		.25	0.79000		BUY,SELL
Calvert Research and M	Calvert Green Bond Fund Class I	13161P714	CGBIX				0.54000		SELL ONLY
Calvert Research and M	Calvert Growth Allocation Fund Class A	131618647	CAAAX	4.75		.25	0.99000		BUY,SELL
Calvert Research and M	Calvert Growth Allocation Fund Class C	131618621	CAACX		1.00	1.00	1.74000		SELL ONLY
Calvert Research and M	Calvert Growth Allocation Fund Class I	131618613	CAGIX				0.74000		SELL ONLY
Calvert Research and M	Calvert High Yield Bond Fund Class A	131582454	CYBAX	3.75		.25	1.04000		BUY,SELL
Calvert Research and M	Calvert High Yield Bond Fund Class C	131582447	CHBCX		1.00	1.00	1.79000		SELL ONLY
Calvert Research and M	Calvert High Yield Bond Fund Class I	131582439	CYBIX				0.79000		SELL ONLY
Calvert Research and M	Calvert Income Fund Class A	131582207	CFICX	3.75		.25	0.94000		BUY,SELL
Calvert Research and M	Calvert Income Fund Class C	131582744	CIFCX		1.00	1.00	1.69000		SELL ONLY
Calvert Research and M	Calvert Income Fund Class I	131582793	CINCX				0.69000		SELL ONLY
Calvert Research and M	Calvert International Equity Fund Class A	131649105	CWVGX	4.75		.25	1.27000		BUY,SELL
Calvert Research and M	Calvert International Equity Fund Class C	131649204	CWVCX		1.00	1.00	2.02000		SELL ONLY
Calvert Research and M	Calvert International Equity Fund Class I	131649808	CWVIX				1.02000		SELL ONLY
Calvert Research and M	Calvert International Equity Fund Class R6	131649741	CIESX				0.98000		SELL ONLY
Calvert Research and M	Calvert International Opportunities Fund C	131649881	CIOAX	4.75		.25	1.32000		BUY,SELL
Calvert Research and M	Calvert International Opportunities Fund C	131649865	COICX		1.00	1.00	2.08000		SELL ONLY
Calvert Research and M	Calvert International Opportunities Fund C	131649857	COIIX				1.07000		SELL ONLY
Calvert Research and M	Calvert International Responsible Index Fu	13161Y509	CDHAX	4.75		.25	0.89000		SELL ONLY
Calvert Research and M	Calvert International Responsible Index Fu	13161Y707	CDHIX				0.64000		SELL ONLY
Calvert Research and M	Calvert Mid-Cap Fund Class A	131649303	CCAFX	4.75		.25	1.26000		BUY,SELL
Calvert Research and M	Calvert Mid-Cap Fund Class C	131649402	CCACX		1.00	1.00	2.01000		SELL ONLY
Calvert Research and M	Calvert Mid-Cap Fund Class I	131649709	CCPIX				1.01000		SELL ONLY
Calvert Research and M	Calvert Moderate Allocation Fund Class A	131618738	CMAAX	4.75		.25	0.93000		BUY,SELL
Calvert Research and M	Calvert Moderate Allocation Fund Class C	131618712	CMACX		1.00	1.00	1.68000		SELL ONLY
Calvert Research and M	Calvert Moderate Allocation Fund Class I	131618654	CLAIX				0.68000		SELL ONLY
Calvert Research and M	Calvert Responsible Municipal Income Fur	13161X501	CTTCX		1.00	1.00	1.62000		SELL ONLY
Calvert Research and M	Calvert Responsible Municipal Income Fur	13161X600	CTTIX				0.63000		SELL ONLY
Calvert Research and M	Calvert Short Duration Income Fund Class	13161T104	CSDAX	2.75		.25	0.77000		BUY,SELL
Calvert Research and M	Calvert Short Duration Income Fund Class	13161T302	CDICX		1.00	1.00	1.52000		SELL ONLY
Calvert Research and M	Calvert Short Duration Income Fund Class	13161T401	CDSIX				0.52000		SELL ONLY

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Calvert Research and M	Calvert Small-Cap Fund Class A	13161P508	CCVAX	4.75		.25	1.22000		BUY,SELL
Calvert Research and M	Calvert Small-Cap Fund Class C	13161P805	CSCCX		1.00	1.00	1.97000		SELL ONLY
Calvert Research and M	Calvert Small-Cap Fund Class I	13161P862	CSVIX				0.97000		SELL ONLY
Calvert Research and M	Calvert Ultra-Short Duration Income Fund	131582611	CULAX			.25	0.76000		SELL ONLY
Calvert Research and M	Calvert Ultra-Short Duration Income Fund	131582348	CULIX				0.51000		SELL ONLY
Calvert Research and M	Calvert US Large Cap Core Responsible In	131582785	CSXAX	4.75		.25	0.62000		BUY,SELL
Calvert Research and M	Calvert US Large Cap Core Responsible In	131582769	CSXCX		1.00	1.00	1.38000		SELL ONLY
Calvert Research and M	Calvert US Large Cap Core Responsible In	131582751	CISIX				0.37000		SELL ONLY
Calvert Research and M	Calvert US Large Cap Growth Responsible	13162A104	CGJAX	4.75		.25	0.67000		BUY,SELL
Calvert Research and M	Calvert US Large Cap Growth Responsible	13162A807	CGJIX				0.42000		SELL ONLY
Calvert Research and M	Calvert US Large Cap Value Responsible	13162A401	CFJAX	4.75		.25	0.63000		BUY,SELL
Calvert Research and M	Calvert US Large Cap Value Responsible	13162A708	CFJIX				0.38000		SELL ONLY
Calvert Research and M	Calvert US Mid Cap Core Responsible Ind	13161Y103	CMJAX	4.75		.25	0.77000		SELL ONLY
Calvert Research and M	Calvert US Mid Cap Core Responsible Ind	13161Y301	CMJIX				0.52000		SELL ONLY
Cambiar Funds	Cambiar Aggressive Value Fund Investor C	0075W0650	CAMAX				1.29000	2.00	SELL ONLY
Cambiar Funds	Cambiar Global Equity Fund Investor Clas	00769G113	CAMGX				1.76000	2.00	SELL ONLY
Cambiar Funds	Cambiar International Equity Fund Investo	00758M139	CAMIX				1.15000	2.00	SELL ONLY
Cambiar Funds	Cambiar International Equity Institutional	00769G543	CAMYX				1.02000	2.00	SELL ONLY
Cambiar Funds	Cambiar International Small Cap Fund Inst	00769G287	CAMFX				1.45000	2.00	SELL ONLY
Cambiar Funds	Cambiar Opportunity Fund Class Institution	0075W0825	CAMWX				0.75000		SELL ONLY
Cambiar Funds	Cambiar Opportunity Fund Class Investor	00758M261	CAMOX				0.94000		SELL ONLY
Cambiar Funds	Cambiar Small Cap Fund Class Investor	0075W0817	CAMSX				1.25000	2.00	SELL ONLY
Cambiar Funds	Cambiar Small Cap Institutional Class	0075W0593	CAMZX				1.10000	2.00	SELL ONLY
Cambiar Funds	Cambiar SMID Fund Institutional Class	00769G311	CAMUX				1.09000	2.00	SELL ONLY
Cambiar Funds	Cambiar SMID Fund Investor Class Share	00769G766	CAMMX				1.20000	2.00	SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fur	14214L106	HRCPX	4.75		.25	1.15000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fur	14214L205	HRCCX		1.00	1.00	1.89000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fur	14214L304	HRCIX			.00	0.89000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest Capital Appreciation Fur	14214L700	HRCYX			.25	1.62000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest International Stock Fund	14214L825	EISAX	4.75		.25	4.91000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest International Stock Fund	14214L817	EISDX		1.00	1.00	5.74000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest International Stock Fund	14214L791	EISIX			.00	4.63000		SELL ONLY
Carillon Family of Funds	Carillon ClariVest International Stock Fund	14214L759	EISYX			.25	5.73000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Clas	14214L809	HRCVX	4.75		.25	0.97000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Clas	14214L882	HIGCX		1.00	1.00	1.73000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Clas	14214L874	HIGJX			.00	0.70000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Growth & Income Fund Clas	14214L833	HIGYX			.25	1.08000		SELL ONLY

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Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class	14214L668	HAGAX	4.75		.25	1.04000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class	14214L650	HAGCX		1.00	1.00	1.74000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class	14214L643	HAGIX			.00	0.72000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Mid Cap Growth Fund Class	14214L593	HRAYX			.25	1.05000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Cla	14214L510	HRSCX	4.75		.25	1.08000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Cla	14214L494	HSCCX		1.00	1.00	1.77000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Cla	14214L486	HSIIX			.00	0.78000		SELL ONLY
Carillon Family of Funds	Carillon Eagle Small Cap Growth Fund Cla	14214L445	HSRYX			.25	1.52000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class A	14214L270	CRCBX	3.75		.25	1.03000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class C	14214L262	CRCDX		1.00	1.00	1.72000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class I	14214L254	SCCIX			.00	0.76000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Bond Fund Class Y	14214L213	SCCYX			.25	0.98000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Clas	14214M666	SCPDX	3.75		.25	0.90000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Clas	14214M658	SCPEX		1.00	1.00	1.66000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Clas	14214M641	SCPZX			.00	0.65000		SELL ONLY
Carillon Family of Funds	Carillon Reams Core Plus Bond Fund Clas	14214M591	SCPYX			.25	0.93000		SELL ONLY
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund	14214M740	SUBDX	3.75		.25	1.09000		SELL ONLY
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund	14214M732	SUBEX		1.00	1.00	1.88000		SELL ONLY
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund	14214M724	SUBFX			.00	0.85000		SELL ONLY
Carillon Family of Funds	Carillon Reams Unconstrained Bond Fund	14214M674	SUBYX			.25	1.15000		SELL ONLY
Carillon Family of Funds	Carillon Scout International Fund Class A	14214L197	CSIGX	4.75		.25	1.36000		SELL ONLY
Carillon Family of Funds	Carillon Scout International Fund Class C	14214L189	CSIHX		1.00	1.00	2.15000		SELL ONLY
Carillon Family of Funds	Carillon Scout International Fund Class I	14214L171	UMBWX			.00	1.04000		SELL ONLY
Carillon Family of Funds	Carillon Scout International Fund Class Y	14214L130	CSIZX			.25	2.09000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class A	14214M807	CSMEX	4.75		.25	1.22000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class C	14214M880	CSMFX		1.00	1.00	2.00000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class I	14214M872	UMBMX			.00	0.97000		SELL ONLY
Carillon Family of Funds	Carillon Scout Mid Cap Fund Class Y	14214M831	CSMZX			.25	1.28000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class A	14214M823	CSSAX	4.75		.25	1.19000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class C	14214M815	CSSJX		1.00	1.00	1.95000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class I	14214M799	UMBHX			.00	0.95000		SELL ONLY
Carillon Family of Funds	Carillon Scout Small Cap Fund Class Y	14214M757	CSSWX			.25	1.25000		SELL ONLY
Causeway	Causeway Emerging Markets Fund Class	149498107	CEMIX				1.08000		SELL ONLY
Causeway	Causeway Emerging Markets Fund Investo	149498206	CEMVX				1.33000		SELL ONLY
Causeway	Causeway Global Value Fund Institutional	14949P307	CGVIX				1.25000		SELL ONLY
Causeway	Causeway Global Value Fund Investor	14949P604	CGVVX				1.50000		SELL ONLY
Causeway	Causeway International Opportunities Fund	14949Q107	CIOIX				1.02000		SELL ONLY

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Causeway	Causeway International Opportunities Fund	14949Q206	CIOVX				1.27000		SELL ONLY
Causeway	Causeway International Small Cap Fund Ir	14949P703	CIISX				1.46000		SELL ONLY
Causeway	Causeway International Small Cap Fund Ir	14949P802	CVISX				1.71000		SELL ONLY
Causeway	Causeway International Value Fund Class	14949P208	CIVIX				0.88000		SELL ONLY
Causeway	Causeway International Value Fund Class	14949P109	CIVVX				1.13000		SELL ONLY
Centre Funds	Centre American Select Equity Fund Institu	156287203	DHANX				1.25000	2.00	SELL ONLY
Centre Funds	Centre American Select Equity Fund Inves	156287104	DHAMX			.25	1.53000	2.00	SELL ONLY
Centre Funds	Centre Global Infrastructure Fund Institutio	156287831	DHINX				1.61000	2.00	SELL ONLY
Centre Funds	Centre Global Infrastructure Fund Investor	156287849	DHIVX			.25	1.83000	2.00	SELL ONLY
Champlain Funds	Champlain Emerging Markets Fund Advisor	00764Q660	CIPDX			.25	3.00000	2.00	SELL ONLY
Champlain Funds	Champlain Mid Cap Fund Advisor Class	00764Q744	CIPMX			.25	1.09000		SELL ONLY
Champlain Funds	Champlain Mid Cap Fund Institutional Clas	00766Y513	CIPIX				0.84000		SELL ONLY
Champlain Funds	Champlain Small Company Fund Class Ad	00764Q405	CIPSX			.25	1.27000		SELL ONLY
Champlain Funds	Champlain Small Company Fund Institutio	00766Y190	CIPNX				1.02000		SELL ONLY
Chiron Investment Mana	FS Chiron Capital Allocation Fund Class I	00771X583	CCAPX				1.13000		SELL ONLY
Chiron Investment Mana	FS Chiron SMid Opportunities Fund Class	00774Q403	CSMOX				2.07000		SELL ONLY
Clipper Fund	Clipper Fund	188850101	CFIMX			.00	0.71000		SELL ONLY
Cohen & Steers	Cohen & Steers Alternative Income Fund C	19248L105	DVFAX	4.50		.25	1.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Alternative Income Fund C	19248L204	DVFCX		1.00	.75	2.44000		SELL ONLY
Cohen & Steers	Cohen & Steers Alternative Income Fund C	19248L303	DVFIX				1.51000		SELL ONLY
Cohen & Steers	Cohen & Steers Alternative Income Fund C	19248L501	DVFZX				1.44000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund	19248B206	CSUBX		5.00	0.75	2.0100		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund	19248B107	CSUAX	4.50		.25	1.29000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund	19248B305	CSUCX		1.00	.75	1.94000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund	19248B404	CSUIX				1.01000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Infrastructure Fund	19248B602	CSUZX				0.94000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc.	19247N102	CSFAX	4.50		.25	1.21000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc.	19247N300	CSFCX		1.00	.75	1.86000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc.	19247N409	CSSPX				0.93000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc.	19247N607	CSFZX				0.86000		SELL ONLY
Cohen & Steers	Cohen & Steers Global Realty Shares, Inc.	19247N201	CSFBX		5.00	0.75	2.0600		SELL ONLY
Cohen & Steers	Cohen & Steers Institutional Realty Shares	19247U106	CSRIX				0.76000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund	19248H104	IRFAX	4.50		.25	1.44000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund	19248H302	IRFCX		1.00	.75	2.09000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund	19248H401	IRFIX				1.16000		SELL ONLY
Cohen & Steers	Cohen & Steers International Realty Fund	19248H609	IRFZX				1.09000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred ar	19249L104	LPXAX	2.00		.35	1.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Cohen & Steers	Cohen & Steers Low Duration Preferred ar	19249L203	LPXCX		1.00	1.00	1.76000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred ar	19249L609	LPXFX				0.76000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred ar	19249L302	LPXIX				0.82000		SELL ONLY
Cohen & Steers	Cohen & Steers Low Duration Preferred ar	19249L500	LPXZX				0.76000		SELL ONLY
Cohen & Steers	Cohen & Steers MLP & Energy Opportunit	19249F107	MLOAX	4.50		.25	1.59000		SELL ONLY
Cohen & Steers	Cohen & Steers MLP & Energy Opportunit	19249F206	MLOCX		1.00	.75	2.24000		SELL ONLY
Cohen & Steers	Cohen & Steers MLP & Energy Opportunit	19249F305	MLOIX				1.30000		SELL ONLY
Cohen & Steers	Cohen & Steers MLP & Energy Opportunit	19249F404	MLOZX				1.24000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and I	19248X109	CPXAX	3.75		.25	1.14000		BUY,SELL
Cohen & Steers	Cohen & Steers Preferred Securities and I	19248X208	CPXCX		1.00	.75	1.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and I	19248X604	CPXFX				0.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and I	19248X307	CPXIX				0.85000		SELL ONLY
Cohen & Steers	Cohen & Steers Preferred Securities and I	19248X505	CPXZX				0.79000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Clas	19249C104	RAPAX	4.50		.25	1.70000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Clas	19249C203	RAPCX		1.00	.75	2.35000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Clas	19249C302	RAPIX				1.43000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Assets Fund Inc Clas	19249C500	RAPZX				1.35000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fu	191912104	CSEIX	4.50		.25	1.13000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fu	191912302	CSCIX		1.00	.75	1.78000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fu	191912708	CREFX				0.78000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fu	191912401	CSDIX				0.87000		SELL ONLY
Cohen & Steers	Cohen & Steers Real Estate Securities Fu	191912609	CSZIX				0.78000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Income Fund Cl B	191912203	CSBIX		5.00	0.75	1.8600		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class	192476208	CSJAX	4.50		.25	1.22000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class	192476307	CSJCX		1.00	.75	1.87000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class	192476505	CSJIX			.00	0.95000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class	192476109	CSRSX			.00	0.97000		SELL ONLY
Cohen & Steers	Cohen & Steers Realty Shares Fund Class	192476703	CSJZX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Advisor C	197199292	CLOFX			.00	1.61000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Class A	197199573	CAEAX	5.75		.25	1.86000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Class C	197199565	CAECX		1.00	1.00	2.61000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Institution	197199284	CAEYX			.00	1.52000		SELL ONLY
Columbia Threadneedle	Columbia Acorn European Fund Institution	197199540	CAEZX			.00	1.61000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Advisor Class	197199490	CEARX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Class A	197199102	LACAX	5.75		.25	1.11000		BUY,SELL
Columbia Threadneedle	Columbia Acorn Fund Class C	197199300	LIACX		1.00	1.00	1.86000		SELL ONLY
Columbia Threadneedle	Columbia Acorn Fund Institutional 3 Class	197199474	CRBYX			.00	0.78000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia Acorn Fund Institutional Class	197199409	ACRNX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Fund Advisor	197199466	CCIRX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Fund Class A	197199847	LAIAX	5.75		.25	1.28000		BUY,SELL
Columbia Threadneedle	Columbia Acorn International Fund Class C	197199821	LAICX		1.00	1.00	2.03000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Fund Institutional	197199458	CCYIX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Fund Institutional	197199813	ACINX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund	197199441	CILRX			.00	1.22000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund	197199797	LAFAX	5.75		.25	1.47000		BUY,SELL
Columbia Threadneedle	Columbia Acorn International Select Fund	197199771	LFFCX		1.00	1.00	2.22000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund	197199425	CSIRX			.00	1.05000		SELL ONLY
Columbia Threadneedle	Columbia Acorn International Select Fund	197199763	ACFFX			.00	1.22000		SELL ONLY
Columbia Threadneedle	Columbia Acorn USA Fund Advisor Class	197199375	CUSAX			.00	1.19000		SELL ONLY
Columbia Threadneedle	Columbia Acorn USA Fund Class A	197199508	LAUAX	5.75		.25	1.44000		BUY,SELL
Columbia Threadneedle	Columbia Acorn USA Fund Class C	197199706	LAUCX		1.00	1.00	2.19000		SELL ONLY
Columbia Threadneedle	Columbia Acorn USA Fund Institutional 30	197199359	CUSYX			.00	1.09000		SELL ONLY
Columbia Threadneedle	Columbia Acorn USA Fund Institutional Class	197199805	AUSAX			.00	1.19000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2020 Fund	19767X100	CARGX			.00	1.79000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2025 Fund	19767X845	CAAHX			.00	3.12000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2025 Fund	19767X837	CAIDX			.00	3.11000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2030 Fund	19767X308	CARLX			.00	3.89000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2035 Fund	19767X829	CARJX			.00	5.61000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2035 Fund	19767X811	CAIEX			.00	5.54000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2040 Fund	19767X506	CAROX			.00	6.17000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2045 Fund	19767X795	CARPX			.00	7.05000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2045 Fund	19767X787	CAIHX			.00	7.02000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2050 Fund	19767X704	CARSX			.00	6.62000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2055 Fund	19767X779	CARFX			.00	7.35000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2055 Fund	19767X761	CAIJX			.00	7.34000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Retirement 2060 Fund	19767X886	CARKX			.00	7.18000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund A	19766D170	CARRX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund C	19765Y258	CRAAX	5.75		.25	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund C	19765Y241	CRACX		1.00	1.00	1.81000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund In	19766D162	CARYX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Adaptive Risk Allocation Fund In	19765Y175	CRAZX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Advisor Class	19766M105	CBDRX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Class A	19765P471	CBLAX	5.75		.25	0.95000		BUY,SELL
Columbia Threadneedle	Columbia Balanced Fund Class C	19765P455	CBLCX		1.00	1.00	1.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia Balanced Fund Institutional 3 Cl	19766M204	CBDYX			.00	0.61000		SELL ONLY
Columbia Threadneedle	Columbia Balanced Fund Institutional Clas	19765P430	CBALX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Advisor Class	19766M303	CNDRX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Class A	19765Y704	CNDAX	4.75		.25	0.96000		BUY,SELL
Columbia Threadneedle	Columbia Bond Fund Class C	19765Y803	CNDCX		1.00	1.00	1.71000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Class V	19766D428	CNDTX	4.75		.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Institutional 3 Class	19765Y274	CBFYX			.00	0.57000		SELL ONLY
Columbia Threadneedle	Columbia Bond Fund Institutional Class	19765Y886	UMMGX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bor	19766B158	CCMRX			.00	0.62000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bor	19765J681	CCICX		1.00	1.00	1.62000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bor	19766P827	CCBYX			.00	0.51000		SELL ONLY
Columbia Threadneedle	Columbia California Intermediate Muni Bor	19765J673	NCMAX			.00	0.62000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Pd	19766C248	CPDAX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Pd	19766G611	AXBAX	5.75		.25	1.03000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Aggressive Pd	19766G587	RBGCX		1.00	1.00	1.78000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Pd	19766N806	CPDIX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Aggressive Pd	19766G553	CPAZX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative	19766C263	CPCYX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative	19766G546	ABDAX	4.75		.25	0.96000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Conservative	19766G520	RPCCX		1.00	1.00	1.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative	19766N400	CPDHX			.00	0.64000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Conservative	19766G488	CBVZX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Agg	19766B489	CGBRX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Agg	19765H792	NBIAX	5.75		.25	1.02000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Moderate Agg	19765H776	NBICX		1.00	1.00	1.77000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Agg	19766B679	CGGTX	5.75		.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Agg	19766B224	CPHNX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Agg	19765H768	NBGPX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Con	19766B448	CHWRX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Con	19765H503	NLGAX	5.75		.25	0.93000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Moderate Con	19765H701	NIICX		1.00	1.00	1.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Con	19766B232	CPDGX			.00	0.62000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Con	19765H800	NIPAX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Port	19766C255	CPCZX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Port	19766G348	ABUAX	5.75		.25	0.94000		BUY,SELL
Columbia Threadneedle	Columbia Capital Allocation Moderate Port	19766G322	AMTCX		1.00	1.00	1.69000		SELL ONLY
Columbia Threadneedle	Columbia Capital Allocation Moderate Port	19766N608	CPDMX			.00	0.64000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia Capital Allocation Moderate Port	19766G280	CBMZ			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Advis	19766N889	CCOMX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Class	19766J771	CCSAX	5.75		.25	1.11000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Class	19766J763	CCSCX		1.00	1.00	1.86000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Institu	19766J623	CCFYX			.00	0.70000		SELL ONLY
Columbia Threadneedle	Columbia Commodity Strategy Fund Institu	19766J748	CCSZX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Connecticut Intermediate Munic	19766M477	CCTMX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Connecticut Intermediate Munic	19765N104	LCTAX	3.00		.25	0.94000		SELL ONLY
Columbia Threadneedle	Columbia Connecticut Intermediate Munic	19765N302	LCTCX		1.00	1.00	1.69000		SELL ONLY
Columbia Threadneedle	Columbia Connecticut Intermediate Munic	19765N500	GCBAX	4.75		.00	0.84000		SELL ONLY
Columbia Threadneedle	Columbia Connecticut Intermediate Munic	19767A563	CCTYX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Connecticut Intermediate Munic	19765N401	SCTEX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Advisor C	19766M501	CORRX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Class A	19765P109	LCCAX	5.75		.25	1.02000		BUY,SELL
Columbia Threadneedle	Columbia Contrarian Core Fund Class C	19765P307	LCCCX		1.00	1.00	1.77000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Class V	19765P505	SGIEX	5.75		.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Institution	19766M709	COFYX			.00	0.64000		SELL ONLY
Columbia Threadneedle	Columbia Contrarian Core Fund Institution	19765P406	SMGIX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Adv	19766B554	COVRX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Clas	19765H750	PACIX	5.75		.25	1.12000		BUY,SELL
Columbia Threadneedle	Columbia Convertible Securities Fund Clas	19765H735	PHIKX		1.00	1.00	1.87000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Inst	19766P702	CSFYX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Convertible Securities Fund Inst	19765H727	NCIAX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Advisor	19766M808	CIFRX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Class A	19765N542	LIAX	4.75		.25	0.94000		BUY,SELL
Columbia Threadneedle	Columbia Corporate Income Fund Class C	19765N526	CIOCX		1.00	1.00	1.69000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Institution	19766M873	CRIYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Corporate Income Fund Institution	19765N518	SRINX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Advisor C	19766N863	CLCQX			.00	0.73000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Class A	19763T640	AQEAX	5.75		.25	0.98000		BUY,SELL
Columbia Threadneedle	Columbia Disciplined Core Fund Class C	19763T624	RDGEX		1.00	1.00	1.73000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Institution	19766J565	CCQYX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Core Fund Institution	19763T566	CCRZX			.00	0.73000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Growth Fund Adviso	19766J557	CGQFX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Growth Fund Class A	19763T749	RDLAX	5.75		.25	1.17000		BUY,SELL
Columbia Threadneedle	Columbia Disciplined Growth Fund Class C	19763T723	RDLCX		1.00	1.00	1.92000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Growth Fund Institut	19766J540	CGQYX			.00	0.81000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia Disciplined Growth Fund Institutional	19763T657	CLQZX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Advisor Class A	19766J532	COLEX			.00	1.01000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Class A	19763T558	RLCAX	5.75		.25	1.26000		BUY,SELL
Columbia Threadneedle	Columbia Disciplined Value Fund Class C	19763T533	RDCCX		1.00	1.00	2.01000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Class V	19763T483	CVQTX	5.75		.00	1.26000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Institutional	19766J516	COLYX			.00	0.79000		SELL ONLY
Columbia Threadneedle	Columbia Disciplined Value Fund Institutional	19763T467	CVQZX				1.01000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Advisor Class A	19766M865	CVIRX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Class A	19765N278	LBSAX	5.75		.25	0.94000		BUY,SELL
Columbia Threadneedle	Columbia Dividend Income Fund Class C	19765N252	LBSCX		1.00	1.00	1.69000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Class V	19765N237	GEQAX	5.75		.00	0.94000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Institutional	19766M840	CDDYX			.00	0.57000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Income Fund Institutional	19765N245	GSFTX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Advisor Class A	19766C578	CDORX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Class A	19763P283	INUTX	5.75		.25	1.05000		BUY,SELL
Columbia Threadneedle	Columbia Dividend Opportunity Fund Class C	19763P267	ACUIX		1.00	1.00	1.80000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Institutional	19766C560	CDOYX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Dividend Opportunity Fund Institutional	19763P192	CDOZX			.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Advisor Class A	19766N871	CEBSX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Class A	19763P184	REBAX	4.75		.25	1.10000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Class C	19763P168	REBCX		1.00	1.00	1.85000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Institutional	19766C545	CEBYX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Bond Fund Institutional	19763P127	CMBZX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Advisor Class A	19766M469	CEMHX			.00	1.30000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Class A	19765Y878	EEMAX	5.75		.25	1.55000		BUY,SELL
Columbia Threadneedle	Columbia Emerging Markets Fund Class C	19765Y860	EEMCX		1.00	1.00	2.30000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Institutional	19766M824	CEKYX			.00	1.11000		SELL ONLY
Columbia Threadneedle	Columbia Emerging Markets Fund Institutional	19765Y852	UMEMX			.00	1.30000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Advisor Class A	19766C537	CFCRX			.00	0.91000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Class A	19766J888	CFIAX	5.75		.25	1.16000		BUY,SELL
Columbia Threadneedle	Columbia Flexible Capital Income Fund Class C	19766J870	CFIGX		1.00	1.00	1.91000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Institutional	19766J466	CFCYX			.00	0.84000		SELL ONLY
Columbia Threadneedle	Columbia Flexible Capital Income Fund Institutional	19766J847	CFIZX			.00	0.91000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Advisor Class A	19766C313	CFLRX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Class A	19766F696	RFRAX	3.00		.25	1.06000		BUY,SELL
Columbia Threadneedle	Columbia Floating Rate Fund Class C	19766F670	RFRCX		1.00	1.00	1.81000		SELL ONLY
Columbia Threadneedle	Columbia Floating Rate Fund Institutional	19766J573	CFRYX			.00	0.72000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
Columbia Threadneedle	Columbia Floating Rate Fund Institutional	19766F613	CFRZX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Advis	19766C362	CSDRX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Class	19766H221	IMRFX	5.75		.25	1.17000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Class	19766H197	RSSCX		1.00	1.00	1.92000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Institu	19766J441	CGOYX			.00	0.83000		SELL ONLY
Columbia Threadneedle	Columbia Global Opportunities Fund Institu	19766H155	CSAZX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund	19766M568	CTYRX			.00	0.97000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund	19765P539	CTCAX	5.75		.25	1.22000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund	19765P513	CTHCX		1.00	1.00	1.97000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund	19767A647	CGTUX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Global Technology Growth Fund	19765P489	CMTFX			.00	0.97000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Advisor Class	19766F829	RSEVX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Class A	19766F878	IEVAX	5.75		.25	1.15000		BUY,SELL
Columbia Threadneedle	Columbia Global Value Fund Class C	19766F852	REVCX		1.00	1.00	1.90000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Institutional	19766J730	CEVYX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Global Value Fund Institutional	19766F779	CEVZX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Advisor Cla	19766M444	CGCHX			.00	1.24000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Class A	19765L546	NGCAX	5.75		.25	1.49000		BUY,SELL
Columbia Threadneedle	Columbia Greater China Fund Class C	19765L520	NGCCX		1.00	1.00	2.24000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Institutional	19767A464	CGCYX			.00	1.12000		SELL ONLY
Columbia Threadneedle	Columbia Greater China Fund Institutional	19765L512	LNGZX			.00	1.24000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Advisor C	19766F225	CYLRX			.00	0.79000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Class A	19766F274	INEAX	4.75		.25	1.04000		BUY,SELL
Columbia Threadneedle	Columbia High Yield Bond Fund Class C	19766F258	APECX		1.00	1.00	1.79000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Institution	19766C495	CHYYX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Bond Fund Institution	19766F175	CHYZX			.00	0.79000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Advis	19766M436	CHIYX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Class	19765P133	CHMCX		1.00	.95	1.63000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Institu	19767A373	CHHYX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia High Yield Municipal Fund Institu	19765P125	SRHMX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Advisor Cl	19766C487	CNMRX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Class A	19766F167	RBBAX	4.75		.25	0.97000		BUY,SELL
Columbia Threadneedle	Columbia Income Builder Fund Class C	19766F142	RBBCX		1.00	1.00	1.72000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Institution	19766J425	CIBYX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia Income Builder Fund Institution	19766F118	CBUZX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Adv	19766C461	CPPRX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Clas	19763T103	AIOAX	4.75		.25	1.11000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Columbia Threadneedle	Columbia Income Opportunities Fund Clas	19763T301	RIOCX		1.00	1.00	1.86000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Insti	19763T806	CIOYX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Income Opportunities Fund Insti	19763T889	CIOZX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Municipal Bond Fu	19766M428	CIMRX			.00	0.62000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Municipal Bond Fu	19765L660	LITCX		1.00	.85	1.47000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Municipal Bond Fu	19765L645	GIMAX	4.75		.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Municipal Bond Fu	19767A555	CIMYX			.00	0.51000		SELL ONLY
Columbia Threadneedle	Columbia Intermediate Municipal Bond Fu	19765L637	SETMX			.00	0.62000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income F	19766M451	CGOLX			.00	1.13000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income F	19765P216	CSVAX	5.75		.25	1.38000		BUY,SELL
Columbia Threadneedle	Columbia International Dividend Income F	19765P182	CSRCX		1.00	1.00	2.13000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income F	19765Y324	CLSYX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia International Dividend Income F	19765P166	CSVFX			.00	1.13000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund	19766P801	CECFX			.00	1.00000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund	19765H354	NMIAX			.25	1.25000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund	19765M221	CECYX			.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Enhanced Core Fund	19765H347	NMIMX			.00	1.00000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Advisor	19766M733	CCGRX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Class A	19765P695	LEGAX	5.75		.25	1.03000		BUY,SELL
Columbia Threadneedle	Columbia Large Cap Growth Fund Class C	19765P679	LEGCX		1.00	1.00	1.78000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Class V	19765P653	GAEGX	5.75		.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Institut	19765Y365	CGFYX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Fund Institut	19765P661	GEGTX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity F	19766B414	CSFRX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity F	19765H263	NFEAX	5.75		.25	1.11000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity F	19765H248	NFECX		1.00	1.00	1.86000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity F	19766P744	CLRYX			.00	0.76000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Growth Opportunity F	19765H230	NFEPX			.00	0.86000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Index Fund Class A	19765H339	NEIAX			.25	0.45000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Index Fund Institution	19766P736	CLPYX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Index Fund Institution	19765H321	NINDX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Advisor C	19763P333	RDERX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Class A	19763P390	INDZX	5.75		.25	1.02000		BUY,SELL
Columbia Threadneedle	Columbia Large Cap Value Fund Class C	19763P374	ADECX		1.00	1.00	1.77000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Institution	19766C586	CDEYX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Large Cap Value Fund Institution	19763P291	CDVZX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Ad	19766C297	CDLRX			.00	0.58000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Columbia Threadneedle	Columbia Limited Duration Credit Fund Cl	19763T459	ALDAX	3.00		.25	0.83000		BUY,SELL
Columbia Threadneedle	Columbia Limited Duration Credit Fund Cl	19763T434	RDCLX		1.00	1.00	1.58000		SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Ins	19766C271	CLDYX			.00	0.48000		SELL ONLY
Columbia Threadneedle	Columbia Limited Duration Credit Fund Ins	19763T384	CLDZX			.00	0.58000		SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Mu	19766M410	CMANX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Mu	19765N880	LMICX		1.00	1.00	1.66000		SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Mu	19765N864	GMBAX	4.75		.00	0.81000		SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Mu	19767A548	CMMYX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Massachusetts Intermediate Mu	19765N872	SEMAX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Growth Fund Advisor C	19766M725	CPGRX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Growth Fund Class A	19765P299	CBSAX	5.75		.25	1.15000		BUY,SELL
Columbia Threadneedle	Columbia Mid Cap Growth Fund Class C	19765P273	CMCCX		1.00	1.00	1.90000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Growth Fund Class V	19765P257	CBSTX	5.75		.00	1.15000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Growth Fund Institution	19765Y357	CMGYX			.00	0.79000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Growth Fund Institution	19765P232	CLSPX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Index Fund Class A	19765J509	NTIAX			.25	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Index Fund Institutional	19766P728	CMDYX			.00	0.23000		SELL ONLY
Columbia Threadneedle	Columbia Mid Cap Index Fund Institutional	19765J608	NMPAX			.00	0.34000		SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Ad	19766N855	CLONX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Cl	19763T160	RMTCX		1.00	1.00	1.53000		SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Ins	19766J391	CMNYX			.00	0.49000		SELL ONLY
Columbia Threadneedle	Columbia Minnesota Tax-Exempt Fund Ins	19763T152	CMNZX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Ad	19764F771	CLMFX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Cl	19764F813	CLMAX	3.00		.25	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Cl	19764F797	CLMCX		1.00	1.00	1.78000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Ins	19766J383	CMOYX			.00	0.69000		SELL ONLY
Columbia Threadneedle	Columbia Mortgage Opportunities Fund Ins	19764F755	CLMZX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund	19766M311	CLAAX	5.75		.25	1.42000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund	19766M261	CLFUX			.00	1.17000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund	19766M295	CLABX		1.00	1.00	2.17000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund	19766M220	CLAZX			.00	1.17000		SELL ONLY
Columbia Threadneedle	Columbia Multi Strategy Alternatives Fund	19766M238	CLAYX			.00	1.05000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipa	19766M394	CNYIX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipa	19765N757	LNYCX		1.00	1.00	1.65000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipa	19765N732	GANYX	4.75		.00	0.80000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipa	19767A530	CNYYX			.00	0.54000		SELL ONLY
Columbia Threadneedle	Columbia New York Intermediate Municipa	19765N740	GNYTX			.00	0.65000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia North Carolina Intermediate Mur	19766B141	CNCEX			.00	0.61000		SELL ONLY
Columbia Threadneedle	Columbia North Carolina Intermediate Mur	19765J467	NNINX		1.00	1.00	1.61000		SELL ONLY
Columbia Threadneedle	Columbia North Carolina Intermediate Mur	19766P785	CNCYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia North Carolina Intermediate Mur	19765J459	NNIBX			.00	0.61000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal E	19766M378	CORMX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal E	19765P398	CORCX		1.00	1.00	1.59000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal E	19767A522	CORYX			.00	0.51000		SELL ONLY
Columbia Threadneedle	Columbia Oregon Intermediate Municipal E	19765P372	CMBFX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Advisor Cl	19766J292	COSDX			.00	1.08000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Class A	19766J318	COSAX	5.75		.25	1.33000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Class C	19766J284	COSCX		1.00	1.00	2.08000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Institutional	19766J250	COSOX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Core Fund Institutional	19766J276	COSNX			.00	1.08000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Advisor C	19766P884	COSVX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Class A	19765M338	COAVX	5.75		.25	1.28000		BUY,SELL
Columbia Threadneedle	Columbia Overseas Value Fund Class C	19765M320	COCVX		1.00	1.00	2.03000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Institution	19766P868	COSYX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Overseas Value Fund Institution	19765M296	COSZX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Advisor Cl	19766C347	CUVRX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Class A	19766J102	AUGAX	3.00		.25	0.92000		BUY,SELL
Columbia Threadneedle	Columbia Quality Income Fund Class C	19766J300	AUGCX		1.00	1.00	1.67000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Institutional	19766J581	CUGYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Quality Income Fund Institutional	19766J607	CUGZX			.00	0.67000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Advisor	19766M683	CRERX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Class A	19765P588	CREAX	5.75		.25	1.28000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Class C	19765P562	CRECX		1.00	1.00	2.03000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Instituti	19767A423	CREYX			.00	0.85000		SELL ONLY
Columbia Threadneedle	Columbia Real Estate Equity Fund Instituti	19765P547	CREEX			.00	1.03000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Advis	19766N772	CSGVX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Class	19763T780	IGLGX	5.75		.25	1.27000		BUY,SELL
Columbia Threadneedle	Columbia Select Global Equity Fund Class	19763T764	RGCEX		1.00	1.00	2.02000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Institu	19766J375	CSEYX			.00	0.92000		SELL ONLY
Columbia Threadneedle	Columbia Select Global Equity Fund Institu	19763T194	CGEZX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund A	19766P660	CLSRX			.00	0.91000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund C	19765H313	NSGAX	5.75		.25	1.16000		BUY,SELL
Columbia Threadneedle	Columbia Select Large Cap Equity Fund C	19765H289	NSGCX		1.00	1.00	1.91000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Equity Fund In	19766P694	CLEYX			.00	0.78000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia Select Large Cap Equity Fund In	19765H271	NSEPX			.00	0.91000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund	19766M675	CSRRX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund C	19765Y712	ELGAX	5.75		.25	1.12000		BUY,SELL
Columbia Threadneedle	Columbia Select Large Cap Growth Fund C	19765Y696	ELGCX		1.00	1.00	1.87000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund I	19766M659	CCWRX			.00	0.72000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Growth Fund I	19765Y688	UMLGX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund Ac	19766C396	CSERX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund C	19766H619	SLVAX	5.75		.25	1.13000		BUY,SELL
Columbia Threadneedle	Columbia Select Large Cap Value Fund C	19766H585	SVLCX		1.00	1.00	1.88000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund In	19766J615	CSRYX			.00	0.77000		SELL ONLY
Columbia Threadneedle	Columbia Select Large Cap Value Fund In	19766H528	CSVZX			.00	0.88000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Adv	19766B356	CFDRX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Clas	19765J863	CMUAX	5.75		.25	1.18000		BUY,SELL
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Clas	19765J848	CMUCX		1.00	1.00	1.93000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Insti	19765M239	CMVYX			.00	0.78000		SELL ONLY
Columbia Threadneedle	Columbia Select Mid Cap Value Fund Insti	19765J830	NAMAX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Select Small Cap Value Fund Ac	19766C388	CSPRX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Select Small Cap Value Fund Cl	19766H510	SSCVX	5.75		.25	1.31000		BUY,SELL
Columbia Threadneedle	Columbia Select Small Cap Value Fund Cl	19766H486	SVMCX		1.00	1.00	2.06000		SELL ONLY
Columbia Threadneedle	Columbia Select Small Cap Value Fund In	19766J599	CSSYX			.00	0.93000		SELL ONLY
Columbia Threadneedle	Columbia Select Small Cap Value Fund In	19766H437	CSSZX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fu	19766C370	CCHRX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fu	19766H320	SHGTX	5.75		.25	1.31000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fu	19766H296	SHTCX		1.00	1.00	2.06000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fu	19766J359	CGTYX			.00	0.95000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Global Technology Fu	19766H247	CSGZX			.00	1.06000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Infor	19766H361	SCIOX			.00	0.98000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Infor	19766H429	SLMCX	5.75		.25	1.23000		BUY,SELL
Columbia Threadneedle	Columbia Seligman Technology and Infor	19766H395	SCICX		1.00	1.00	1.98000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Infor	19766J367	CCOYX			.00	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Seligman Technology and Infor	19766H338	CCIZX			.00	0.98000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Advisor	19766B315	CMDRX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Class A	19765H396	NSTRX	1.00		.25	0.85000		BUY,SELL
Columbia Threadneedle	Columbia Short Term Bond Fund Class C	19765H370	NSTIX		1.00	1.00	1.60000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Institutio	19765M213	CSBYX			.00	0.46000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Bond Fund Institutio	19765H362	NSTMX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Municipal Bond Fun	19766B182	CSMTX			.00	0.55000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Columbia Threadneedle	Columbia Short Term Municipal Bond Fund	19765J335	NSMUX		1.00	1.00	1.55000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Municipal Bond Fund	19766P686	CSMYX			.00	0.47000		SELL ONLY
Columbia Threadneedle	Columbia Short Term Municipal Bond Fund	19765J327	NSMIX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Advisor	19766M618	CHHRX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Class A	19765P638	CGOAX	5.75		.25	1.27000		BUY,SELL
Columbia Threadneedle	Columbia Small Cap Growth Fund Class C	19765P612	CGOCX		1.00	1.00	2.02000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Institutional	19765Y340	CSGYX			.00	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Growth Fund Institutional	19765P596	CMSCX			.00	1.02000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Index Fund Class A	19765J822	NMSAX			.25	0.45000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Index Fund Institutional	19766P678	CSPYX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Index Fund Institutional	19765J814	NMSCX			.00	0.20000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Advisor	19766M592	CVVRX			.00	1.08000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Class A	19765N591	CSMIX	5.75		.25	1.33000		BUY,SELL
Columbia Threadneedle	Columbia Small Cap Value Fund I Class C	19765N575	CSSCX		1.00	1.00	2.08000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Institutional	19765Y332	CSVYX			.00	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund I Institutional	19765N567	CSCZX			.00	1.08000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Advisor	19766B265	CLURX			.00	1.12000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Class A	19765J798	COVAX	5.75		.25	1.37000		BUY,SELL
Columbia Threadneedle	Columbia Small Cap Value Fund II Class C	19765J772	COVCX		1.00	1.00	2.12000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Institutional	19766B240	CRRYX			.00	0.89000		SELL ONLY
Columbia Threadneedle	Columbia Small Cap Value Fund II Institutional	19765J764	NSVAX			.00	1.12000		SELL ONLY
Columbia Threadneedle	Columbia South Carolina Intermediate Mu	19766B174	CSICX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia South Carolina Intermediate Mu	19765J558	NSICX		1.00	1.00	1.66000		SELL ONLY
Columbia Threadneedle	Columbia South Carolina Intermediate Mu	19766P777	CSOYX			.00	0.55000		SELL ONLY
Columbia Threadneedle	Columbia South Carolina Intermediate Mu	19765J541	NSCMX			.00	0.66000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Inc	19766M485	CCARX			.00	0.56000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Inc	19765L306	CCAOX		1.00	1.00	1.56000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Inc	19767A514	CCXYX			.00	0.49000		SELL ONLY
Columbia Threadneedle	Columbia Strategic California Municipal Inc	19765L611	CCAZX			.00	0.56000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Advisor C	19766M576	CMNRX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Class A	19765L801	COSIX	4.75		.25	0.93000		BUY,SELL
Columbia Threadneedle	Columbia Strategic Income Fund Class C	19765L876	CLSCX		1.00	1.00	1.68000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Institutional	19766M345	CPHUX			.00	0.59000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Income Fund Institutional	19765L694	LSIZX			.00	0.68000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund	19766N202	CATRX			.00	0.56000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund	19763P598	RTCEX		1.00	1.00	1.56000		SELL ONLY
Columbia Threadneedle	Columbia Strategic Municipal Income Fund	19766J342	CATYX			.00	0.50000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Columbia Threadneedle	Columbia Strategic Municipal Income Fund	19763P580	CATZX			.00	0.56000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal In	19766M386	CNYEX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal In	19765L173	CNYCX		1.00	1.00	1.60000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal In	19767A449	CNTYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Strategic New York Municipal In	19766D295	CNYZX			.00	0.60000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Advisor Class	19766M352	CTERX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Class C	19765L835	COLCX		1.00	.95	1.48000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Institutional 3	19767A415	CTEYX			.00	0.47000		SELL ONLY
Columbia Threadneedle	Columbia Tax-Exempt Fund Institutional C	19765L629	CTEZX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Advisor Class	197199342	CTORX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Class A	197199755	CTFAX	5.75		.25	0.96000		BUY,SELL
Columbia Threadneedle	Columbia Thermostat Fund Class C	197199730	CTFDX		1.00	1.00	1.71000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Institutional 3	197199326	CYYYX			.00	0.63000		SELL ONLY
Columbia Threadneedle	Columbia Thermostat Fund Institutional Cl	197199722	COTZX			.00	0.71000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Advisor	19766M774	CBNRX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Class A	19765N492	LIBAX	3.00		.25	0.90000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Class C	19765N476	LIBCX		1.00	1.00	1.65000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Instituti	19766M758	CTBYX			.00	0.53000		SELL ONLY
Columbia Threadneedle	Columbia Total Return Bond Fund Instituti	19765N468	SRBFX			.00	0.65000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Social Bond Fund Advisor C	19767A878	CONFX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Social Bond Fund Class C	19767A886	CONCX		1.00	1.00	1.87000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Social Bond Fund Instituti	19767A399	CONYX			.00	0.79000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Social Bond Fund Instituti	19767A852	CONZX			.00	0.87000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Class	19765N641	LUTAX			.25	0.65000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Class	19765N625	LUTCX		1.00	1.00	1.40000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Institu	19767A381	CUTYX			.00	0.40000		SELL ONLY
Columbia Threadneedle	Columbia U.S. Treasury Index Fund Institu	19765N617	IUTIX			.00	0.40000		SELL ONLY
Columbia Threadneedle	Columbia Virginia Intermediate Municipal B	19766B166	CAIVX			.00	0.62000		SELL ONLY
Columbia Threadneedle	Columbia Virginia Intermediate Municipal B	19765J376	NVRCX		1.00	1.00	1.62000		SELL ONLY
Columbia Threadneedle	Columbia Virginia Intermediate Municipal B	19766P769	CVAYX			.00	0.54000		SELL ONLY
Columbia Threadneedle	Columbia Virginia Intermediate Municipal B	19765J368	NVABX			.00	0.62000		SELL ONLY
Community Capital Man	CCM Core Impact Equity Fund Advisor Cla	746935865	QUAGX			.25	2.09000		SELL ONLY
Community Capital Man	CCM Core Impact Equity Fund Institutional	746935584	QAGIX				1.84000		SELL ONLY
Community Capital Man	CCM Small/Mid-Cap Impact Value Fund A	746935766	QUSVX			.25	2.66000		SELL ONLY
Community Capital Man	CCM Small/Mid-Cap Impact Value Fund C	746935568	QSVIX				2.41000		SELL ONLY
Congress	Congress Large Cap Growth Fund Instituti	74316J789	CMLIX				0.70000		SELL ONLY
Congress	Congress Large Cap Growth Fund Retail C	742935216	CAMLX			.25	0.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Congress	Congress Mid Cap Growth Fund Institutional	74316J458	IMIDX				0.80000		SELL ONLY
Congress	Congress Mid Cap Growth Fund Retail Class	74316J466	CMIDX			.25	1.05000		SELL ONLY
Congress	Congress Small Cap Growth Fund Institutional	74316P710	CSMCX				1.24000		SELL ONLY
Congress	Congress Small Cap Growth Fund Retail Class	74316P728	CSMVX			.25	1.49000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Commodity Return Strategy	22544R107	CRSAX	4.75		.25	1.03000		BUY,SELL
Credit Suisse (New York)	Credit Suisse Commodity Return Strategy	22544R206	CRSCX		1.00	1.00	1.78000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Commodity Return Strategy	22544R305	CRSOX				0.78000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Floating Rate High Income Fund	22540S877	CHIAX	4.75		.25	1.03000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Floating Rate High Income Fund	22540S851	CHICX		1.00	1.00	1.78000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Floating Rate High Income Fund	22540S836	CSHIX				0.78000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Managed Futures Strategy Fund	22540S737	CSAAX	5.25		.25	1.57000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Managed Futures Strategy Fund	22540S729	CSACX		1.00	1.00	2.32000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Managed Futures Strategy Fund	22540S711	CSAIX				1.32000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Multialternative Strategy Fund	22540S794	CSQAX	5.25		.25	2.26000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Multialternative Strategy Fund	22540S778	CSQIX				2.01000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Strategic Income Fund Class	22540S760	CSOAX	4.75		.25	1.34000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Strategic Income Fund Class	22540S752	CSOCX		1.00	1.00	2.09000		SELL ONLY
Credit Suisse (New York)	Credit Suisse Strategic Income Fund Class	22540S745	CSOIX				1.09000		SELL ONLY
CRM	CRM All Cap Value Fund Class Institutional	12626X833	CRIEX				1.26000		SELL ONLY
CRM	CRM All Cap Value Fund Class Investor	12626X841	CRMEX				1.51000		SELL ONLY
CRM	CRM Mid Cap Value Fund Class Institutional	92934R769	CRIMX				0.97000		SELL ONLY
CRM	CRM Mid Cap Value Fund Class Investor	92934R777	CRMMX				1.17000		SELL ONLY
CRM	CRM Small Cap Value Fund Class Institutional	92934R785	CRISX				0.93000		SELL ONLY
CRM	CRM Small Cap Value Fund Class Investor	92934R793	CRMSX				1.17000		SELL ONLY
CRM	CRM Small/Mid Cap Value Fund Class Institutional	92934R116	CRIAX				0.96000		SELL ONLY
CRM	CRM Small/Mid Cap Value Fund Class Investor	92934R124	CRMAX				1.18000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward Covered Call Income	860324672	SCJIX				1.35000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward Global Equity Income	860324862	SGIDX			.25	1.29000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward Global Equity Income	860324854	SGISX				0.95000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward International Enhance	860324805	SNTKX			.25	1.07000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward International Enhance	860324706	SNTCX				0.73000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward Select Bond Fund Class	860324409	SEAKX			.25	1.00000		SELL ONLY
Crossmark Steward Fund	Crossmark Steward Select Bond Fund Class	860324300	SEACX				0.66000		SELL ONLY
Crossmark Steward Fund	Steward Large Cap Core Fund A	860324201	SEEKX			.25	0.85000		SELL ONLY
Crossmark Steward Fund	Steward Large Cap Core Fund Institutional	860324102	SEECX				0.53000		SELL ONLY
Crossmark Steward Fund	Steward Small Cap Growth Fund A	860324839	TRDFX			.25	0.82000		SELL ONLY
Crossmark Steward Fund	Steward Small Cap Growth Fund Institutional	860324847	SCECX				0.56000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Davis Funds	Davis Appreciation & Income Fund Class A	239103880	RPFCX	4.75		.24	1.02000		BUY,SELL
Davis Funds	Davis Appreciation & Income Fund Class C	239103732	DCSCX		1.00	1.00	1.87000		SELL ONLY
Davis Funds	Davis Appreciation & Income Fund Class Y	239103773	DCSYX			.00	0.69000		SELL ONLY
Davis Funds	Davis Financial Fund Class A	239103500	RPF GX	4.75		.22	0.96000		SELL ONLY
Davis Funds	Davis Financial Fund Class C	239103740	DFFCX		1.00	1.00	1.75000		SELL ONLY
Davis Funds	Davis Financial Fund Class Y	239103815	DVFX			.00	0.74000		SELL ONLY
Davis Funds	Davis Global Fund Class A	239080872	DGFAX	4.75		.23	0.92000	2.00	BUY,SELL
Davis Funds	Davis Global Fund Class C	239080856	DGFCX		1.00	1.00	1.70000	2.00	SELL ONLY
Davis Funds	Davis Global Fund Class Y	239080849	DGFYX			.00	0.69000	2.00	SELL ONLY
Davis Funds	Davis Government Bond Fund Class A	239103708	RFBAX	4.75		.21	1.10000		SELL ONLY
Davis Funds	Davis Government Bond Fund Class C	239103757	DGVCX		1.00	1.00	2.25000		SELL ONLY
Davis Funds	Davis Government Bond Fund Class Y	239103799	DGVYX			.00	0.95000		SELL ONLY
Davis Funds	Davis International Fund Class A	239080831	DILAX	4.75		.21	0.98000	2.00	SELL ONLY
Davis Funds	Davis International Fund Class C	239080815	DILCX		1.00	1.00	1.80000	2.00	SELL ONLY
Davis Funds	Davis International Fund Class Y	239080799	DILYX			.00	0.70000	2.00	SELL ONLY
Davis Funds	Davis New York Venture Fund Class A	239080104	NYVTX	4.75		.23	0.90000		BUY,SELL
Davis Funds	Davis New York Venture Fund Class B	239080203	NYVBX		4.00	1.00	1.99000		SELL ONLY
Davis Funds	Davis New York Venture Fund Class C	239080302	NYVCX		1.00	1.00	1.70000		SELL ONLY
Davis Funds	Davis New York Venture Fund Class Y	239080401	DNVYX			.00	0.65000		SELL ONLY
Davis Funds	Davis Opportunity Fund Class A	239103104	RPEAX	4.75		.22	0.94000		SELL ONLY
Davis Funds	Davis Opportunity Fund Class C	239103765	DGOCX		1.00	1.00	1.75000		SELL ONLY
Davis Funds	Davis Opportunity Fund Class Y	239103831	DGOYX			.00	0.69000		SELL ONLY
Davis Funds	Davis Real Estate Fund Class A	239103302	RPFRX	4.75		.19	0.97000		SELL ONLY
Davis Funds	Davis Real Estate Fund Class C	239103724	DRECX		1.00	1.00	1.89000		SELL ONLY
Davis Funds	Davis Real Estate Fund Class Y	239103823	DREYX			.00	0.79000		SELL ONLY
Delaware Funds by Mac	Delaware Corporate Bond Fund Class A	245908785	DGCAX	4.50		.25	0.91000		SELL ONLY
Delaware Funds by Mac	Delaware Corporate Bond Fund Class C	245908769	DGCCX		1.00	1.00	1.66000		SELL ONLY
Delaware Funds by Mac	Delaware Corporate Bond Fund Class R	245908744	DGCRX			.50	1.16000		SELL ONLY
Delaware Funds by Mac	Delaware Corporate Bond Fund Class R6	24610J100	DGCZX				0.57000		SELL ONLY
Delaware Funds by Mac	Delaware Corporate Bond Fund Institutional	245908751	DGCIX				0.66000		SELL ONLY
Delaware Funds by Mac	Delaware Covered Call Strategy Fund Class A	24611D102	FRCCX	5.75		.25	1.37000		SELL ONLY
Delaware Funds by Mac	Delaware Covered Call Strategy Fund Class C	24611D300	FRCEX				1.07000		SELL ONLY
Delaware Funds by Mac	Delaware Covered Call Strategy Fund Institutional	24611D201	FRCDX				1.12000		SELL ONLY
Delaware Funds by Mac	Delaware Diversified Income Fund Class A	246248744	DPDFX	4.50		.25	0.87000		SELL ONLY
Delaware Funds by Mac	Delaware Diversified Income Fund Class C	246248595	DPCFX		1.00	1.00	1.62000		SELL ONLY
Delaware Funds by Mac	Delaware Diversified Income Fund Class F	246248553	DPRFX			.50	1.12000		SELL ONLY
Delaware Funds by Mac	Delaware Diversified Income Fund Class F	245917612	DPZRX				0.53000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Delaware Funds by Mac	Delaware Diversified Income Fund Institutional	246248587	DPFFX				0.62000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Debt Corporate	246094841	DEDAX	4.50		.25	1.48000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Debt Corporate	246094833	DEDCX		1.00	1.00	2.23000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Debt Corporate	246094817	DEDIX				1.23000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Fund Class A	245914841	DEMAX	5.75		.25	1.63000		BUY,SELL
Delaware Funds by Mac	Delaware Emerging Markets Fund Class C	245914825	DEMCX		1.00	1.00	2.38000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Fund Class F	245914569	DEMRX			.50	1.88000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Fund Class F	245914510	DEMZX				1.26000		SELL ONLY
Delaware Funds by Mac	Delaware Emerging Markets Fund Institutional	245914817	DEMIX				1.38000		SELL ONLY
Delaware Funds by Mac	Delaware Equity Income Fund Class A	24611D409	FIUTX	5.75		.25	1.16000		SELL ONLY
Delaware Funds by Mac	Delaware Equity Income Fund Class R6	24611D607	FIUVX				0.88000		SELL ONLY
Delaware Funds by Mac	Delaware Equity Income Fund Institutional	24611D508	FIUUX				0.94000		SELL ONLY
Delaware Funds by Mac	Delaware Extended Duration Bond Fund C	245908835	DEEAX	4.50		.25	0.96000		SELL ONLY
Delaware Funds by Mac	Delaware Extended Duration Bond Fund C	245908819	DEECX		1.00	1.00	1.71000		SELL ONLY
Delaware Funds by Mac	Delaware Extended Duration Bond Fund C	245908793	DEEIX				0.71000		SELL ONLY
Delaware Funds by Mac	Delaware Extended Duration Bond Fund C	245908728	DEERX			.50	1.21000		SELL ONLY
Delaware Funds by Mac	Delaware Extended Duration Bond Fund C	245908629	DEZRX				0.62000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate Fund Class A	245908660	DDFAX	2.75		.25	1.05000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate Fund Class C	245908652	DDFCX		1.00	1.00	1.80000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate Fund Class R	245908645	DDFFX			.50	1.30000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate Fund Class R6	245908595	DDFZX				0.73000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate Fund Institutional C	245908637	DDFLX				0.80000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate II Fund Class A	24611D615	FRFDX	2.75		.25	1.24000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate II Fund Class R6	24611D581	FRFNX				0.97000		SELL ONLY
Delaware Funds by Mac	Delaware Floating Rate II Fund Institutional	24611D599	FRFEX				1.01000		SELL ONLY
Delaware Funds by Mac	Delaware Global Equity Fund Class A	24611D706	FIISX	5.75		.25	1.42000		SELL ONLY
Delaware Funds by Mac	Delaware Global Equity Fund Class R6	24611D888	FIIUX				1.13000		SELL ONLY
Delaware Funds by Mac	Delaware Global Equity Fund Institutional C	24611D805	FIITX				1.18000		SELL ONLY
Delaware Funds by Mac	Delaware Global Listed Real Assets Fund	246248868	DPREX	5.75		.25	1.53000		SELL ONLY
Delaware Funds by Mac	Delaware Global Listed Real Assets Fund	246248793	DPRCX		1.00	1.00	2.28000		SELL ONLY
Delaware Funds by Mac	Delaware Global Listed Real Assets Fund	246248777	DPRSX				1.28000		SELL ONLY
Delaware Funds by Mac	Delaware Global Listed Real Assets Fund	246248561	DPRRX			.50	1.78000		SELL ONLY
Delaware Funds by Mac	Delaware Global Listed Real Assets Fund	246248454	DPRDX				1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Growth and Income Fund Class	24611D870	FGINX	5.75		.25	1.10000		SELL ONLY
Delaware Funds by Mac	Delaware Growth and Income Fund Class	24611D854	FGIQX				0.81000		SELL ONLY
Delaware Funds by Mac	Delaware Growth and Income Fund Institutional	24611D862	FGIPX				0.86000		SELL ONLY
Delaware Funds by Mac	Delaware Growth Equity Fund Class A	24611D714	FICGX	5.75		.25	1.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Growth Equity Fund Class R6	24611D680	FICIX				0.83000		SELL ONLY
Delaware Funds by Mac	Delaware Growth Equity Fund Institutional	24611D698	FICHX				0.89000		SELL ONLY
Delaware Funds by Mac	Delaware Healthcare Fund Class A	24610E101	DLHAX	5.75		.25	1.23000		BUY,SELL
Delaware Funds by Mac	Delaware Healthcare Fund Class C	24610E200	DLHCX		1.00	1.00	1.98000		SELL ONLY
Delaware Funds by Mac	Delaware Healthcare Fund Class I	24610E408	DLHIX				0.98000		SELL ONLY
Delaware Funds by Mac	Delaware Healthcare Fund Class R	24610E309	DLRHX			.50	1.48000		SELL ONLY
Delaware Funds by Mac	Delaware Hedged U.S. Equity Opportunities	24611D847	FHEJX	5.75		.25	1.85000		SELL ONLY
Delaware Funds by Mac	Delaware Hedged U.S. Equity Opportunities	24611D821	FHELX				1.57000		SELL ONLY
Delaware Funds by Mac	Delaware Hedged U.S. Equity Opportunities	24611D839	FHEKX				1.62000		SELL ONLY
Delaware Funds by Mac	Delaware High-Yield Opportunities Fund C	245908876	DHOAX	4.50		.25	1.16000		SELL ONLY
Delaware Funds by Mac	Delaware High-Yield Opportunities Fund C	245908850	DHOCX		1.00	1.00	1.91000		SELL ONLY
Delaware Funds by Mac	Delaware High-Yield Opportunities Fund C	245908736	DHIRX			.50	1.41000		SELL ONLY
Delaware Funds by Mac	Delaware High-Yield Opportunities Fund C	24610J209	DHIZX				0.80000		SELL ONLY
Delaware Funds by Mac	Delaware High-Yield Opportunities Fund Ir	245908843	DHOIX				0.91000		SELL ONLY
Delaware Funds by Mac	Delaware International Small Cap Fund Cl	246118541	DGGAX	5.75		.25	1.54000		SELL ONLY
Delaware Funds by Mac	Delaware International Small Cap Fund Cl	246118533	DGGCX		1.00	1.00	2.29000		SELL ONLY
Delaware Funds by Mac	Delaware International Small Cap Fund Cl	246118525	DGGRX			.50	1.79000		SELL ONLY
Delaware Funds by Mac	Delaware International Small Cap Fund Cl	245914486	DGRRX				1.17000		SELL ONLY
Delaware Funds by Mac	Delaware International Small Cap Fund Ins	246118517	DGGIX				1.29000		SELL ONLY
Delaware Funds by Mac	Delaware International Value Equity Fund	245914106	DEGIX	5.75		.25	1.33000		SELL ONLY
Delaware Funds by Mac	Delaware International Value Equity Fund	245914858	DEGCX		1.00	1.00	2.08000		SELL ONLY
Delaware Funds by Mac	Delaware International Value Equity Fund	245914577	DIVRX			.50	1.58000		SELL ONLY
Delaware Funds by Mac	Delaware International Value Equity Fund	245914478	DEQRX				1.02000		SELL ONLY
Delaware Funds by Mac	Delaware International Value Equity Fund	245914403	DEQIX				1.08000		SELL ONLY
Delaware Funds by Mac	Delaware Investments Ultrashort Fund Cla	245910609	DLTAX	2.00		.25	0.97000		SELL ONLY
Delaware Funds by Mac	Delaware Investments Ultrashort Fund Cla	245910708	DLTCX		1.00	1.00	1.72000		SELL ONLY
Delaware Funds by Mac	Delaware Investments Ultrashort Fund Cla	245910807	DLTLX				0.72000		SELL ONLY
Delaware Funds by Mac	Delaware Investments Ultrashort Fund Ins	245910500	DULTX				0.72000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Accumulative Fund Class A	46600G879	IATAX	5.75		.25	1.10000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Accumulative Fund Class B	46600G861	IATBX		5.00	1.00	2.85000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Accumulative Fund Class C	46600G853	IATCX		1.00	1.00	2.14000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Accumulative Fund Class I	46600G838	IATIX			.00	0.91000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Accumulative Fund Class N	46600G820	IATNX			.00	0.75000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Multi-Asset Income Fu	46600B847	IMAAX	5.75		.25	1.28000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Apollo Multi-Asset Income Fu	46600B839	IMACX		1.00	1.00	2.04000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Multi-Asset Income Fu	46600B821	IMAIX			.00	1.00000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Multi-Asset Income Fu	46600B813	IMURX			.00	0.85000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Delaware Funds by Mac	Delaware Ivy Apollo Multi-Asset Income Fu	46600B797	IMAYX			.25	1.23000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Strategic Income Fund	46600B805	IAPOX	4.50		.25	1.18000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Apollo Strategic Income Fund	46600B888	ICPOX		1.00	1.00	2.09000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Strategic Income Fund	46600B870	IIPOX			.00	0.97000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Strategic Income Fund	46600B862	IRPOX			.00	0.81000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Apollo Strategic Income Fund	46600B854	IYPOX			.25	1.21000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class A	466000759	WASAX	5.75		.25	1.11000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class B	466000742	WASBX		5.00	1.00	2.04000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class C	466000734	WASCX		1.00	1.00	1.90000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class I	466001864	IVAEX				0.87000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class R	466000114	IASRX			.50	1.46000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class R	46600A104	IASTX				0.71000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Asset Strategy Fund Class Y	466000726	WASYX			.25	1.12000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class A	465898625	IBNAX	5.75		.25	1.07000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class B	465898617	IBNBX		5.00	1.00	1.91000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class C	465898591	IBNCX		1.00	1.00	1.82000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class I	465898146	IYBIX				0.86000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class R	465899375	IYBFX			.50	1.45000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class R6	46600A203	IBARX				0.71000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Balanced Fund Class Y	465898583	IBNYX			.25	1.10000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy California Municipal High Inc	465899144	IMHAX	4.50		.25	1.24000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy California Municipal High Inc	465899136	IMHCX		1.00	1.00	2.03000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy California Municipal High Inc	465899128	IMHIX			.00	1.13000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy California Municipal High Inc	465899110	IMHYX			.25	1.36000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class A	466000106	WCEAX	5.75		.25	1.00000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class B	466000205	WCEBX		5.00	1.00	2.24000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class C	466000304	WTRCX		1.00	1.00	1.88000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class I	466000163	ICIEX				0.81000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class R	465899573	IYCEX			.50	1.40000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class R6	46600A401	ICEQX				0.66000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Core Equity Fund Class Y	466000403	WCEYX			.25	1.05000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Corporate Bond Fund Class	46600G101	IBJAX	4.50		.25	1.00000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Corporate Bond Fund Class	46600G200	IBJBX		5.00	1.00	2.65000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Corporate Bond Fund Class	46600G309	IBJCX		1.00	1.00	1.89000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Corporate Bond Fund Class	46600G507	IBJIX			.00	0.71000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Corporate Bond Fund Class	46600G606	IBJNX			.00	0.55000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Corporate Bond Fund Class	46600G804	IBJYX			.25	0.94000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Ivy Crossover Credit Fund Class	46600B730	ICKAX	4.50		.25	1.21000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Crossover Credit Fund Class	46600B714	ICKIX			.00	1.06000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Crossover Credit Fund Class	46600B698	ICKNX			.00	0.90000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Crossover Credit Fund Class	46600B664	ICKYX			.25	1.30000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	465897866	IPOAX	5.75		.25	1.39000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	465897858	IPOBX		5.00	1.00	2.59000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	465897643	IPOCX		1.00	1.00	2.10000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	465899854	IPOIX				1.10000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	465899383	IYPCX			.50	1.69000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	46600A708	IMEGX				0.95000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Emerging Markets Equity Fu	465898674	IPOYX			.25	1.34000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class A	466000395	IEYAX	5.75		.25	1.87000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class B	466000387	IEYBX		5.00	1.00	3.42000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class C	466000379	IEYCX		1.00	1.00	2.44000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class I	466000148	IVEIX				1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class R	465899367	IYEFX			.50	1.77000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class R6	46600A807	IENRX				1.02000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Energy Fund Class Y	466000361	IEYYX			.25	1.42000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class A	465899748	IVSAX	4.50		.25	1.20000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class B	465899730	IVSBX		5.00	1.00	2.41000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class C	465899722	IVSCX		1.00	1.00	1.96000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class I	465899714	IVSIX				0.89000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class R	465899516	IYGOX			.50	1.46000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class R6	46600A872	IVBDX				0.72000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Bond Fund Class Y	465899698	IVSYX			.25	1.12000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	465899631	IBIAX	5.75		.25	1.24000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	465899623	IBIBX		5.00	1.00	2.11000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	465899615	IBICX		1.00	1.00	2.03000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	465899599	IBIIX				0.95000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	465899458	IYGEX			.50	1.54000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	46600A864	IICNX				0.79000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Equity Income Fund C	465899581	IBIYX			.25	1.18000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class A	465897502	IVINX	5.75		.25	1.34000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class B	465897601	IVIBX		5.00	1.00	2.66000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class C	465897585	IVNCX		1.00	1.00	2.25000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class I	465898724	IGIIX				1.09000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class R	465899425	IYIGX			.50	1.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class R	46600A815	ITGRX				0.94000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Global Growth Fund Class Y	465897114	IVIYX			.25	1.36000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Government Securities Fund	46600B227	IGJAX	4.50		.25	1.05000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Government Securities Fund	46600B219	IGJBX		5.00	1.00	2.63000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Government Securities Fund	46600B193	IGJCX		1.00	1.00	1.90000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Government Securities Fund	46600B177	IGJIX			.00	0.77000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Government Securities Fund	46600B169	IGJNX			.00	0.61000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class A	466000668	WHIAX	4.50		.25	0.97000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class B	466000650	WHIBX		5.00	1.00	1.82000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class C	466000643	WRHIX		1.00	1.00	1.70000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class I	466000122	IVHIX				0.75000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class R	465899490	IYHIX			.50	1.35000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class R6	46600A831	IHIFX				0.60000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy High Income Fund Class Y	466000635	WHIYX			.25	1.00000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	465897353	IVIAX	5.75		.25	1.35000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	465897346	IIFBX		5.00	1.00	2.52000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	465897338	IVIFX		1.00	1.00	2.05000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	465899706	ICEIX				1.03000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	465899433	IYITX			.50	1.62000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	46600A823	IINCX				0.88000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Core Equity Fur	465898682	IVVYX			.25	1.29000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Small Cap Fund	46600A682	IVJAX	5.75		.25	1.57000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Small Cap Fund	46600A674	IVJCX		1.00	1.00	2.33000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Small Cap Fund	46600A666	IVJIX			.00	1.38000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Small Cap Fund	46600A690	IVJRX			.00	1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy International Small Cap Fund	46600A658	IVJYX			.25	1.59000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	466000627	WLGAX	3.50		.25	0.98000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	466000619	WLGBX			1.00	1.95000	5.00	SELL ONLY
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	466000593	WLG CX			1.00	1.82000	1.00	SELL ONLY
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	466001203	IYGIX				0.79000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	466000429	WLGRX			.50	1.38000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	46600A799	ILGRX				0.64000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Large Cap Growth Fund Clas	466000585	WLG YX			.25	1.04000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	465899359	IREAX	5.75		.25	1.79000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	465899342	IREBX		5.00	1.00	2.25000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	465899334	IRECX		1.00	1.00	2.31000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	465899326	IRE SX				1.41000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	46600A245	IRENX				1.22000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	465899318	IRERX			.50	1.98000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy LaSalle Global Real Estate F	465899292	IREYX			.25	1.61000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	466000882	WLTAX	2.75		.25	0.89000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	466000874	WLTBX		5.00	1.00	1.90000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	466000866	WLBCX		1.00	1.00	1.65000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	466001401	ILTIX				0.68000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	465899482	IYLTX			.50	1.27000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	46600A781	ILMDX				0.53000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Limited-Term Bond Fund Cla	466000858	WLTXY			.25	0.93000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	465898229	IVTAX	5.75		.25	1.37000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	465898211	IVTBX		5.00	1.00	2.76000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	465898195	IVTCX		1.00	1.00	2.23000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	465898179	IVTIX				1.06000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	46600A229	IVTNX				1.05000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	465899391	IYMGX			.50	1.54000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Managed International Oppo	465898161	IVTYX			.25	1.31000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	466000577	WMGAX	3.50		.25	1.17000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	466000569	WMGBX		5.00	1.00	2.17000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	466000551	WMGCX		1.00	1.00	1.94000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	466001609	IYMIX				0.97000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	466000411	WMGRX			.50	1.56000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	46600A765	IGRFX				0.82000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Growth Fund Class	466000544	WMGYX			.25	1.21000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Income Opportunitie	46600B102	IVOAX	3.50		.25	1.31000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Mid Cap Income Opportunitie	46600B201	IVOCX		1.00	1.00	2.07000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Income Opportunitie	46600B409	IVOIX				1.06000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Income Opportunitie	46600B607	IVOSX			.00	0.93000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Income Opportunitie	46600B508	IVORX			.50	1.64000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Mid Cap Income Opportunitie	46600B706	IVOYX			.25	1.31000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal Bond Fund Class B	466000833	WMBBX		5.00	1.00	1.80000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal Bond Fund Class C	466000825	WMBCX		1.00	1.00	1.74000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal Bond Fund Class I	46601B101	IMBIX				0.75000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal Bond Fund Class N	46600A211	IMBNX				0.61000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal Bond Fund Class Y	466000817	WMBYX			.25	0.99000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal High Income Fund	466001773	IYIBX		5.00	1.00	1.76000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal High Income Fund	466001765	IYICX		1.00	1.00	1.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Delaware Funds by Mac	Delaware Ivy Municipal High Income Fund	466001757	WYMHX				0.74000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal High Income Fund	46600A195	IYINX				0.60000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Municipal High Income Fund	466001740	IYIYX			.25	0.99000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	465897429	IGNAX	5.75		.25	1.84000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	465897411	IGNBX		5.00	1.00	6.05000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	465897395	IGNCX		1.00	1.00	2.49000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	465899508	IGNIX				1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	465898310	IGNRX			.50	1.77000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	46600A849	INRSX				0.99000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Natural Resources Fund Cla	465897122	IGNYX			.25	1.42000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Emerging Markets Loc	465899227	IECAX	4.50		.25	1.51000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Emerging Markets Loc	465899219	IECCX		1.00	1.00	2.15000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Emerging Markets Loc	465899185	IECIX			.00	1.30000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Emerging Markets Loc	465899151	IMMCX			.00	1.13000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Emerging Markets Loc	465899169	IECYX			.25	1.52000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Targeted Return Bond	46600B789	IRBAX	4.50		.25	1.41000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Targeted Return Bond	46600B771	IRBCX		1.00	1.00	2.11000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Targeted Return Bond	46600B763	IRBIX			.00	1.24000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Targeted Return Bond	46600B755	IRBRX			.00	1.07000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pictet Targeted Return Bond	46600B748	IRBYX			.25	1.46000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy PineBridge High Yield Fund	46600A310	IPNAX	4.50		.25	1.16000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy PineBridge High Yield Fund	46600A294	IPNIX			.00	1.01000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy PineBridge High Yield Fund	46600A286	IPNNX			.00	0.83000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares Interest Rate Hed	46600A518	IAIRX	2.50		.25	1.25000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares Interest Rate Hed	46600A476	IIIRX			.00	1.15000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares Interest Rate Hed	46600A450	IIRRX			.50	1.72000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares MSCI ACWI Inde	46600A377	IMWAX	2.50		.25	1.37000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares MSCI ACWI Inde	46600A344	IMWIX			.00	1.19000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares Russell 2000 Divi	46600A575	IRUAX	2.50		.25	1.08000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares Russell 2000 Divi	46600A542	IRUIX			.00	0.84000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares Russell 2000 Divi	46600A534	IRUNX			.00	0.66000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Bond In	46600A443	IAPRX	2.50		.25	0.69000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Bond In	46600A419	IPRIX			.00	0.56000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Bond In	46600A385	IPRRX			.50	1.14000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Dividend	46600A641	IDAAX	2.50		.25	0.84000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Dividend	46600A617	IDAIX			.00	0.64000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Dividend	46600A591	IDANX			.00	0.50000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
Delaware Funds by Mac	Delaware Ivy ProShares S&P 500 Dividend	46600A583	IDARX			.50	1.19000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	465898880	ICDAX	5.75		.25	1.76000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	465898799	ICDBX		5.00	1.00	4.24000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	465898781	ICDCX		1.00	1.00	2.52000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	465898112	ICVIX				1.33000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	465899474	IYCUX			.50	1.90000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	46600A500	ICNGX				1.15000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Pzena International Value Fu	465897148	ICDYX			.25	1.57000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	466000718	WSTAX	5.75		.25	1.14000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	466000692	WSTBX		5.00	1.00	2.03000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	466000684	WSTCX		1.00	1.00	1.92000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	466001807	ISTIX				0.96000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	466000437	WSTRX			.50	1.55000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	46600A740	ISTNX				0.81000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Science and Technology Fur	466000676	WSTYX			.25	1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	465898344	IBOAX	4.50		.25	0.97000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	465898336	IBOBX		5.00	1.00	1.92000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	465898328	IBOCX		1.00	1.00	1.74000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	465897775	IVBIX				0.72000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	465899524	IYBDX			.50	1.30000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	46600A302	IBNDX				0.57000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Core Bond Fund Cl	465898575	IBOYX			.25	0.97000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	465898476	IRSAX	5.75		.25	1.55000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	465898468	IRSBX		5.00	1.00	2.59000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	465898450	IRSCX		1.00	1.00	2.43000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	465899839	IREIX				1.18000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	465898294	IRSRX			.50	1.77000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	46600A757	IRSEX				1.04000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Securian Real Estate Securit	465898443	IRSYX			.25	1.40000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	465898435	IYSAX	3.50		.25	1.41000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	465898427	IYSBX		5.00	1.00	2.42000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	465898419	IYSCX		1.00	1.00	2.12000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	465899813	IVVIX				1.09000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	465899540	IYSMX			.50	1.67000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	46600A724	ISPVX				0.95000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Core Fund Class	465898393	IYSYX			.25	1.34000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Clas	466000502	WSGAX	5.75		.25	1.27000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Class	466000601	WSGBX		5.00	1.00	2.51000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Class	466000700	WRGCX			1.00	2.05000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Class	466001872	IYSIX				1.04000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Class	466000445	WSGRX			.50	1.63000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Class	46600A732	IRGFX				0.88000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Small Cap Growth Fund Class	466000809	WSCYX			.25	1.27000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Value Fund Class A	465898385	IYVAX	5.75		.25	1.20000		BUY,SELL
Delaware Funds by Mac	Delaware Ivy Value Fund Class B	465898377	IYVBX		5.00	1.00	2.46000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Value Fund Class C	465898369	IYVCX		1.00	1.00	1.99000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Value Fund Class I	465899789	IYAIX				0.92000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Value Fund Class R	465899532	IYVLX			.50	1.57000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Value Fund Class R6	46600A716	IVALX				0.76000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Value Fund Class Y	465898351	IYVYX			.25	1.18000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Wilshire Global Allocation Cl	46600G788	IWGAX	5.75		.25	1.17000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Wilshire Global Allocation Cl	46600G770	IWGBX		5.00	1.00	2.29000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Wilshire Global Allocation Cl	46600G762	IWGCX		1.00	1.00	1.98000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Wilshire Global Allocation Cl	46600G747	IWGIX			.00	0.87000		SELL ONLY
Delaware Funds by Mac	Delaware Ivy Wilshire Global Allocation Cl	46600G739	IWGNX			.00	0.85000		SELL ONLY
Delaware Funds by Mac	Delaware Limited Duration Bond Fund Cla	24611D458	FLDKX	2.75		.25	1.06000		SELL ONLY
Delaware Funds by Mac	Delaware Limited Duration Bond Fund Cla	24611D433	FLDMX				0.59000		SELL ONLY
Delaware Funds by Mac	Delaware Limited Duration Bond Fund Inst	24611D441	FLDLX				0.82000		SELL ONLY
Delaware Funds by Mac	Delaware Limited-Term Diversified Income	245912308	DTRIX	2.75		.25	0.95000		SELL ONLY
Delaware Funds by Mac	Delaware Limited-Term Diversified Income	245912704	DTICX		1.00	1.00	1.70000		SELL ONLY
Delaware Funds by Mac	Delaware Limited-Term Diversified Income	245912803	DLTRX			.50	1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Limited-Term Diversified Income	245912886	DLTZX				0.63000		SELL ONLY
Delaware Funds by Mac	Delaware Limited-Term Diversified Income	245912506	DTINX				0.70000		SELL ONLY
Delaware Funds by Mac	Delaware Mid Cap Value Fund Class A	246093868	DLMAX	5.75		.25	1.45000		SELL ONLY
Delaware Funds by Mac	Delaware Mid Cap Value Fund Class C	246093850	DLMCX		1.00	1.00	2.20000		SELL ONLY
Delaware Funds by Mac	Delaware Mid Cap Value Fund Class R	246093843	DLMRX			.50	1.70000		SELL ONLY
Delaware Funds by Mac	Delaware Mid Cap Value Fund Institutional	246093835	DLMIX				1.20000		SELL ONLY
Delaware Funds by Mac	Delaware Minnesota High-Yield Municipal	928928282	DVMMX		1.00	1.00	1.72000		SELL ONLY
Delaware Funds by Mac	Delaware Minnesota High-Yield Municipal	928928175	DMHIX				0.72000		SELL ONLY
Delaware Funds by Mac	Delaware National High Yield Municipal Bd	928928241	CXHYX	4.50		.25	0.91000		SELL ONLY
Delaware Funds by Mac	Delaware National High Yield Municipal Bd	928928225	DVHCX		1.00	1.00	1.66000		SELL ONLY
Delaware Funds by Mac	Delaware National High Yield Municipal Bd	24610H302	DVHIX				0.66000		SELL ONLY
Delaware Funds by Mac	Delaware Opportunity Fund Class A	24611D771	FIUSX	5.75		.25	1.24000		SELL ONLY
Delaware Funds by Mac	Delaware Opportunity Fund Class R6	24611D755	FIVVX				0.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Opportunity Fund Institutional Cl	24611D763	FIVUX				1.04000		SELL ONLY
Delaware Funds by Mac	Delaware Premium Income Fund Class A	24611D748	FPIKX			.25	1.44000		SELL ONLY
Delaware Funds by Mac	Delaware Premium Income Fund Class R6	24611D722	FPIMX				1.13000		SELL ONLY
Delaware Funds by Mac	Delaware Premium Income Fund Institutional	24611D730	FPILX				1.19000		SELL ONLY
Delaware Funds by Mac	Delaware Select Growth Fund Class A	928931104	DVEAX	5.75		.25	1.24000		BUY,SELL
Delaware Funds by Mac	Delaware Select Growth Fund Class C	928931203	DVECX		1.00	1.00	1.99000		SELL ONLY
Delaware Funds by Mac	Delaware Select Growth Fund Class R	928931740	DFSRX			.50	1.49000		SELL ONLY
Delaware Funds by Mac	Delaware Select Growth Fund Institutional	928931757	VAGGX				0.99000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Core Fund Class A	24610B883	DCCAX	5.75		.25	1.10000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Core Fund Class C	24610B867	DCCCX		1.00	1.00	1.85000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Core Fund Class R	24610B834	DCCRX			.50	1.35000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Core Fund Class R6	24610B826	DCZRX				0.71000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Core Fund Institutional	24610B859	DCCIX				0.85000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Growth Fund Class A	24610A604	DSGDY	5.75		.25	1.31000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Growth Fund Class C	24610A703	DSGEX		1.00	1.00	2.06000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Growth Fund Class F	24610A885	DSGFX			.50	1.56000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Growth Fund Institutional	24610A802	DSGGX				1.06000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Value Fund Class A	246097109	DEVLX	5.75		.25	1.14000		BUY,SELL
Delaware Funds by Mac	Delaware Small Cap Value Fund Class C	246097406	DEVGX		1.00	1.00	1.89000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Value Fund Class R	246097505	DVLRX			.50	1.39000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Value Fund Class R6	24610B818	DVZRX				0.72000		SELL ONLY
Delaware Funds by Mac	Delaware Small Cap Value Fund Institutional	246097208	DEVIX				0.89000		SELL ONLY
Delaware Funds by Mac	Delaware Smid Cap Growth Fund Class A	245906102	DFCIX	5.75		.25	1.05000		SELL ONLY
Delaware Funds by Mac	Delaware Smid Cap Growth Fund Class C	245906409	DEEVX		1.00	1.00	1.80000		SELL ONLY
Delaware Funds by Mac	Delaware Smid Cap Growth Fund Class R	245906508	DFRIX			.50	1.30000		SELL ONLY
Delaware Funds by Mac	Delaware Smid Cap Growth Fund Class R6	24610A505	DFZRX				0.73000		SELL ONLY
Delaware Funds by Mac	Delaware Smid Cap Growth Fund Institutional	245906201	DFDIX				0.80000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Allocation Class A	245918503	DFBAX	5.75		.24	1.18000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Allocation Class C	245918701	DFBCX		1.00	1.00	1.94000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Allocation Class R	245918834	DFBRX			.50	1.44000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Allocation Institutional	245918800	DFFIX				0.94000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Income Fund Class A	246094205	DEGGX	4.50		.25	1.52000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Income Fund Class C	246094700	DUGCX		1.00	1.00	2.27000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Income Fund Class R	246094809	DUGRX			.50	1.77000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Income Fund Institutional	246094502	DUGIX				1.27000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Income II Fund Class A	24611D425	FSIFX	4.50		.25	1.14000		SELL ONLY
Delaware Funds by Mac	Delaware Strategic Income II Fund Institutional	24611D417	FSIHX				0.89000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Delaware Funds by Mac	Delaware Tax Free Minnesota Fund Institu	928918705	DMNIX				0.68000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Arizona Fund Class C	928916501	DVACX		1.00	1.00	1.76000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Arizona Fund Institutio	928916873	DAZIX				0.76000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free California Fund Class	928928829	DVTAX	4.50		.25	1.03000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free California Fund Class	928928795	DVFTX		1.00	1.00	1.78000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free California Fund Institut	928928167	DCTIX				0.78000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Colorado Fund Class C	92907R101	DVCTX		1.00	1.00	1.71000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Colorado Fund Institut	92907R200	DCOIX				0.71000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Idaho Fund Class C	928928803	DVICX		1.00	1.00	1.77000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Idaho Fund Institutional	928928159	DTIDX				0.77000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Minnesota Fund Class	928918408	DMOCX		1.00	1.00	1.68000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Minnesota Intermediat	928930205	DVSCX		1.00	1.00	1.77000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Minnesota Intermediat	92910U109	DMIIX				0.77000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free New Jersey Fund Clas	245912779	FINJX	4.50		.25	1.25000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free New Jersey Fund Insti	245912761	FINLX				1.00000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free New York Fund Class	928928274	FTNYX	4.50		.25	1.05000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free New York Fund Class	928928258	DVFNX		1.00	1.00	1.80000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free New York Fund Institut	928928142	DTNIX				0.80000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Oregon Fund Class A	245912712	FTORX	4.50		.25	1.19000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Oregon Fund Institutio	245912696	FTOTX				0.94000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Pennsylvania Fund Cla	233216308	DPTCX		1.00	1.00	1.68000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free Pennsylvania Fund Ins	24609H701	DTPIX				0.68000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free USA Fund Class C	245909700	DUSCX		1.00	1.00	1.70000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free USA Fund Institutional	24610H104	DTFIX				0.70000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free USA Intermediate Fun	245909304	DMUSX	2.75		.25	0.89000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free USA Intermediate Fun	245909882	DUICX		1.00	1.00	1.64000		SELL ONLY
Delaware Funds by Mac	Delaware Tax-Free USA Intermediate Fun	24610H203	DUSIX				0.64000		SELL ONLY
Delaware Funds by Mac	Delaware Total Return Fund Class A	24611D649	FITRX	5.75		.25	1.17000		SELL ONLY
Delaware Funds by Mac	Delaware Total Return Fund Class R6	24611D623	FITVX				0.89000		SELL ONLY
Delaware Funds by Mac	Delaware Total Return Fund Institutional C	24611D631	FITUX				0.90000		SELL ONLY
Delaware Funds by Mac	Delaware Value? Fund Class A	24610C881	DDVAX	5.75		.25	0.93000		SELL ONLY
Delaware Funds by Mac	Delaware Value? Fund Class C	24610C865	DDVCX		1.00	1.00	1.68000		SELL ONLY
Delaware Funds by Mac	Delaware Value? Fund Class R	245907860	DDVRX			.50	1.18000		SELL ONLY
Delaware Funds by Mac	Delaware Value? Fund Class R6	24610C840	DDZRX				0.58000		SELL ONLY
Delaware Funds by Mac	Delaware Value? Fund Institutional Class	24610C857	DDVIX				0.68000		SELL ONLY
Delaware Funds by Mac	Delaware Wealth Builder Fund Class A	24610B107	DDIAX	5.75		.25	1.12000		SELL ONLY
Delaware Funds by Mac	Delaware Wealth Builder Fund Class C	24610B305	DDICX		1.00	1.00	1.87000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Delaware Funds by Mac	Delaware Wealth Builder Fund Class R	24610B842	DDDRX			.50	1.37000		SELL ONLY
Delaware Funds by Mac	Delaware Wealth Builder Fund Institutional	24610B404	DDIIX				0.87000		SELL ONLY
Delaware Funds by Mac	Jackson Square Large-Cap Growth Fund I	56167N837	JSPIX				0.94000		SELL ONLY
Delaware Funds by Mac	Jackson Square Large-Cap Growth Fund I	56167N845	JSPJX			.25	1.19000		SELL ONLY
Delaware Funds by Mac	Jackson Square Large-Cap Growth Fund I	56167N829	DPLGX				0.84000		SELL ONLY
Diamond Hill Funds	Diamond Hill All Cap Select Fund Class I	25264S759	DHLTX				0.87000		SELL ONLY
Diamond Hill Funds	Diamond Hill All Cap Select Fund Investor	25264S775	DHTAX			.25	1.16000		SELL ONLY
Diamond Hill Funds	Diamond Hill Core Bond Fund Class I	25264S510	DHRIX				0.47000		SELL ONLY
Diamond Hill Funds	Diamond Hill Core Bond Fund Investor Share	25264S528	DHRAX			.25	0.76000		SELL ONLY
Diamond Hill Funds	Diamond Hill Global Fund Class I	25264S478	DHGIX				0.84000		SELL ONLY
Diamond Hill Funds	Diamond Hill Global Fund Investor Share	25264S486	DHGBX			.25	1.13000		SELL ONLY
Diamond Hill Funds	Diamond Hill International Fund Class I	25264S445	DHIX				0.85000		SELL ONLY
Diamond Hill Funds	Diamond Hill International Fund Investor S	25264S452	DHIAX			.25	1.14000		SELL ONLY
Diamond Hill Funds	Diamond Hill Large Cap Fund Class I	25264S841	DHLRX				0.67000		SELL ONLY
Diamond Hill Funds	Diamond Hill Large Cap Fund Investor Share	25264S502	DHLAX			.25	0.96000		SELL ONLY
Diamond Hill Funds	Diamond Hill Long Short Fund Class I	25264S833	DHLSX				1.55000		SELL ONLY
Diamond Hill Funds	Diamond Hill Long Short Fund Investor Sh	25264S403	DIAMX			.25	1.84000		SELL ONLY
Diamond Hill Funds	Diamond Hill Mid Cap Fund Class I	25264S619	DHPIX				0.78000		SELL ONLY
Diamond Hill Funds	Diamond Hill Mid Cap Fund Investor Share	25264S635	DHPAX			.25	1.07000		SELL ONLY
Diamond Hill Funds	Diamond Hill Short Duration Securitized B	25264S544	DHEIX				0.53000		SELL ONLY
Diamond Hill Funds	Diamond Hill Short Duration Securitized B	25264S551	DHEAX			.25	0.82000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Cap Fund Class I	25264S858	DHSIX				0.97000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Cap Fund Investor Sha	25264S304	DHSCX			.25	1.26000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Mid Cap Fund Class I	25264S783	DHMIX				0.93000		SELL ONLY
Diamond Hill Funds	Diamond Hill Small Mid Cap Fund Investor	25264S817	DHMAX			.25	1.22000		SELL ONLY
Dimensional Fund Advis	DFA Asia Pacific Small Company Portfolio	233203850	DFRSX				0.57000		SELL ONLY
Dimensional Fund Advis	DFA California Intermediate-Term Municip	23320G430	DCIBX				0.23000		SELL ONLY
Dimensional Fund Advis	DFA California Municipal Real Return Port	25239Y394	DCARX				0.28000		SELL ONLY
Dimensional Fund Advis	DFA California Short Term Municipal Bond	233203330	DFCMX				0.22000		SELL ONLY
Dimensional Fund Advis	DFA Commodity Strategy Portfolio Instituti	23320G463	DCMSX				0.32000		SELL ONLY
Dimensional Fund Advis	DFA Continental Small Company Portfolio	233203702	DFCSX				0.56000		SELL ONLY
Dimensional Fund Advis	DFA Diversified Fixed Income PortfolioInst	23320G166	DFXIX				0.25000		SELL ONLY
Dimensional Fund Advis	DFA Emerging Markets Core Equity Portfo	233203421	DFCEX				0.39000		SELL ONLY
Dimensional Fund Advis	DFA Emerging Markets Portfolio Institution	233203785	DFEMX				0.45000		SELL ONLY
Dimensional Fund Advis	DFA Emerging Markets Small Cap Portfoli	233203611	DEMSX				0.80000		SELL ONLY
Dimensional Fund Advis	DFA Emerging Markets Social Core Portfo	233203363	DFESX				0.45000		SELL ONLY
Dimensional Fund Advis	DFA Emerging Markets Sustainability Core	25239Y378	DESIX				0.49000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1	Gross	Redemption	Buy/Sell Eligible
				Load	CDSC		Expense Ratio		
Dimensional Fund Advis	DFA Emerging Markets Targeted Value Pd	23320G117	DEMGX				0.73000		SELL ONLY
Dimensional Fund Advis	DFA Emerging Markets Value Portfolio Ins	233203587	DFEVX				0.56000		SELL ONLY
Dimensional Fund Advis	DFA Enhanced U.S. Large Company Portf	233203637	DFELX				0.19000		SELL ONLY
Dimensional Fund Advis	DFA Five-Year Global Fixed Income Portf	233203884	DFGBX				0.26000		SELL ONLY
Dimensional Fund Advis	DFA Global Allocation 25/75 Portfolio Insti	25434D633	DGTSX				0.40000		SELL ONLY
Dimensional Fund Advis	DFA Global Allocation 60/40 Portfolio Insti	25434D658	DGSIX				0.42000		SELL ONLY
Dimensional Fund Advis	DFA Global Core Plus Fixed Income Portf	25239Y386	DGCFX				0.29000		SELL ONLY
Dimensional Fund Advis	DFA Global Core Plus Real Return Portfol	25239Y295	DFAAX				0.31000		SELL ONLY
Dimensional Fund Advis	DFA Global Equity Portfolio Institutional Cl	25434D674	DGEIX				0.43000		SELL ONLY
Dimensional Fund Advis	DFA Global Real Estate Securities Portfoli	23320G554	DFGEX				0.34000		SELL ONLY
Dimensional Fund Advis	DFA Global Small Company Portfolio Insti	23320G158	DGLIX				0.82000		SELL ONLY
Dimensional Fund Advis	DFA Global Sustainability Fixed Income Pd	25239Y360	DGSFX				0.29000		SELL ONLY
Dimensional Fund Advis	DFA Inflation-Protected Securities Portfoli	233203355	DIPSX				0.11000		SELL ONLY
Dimensional Fund Advis	DFA Intermediate Government Fixed Inco	233203876	DFIGX				0.12000		SELL ONLY
Dimensional Fund Advis	DFA Intermediate-Term Extended Quality	23320G513	DFTEX				0.23000		SELL ONLY
Dimensional Fund Advis	DFA Intermediate-Term Municipal Bond Pd	23320G315	DFTIX				0.22000		SELL ONLY
Dimensional Fund Advis	DFA International Core Equity Portfolio Ins	233203371	DFIEX				0.24000		SELL ONLY
Dimensional Fund Advis	DFA International High Relative Profitabilit	23320G141	DIHRX				0.31000		SELL ONLY
Dimensional Fund Advis	DFA International Large Cap Growth Portf	23320G265	DILRX				0.29000		SELL ONLY
Dimensional Fund Advis	DFA International Real Estate Securities P	233203348	DFITX				0.27000		SELL ONLY
Dimensional Fund Advis	DFA International Small Cap Growth Portf	23320G257	DISMX				0.58000		SELL ONLY
Dimensional Fund Advis	DFA International Small Cap Value Portfol	233203736	DISVX				0.49000		SELL ONLY
Dimensional Fund Advis	DFA International Small Company Portfoli	233203629	DFISX				0.44000		SELL ONLY
Dimensional Fund Advis	DFA International Social Core Equity Portf	25239Y626	DSCLX				0.28000		SELL ONLY
Dimensional Fund Advis	DFA International Sustainability Core 1 Po	233203199	DFSPX				0.26000		SELL ONLY
Dimensional Fund Advis	DFA International Value Portfolio III	25434D708	DFVIX				0.46000		SELL ONLY
Dimensional Fund Advis	DFA International Value Portfolio Institution	25434D203	DFIVX				0.54000		SELL ONLY
Dimensional Fund Advis	DFA International Vector Equity Portfolio Ir	23320G539	DFVQX				0.40000		SELL ONLY
Dimensional Fund Advis	DFA Investment Grade Portfolio Institution	23320G448	DFAPX				0.22000		SELL ONLY
Dimensional Fund Advis	DFA Japanese Small Company Portfolio Ir	233203108	DFJSX				0.56000		SELL ONLY
Dimensional Fund Advis	DFA Large Cap International Portfolio Insti	233203868	DFALX				0.18000		SELL ONLY
Dimensional Fund Advis	DFA LTIP Portfolio Institutional Class	25239Y873	DRXIX				0.14000		SELL ONLY
Dimensional Fund Advis	DFA MN Municipal Bond Portfolio Institutio	25239Y410	DMNBX				0.37000		SELL ONLY
Dimensional Fund Advis	DFA Municipal Bond Portfolio Institutional	23320G232	DFMPX				0.23000		SELL ONLY
Dimensional Fund Advis	DFA Municipal Real Return Portfolio Institu	25239Y550	DMREX				0.25000		SELL ONLY
Dimensional Fund Advis	DFA NY Municipal Bond Portfolio Institutio	23320G224	DNYMX				0.28000		SELL ONLY
Dimensional Fund Advis	DFA One-Year Fixed Income Portfolio Inst	233203603	DFIHX				0.17000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Dimensional Fund Advis	DFA Real Estate Securities Portfolio Institu	233203835	DFREX				0.20000		SELL ONLY
Dimensional Fund Advis	DFA Selective State Municipal Bond Portf	25239Y345	DSSMX				0.30000		SELL ONLY
Dimensional Fund Advis	DFA Selectively Hedged Global Equity Por	23320G331	DSHGX				0.52000		SELL ONLY
Dimensional Fund Advis	DFA Selectively Hedged Global Fixed Inco	233203223	DFSHX				0.17000		SELL ONLY
Dimensional Fund Advis	DFA Short Term Municipal Bond Portfolio	233203439	DFSMX				0.21000		SELL ONLY
Dimensional Fund Advis	DFA Short-Duration Real Return Portfolio	25239Y576	DFAIX				0.22000		SELL ONLY
Dimensional Fund Advis	DFA Short-Term Extended Quality Portfoli	23320G521	DFEQX				0.23000		SELL ONLY
Dimensional Fund Advis	DFA Short-Term Government Portfolio Ins	233203405	DFFGX				0.20000		SELL ONLY
Dimensional Fund Advis	DFA Social Fixed Income Portfolio Institi	25239Y428	DSFIX				0.25000		SELL ONLY
Dimensional Fund Advis	DFA Targeted Credit Portfolio Institutional	23320G216	DTCPX				0.23000		SELL ONLY
Dimensional Fund Advis	DFA Tax-Managed U.S. Marketwide Value	233203561	DTMMX				0.57000		SELL ONLY
Dimensional Fund Advis	DFA Tax-Managed U.S. Marketwide Value	25434D831	DFMVX				0.44000		SELL ONLY
Dimensional Fund Advis	DFA Two Year Global Fixed Income Portf	233203645	DFGFX				0.17000		SELL ONLY
Dimensional Fund Advis	DFA Two-Year Fixed Income Portfolio Inst	25434D872	DFCFX				0.25000		SELL ONLY
Dimensional Fund Advis	DFA Two-Year Government Portfolio Instit	25434D864	DFYGX				0.22000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Core Equity 1 Portfolio Institutio	233203413	DFEOX				0.15000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Core Equity II Portfolio Institutio	233203397	DFQTX				0.19000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Large Cap Equity Portfolio Instit	23320G240	DUSQX				0.13000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Large Cap Growth Portfolio Insti	23320G281	DUSLX				0.19000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Large Cap Value III Portfolio	25434D807	DFUVX				0.24000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Large Cap Value Portfolio Instit	233203827	DFLVX				0.32000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Large Company Portfolio	25434D823	DFUSX				0.09000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Micro Cap Portfolio Institutional	233203504	DFSCX				0.44000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Small Cap Growth Portfolio Insti	23320G273	DSCGX				0.38000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Small Cap Portfolio Institutional	233203843	DFSTX				0.33000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Small Cap Value Portfolio Instit	233203819	DFSVX				0.39000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Social Core Equity 2 Portfolio	233203298	DFUEX				0.23000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Sustainability Core 1 Portfolio	233203215	DFSIX				0.19000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Sustainability Targeted Value Po	25239Y311	DAABX				0.42000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Targeted Value Portfolio Institi	233203595	DFVFX				0.33000		SELL ONLY
Dimensional Fund Advis	DFA U.S. Vector Equity Portfolio Institutio	233203389	DFVEX				0.28000		SELL ONLY
Dimensional Fund Advis	DFA United Kingdom Small Company Port	233203207	DFUKX				0.77000		SELL ONLY
Dimensional Fund Advis	DFA US High Relative Profitability Portfoli	23320G133	DURPX				0.24000		SELL ONLY
Dimensional Fund Advis	DFA World Core Equity Portfolio Institutio	25239Y105	DREIX				0.45000		SELL ONLY
Dimensional Fund Advis	DFA World ex U.S. Core Equity Portfolio Ir	25239Y592	DFWIX				0.31000		SELL ONLY
Dimensional Fund Advis	DFA World ex U.S. Government Fixed Inc	23320G323	DWFIX				0.21000		SELL ONLY
Dimensional Fund Advis	DFA World ex U.S. Targeted Value Portfol	25239Y618	DWUSX				0.55000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio	Fee	
Dimensional Fund Advis	DFA World ex U.S. Value Port Institution C	23320G471	DFWVX				0.62000		SELL ONLY
Dimensional Fund Advis	Dimensional 2010 Target Date Retirement	23320G174	DRIBX				0.44000		SELL ONLY
Dimensional Fund Advis	Dimensional 2015 Target Date Retirement	25239Y535	DRIQX				0.28000		SELL ONLY
Dimensional Fund Advis	Dimensional 2020 Target Date Retirement	25239Y527	DRIRX				0.21000		SELL ONLY
Dimensional Fund Advis	Dimensional 2025 Target Date Retirement	25239Y519	DRIUX				0.19000		SELL ONLY
Dimensional Fund Advis	Dimensional 2030 Target Date Retirement	25239Y493	DRIWX				0.22000		SELL ONLY
Dimensional Fund Advis	Dimensional 2035 Target Date Retirement	25239Y485	DRIGX				0.24000		SELL ONLY
Dimensional Fund Advis	Dimensional 2040 Target Date Retirement	25239Y477	DRIHX				0.26000		SELL ONLY
Dimensional Fund Advis	Dimensional 2045 Target Date Retirement	25239Y469	DRIIX				0.26000		SELL ONLY
Dimensional Fund Advis	Dimensional 2050 Target Date Retirement	25239Y451	DRIJX				0.29000		SELL ONLY
Dimensional Fund Advis	Dimensional 2055 Target Date Retirement	25239Y444	DRIKX				0.37000		SELL ONLY
Dimensional Fund Advis	Dimensional 2060 Target Date Retirement	25239Y436	DRILX				0.42000		SELL ONLY
Dimensional Fund Advis	Dimensional Retirement Income Fund Inst	23320G190	TDIFX				0.44000		SELL ONLY
Dodge & Cox	Dodge & Cox Balanced Fund	256201104	DODBX				0.53000		SELL ONLY
Dodge & Cox	Dodge & Cox Emerging Markets Stock Fu	256206400	DODEX				1.36000		SELL ONLY
Dodge & Cox	Dodge & Cox Global Bond Fund	256206301	DODLX				0.69000		SELL ONLY
Dodge & Cox	Dodge & Cox Global Stock Fund	256206202	DODWX				0.62000		SELL ONLY
Dodge & Cox	Dodge & Cox Income Fund	256210105	DODIX				0.42000		SELL ONLY
Dodge & Cox	Dodge & Cox International Stock Fund	256206103	DODFX				0.63000		SELL ONLY
Dodge & Cox	Dodge & Cox Stock Fund	256219106	DODGX				0.52000		SELL ONLY
Domini	Domini Impact Bond Fund Class Y	257132795	DSBYX				0.79000		SELL ONLY
Domini	Domini Impact Bond Fund Institutional Sha	257132829	DSBIX				0.74000		SELL ONLY
Domini	Domini Impact Bond Fund Investor Shares	257132209	DSBFX			.25	1.15000		SELL ONLY
Domini	Domini Impact Equity Fund Class Y Share	257132308	DSFRX				0.94000		SELL ONLY
Domini	Domini Impact Equity Fund Institutional Sh	257132852	DIEQX				0.74000		SELL ONLY
Domini	Domini Impact Equity Fund Investor Share	257132100	DSEFX			.25	1.09000		SELL ONLY
Domini	Domini Impact International Equity Fund C	257132787	DOMYX				1.06000		SELL ONLY
Domini	Domini Impact International Equity Fund In	257132811	DOMOX				0.95000		SELL ONLY
Domini	Domini Impact International Equity Fund In	257132704	DOMIX			.25	1.38000		SELL ONLY
Domini	Domini International Opportunities Fund In	257132746	LEADX				0.74000		SELL ONLY
Domini	Domini International Opportunities Fund In	257132753	RISEX			.25	1.09000		SELL ONLY
Domini	Domini Sustainable Solutions Fund Institut	257132779	LIFEX				2.89000		SELL ONLY
Domini	Domini Sustainable Solutions Fund Investo	257132761	CAREX			.25	3.95000		SELL ONLY
Dreyfus	Dreyfus Bny Mellon Fds Inc Tobam Emerg	26188X783	DABPX		1.00	0.75	3.0600	2.00	SELL ONLY
Dreyfus	Dreyfus Life & Annuity Index Fd Inc	262014103					0.2700		SELL ONLY
Dreyfus	Dreyfus Opportunistic Emerging Market De	261949812	DOECX		1.00	0.75	3.7400	2.00	SELL ONLY
Dreyfus	Dreyfus Opportunistic Emerging Market De	261949788	DOEYX				1.9200	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio	Fee	
Dreyfus	Dreyfus TOBAM Emerging Markets Fund (26188X767	DABNX				1.8400	2.00	SELL ONLY
Dreyfus	Dreyfus Ultra Short Income Cl Y	261919302	DSIDX				0.4700		SELL ONLY
DWS	DDWS ESG International Core Equity Fun	25156A676	DURCX		1.00	1.00	3.19000		SELL ONLY
DWS	DWS California Tax-Free Income Fund - C	25158X302	KCTCX		1.00	1.00	1.64000		SELL ONLY
DWS	DWS California Tax-Free Income Fund - C	25158X401	SDCSX				0.73000		SELL ONLY
DWS	DWS California Tax-Free Income Fund Ins	25158X815	DCLIX				0.67000		SELL ONLY
DWS	DWS Capital Growth Fund - Class A	25157M109	SDGAX	5.75		.23	0.92000		SELL ONLY
DWS	DWS Capital Growth Fund - Class C	25157M307	SDGCX		1.00	.99	1.75000		SELL ONLY
DWS	DWS Capital Growth Fund - Class Instituti	25157M760	SDGTX				0.70000		SELL ONLY
DWS	DWS Capital Growth Fund - Class S	25157M406	SCGSX				0.67000		SELL ONLY
DWS	DWS Communications Fund - Class A	25159L729	TISHX	5.75		.22	1.66000		SELL ONLY
DWS	DWS Communications Fund - Class C	25159L695	FTICX		1.00	.99	2.43000		SELL ONLY
DWS	DWS Communications Fund - Class Inst	25159L687	FLICX				1.41000		SELL ONLY
DWS	DWS Core Equity Fund - Class A	25157M729	SUWAX	5.75		.23	0.84000		SELL ONLY
DWS	DWS Core Equity Fund - Class C	25157M661	SUWCX		1.00	1.00	1.61000		SELL ONLY
DWS	DWS Core Equity Fund - Class Inst	25157M687	SUWIX				0.57000		SELL ONLY
DWS	DWS Core Equity Fund - Class S	25157M679	SCDGX				0.57000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class	25159G811	KDHAX	5.75		.24	1.02000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class	25159G746	KDHCX		1.00	.99	1.80000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class	25159G779	KDHIX				0.77000		SELL ONLY
DWS	DWS CROCI Equity Dividend Fund - Class	25159G761	KDHSX				0.84000		SELL ONLY
DWS	DWS CROCI International Fund - Class A	25156G673	SUIAX	5.75		.24	1.19000		SELL ONLY
DWS	DWS CROCI International Fund - Class C	25156G699	SUICX		1.00	.99	1.91000		SELL ONLY
DWS	DWS CROCI International Fund - Class In	25156G731	SUIIX				0.85000		SELL ONLY
DWS	DWS CROCI International Fund - Class S	25156G715	SCINX				0.91000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class A	25157M588	DCUAX	5.75		.24	1.01000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class C	25157M570	DCUCX		1.00	1.00	1.81000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class Inst	25157M554	DCUIX				0.71000		SELL ONLY
DWS	DWS CROCI U.S. Fund - Class S	25157M547	DCUSX				0.67000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Cla	25156G103	SEKAX	5.75		.24	1.35000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Cla	25156G301	SEKCX		1.00	1.00	2.06000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Cla	25156G780	SEKIX				1.04000		SELL ONLY
DWS	DWS Emerging Markets Equity Fund - Cla	25156G400	SEMGX				1.13000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fur	25156A502	SZEAX	4.50		.25	1.43000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fur	25156A783	SZECX		1.00	1.00	2.21000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fur	25156A841	SZEIX				0.99000		SELL ONLY
DWS	DWS Emerging Markets Fixed Income Fur	25156A726	SCEMX				1.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
DWS	DWS Enhanced Commodity Strategy Fund	25159L877	SKNRX	5.75		.25	1.41000		SELL ONLY
DWS	DWS Enhanced Commodity Strategy Fund	25159L851	SKCRX		1.00	1.00	2.10000		SELL ONLY
DWS	DWS Enhanced Commodity Strategy Fund	25159L836	SKIRX				1.09000		SELL ONLY
DWS	DWS Enhanced Commodity Strategy Fund	25159L844	SKSRX				1.15000		SELL ONLY
DWS	DWS Equity 500 Index Fund - Class Inst	25159R106	BTIIX				0.29000		SELL ONLY
DWS	DWS Equity 500 Index Fund - Class S	25159R205	BTIEX				0.36000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund - Class	25158W783	SUPAX	5.75		.24	1.06000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund - Class	25158W817	SUPCX		1.00	1.00	1.89000		SELL ONLY
DWS	DWS Equity Sector Strategy Fund - Class	25158W825	SPGRX				0.83000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class A	25159G852	MIDVX	5.75		.25	0.99000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class C	25159G878	MIDZX		1.00	1.00	1.74000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class Instit	25159G704	MIDIX				0.68000		SELL ONLY
DWS	DWS ESG Core Equity Fund - Class S	25159G886	MIDTX				0.74000		SELL ONLY
DWS	DWS ESG Global Bond Fund - Class A	25156A734	SZGAX	4.50		.24	1.20000		SELL ONLY
DWS	DWS ESG Global Bond Fund - Class C	25156A759	SZGCX		1.00	1.00	2.03000		SELL ONLY
DWS	DWS ESG Global Bond Fund - Class S	25156A767	SSTGX				0.94000		SELL ONLY
DWS	DWS ESG International Core Equity Fund	25156A684	DURAX	5.75		.23	2.47000		SELL ONLY
DWS	DWS ESG International Core Equity Fund	25156A668	DURIX				2.16000		SELL ONLY
DWS	DWS ESG International Core Equity Fund	25156A650	DURSX				2.23000		SELL ONLY
DWS	DWS Floating Rate Fund - Class A	25157W602	DFRAX	2.75		.25	1.27000		SELL ONLY
DWS	DWS Floating Rate Fund - Class C	25157W701	DFRCX		1.00	1.00	2.03000		SELL ONLY
DWS	DWS Floating Rate Fund - Class Inst	25157W800	DFRTX				0.97000		SELL ONLY
DWS	DWS Floating Rate Fund - Class S	25157W883	DFRPX				1.10000		SELL ONLY
DWS	DWS Global High Income Fund - Class A	25155T882	SGHAX	4.50		.24	0.94000		SELL ONLY
DWS	DWS Global High Income Fund - Class C	25155T700	SGHCX		1.00	.99	1.67000		SELL ONLY
DWS	DWS Global High Income Fund - Class Ins	25155T502	MGHYX				0.68000		SELL ONLY
DWS	DWS Global High Income Fund - Class S	25155T601	SGHSX				0.74000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class	25159K820	KTRAX	5.75		.23	0.90000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class	25159K796	KTRCX		1.00	1.00	1.70000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class	25159K770	KTRIX				0.67000		SELL ONLY
DWS	DWS Global Income Builder Fund - Class	25159K788	KTRSX				0.70000		SELL ONLY
DWS	DWS Global Macro Fund - Class A	25156G871	DBISX	5.75		.24	1.33000		SELL ONLY
DWS	DWS Global Macro Fund - Class C	25156G806	DBICX		1.00	1.00	2.04000		SELL ONLY
DWS	DWS Global Macro Fund - Class Inst	25156G509	MGINX				1.01000		SELL ONLY
DWS	DWS Global Macro Fund - Class S	25156G608	DBIVX				1.08000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class A	25156A106	KGDX	5.75		.25	1.49000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class C	25156A304	KGDCX		1.00	1.00	2.29000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
DWS	DWS Global Small Cap Fund - Class Inst	25156A601	KGDIX				1.16000		SELL ONLY
DWS	DWS Global Small Cap Fund - Class S	25156A403	SGSCX				1.16000		SELL ONLY
DWS	DWS GNMA Fund - Class A	25155T718	GGGGX	2.75		.22	0.79000		SELL ONLY
DWS	DWS GNMA Fund - Class C	25155T692	GCGGX		1.00	1.00	1.58000		SELL ONLY
DWS	DWS GNMA Fund - Class Inst	25155T676	GIGGX				0.52000		SELL ONLY
DWS	DWS GNMA Fund - Class S	25155T684	SGINX				0.55000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class A	25159L620	SUHAX	5.75		.24	1.35000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class C	25159L596	SUHCX		1.00	1.00	2.13000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class In	25159L570	SUHIX				1.06000		SELL ONLY
DWS	DWS Health and Wellness Fund - Class S	25159L588	SCHLX				1.07000		SELL ONLY
DWS	DWS High Income Fund - Class A	25155T668	KHYAX	4.50		.23	0.95000		SELL ONLY
DWS	DWS High Income Fund - Class C	25155T643	KHYCX		1.00	1.00	1.74000		SELL ONLY
DWS	DWS High Income Fund - Class Inst	25155T635	KHYIX				0.71000		SELL ONLY
DWS	DWS High Income Fund - Class S	25155T106	KHYSX				0.76000		SELL ONLY
DWS	DWS Intermediate Tax-Free Fund - Class	25159H306	SZMCX		1.00	1.00	1.54000		SELL ONLY
DWS	DWS Intermediate Tax-Free Fund - Class	25159H504	SZMIX				0.55000		SELL ONLY
DWS	DWS Intermediate Tax-Free Fund - Class	25159H405	SCMTX				0.62000		SELL ONLY
DWS	DWS International Growth Fund - Class A	25156A775	SGQAX	5.75		.24	1.22000		BUY,SELL
DWS	DWS International Growth Fund - Class C	25156A817	SGQCX		1.00	1.00	2.01000		SELL ONLY
DWS	DWS International Growth Fund - Class In	25156A700	SGQIX				0.91000		SELL ONLY
DWS	DWS International Growth Fund - Class S	25156A833	SCOBX				0.92000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Cla	25157M695	SGGAX	5.75		.24	1.18000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Cla	25157M737	SGGCX		1.00	.99	1.93000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Cla	25157M752	SGGIX				0.86000		SELL ONLY
DWS	DWS Large Cap Focus Growth Fund - Cla	25157M745	SCQGX				0.91000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class A	25156G756	SLANX	5.75		.23	1.69000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class C	25156G772	SLAPX		1.00	1.00	2.44000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class In	25156G574	SLARX				1.35000		SELL ONLY
DWS	DWS Latin America Equity Fund - Class S	25156G798	SLAFX				1.37000		SELL ONLY
DWS	DWS Managed Municipal Bond Fund - Cla	25158T822	SMLCX		1.00	1.00	1.55000		SELL ONLY
DWS	DWS Managed Municipal Bond Fund - Cla	25158T855	SMLIX				0.55000		SELL ONLY
DWS	DWS Managed Municipal Bond Fund - Cla	25158T848	SCMBX				0.62000		SELL ONLY
DWS	DWS Massachusetts Tax Free Fund - Cla	25158X880	SQMAX	2.75		.25	0.94000		SELL ONLY
DWS	DWS Massachusetts Tax Free Fund - Cla	25158X864	SQMCX		1.00	1.00	1.71000		SELL ONLY
DWS	DWS Massachusetts Tax Free Fund - Cla	25158X856	SCMAX				0.75000		SELL ONLY
DWS	DWS Multi-Asset Conservative Allocation	25158W106	SPDAX	5.75		.24	1.28000		SELL ONLY
DWS	DWS Multi-Asset Conservative Allocation	25158W304	SPDCX		1.00	1.00	2.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
DWS	DWS Multi-Asset Conservative Allocation	25158W403	SPBAX				1.00000		SELL ONLY
DWS	DWS Multi-Asset Moderate Allocation Fun	25158W833	PLUSX	5.75		.25	1.77000		SELL ONLY
DWS	DWS Multi-Asset Moderate Allocation Fun	25158W866	PLSCX		1.00	1.00	2.49000		SELL ONLY
DWS	DWS Multi-Asset Moderate Allocation Fun	25158W874	PPLSX				1.50000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund - C	25158X500	KNTAX	2.75		.23	0.92000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund - C	25158X708	KNTCX		1.00	1.00	1.72000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund - C	25158X807	SNWYX				0.75000		SELL ONLY
DWS	DWS New York Tax-Free Income Fund Ins	25158X799	DNTIX				0.73000		SELL ONLY
DWS	DWS RREEF Global Infrastructure Fund -	25156A874	TOLLX	5.75		.24	1.40000		BUY,SELL
DWS	DWS RREEF Global Infrastructure Fund -	25156A866	TOLCX		1.00	1.00	2.16000		SELL ONLY
DWS	DWS RREEF Global Infrastructure Fund -	25156A858	TOLIX				1.14000		SELL ONLY
DWS	DWS RREEF Global Infrastructure Fund -	25156A809	TOLSX				1.21000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securitie	25159L109	RRGAX	5.75		.24	1.39000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securitie	25159L208	RRGCX		1.00	1.00	2.10000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securitie	25159L406	RRGIX				1.05000		SELL ONLY
DWS	DWS RREEF Global Real Estate Securitie	25159L307	RRGTX				1.17000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class A	25159K879	AAAAX	5.75		.24	1.33000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class C	25159K887	AAAPX		1.00	1.00	2.08000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class In	25159K705	AAAZX				1.03000		SELL ONLY
DWS	DWS RREEF Real Assets Fund - Class S	25159K804	AAASX				1.16000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund	25159L505	RRRAX	5.75		.24	0.99000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund	25159L703	RRRCX		1.00	1.00	1.69000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund	25159L737	RRRRX				0.63000		SELL ONLY
DWS	DWS RREEF Real Estate Securities Fund	25159L885	RRREX				0.77000		SELL ONLY
DWS	DWS S&P 500 Index Fund - Class A	25159R700	SXPAX	4.50		.24	0.55000		BUY,SELL
DWS	DWS S&P 500 Index Fund - Class C	25159R882	SXPCX		1.00	1.00	1.27000		SELL ONLY
DWS	DWS S&P 500 Index Fund - Class S	25159R874	SCPIX				0.31000		SELL ONLY
DWS	DWS Science and Technology Fund - Clas	25159L562	KTCAX	5.75		.22	0.90000		SELL ONLY
DWS	DWS Science and Technology Fund - Clas	25159L547	KTCCX		1.00	.98	1.74000		SELL ONLY
DWS	DWS Science and Technology Fund - Clas	25159L521	KTCIX				0.69000		SELL ONLY
DWS	DWS Science and Technology Fund - Clas	25159L539	KTCSX				0.72000		SELL ONLY
DWS	DWS Short Duration Fund - Class A	25155T627	PPIAX	2.25		.24	0.85000		SELL ONLY
DWS	DWS Short Duration Fund - Class C	25155T593	PPLCX		1.00	1.00	1.60000		SELL ONLY
DWS	DWS Short Duration Fund - Class Inst	25155T577	PPILX				0.61000		SELL ONLY
DWS	DWS Short Duration Fund - Class S	25155T585	DBPIX				0.66000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - C	25158T863	SRMAX			.24	0.82000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - C	25158T889	SRMCX		1.00	.99	1.61000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
DWS	DWS Short Term Municipal Bond Fund - C	25158T830	MGSMX				0.60000		SELL ONLY
DWS	DWS Short Term Municipal Bond Fund - C	25158T806	SRMSX				0.66000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class A	25157M778	SZCAX	5.75		.24	1.27000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class C	25157M794	SZCCX		1.00	1.00	2.05000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class Inst	25157M521	SZCIX				0.96000		SELL ONLY
DWS	DWS Small Cap Core Fund - Class S	25157M810	SSLCX				1.01000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class A	25157M885	SSDAX	5.75		.23	1.25000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class C	25157M703	SSDCX		1.00	.99	2.10000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class Inst	25157M505	SSDIX				0.99000		SELL ONLY
DWS	DWS Small Cap Growth Fund - Class S	25157M604	SSDSX				0.99000		SELL ONLY
DWS	DWS Strategic High Yield Tax-Free Fund	25158T301	NOTCX		1.00	1.00	1.69000		SELL ONLY
DWS	DWS Strategic High Yield Tax-Free Fund	25158T509	NOTIX				0.72000		SELL ONLY
DWS	DWS Strategic High Yield Tax-Free Fund	25158T400	SHYTX				0.78000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class A	25157W107	SZIAX	2.75		.24	0.96000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class C	25157W305	SZICX		1.00	1.00	1.71000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class Inst	25157W503	SZIIX				0.69000		SELL ONLY
DWS	DWS Total Return Bond Fund - Class S	25157W404	SCSBX				0.72000		SELL ONLY