

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
1919 Funds	1919 Financial Services Fund Class A	89832P879	SBFAX	5.75		.25	1.46000		SELL ONLY
1919 Funds	1919 Financial Services Fund Class C	89832P861	SFSLX		1.00	1.00	2.16000		SELL ONLY
1919 Funds	1919 Financial Services Fund Class I	89832P838	LMRIX				1.17000		SELL ONLY
1919 Funds	1919 Maryland Tax-Free Income Fund Cla	89832P754	LMMDX	4.25		.15	1.09000		SELL ONLY
1919 Funds	1919 Maryland Tax-Free Income Fund Cla	89832P747	LMMCX		1.00	.70	1.62000		SELL ONLY
1919 Funds	1919 Maryland Tax-Free Income Fund Cla	89832P721	LMMIX				0.96000		SELL ONLY
1919 Funds	1919 Socially Responsive Balanced Fund	89832P820	SSIAX	5.75		.25	1.16000		BUY,SELL
1919 Funds	1919 Socially Responsive Balanced Fund	89832P796	SESLX		1.00	1.00	1.82000		SELL ONLY
1919 Funds	1919 Socially Responsive Balanced Fund	89832P762	LMRNX				0.83000		SELL ONLY
361 Funds	361 Domestic Long/Short Equity Fund Cla	46141Q535	ADMZX				2.99000		SELL ONLY
361 Funds	361 Domestic Long/Short Equity Fund Cla	46141Q543	ADMWX				2.87000		SELL ONLY
361 Funds	361 Domestic Long/Short Equity Fund Inve	46141Q527	ADMQX			.25	3.27000		SELL ONLY
361 Funds	361 Global Long/Short Equity Fund Class	46141Q873	AGAZX				2.41000		SELL ONLY
361 Funds	361 Global Long/Short Equity Fund Class	46141Q865	AGAWX				2.32000		SELL ONLY
361 Funds	361 Global Long/Short Equity Fund Invest	46141Q881	AGAQX			.25	2.72000		SELL ONLY
AAAMCO	AAAMCO Ultrashort Financing Fund Class	045419850	REPOX			.10	0.49000		SELL ONLY
AAAMCO	AAAMCO Ultrashort Financing Fund Class	045419868	REPYX				0.39000		SELL ONLY
AAM	AAM/Bahl & Gaynor Income Growth Fund	461418188	AFNAX	5.50		.25	1.10000	2.00	SELL ONLY
AAM	AAM/Bahl & Gaynor Income Growth Fund	461418170	AFYCX		1.00	1.00	1.85000	2.00	SELL ONLY
AAM	AAM/Bahl & Gaynor Income Growth Fund	461418162	AFNIX				0.85000	2.00	SELL ONLY
AAM	AAM/HIMCO Short Duration Fund Class A	46141P248	ASDAX	2.50		.25	0.89000	1.00	SELL ONLY
AAM	AAM/HIMCO Short Duration Fund Class C	46141P230	ASDCX		1.00	1.00	1.64000	1.00	SELL ONLY
AAM	AAM/HIMCO Short Duration Fund Class I	46141P222	ASDIX				0.64000	1.00	SELL ONLY
AAM	AAM/Insight Select Income Fund Class A	46141P883	CPUAX	3.00		.25	0.99000	2.00	SELL ONLY
AAM	AAM/Insight Select Income Fund Class C	46141P875	CPUCX		1.00	1.00	1.71000	2.00	SELL ONLY
AAM	AAM/Insight Select Income Fund Class I	46141P867	CPUIX				0.69000	2.00	SELL ONLY
AAM	AAM/Phocas Real Estate Fund Class A Sh	46141Q220	APRAX	5.50		.25	1.97000	2.00	SELL ONLY
AAM	AAM/Phocas Real Estate Fund Class I Sh	46141Q238	APRIX				1.72000	2.00	SELL ONLY
Aberdeen	Aberdeen China A Share Equity Fund Clas	003019106	GOPAX	5.75		.25	2.58000		SELL ONLY
Aberdeen	Aberdeen China A Share Equity Fund Clas	003019304	GOPCX		1.00	1.00	3.40000		SELL ONLY
Aberdeen	Aberdeen China A Share Equity Fund Insti	003019502	GOPIX				2.37000		SELL ONLY
Aberdeen	Aberdeen China A Share Equity Fund Insti	003019601	GOPSX				2.35000		SELL ONLY
Aberdeen	Aberdeen Dynamic Dividend Fund Class A	003022423	ADAVX	5.75		.25	1.60000		SELL ONLY
Aberdeen	Aberdeen Dynamic Dividend Fund Institut	003022431	ADVDX				1.35000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Debt Fund C	003021151	AKFAX	3.00		.25	1.45000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Debt Fund C	003021144	AKFCX		1.00	1.00	2.17000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Debt Fund In	003021128	AKFIX				1.11000		SELL ONLY

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				Load	CDSC	12b-1 Fees	Expense Ratio		
Aberdeen	Aberdeen Emerging Markets Debt Fund In	003021110	AKFSX				1.08000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Fund Class A	003021250	GEGAX	5.75		.25	1.59000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Fund Class C	003021243	GEGCX		1.00	1.00	2.19000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Fund Instituti	003021714	ABEMX				1.18000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Fund Instituti	003021656	AEMSX				1.24000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Sustainable L	003019445	GIGAX	5.75		.25	1.49000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Sustainable L	003019429	GIGCX		1.00	1.00	2.20000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Sustainable L	003019395	GIGIX				1.13000		SELL ONLY
Aberdeen	Aberdeen Emerging Markets Sustainable L	003019387	GIGSX				1.15000		SELL ONLY
Aberdeen	Aberdeen Global Absolute Return Strategi	003021755	CUGAX	3.00		.25	2.54000		SELL ONLY
Aberdeen	Aberdeen Global Absolute Return Strategi	003021748	CGBCX		1.00	1.00	3.32000		SELL ONLY
Aberdeen	Aberdeen Global Absolute Return Strategi	003021730	AGCIX				2.26000		SELL ONLY
Aberdeen	Aberdeen Global Absolute Return Strategi	003021722	CGFIX				2.37000		SELL ONLY
Aberdeen	Aberdeen Global Equity Fund Class A	003020310	GLLAX	5.75		.25	2.17000		SELL ONLY
Aberdeen	Aberdeen Global Equity Fund Class C	003020286	GLLCX		1.00	1.00	3.04000		SELL ONLY
Aberdeen	Aberdeen Global Equity Fund Institutional	003020260	GWLIX				1.88000		SELL ONLY
Aberdeen	Aberdeen Global Equity Fund Institutional	003020252	GLLSX				1.93000		SELL ONLY
Aberdeen	Aberdeen Global Equity Impact Fund Clas	04315J845	JETAX			.25	1.92000		SELL ONLY
Aberdeen	Aberdeen Global Equity Impact Fund Instit	04315J837	JETIX			.00	1.61000		SELL ONLY
Aberdeen	Aberdeen Global High Income Fund Class	04315J878	BJBHX			.25	1.47000		SELL ONLY
Aberdeen	Aberdeen Global High Income Fund Class	04315J860	JHYIX			.00	1.12000		SELL ONLY
Aberdeen	Aberdeen Global Infrastructure Fund Class	003022399	AIAFX	5.75		.25	1.50000		SELL ONLY
Aberdeen	Aberdeen Global Infrastructure Fund Instit	003022415	AIFRX				1.21000		SELL ONLY
Aberdeen	Aberdeen Intermediate Municipal Income F	003020161	NTFAX	2.50		.25	1.09000		SELL ONLY
Aberdeen	Aberdeen Intermediate Municipal Income F	003020146	GTICX		1.00	1.00	1.87000		SELL ONLY
Aberdeen	Aberdeen Intermediate Municipal Income F	003022209	ABEIX				0.84000		SELL ONLY
Aberdeen	Aberdeen Intermediate Municipal Income F	003022308	ABESX				0.83000		SELL ONLY
Aberdeen	Aberdeen International Real Estate Equity	003022373	EGALX	5.75		.25	1.98000		SELL ONLY
Aberdeen	Aberdeen International Real Estate Equity	003022381	EGLRX				1.73000		SELL ONLY
Aberdeen	Aberdeen International Small Cap Fund Cl	003021821	WVCCX	5.75		.25	1.57000		SELL ONLY
Aberdeen	Aberdeen International Small Cap Fund Cl	003021797	CPVCX		1.00	1.00	2.33000		SELL ONLY
Aberdeen	Aberdeen International Small Cap Fund In	003021789	ABNIX				1.30000		SELL ONLY
Aberdeen	Aberdeen International Sustainable Leade	04315J407	BJBIX			.25	1.71000		SELL ONLY
Aberdeen	Aberdeen International Sustainable Leade	04315J506	JIEIX			.00	1.33000		SELL ONLY
Aberdeen	Aberdeen Realty Income & Growth Fund C	003022357	AIAGX	5.75		.25	1.71000		SELL ONLY
Aberdeen	Aberdeen Realty Income & Growth Fund In	003022365	AIGYX				1.48000		SELL ONLY
Aberdeen	Aberdeen Short Duration High Yield Munic	003022332	AAHMX	2.50		.25	1.12000		SELL ONLY

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							Expense Ratio		
Aberdeen	Aberdeen Short Duration High Yield Munic	003022282	ACHMX		1.00	1.00	1.87000		SELL ONLY
Aberdeen	Aberdeen Short Duration High Yield Munic	003022340	AHYMX				0.87000		SELL ONLY
Aberdeen	Aberdeen Total Return Bond Fund Class A	04315J100	BJBGX			.25	1.04000		SELL ONLY
Aberdeen	Aberdeen Total Return Bond Fund Class I	04315J209	JBGIX			.00	0.75000		SELL ONLY
Aberdeen	Aberdeen U.S. Small Cap Equity Fund Cla	003020716	GSXAX	5.75		.25	1.40000		SELL ONLY
Aberdeen	Aberdeen U.S. Small Cap Equity Fund Cla	003020682	GSXCX		1.00	1.00	2.10000		SELL ONLY
Aberdeen	Aberdeen U.S. Small Cap Equity Fund Ins	003020666	GSCIX				1.10000		SELL ONLY
Aberdeen	Aberdeen U.S. Small Cap Equity Fund Ins	003020658	GSXIX				1.13000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Fund	003021425	GXXAX	5.75		.25	1.26000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Fund	003021417	GXXCX		1.00	1.00	2.08000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Fund	003021383	GGLIX				1.01000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Fund	003021375	GXXIX				1.04000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Small	003020377	MLSAX	5.75		.25	2.13000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Small	003020351	MLSCX		1.00	1.00	2.84000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Small	003020336	GGUIX				1.94000		SELL ONLY
Aberdeen	Aberdeen U.S. Sustainable Leaders Small	003020328	AELSX				1.93000		SELL ONLY
Aberdeen	Aberdeen Ultra Short Municipal Income Fu	003022316	ATOAX			.25	0.94000		SELL ONLY
Aberdeen	Aberdeen Ultra Short Municipal Income Fu	003022324	ATOIX				0.71000		SELL ONLY
ABR	ABR 50/50 Volatility Fund Institutional Sha	34984Y658	ABRSX				3.70000		SELL ONLY
ABR	ABR 50/50 Volatility Fund Investor Shares	34984Y641	ABRJX			.25	6.53000		SELL ONLY
ABR	ABR Dynamic Blend Equity & Volatility Fur	34984Y781	ABRVX				2.20000		SELL ONLY
ABR	ABR Dynamic Blend Equity & Volatility Fur	34984Y773	ABRTX			.25	2.76000		SELL ONLY
Absolute Strategies	Absolute Capital Opportunities Fund Institu	34985A709	CAPOX				1.91000		SELL ONLY
Absolute Strategies	Absolute Convertible Arbitrage Fund Institu	34984T287	ARBIX				1.67000		SELL ONLY
Absolute Strategies	Absolute Strategies Fund Institutional Clas	34984T600	ASFIX				2.37000		SELL ONLY
Acadian Funds	Acadian Emerging Markets Portfolio Class	00769G188	AEMZX				1.24000	2.00	SELL ONLY
Acadian Funds	Acadian Emerging Markets Portfolio Class	00769G196	AEMVX				1.24000	2.00	SELL ONLY
Acadian Funds	Acadian Emerging Markets Portfolio Inves	00758M162	AEMGX				1.40000	2.00	SELL ONLY
Alger	Alger 35 Fund Class P-2	015565146	ATVVX				1.75000		SELL ONLY
Alger	Alger 35 Fund Class Z	015565187	ATVPX				1.67000		SELL ONLY
Alger	Alger Capital Appreciation Fund Class A	015565849	ACAAX	5.25		.25	1.17000		SELL ONLY
Alger	Alger Capital Appreciation Fund Class C	015565781	ALCCX		1.00	1.00	1.91000		SELL ONLY
Alger	Alger Capital Appreciation Fund Class Z	015565419	ACAZX				0.84000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fun	015570401	ALARX				1.13000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fun	015570872	ACARX			.50	1.58000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fun	015570732	ACAYX				0.83000		SELL ONLY
Alger	Alger Capital Appreciation Institutional Fun	015570757	ACIZX				0.82000		SELL ONLY

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				Load	CDSC	Fees	Expense Ratio	Fee		
Alger	Alger Dynamic Opportunities Fund Class A	015566813	SPEDX	5.25			.25	2.81000		SELL ONLY
Alger	Alger Dynamic Opportunities Fund Class C	015566748	ADOCX			1.00	1.00	3.55000		SELL ONLY
Alger	Alger Dynamic Opportunities Fund Class Z	015566755	ADOZX					2.47000		SELL ONLY
Alger	Alger Emerging Markets Fund Class A	015566797	AAEMX	5.25			.25	2.25000		SELL ONLY
Alger	Alger Emerging Markets Fund Class C	015566789	ACEMX			1.00	1.00	3.02000		SELL ONLY
Alger	Alger Emerging Markets Fund Class I	015566771	AIEMX				.25	2.14000		SELL ONLY
Alger	Alger Emerging Markets Fund Class Z	015566730	AZEMX					1.89000		SELL ONLY
Alger	Alger Focus Equity Fund Class A	015570799	ALAFX	5.25			.25	0.95000		SELL ONLY
Alger	Alger Focus Equity Fund Class C	015570781	ALCFX			1.00	1.00	1.71000		SELL ONLY
Alger	Alger Focus Equity Fund Class I	015570302	ALGRX					0.93000		SELL ONLY
Alger	Alger Focus Equity Fund Class Y	015570724	ALGYX					0.63000		SELL ONLY
Alger	Alger Focus Equity Fund Class Z	015570773	ALZFX					0.63000		SELL ONLY
Alger	Alger Global Focus Fund Class A	015549108	CHUSX	5.25			.25	2.19000		SELL ONLY
Alger	Alger Global Focus Fund Class C	015549207	CHUCX			1.00	1.00	2.96000		SELL ONLY
Alger	Alger Global Focus Fund Class I	015549306	AFGIX				.25	2.18000		SELL ONLY
Alger	Alger Global Focus Fund Class Z	015549405	AFGZX					1.86000		SELL ONLY
Alger	Alger Growth & Income Fund Class A	015565831	ALBAX	5.25			.25	1.06000		SELL ONLY
Alger	Alger Growth & Income Fund Class C	015565773	ALBCX			1.00	1.00	1.81000		SELL ONLY
Alger	Alger Growth & Income Fund Class Z	015565351	AGIZX					0.73000		SELL ONLY
Alger	Alger Health Sciences Fund Class A	015565765	AHSAX	5.25			.25	1.04000		SELL ONLY
Alger	Alger Health Sciences Fund Class C	015565740	AHSCX			1.00	1.00	1.81000		SELL ONLY
Alger	Alger Health Sciences Fund Class Z	015565328	AHSZX					0.71000		SELL ONLY
Alger	Alger International Focus Fund Class A	015565856	ALGAX	5.25			.25	1.34000		SELL ONLY
Alger	Alger International Focus Fund Class B	015565609	AFGPX			5.00	1.00	2.05000		SELL ONLY
Alger	Alger International Focus Fund Class C	015565799	ALGCX			1.00	1.00	2.13000		SELL ONLY
Alger	Alger International Focus Fund Class I	015565344	AIGIX				.25	1.34000		SELL ONLY
Alger	Alger International Focus Fund Class Z	015565393	ALCZX					1.02000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class A	015565120	ALOAX	5.25			.25	1.06000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class C	015565112	ALOCX			1.00	1.00	1.82000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class I	015565179	AFOIX				.25	1.07000		SELL ONLY
Alger	Alger Mid Cap Focus Fund Class Z	015565161	AFOZX					0.84000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class A	015565864	AMGAX	5.25			.25	1.30000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class B	015565807	AMCGX			5.00	1.00	2.03000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class C	015565815	AMGCX			1.00	1.00	2.10000		SELL ONLY
Alger	Alger Mid Cap Growth Fund Class Z	015565336	AMCZX					0.99000		SELL ONLY
Alger	Alger Mid Cap Growth Institutional Fund C	015570203	ALMRX					1.35000		SELL ONLY
Alger	Alger Mid Cap Growth Institutional Fund C	015570807	AGIRX				.50	1.83000		SELL ONLY

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Alger	Alger MidCap Growth Institutional Fund Class A	015570740	ALMZ				1.05000		SELL ONLY
Alger	Alger Responsible Investing Fund Class A	015566409	SPEGX	5.25		.25	1.36000		SELL ONLY
Alger	Alger Responsible Investing Fund Class C	015566508	AGFCX		1.00	1.00	2.11000		SELL ONLY
Alger	Alger Responsible Investing Fund Class I	015566607	AGIFX			.25	1.35000		SELL ONLY
Alger	Alger Responsible Investing Fund Class Z	015566698	ALGZX				1.04000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class A	015565450	AOFAX	5.25		.25	1.22000		BUY,SELL
Alger	Alger Small Cap Focus Fund Class C	015565443	AOFCX		1.00	1.00	1.94000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class I	015565435	AOFIX			.25	1.15000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class Y	015565229	AOFYX				0.84000		SELL ONLY
Alger	Alger Small Cap Focus Fund Class Z	015565369	AGOZX				0.85000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class A	015565872	ALSAX	5.25		.25	1.33000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class B	015565500	ALSCX		5.00	1.00	2.07000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class C	015565823	AGSCX		1.00	1.00	2.09000		SELL ONLY
Alger	Alger Small Cap Growth Fund Class Z	015565377	ASCZX				1.00000		SELL ONLY
Alger	Alger Small Cap Growth Institutional Fund Class A	015570104	ALSRX				1.30000		SELL ONLY
Alger	Alger Small Cap Growth Institutional Fund Class C	015570708	ASIRX			.50	1.80000		SELL ONLY
Alger	Alger SmallCap Growth Institutional Fund Class I	015570765	AISZX				0.99000		SELL ONLY
Alger	Alger Spectra Fund Class A	015566102	SPECX	5.25		.25	1.40000		BUY,SELL
Alger	Alger Spectra Fund Class C	015566201	ASPCX		1.00	1.00	2.15000		SELL ONLY
Alger	Alger Spectra Fund Class I	015566300	ASPIX			.25	1.39000		SELL ONLY
Alger	Alger Spectra Fund Class Y	015566623	ASPYX				1.09000		SELL ONLY
Alger	Alger Spectra Fund Class Z	015566763	ASPZX				1.09000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class A	015565732	ALMAX	5.25		.25	1.27000		BUY,SELL
Alger	Alger Weatherbie Specialized Growth Fund Class C	015565468	ASIMX			.25	1.24000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class I	015565211	ASYMX				0.94000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class Y	015565385	ASMZX				0.94000		SELL ONLY
Alger	Alger Weatherbie Specialized Growth Fund Class Z	015565716	ALMCX		1.00	1.00	2.03000		SELL ONLY
AllianceBernstein	AB All China Equity Portfolio Advisor Class A	002542207	ACEYX				1.31000		SELL ONLY
AllianceBernstein	AB All China Equity Portfolio Class A	002542108	ACEAX	4.25		.25	1.56000		SELL ONLY
AllianceBernstein	AB All Market Income Portfolio Advisor Class A	01878T160	MRKYX				1.36000		SELL ONLY
AllianceBernstein	AB All Market Income Portfolio Class A	01878T186	MRKAX	4.25		.25	1.61000		SELL ONLY
AllianceBernstein	AB All Market Income Portfolio Class C	01878T178	MRKCX		1.00	1.00	2.36000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Advisor Class A	018528422	AMTYX				1.18000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Class A	018528430	AMTAX	4.25		.25	1.44000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Class C	018528414	ACMTX		1.00	1.00	2.19000		SELL ONLY
AllianceBernstein	AB All Market Real Return Portfolio Class I	018528125	AMTZX				0.90000		SELL ONLY
AllianceBernstein	AB All Market Total Return Portfolio Advisor Class A	01877F625	ABWYX				0.81000		SELL ONLY

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AllianceBernstein	AB All Market Total Return Portfolio Class	01877F658	ABWAX	4.25		.25	1.06000		BUY,SELL
AllianceBernstein	AB All Market Total Return Portfolio Class	01877F633	ABWCX		1.00	1.00	1.82000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Advisor Class	018528562	ABNYX				0.93000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Class A	018528570	ABNAX	4.25		.25	1.18000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Class C	018528554	ABNCX		1.00	1.00	1.91000		SELL ONLY
AllianceBernstein	AB Bond Inflation Strategy Fund Class Z	01881M475	ABNZX				0.81000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Advisor Cla	01878T848	WPSGX				0.78000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Class A	01878T707	WPASX	4.25		.25	1.03000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Class C	01878T806	WPCSX		1.00	1.00	1.78000		SELL ONLY
AllianceBernstein	AB Concentrated Growth Fund Class Z	01878T855	WPSZX				0.75000		SELL ONLY
AllianceBernstein	AB Concentrated International Growth Por	01878T475	CIGYX				1.16000		SELL ONLY
AllianceBernstein	AB Concentrated International Growth Por	01878T491	CIAGX	4.25		.25	1.40000		SELL ONLY
AllianceBernstein	AB Concentrated International Growth Por	01878T483	CICGX		1.00	1.00	2.20000		SELL ONLY
AllianceBernstein	AB Conservative Wealth Strategy Advisor	01877F575	ABPYX				1.21000		SELL ONLY
AllianceBernstein	AB Conservative Wealth Strategy Class A	01877F617	ABPAX	4.25		.25	1.46000		SELL ONLY
AllianceBernstein	AB Conservative Wealth Strategy Class C	01877F583	ABPCX		1.00	1.00	2.21000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Advisor Class	01879K705	ADGYX				0.87000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Class A	01879K101	ADGAX	4.25		.25	1.12000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Class C	01879K309	ADGCX		1.00	1.00	1.87000		SELL ONLY
AllianceBernstein	AB Core Opportunities Fund Class Z	01879K804	ADGZX				0.78000		SELL ONLY
AllianceBernstein	AB Discovery Growth Fund Advisor Class	018636407	CHCYX				0.73000		SELL ONLY
AllianceBernstein	AB Discovery Growth Fund Class A	018636100	CHCLX	4.25		.23	0.96000		BUY,SELL
AllianceBernstein	AB Discovery Growth Fund Class C	018636308	CHCCX		1.00	1.00	1.73000		SELL ONLY
AllianceBernstein	AB Discovery Growth Fund Class Z	018636803	CHCZX				0.66000		SELL ONLY
AllianceBernstein	AB Discovery Value Fund Advisor Class	018914408	ABYSX				0.90000		SELL ONLY
AllianceBernstein	AB Discovery Value Fund Class A	018914101	ABASX	4.25		.25	1.15000		BUY,SELL
AllianceBernstein	AB Discovery Value Fund Class C	018914309	ABCSX		1.00	1.00	1.90000		SELL ONLY
AllianceBernstein	AB Discovery Value Fund Class Z	018914804	ABSZX				0.81000		SELL ONLY
AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio	01877E545	ABYEX				1.40000		SELL ONLY
AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio	01877E552	ABAEX	4.25		.25	1.65000		SELL ONLY
AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio	01877E537	ABCEX		1.00	1.00	2.41000		SELL ONLY
AllianceBernstein	AB Emerging Markets Multi-Asset Portfolio	01878H745	ABZEX				1.32000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Advisor Class	018791400	AUIYX				0.75000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Class A	018791103	AUIAX	4.25		.25	1.00000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Class C	018791301	AUICX		1.00	1.00	1.75000		SELL ONLY
AllianceBernstein	AB Equity Income Fund Class Z	018791806	AUIZX				0.69000		SELL ONLY
AllianceBernstein	AB FlexFee Large Cap Growth Portfolio Ac	01878H786	FFLYX				0.24000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
AllianceBernstein	AB Global Bond Fund Advisor Class	01853W709	ANAYX				0.55000		SELL ONLY
AllianceBernstein	AB Global Bond Fund Class A	01853W105	ANAGX	4.25		.25	0.80000		BUY,SELL
AllianceBernstein	AB Global Bond Fund Class C	01853W303	ANACX		1.00	1.00	1.56000		SELL ONLY
AllianceBernstein	AB Global Bond Fund Class Z	01853W808	ANAZX				0.50000		SELL ONLY
AllianceBernstein	AB Global Core Equity Portfolio Advisor Cl	01878T244	GCEYX				0.84000		SELL ONLY
AllianceBernstein	AB Global Core Equity Portfolio Class A	01878T269	GCEAX	4.25		.25	1.08000		SELL ONLY
AllianceBernstein	AB Global Core Equity Portfolio Class C	01878T251	GCECX		1.00	1.00	1.84000		SELL ONLY
AllianceBernstein	AB Global Real Estate Investment Fund A	01877G409	ARSYX				1.19000		SELL ONLY
AllianceBernstein	AB Global Real Estate Investment Fund C	01877G102	AREAX	4.25		.25	1.44000		SELL ONLY
AllianceBernstein	AB Global Real Estate Investment Fund C	01877G300	ARECX		1.00	1.00	2.20000		SELL ONLY
AllianceBernstein	AB Global Risk Allocation Fund Class A	018525105	CABNX	4.25		.25	1.38000		SELL ONLY
AllianceBernstein	AB Global Risk Allocation Fund Class Adv	018525501	CBSYX				1.13000		SELL ONLY
AllianceBernstein	AB Global Risk Allocation Fund Class C	018525402	CBACX		1.00	1.00	2.14000		SELL ONLY
AllianceBernstein	AB Growth Fund Advisor Class	01877F757	AGRYX				0.93000		SELL ONLY
AllianceBernstein	AB Growth Fund Class A	01877F401	AGRFYX	4.25		.25	1.18000		BUY,SELL
AllianceBernstein	AB Growth Fund Class C	01877F609	AGRCX		1.00	1.00	1.93000		SELL ONLY
AllianceBernstein	AB High Income Fund Advisor Class	01859M408	AGDYX				0.61000		SELL ONLY
AllianceBernstein	AB High Income Fund Class A	01859M101	AGDAX	4.25		.25	0.86000		BUY,SELL
AllianceBernstein	AB High Income Fund Class C	01859M309	AGDCX		1.00	1.00	1.62000		SELL ONLY
AllianceBernstein	AB High Income Fund Class Z	01859M804	AGDZX				0.54000		SELL ONLY
AllianceBernstein	AB High Income Municipal Portfolio Advisor	018642744	ABTYX				0.60000		SELL ONLY
AllianceBernstein	AB High Income Municipal Portfolio Class	018642736	ABTFX		1.00	1.00	1.63000		SELL ONLY
AllianceBernstein	AB High Income Municipal Portfolio Class	018642728	ABTZX				0.62000		SELL ONLY
AllianceBernstein	AB High Yield Portfolio Class A	01881M343	HIAYX	4.44			2.27000		SELL ONLY
AllianceBernstein	AB High Yield Portfolio Class Z	01881M335	HIZYX				1.93000		SELL ONLY
AllianceBernstein	AB Income Fund Advisor Class	01881M442	ACGYX				0.55000		SELL ONLY
AllianceBernstein	AB Income Fund Class A	01881M467	AKGAX	4.25		.25	0.80000		SELL ONLY
AllianceBernstein	AB Income Fund Class C	01881M459	AKGCX		1.00	1.00	1.55000		SELL ONLY
AllianceBernstein	AB Intermediate California Municipal Portfo	085568442	AICYX				0.49000		SELL ONLY
AllianceBernstein	AB Intermediate California Municipal Portfo	085568814	AICAX	3.00		.25	0.73000		SELL ONLY
AllianceBernstein	AB Intermediate California Municipal Portfo	085568780	ACMCX		1.00	1.00	1.48000		SELL ONLY
AllianceBernstein	AB Intermediate Diversified Municipal Port	085568483	AIDYX				0.43000		SELL ONLY
AllianceBernstein	AB Intermediate Diversified Municipal Port	085568756	AIMCX		1.00	1.00	1.43000		SELL ONLY
AllianceBernstein	AB Intermediate Diversified Municipal Port	085568426	AIDZX				0.39000		SELL ONLY
AllianceBernstein	AB Intermediate Duration Portfolio A	085568418	IDPAX	4.25		.25	1.82000		SELL ONLY
AllianceBernstein	AB Intermediate Duration Portfolio Advisor	085568384	IDPYX				1.64000		SELL ONLY
AllianceBernstein	AB Intermediate Duration Portfolio Class Z	085568392	IDPZX				0.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
AllianceBernstein	AB Intermediate New York Municipal Portf	085568434	ANIYX				0.47000		SELL ONLY
AllianceBernstein	AB Intermediate New York Municipal Portf	085568848	ANIAX	3.00		.25	0.72000		SELL ONLY
AllianceBernstein	AB Intermediate New York Municipal Portf	085568822	ANMCX		1.00	1.00	1.48000		SELL ONLY
AllianceBernstein	AB International Strategic Core Portfolio A	01878H307	ISRYX				0.89000		SELL ONLY
AllianceBernstein	AB International Strategic Core Portfolio C	01878H109	ISARX	4.25		.25	1.17000		SELL ONLY
AllianceBernstein	AB International Strategic Core Portfolio C	01878H208	ISCRX		1.00	1.00	1.90000		SELL ONLY
AllianceBernstein	AB International Value Fund Advisor Class	018913400	ABIYX				1.40000		SELL ONLY
AllianceBernstein	AB International Value Fund Class A	018913103	ABIAX	4.25		.25	1.65000		SELL ONLY
AllianceBernstein	AB International Value Fund Class C	018913301	ABICX		1.00	1.00	2.43000		SELL ONLY
AllianceBernstein	AB Large Cap Growth Fund Advisor Class	01877C408	APGYX				0.62000		SELL ONLY
AllianceBernstein	AB Large Cap Growth Fund Class A	01877C101	APGAX	4.25		.25	0.87000		BUY,SELL
AllianceBernstein	AB Large Cap Growth Fund Class C	01877C309	APGCX		1.00	1.00	1.62000		SELL ONLY
AllianceBernstein	AB Large Cap Growth Fund Class Z	01878H885	APGZX				0.54000		SELL ONLY
AllianceBernstein	AB Limited Duration High Income Portfolio	018528331	ALHYX				0.79000		SELL ONLY
AllianceBernstein	AB Limited Duration High Income Portfolio	018528349	ALHAX	4.25		.25	1.04000		SELL ONLY
AllianceBernstein	AB Limited Duration High Income Portfolio	018528323	ALHCX		1.00	1.00	1.79000		SELL ONLY
AllianceBernstein	AB Municipal Bond Inflation Strategy Advis	018528471	AUNYX				0.60000		SELL ONLY
AllianceBernstein	AB Municipal Bond Inflation Strategy Class	018528463	AUNCX		1.00	1.00	1.61000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund California Portf	018642785	ALCVX				0.53000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund California Portf	018642850	ACACX		1.00	1.00	1.53000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Arizona Portf	01864E771	AAZCX		1.00	1.00	1.75000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Massachuse	01864E110	AMAYX				0.65000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Massachuse	01864E763	AMAAX	3.00		.25	0.90000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Massachuse	01864E748	AMACX		1.00	1.00	1.65000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Minnesota P	01864E607	AMNCX		1.00	1.00	2.02000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II New Jersey P	01864E870	ANJCX		1.00	1.00	1.87000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Ohio Portfoli	01864E862	AOHAX	3.00		.25	1.13000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Ohio Portfoli	01864E847	AOHCX		1.00	1.00	1.88000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Pennsylvania	01864E839	APAAX	3.00		.25	1.14000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Pennsylvania	01864E813	APACX		1.00	1.00	1.89000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Virginia Portf	01864E128	AVAYX				0.65000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund II Virginia Portf	01864E680	AVACX		1.00	1.00	1.65000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund National Portfo	018642819	ALTVX				0.53000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund National Portfo	018642835	ALNCX		1.00	1.00	1.54000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund New York Port	018642769	ALNVX				0.55000		SELL ONLY
AllianceBernstein	AB Municipal Income Fund New York Port	018642868	ANYCX		1.00	1.00	1.55000		SELL ONLY
AllianceBernstein	AB Relative Value Fund Advisor Class	018597500	CBBYX				0.74000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		Gross		Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
AllianceBernstein	AB Relative Value Fund Class A	018597104	CABDX	4.25		.25	0.99000		BUY,SELL
AllianceBernstein	AB Relative Value Fund Class C	018597401	CBBCX		1.00	1.00	1.74000		SELL ONLY
AllianceBernstein	AB Relative Value Fund Class Z	018597880	CBBZX				0.63000		SELL ONLY
AllianceBernstein	AB Select US Equity Portfolio Advisor Clas	01877E297	AUUYX				1.27000		SELL ONLY
AllianceBernstein	AB Select US Equity Portfolio Class A	01877E313	AUUAX	4.25		.25	1.53000		SELL ONLY
AllianceBernstein	AB Select US Equity Portfolio Class C	01877E289	AUUCX		1.00	1.00	2.28000		SELL ONLY
AllianceBernstein	AB Select US Long/Short Portfolio Advisor	01878T202	ASYLX				1.73000		SELL ONLY
AllianceBernstein	AB Select US Long/Short Portfolio Class A	01878T103	ASLAX	4.25		.25	1.98000		SELL ONLY
AllianceBernstein	AB Select US Long/Short Portfolio Class C	01878T301	ASCLX		1.00	1.00	2.73000		SELL ONLY
AllianceBernstein	AB Short Duration Income Portfolio Advisor	018529305	SHUYX				1.68000		SELL ONLY
AllianceBernstein	AB Short Duration Income Portfolio Class	018529107	SHUAX	4.25		.20	1.77000		SELL ONLY
AllianceBernstein	AB Short Duration Income Portfolio Class	018529206	SHUCX		1.00	1.00	2.57000		SELL ONLY
AllianceBernstein	AB Short Duration Portfolio Class A	085568749	ADPAX	4.25		.25	1.07000		BUY,SELL
AllianceBernstein	AB Short Duration Portfolio Class C	085568723	ADPCX		1.00	1.00	1.83000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Advisor Cla	01877E503	QUAYX				0.92000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Class A	01877E107	QUASX	4.25		.25	1.17000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Class C	01877E404	QUACX		1.00	1.00	1.92000		SELL ONLY
AllianceBernstein	AB Small Cap Growth Portfolio Class Z	01878H802	QUAZX				0.82000		SELL ONLY
AllianceBernstein	AB Small Cap Value Portfolio Advisor Clas	01878T558	SCYVX				0.96000		SELL ONLY
AllianceBernstein	AB Small Cap Value Portfolio Class A	01878T574	SCAVX	4.25		.25	1.21000		SELL ONLY
AllianceBernstein	AB Sustainable Global Thematic Fund Adv	018780502	ATEYX				0.82000		SELL ONLY
AllianceBernstein	AB Sustainable Global Thematic Fund Cla	018780106	ALTFX	4.25		.25	1.07000		BUY,SELL
AllianceBernstein	AB Sustainable Global Thematic Fund Cla	018780403	ATECX		1.00	1.00	1.82000		SELL ONLY
AllianceBernstein	AB Sustainable Global Thematic Fund Cla	018780874	ATEZX				0.73000		SELL ONLY
AllianceBernstein	AB Sustainable International Thematic Fur	01879X400	AWPYX				0.88000		SELL ONLY
AllianceBernstein	AB Sustainable International Thematic Fur	01879X103	AWPAX	4.25		.25	1.13000		BUY,SELL
AllianceBernstein	AB Sustainable International Thematic Fur	01879X301	AWPCX		1.00	1.00	1.88000		SELL ONLY
AllianceBernstein	AB Sustainable International Thematic Fur	01879X806	AWPZX				0.81000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Credit Portfolio A	018529602	STHYX				1.14000		SELL ONLY
AllianceBernstein	AB Sustainable Thematic Credit Portfolio C	018529503	STHAX	4.44		.25	1.39000		SELL ONLY
AllianceBernstein	AB Sustainable US Thematic Portfolio Adv	01878H778	FFTYX				0.84000		SELL ONLY
AllianceBernstein	AB Tax-Aware Fixed Income Opportunities	018528133	ATTYX				0.99000		SELL ONLY
AllianceBernstein	AB Tax-Aware Fixed Income Opportunities	018528174	ATTAX	3.00		.25	1.26000		SELL ONLY
AllianceBernstein	AB Tax-Aware Fixed Income Opportunities	018528166	ATCCX		1.00	1.00	2.00000		SELL ONLY
AllianceBernstein	AB Tax-Managed All Market Income Portfo	01877F724	AGIYX				1.10000		SELL ONLY
AllianceBernstein	AB Tax-Managed All Market Income Portfo	01877F708	AGIAX	4.25		.25	1.35000		SELL ONLY
AllianceBernstein	AB Tax-Managed All Market Income Portfo	01877F880	AGICX		1.00	1.00	2.11000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
AllianceBernstein	AB Tax-Managed Wealth Appreciation Str	01877F534	ATWYX					1.13000		SELL ONLY
AllianceBernstein	AB Tax-Managed Wealth Appreciation Str	01877F567	ATWAX	4.25			.25	1.38000		SELL ONLY
AllianceBernstein	AB Tax-Managed Wealth Appreciation Str	01877F542	ATWCX		1.00	1.00		2.13000		SELL ONLY
AllianceBernstein	AB Total Return Bond Portfolio Advisor Cla	018528729	ABQYX					0.74000		SELL ONLY
AllianceBernstein	AB Total Return Bond Portfolio Class A	018528711	ABQUX	4.25			.25	0.99000		BUY,SELL
AllianceBernstein	AB Total Return Bond Portfolio Class C	018528687	ABQCX		1.00	1.00		1.75000		SELL ONLY
AllianceBernstein	AB Total Return Bond Portfolio Class Z	01881M483	ABQZX					0.64000		SELL ONLY
AllianceBernstein	AB Value Fund Advisor Class	018915405	ABVYX					0.74000		SELL ONLY
AllianceBernstein	AB Value Fund Class A	018915108	ABVAX	4.25			.25	0.99000		SELL ONLY
AllianceBernstein	AB Value Fund Class C	018915306	ABVCX		1.00	1.00		1.75000		SELL ONLY
AllianceBernstein	AB Wealth Appreciation Strategy Advisor C	01877F666	AWAYX					1.16000		SELL ONLY
AllianceBernstein	AB Wealth Appreciation Strategy Class A	01877F690	AWAAX	4.25			.25	1.41000		SELL ONLY
AllianceBernstein	AB Wealth Appreciation Strategy Class C	01877F674	AWACX		1.00	1.00		2.16000		SELL ONLY
AllianceBernstein	Bernstein International Strategic Equities F	085567105	STESX					0.94000		SELL ONLY
ALPS	ALPS Real Asset Income Fund Class A	31761R500	REALX	5.50			0.25	10.7200		SELL ONLY
ALPS	ALPS Real Asset Income Fund Class C	31761R609	RAECX		1.00		0.75	11.4300		SELL ONLY
ALPS	ALPS/CoreCommodity Management Com	31761R310	JCCSX	5.50			.25	1.45000		SELL ONLY
ALPS	ALPS/CoreCommodity Management Com	317609659	JCRCX		1.00	1.00		2.05000		SELL ONLY
ALPS	ALPS/CoreCommodity Management Com	317609667	JCRIX					1.15000		SELL ONLY
ALPS	ALPS/CoreCommodity Management Com	317609675	JCRAX				.25	1.45000		SELL ONLY
ALPS	ALPS/Kotak India Growth Fund Class A	31761R245	INAAX	5.50			.25	1.76000	2.00	SELL ONLY
ALPS	ALPS/Kotak India Growth Fund Class C	317609444	INFCX		1.00	1.00		2.37000	2.00	SELL ONLY
ALPS	ALPS/Kotak India Growth Fund Class I	317609436	INDIX					1.36000	2.00	SELL ONLY
ALPS	ALPS/Kotak India Growth Fund Class Inve	317609451	INDAX				.25	1.77000	2.00	SELL ONLY
ALPS	ALPS/Red Rocks Global Opportunity Fund	31761R344	LPFAX	5.50			.25	2.49000		SELL ONLY
ALPS	ALPS/Red Rocks Global Opportunity Fund	317609634	LPFCX		1.00	1.00		3.11000		SELL ONLY
ALPS	ALPS/Red Rocks Global Opportunity Fund	317609782	LPEIX					2.11000		SELL ONLY
ALPS	ALPS/Red Rocks Global Opportunity Fund	317609816	LPEFX				.25	2.45000		SELL ONLY
ALPS	ALPS/Red Rocks Global Opportunity Portf	02110B702					.00	2.28000		SELL ONLY
ALPS	ALPS/Smith Short Duration Bond Fund Cla	31761R377	SMASX	2.25			.25	0.96000		SELL ONLY
ALPS	ALPS/Smith Short Duration Bond Fund Cla	31761R369	SMCMX		1.00	1.00		1.67000		SELL ONLY
ALPS	ALPS/Smith Short Duration Bond Fund Cla	31761R351	SMDSX					0.70000		SELL ONLY
ALPS	ALPS/Smith Short Duration Bond Fund Inv	31761R385	SMRSX				.25	0.96000		SELL ONLY
ALPS	ALPS/Smith Total Return Bond Fund Clas	31761R427	SMAMX	2.25			.25	1.01000		SELL ONLY
ALPS	ALPS/Smith Total Return Bond Fund Clas	31761R419	SMCHX		1.00	1.00		1.72000		SELL ONLY
ALPS	ALPS/Smith Total Return Bond Fund Clas	31761R393	SMTHX					0.72000		SELL ONLY
ALPS	ALPS/Smith Total Return Bond Fund Inves	31761R435	SMTRX				.25	1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
ALPS	Clough China Fund Class A	31761R328	CHCAX	5.50		.25	1.82000		SELL ONLY
ALPS	Clough China Fund Class C	317609725	CHNCX		1.00	1.00	2.69000		SELL ONLY
ALPS	Clough China Fund Class I	317609717	CHNIX				1.66000		SELL ONLY
ALPS	Clough China Fund Class Investor	317609733	CHNAX			.25	1.89000		SELL ONLY
ALPS	Financial Invs Tr Alps Real Asset Income F	31761R708	RAEIX				10.5100		SELL ONLY
ALPS	RiverFront Asset Allocation Aggressive Cla	31761R294	RLAAX	5.50		.25	1.15000		SELL ONLY
ALPS	RiverFront Asset Allocation Aggressive Cla	317609535	RLTCX		1.00	1.00	1.90000		SELL ONLY
ALPS	RiverFront Asset Allocation Aggressive Cla	317609543	RLFIX				0.90000		SELL ONLY
ALPS	RiverFront Asset Allocation Aggressive Cla	317609527	RLTAX			.25	1.15000		SELL ONLY
ALPS	RiverFront Asset Allocation Aggressive Cla	317609477	RLTSX			.25	1.15000		SELL ONLY
ALPS	RiverFront Asset Allocation Aggressive Cla	317609469	RLTIX			.00	0.90000		SELL ONLY
ALPS	RiverFront Asset Allocation Growth & Inco	31761R278	RAGIX	5.50		.25	1.10000		SELL ONLY
ALPS	RiverFront Asset Allocation Growth & Inco	317609568	RLGCX		1.00	1.00	1.85000		SELL ONLY
ALPS	RiverFront Asset Allocation Growth & Inco	317609550	RLIIX				0.85000		SELL ONLY
ALPS	RiverFront Asset Allocation Growth & Inco	317609576	RLGAX			.25	1.10000		SELL ONLY
ALPS	RiverFront Asset Allocation Moderate Clas	31761R260	RMOAX	5.50		.25	1.02000		SELL ONLY
ALPS	RiverFront Asset Allocation Moderate Clas	317609493	RMICX		1.00	1.00	1.77000		SELL ONLY
ALPS	RiverFront Asset Allocation Moderate Clas	317609519	RMIIX				0.77000		SELL ONLY
ALPS	RiverFront Asset Allocation Moderate Clas	317609485	RMIAX			.25	1.02000		SELL ONLY
Amana	Amana Mutual Funds Trust Developing W	022865604	AMIDX				1.21000		SELL ONLY
Amana	Amana Mutual Funds Trust Developing W	022865307	AMDWX			.25	1.34000		SELL ONLY
Amana	Amana Mutual Funds Trust Growth Fund I	022865505	AMIGX				0.76000		SELL ONLY
Amana	Amana Mutual Funds Trust Growth Fund I	022865208	AMAGX			.25	0.99000		SELL ONLY
Amana	Amana Mutual Funds Trust Income Fund I	022865406	AMINX				0.81000		SELL ONLY
Amana	Amana Mutual Funds Trust Income Fund I	022865109	AMANX			.25	1.04000		SELL ONLY
Amana	Amana Participation Fund Institutional Sha	022865802	AMIPX				0.63000		SELL ONLY
Amana	Amana Participation Fund Investor Shares	022865703	AMAPX			.25	0.88000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures \$	024525644	AHLAX	5.75		.25	1.91000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures \$	024525685	AHLCX		1.00	1.00	2.65000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures \$	024525651	AHLPX			.00	1.97000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures \$	024525669	AHLIX			.00	1.59000		SELL ONLY
American Beacon	American Beacon AHL Managed Futures \$	024525677	AHLYX			.00	1.64000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund A	024526212	AHTAX	5.75		.25	1.46000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund C	024526196	AHACX		1.00	1.00	2.21000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund Ir	024526311	AHTPX			.00	1.46000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund R	024526329	AHTIX			.00	1.09000		SELL ONLY
American Beacon	American Beacon AHL TargetRisk Fund Y	024526337	AHTYX			.00	1.14000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible	
							Expense Ratio	Fee		
American Beacon	American Beacon ARK Transformational I	024526295	ADNAX	5.75			.25	1.67000		SELL ONLY
American Beacon	American Beacon ARK Transformational I	024526287	ADNCX		1.00	1.00		2.32000		SELL ONLY
American Beacon	American Beacon ARK Transformational I	024526204	ADNPX				.00	1.53000		SELL ONLY
American Beacon	American Beacon ARK Transformational I	024526303	ADNIX				.00	1.25000		SELL ONLY
American Beacon	American Beacon ARK Transformational I	024526105	ADNYX				.00	1.27000		SELL ONLY
American Beacon	American Beacon Bahl & Gaynor Small Ca	024525636	GBSAX	5.75			.25	1.64000		SELL ONLY
American Beacon	American Beacon Bahl & Gaynor Small Ca	024525628	GBSCX		1.00	1.00		2.45000		SELL ONLY
American Beacon	American Beacon Bahl & Gaynor Small Ca	024525586	GBSPX				.00	1.75000		SELL ONLY
American Beacon	American Beacon Bahl & Gaynor Small Ca	024525594	GBSIX				.00	1.35000		SELL ONLY
American Beacon	American Beacon Bahl & Gaynor Small Ca	024525610	GBSYX				.00	1.40000		SELL ONLY
American Beacon	American Beacon Balanced Fund Advisor	02368A364	ABLSX				.25	1.17000		SELL ONLY
American Beacon	American Beacon Balanced Fund C Class	024524514	ABCCX		1.00	1.00		1.76000		SELL ONLY
American Beacon	American Beacon Balanced Fund Class A	024524753	ABFAX	5.75			.25	1.02000		SELL ONLY
American Beacon	American Beacon Balanced Fund Class Y	024524100	ACBYX				.00	0.77000		SELL ONLY
American Beacon	American Beacon Balanced Fund Investor	02368A828	AABPX				.00	1.01000		SELL ONLY
American Beacon	American Beacon Balanced Fund R5 Clas	02368A109	AADBX				.00	0.69000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap G	024525412	BLYAX	5.75			.25	1.23000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap G	024525396	BLYCX		1.00	1.00		1.97000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap G	024526436	BLYRX				.00	0.87000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap G	024525362	BLYPX				.00	1.27000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap G	024525370	BRLGX				.00	0.93000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap G	024525388	BLYYX				.00	0.98000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap V	024524159	BWLAX	5.75			.25	1.10000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap V	024524142	BWLCX		1.00	1.00		1.83000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap V	024524118	BWLIX				.00	1.10000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap V	024524126	BRLVX				.00	0.75000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap V	024526543	BWLRX				.00	0.73000		SELL ONLY
American Beacon	American Beacon Bridgeway Large Cap V	024524134	BWLYX				.00	0.83000		SELL ONLY
American Beacon	American Beacon Continuous Capital Em	024526345	CCEPX				.00	3.26000		SELL ONLY
American Beacon	American Beacon Continuous Capital Em	024526352	CCEIX				.00	2.72000		SELL ONLY
American Beacon	American Beacon Continuous Capital Em	024526360	CCEYX				.00	2.73000		SELL ONLY
American Beacon	American Beacon Emerging Markets Fund	024524407	ACEYX					1.5300	2.00	SELL ONLY
American Beacon	American Beacon Emerging Markets Fund	02368A448	AAEPX					1.8400	2.00	SELL ONLY
American Beacon	American Beacon Frontier Markets Incom	024525743	AGUAX	4.75			.25	1.56000	2.00	SELL ONLY
American Beacon	American Beacon Frontier Markets Incom	024525735	AGECX		1.00	1.00		2.15000	2.00	SELL ONLY
American Beacon	American Beacon Frontier Markets Incom	024525693	AGEPX				.00	1.43000	2.00	SELL ONLY
American Beacon	American Beacon Frontier Markets Incom	024525719	AGEIX				.00	1.10000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Beacon	American Beacon Frontier Markets Income	024525727	AGEYX			.00	1.15000	2.00	SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality	024525248	GHQPX			.00	1.20000		SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality	024525255	GHQIX			.00	0.68000		SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality	024526253	GHQRX			.00	0.64000		SELL ONLY
American Beacon	American Beacon Garcia Hamilton Quality	024525263	GHQYX			.00	0.74000		SELL ONLY
American Beacon	American Beacon Intermediate Bond Fund	024524704	ACTYX				0.6800		SELL ONLY
American Beacon	American Beacon Intermediate Bond Fund	02368A570	AABDX				0.3200		SELL ONLY
American Beacon	American Beacon Intermediate Bond Fund	02368A240	ABIPX				0.9600		SELL ONLY
American Beacon	American Beacon International Equity Fun	02368A422	AAISX			.25	1.20000		SELL ONLY
American Beacon	American Beacon International Equity Fun	024524720	AIEAX	5.75		.25	1.13000		SELL ONLY
American Beacon	American Beacon International Equity Fun	024524464	AILCX		1.00	1.00	1.86000		SELL ONLY
American Beacon	American Beacon International Equity Fun	024526766	AAERX			.00	0.72000		SELL ONLY
American Beacon	American Beacon International Equity Fun	02368A190	ABEYX			.00	0.80000		SELL ONLY
American Beacon	American Beacon International Equity Fun	02368A794	AAIPX			.00	1.07000		SELL ONLY
American Beacon	American Beacon International Equity Fun	02368A406	AAIEX			.00	0.72000		SELL ONLY
American Beacon	American Beacon International Equity Inde	02368A521	AIIIX				0.2000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	02368A356	AVASX			.25	1.10000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	024524746	ALVAX	5.75		.25	1.00000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	024524498	ALVCX		1.00	1.00	1.68000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	024526790	AALRX			.00	0.62000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	02368A174	ABLYX			.00	0.70000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	02368A810	AAGPX			.00	0.96000		SELL ONLY
American Beacon	American Beacon Large Cap Value Fund	02368A208	AADEX			.00	0.63000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund Ad	02368A273	AMCSX			.25	1.54000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund C	024524480	AMCCX		1.00	1.00	2.06000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund Cla	024524852	ABMAX	5.75		.25	1.31000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund Cla	024524308	ACMYX			.00	1.04000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund Inv	02368A299	AMPAX			.00	1.22000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund R5	02368A315	AACIX			.00	0.96000		SELL ONLY
American Beacon	American Beacon Mid-Cap Value Fund R6	024526444	AMDRX			.00	0.97000		SELL ONLY
American Beacon	American Beacon Shapiro Equity Opportur	024526519	SHXPX			.00	2.21000		SELL ONLY
American Beacon	American Beacon Shapiro Equity Opportur	024526527	SHXIX			.00	0.98000		SELL ONLY
American Beacon	American Beacon Shapiro Equity Opportur	024526493	SHXYX			.00	1.10000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equi	024526469	SHDPX			.00	3.86000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equi	024526477	SHDIX			.00	3.22000		SELL ONLY
American Beacon	American Beacon Shapiro SMID Cap Equi	024526485	SHDYX			.00	3.33000		SELL ONLY
American Beacon	American Beacon Short Term Bond Fund	024524803	ACOYX				0.7000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
American Beacon	American Beacon SiM High Yield Opportu	024524324	SHOAX	4.75		.25	1.15000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportu	024524316	SHOCX		1.00	1.00	1.89000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportu	024524274	SHYPX			.00	1.18000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportu	024524282	SHOIX			.00	0.86000		SELL ONLY
American Beacon	American Beacon SiM High Yield Opportu	024524290	SHOYX			.00	0.90000		SELL ONLY
American Beacon	American Beacon Small Cap Index Fund C	02368A539	ASCIX				0.2000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund A	02368A430	AASSX			.25	1.26000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund C	024524472	ASVCX		1.00	.00	1.97000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund C	024524845	ABSAX	5.75		.25	1.27000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund C	02368A638	AVFIX			.00	0.83000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund C	02368A182	ABSYX			.00	0.90000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund I	02368A620	AVPAX			.00	1.16000		SELL ONLY
American Beacon	American Beacon Small Cap Value Fund F	024526774	AASRX			.00	0.80000		SELL ONLY
American Beacon	American Beacon Small Cap Value II Y	024533408	ABBYX				2.0000		SELL ONLY
American Beacon	American Beacon Sound Point Floating Ra	024525347	SOUAX	2.50		.25	1.18000		SELL ONLY
American Beacon	American Beacon Sound Point Floating Ra	024525339	SOUCX		1.00	1.00	1.95000		SELL ONLY
American Beacon	American Beacon Sound Point Floating Ra	024525297	SPFPX			.00	1.25000		SELL ONLY
American Beacon	American Beacon Sound Point Floating Ra	024525313	SPFLX			.00	0.91000		SELL ONLY
American Beacon	American Beacon Sound Point Floating Ra	024525321	SPFYX			.00	0.97000		SELL ONLY
American Beacon	American Beacon SSI Alternative Income	024526246	SSIJX			.00	4.92000		SELL ONLY
American Beacon	American Beacon SSI Alternative Income	024526220	PSCIX			.00	2.34000		SELL ONLY
American Beacon	American Beacon SSI Alternative Income	024526238	PSCAX			.00	2.99000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Grov	024524183	SMFAX	5.75		.25	1.24000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Grov	024524175	SMFCX		1.00	1.00	1.96000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Grov	024526279	SFMRX			.00	0.90000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Grov	024524191	STMGX			.00	1.23000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Grov	024524217	SFMIX			.00	0.91000		SELL ONLY
American Beacon	American Beacon Stephens Mid-Cap Grov	024524167	SMFYX			.00	1.00000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Gr	02368A166	SPWAX	5.75		.25	1.35000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Gr	02368A158	SPWCX		1.00	1.00	2.15000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Gr	02368A133	STSGX			.00	1.39000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Gr	02368A141	STSIX			.00	1.05000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Gr	024526188	STSRX			.00	1.02000		SELL ONLY
American Beacon	American Beacon Stephens Small Cap Gr	02368A125	SPWYX			.00	1.12000		SELL ONLY
American Beacon	American Beacon The London Company I	024527103	ABCAX	5.75		.25	1.05000		SELL ONLY
American Beacon	American Beacon The London Company I	024527202	ABECX		1.00	1.00	1.82000		SELL ONLY
American Beacon	American Beacon The London Company I	024527509	ABCVX			.00	1.08000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
American Beacon	American Beacon The London Company I	024527400	ABCIX				.00	0.76000		SELL ONLY
American Beacon	American Beacon The London Company I	024527301	ABCYX				.00	0.82000		SELL ONLY
American Beacon	American Beacon Tocqueville Internationa	024526378	TIVFX				.00	1.18000		SELL ONLY
American Beacon	American Beacon Tocqueville Internationa	024526386	TOVIX				.00	0.91000		SELL ONLY
American Beacon	American Beacon Tocqueville Internationa	024526394	TOVYX				.00	0.99000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic In	024526428	TFSAX	3.75			.25	1.35000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic In	024526410	TFGCX		1.00	1.00		2.15000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic In	024526683	TFGPX				.00	1.43000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic In	024526691	TFGIX				.00	1.02000		SELL ONLY
American Beacon	American Beacon TwentyFour Strategic In	024526667	TFGYX				.00	1.10000		SELL ONLY
American Beacon	American Beacon TwentyFour Sustainable	024526170	TFBAX	2.50			.25	1.76000		SELL ONLY
American Beacon	American Beacon TwentyFour Sustainable	024526162	TFBCX		1.00	1.00		2.51000		SELL ONLY
American Beacon	American Beacon TwentyFour Sustainable	024526147	TFBRX				.00	1.36000		SELL ONLY
American Beacon	American Beacon TwentyFour Sustainable	024526154	TFBYX				.00	1.46000		SELL ONLY
American Beacon	American Beacon Zebra Global Equity Fur	024524373	AZLCX		1.00	1		4.0500		SELL ONLY
American Beacon	American Beacon Zebra Global Equity Fur	024524696	AZLYX					2.9500		SELL ONLY
American Beacon	American Beacon Zebra Small Cap Equity	024524662	AZSAX	5.75			.25	1.47000		SELL ONLY
American Beacon	American Beacon Zebra Small Cap Equity	024524365	AZSCX		1.00	1.00		2.28000		SELL ONLY
American Beacon	American Beacon Zebra Small Cap Equity	024524647	AZSPX				.00	1.60000		SELL ONLY
American Beacon	American Beacon Zebra Small Cap Equity	024524613	AZSIX				.00	1.17000		SELL ONLY
American Beacon	American Beacon Zebra Small Cap Equity	024524654	AZSYX				.00	1.26000		SELL ONLY
American Century Inves	AC Alternatives? Market Neutral Value Fur	025076423	ACVQX	5.75			.25	3.74000		SELL ONLY
American Century Inves	AC Alternatives? Market Neutral Value Fur	025076415	ACVHX		1.00	1.00		4.49000		SELL ONLY
American Century Inves	AC Alternatives? Market Neutral Value Fur	025076431	ACVKX					3.29000		SELL ONLY
American Century Inves	AC Alternatives? Market Neutral Value Fur	025076449	ACVVX					3.49000		SELL ONLY
American Century Inves	American Century Balanced Fund I Class	025083734	ABINX					0.71000		SELL ONLY
American Century Inves	American Century Balanced Fund Investor	025083742	TWBIX					0.91000		SELL ONLY
American Century Inves	American Century Balanced Fund R5 Clas	02508H394	ABGNX					0.71000		SELL ONLY
American Century Inves	American Century California High Yield Mu	025075805	CAYCX		1.00	1.00		1.50000		SELL ONLY
American Century Inves	American Century California High Yield Mu	025075789	BCHIX					0.30000		SELL ONLY
American Century Inves	American Century California High Yield Mu	025075201	BCHYX					0.50000		SELL ONLY
American Century Inves	American Century California High Yield Mu	025075771	ACYHX					0.27000		SELL ONLY
American Century Inves	American Century California Intermediate-	025075821	BCIAX	4.50			.25	0.72000		SELL ONLY
American Century Inves	American Century California Intermediate-	025075813	BCIYX		1.00	1.00		1.47000		SELL ONLY
American Century Inves	American Century California Intermediate-	025075839	BCTIX					0.27000		SELL ONLY
American Century Inves	American Century California Intermediate-	025075508	BCITX					0.47000		SELL ONLY
American Century Inves	American Century California Intermediate-	025075763	ACYTX					0.24000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
American Century Inves	American Century Core Plus Fund Class A	024932543	ACCQX	4.50			.25	0.81000		SELL ONLY
American Century Inves	American Century Core Plus Fund Class C	024932527	ACCKX		1.00	1.00		1.56000		SELL ONLY
American Century Inves	American Century Core Plus Fund I Class	02508J101	ACCTX					0.46000		SELL ONLY
American Century Inves	American Century Core Plus Fund Investo	024932493	ACCNX					0.56000		SELL ONLY
American Century Inves	American Century Core Plus Fund R5 Clas	024932485	ACCUX					0.36000		SELL ONLY
American Century Inves	American Century Disciplined Core Value	02507M816	ACGCX		1.00	1.00		1.67000		SELL ONLY
American Century Inves	American Century Disciplined Core Value	02507M402	AMADX	5.75			.25	0.92000		SELL ONLY
American Century Inves	American Century Disciplined Core Value	02507M501	AMGIX					0.47000		SELL ONLY
American Century Inves	American Century Disciplined Core Value	02507M303	BIGRX					0.67000		SELL ONLY
American Century Inves	American Century Disciplined Core Value	02508G842	AICGX					0.47000		SELL ONLY
American Century Inves	American Century Disciplined Growth Fund	02507M642	ADCVX	5.75			.25	1.27000		SELL ONLY
American Century Inves	American Century Disciplined Growth Fund	02507M527	ADCCX		1.00	1.00		2.02000		SELL ONLY
American Century Inves	American Century Disciplined Growth Fund	02507M667	ADCIX					0.82000		SELL ONLY
American Century Inves	American Century Disciplined Growth Fund	02507M675	ADSIX					1.02000		SELL ONLY
American Century Inves	American Century Disciplined Growth Fund	02508G883	ADGGX					0.82000		SELL ONLY
American Century Inves	American Century Disciplined Growth Fund	02508G875	ADCYX					0.77000		SELL ONLY
American Century Inves	American Century Diversified Bond Fund A	024932501	ADFAX	4.50			.25	0.85000		BUY,SELL
American Century Inves	American Century Diversified Bond Fund C	024932824	CDBCX		1.00	1.00		1.60000		SELL ONLY
American Century Inves	American Century Diversified Bond Fund I	024932600	ACBPX					0.40000		SELL ONLY
American Century Inves	American Century Diversified Bond Fund I	024932402	ADFIX					0.60000		SELL ONLY
American Century Inves	American Century Diversified Bond Fund F	02508J200	ADRVX					0.40000		SELL ONLY
American Century Inves	American Century Diversified Bond Fund Y	02508J309	ADVYX					0.37000		SELL ONLY
American Century Inves	American Century Emerging Markets Debt	025082819	AEDQX	4.50			.25	1.23000		SELL ONLY
American Century Inves	American Century Emerging Markets Debt	025082793	AEDHX		1.00	1.00		1.98000		SELL ONLY
American Century Inves	American Century Emerging Markets Debt	025082769	AEHDX					0.88000		SELL ONLY
American Century Inves	American Century Emerging Markets Debt	025082835	AEDVX					0.98000		SELL ONLY
American Century Inves	American Century Emerging Markets Debt	025082827	AEDJX					0.78000		SELL ONLY
American Century Inves	American Century Emerging Markets Debt	025082751	AEYDX					0.78000		SELL ONLY
American Century Inves	American Century Emerging Markets Fund	025086851	AEMMX	5.75			.25	1.51000		SELL ONLY
American Century Inves	American Century Emerging Markets Fund	025086679	ACECX		1.00	1.00		2.26000		SELL ONLY
American Century Inves	American Century Emerging Markets Fund	025086877	AMKIX					1.06000		SELL ONLY
American Century Inves	American Century Emerging Markets Fund	025086885	TWMIX					1.26000		SELL ONLY
American Century Inves	American Century Emerging Markets Fund	02509A802	AEGMX					1.06000		SELL ONLY
American Century Inves	American Century Emerging Markets Fund	02509A885	AEYMX					0.91000		SELL ONLY
American Century Inves	American Century Emerging Markets Sma	025086265	AECLX	5.75			.25	1.65000		SELL ONLY
American Century Inves	American Century Emerging Markets Sma	025086257	AECHX		1.00	1.00		2.40000		SELL ONLY
American Century Inves	American Century Emerging Markets Sma	025086273	AEC SX					1.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Century Inves	American Century Emerging Markets Sma	025086281	AECVX				1.40000		SELL ONLY
American Century Inves	American Century Equity Growth Fund A C	02507M709	BEQAX	5.75		.25	0.92000		SELL ONLY
American Century Inves	American Century Equity Growth Fund C C	02507M790	AEYCX		1.00	1.00	1.67000		SELL ONLY
American Century Inves	American Century Equity Growth Fund I C	02507M808	AMEIX				0.47000		SELL ONLY
American Century Inves	American Century Equity Growth Fund Inv	02507M600	BEQGX				0.67000		SELL ONLY
American Century Inves	American Century Equity Growth Fund R5	02508G867	AEYGX				0.47000		SELL ONLY
American Century Inves	American Century Equity Income Fund A C	025076407	TWEAX	5.75		.25	1.17000		BUY,SELL
American Century Inves	American Century Equity Income Fund C C	025076746	AEYIX		1.00	1.00	1.92000		SELL ONLY
American Century Inves	American Century Equity Income Fund I C	025076209	ACIIX				0.72000		SELL ONLY
American Century Inves	American Century Equity Income Fund Inv	025076100	TWEIX				0.92000		SELL ONLY
American Century Inves	American Century Equity Income Fund R5	02508F703	AEIUX				0.72000		SELL ONLY
American Century Inves	American Century Equity Income Fund Y C	02508F810	AEIYX				0.57000		SELL ONLY
American Century Inves	American Century Focused Global Growth	025086828	AGGRX	5.75		.25	1.32000		SELL ONLY
American Century Inves	American Century Focused Global Growth	025086695	AGLCX		1.00	1.00	2.07000		SELL ONLY
American Century Inves	American Century Focused Global Growth	025086836	AGGIX				0.87000		SELL ONLY
American Century Inves	American Century Focused Global Growth	025086844	TWGGX				1.07000		SELL ONLY
American Century Inves	American Century Focused Global Growth	02509A869	AGFGX				0.87000		SELL ONLY
American Century Inves	American Century Focused Global Growth	02509A844	AGYGX				0.72000		SELL ONLY
American Century Inves	American Century Focused International G	025086190	AFCLX	5.75		.25	1.34000		SELL ONLY
American Century Inves	American Century Focused International G	025086182	AFCHX		1.00	1.00	2.09000		SELL ONLY
American Century Inves	American Century Focused International G	025086216	AFCSX				0.89000		SELL ONLY
American Century Inves	American Century Focused International G	025086224	AFCNX				1.09000		SELL ONLY
American Century Inves	American Century Ginnie Mae Fund A Cla	025081837	BGNAX	4.50		.25	0.80000		SELL ONLY
American Century Inves	American Century Ginnie Mae Fund I Clas	025081654	AGMHX				0.45000		SELL ONLY
American Century Inves	American Century Ginnie Mae Fund Invest	025081605	BGNMX				0.55000		SELL ONLY
American Century Inves	American Century Ginnie Mae Fund R5 Cl	025081787	AGMNX				0.35000		SELL ONLY
American Century Inves	American Century Global Bond Fund A Cla	025082702	AGBAX	4.50		.25	1.10000		SELL ONLY
American Century Inves	American Century Global Bond Fund C Cla	025082801	AGBTX		1.00	1.00	1.85000		SELL ONLY
American Century Inves	American Century Global Bond Fund I Cla	025082744	AGBHX				0.75000		SELL ONLY
American Century Inves	American Century Global Bond Fund Invest	025082876	AGBVX				0.85000		SELL ONLY
American Century Inves	American Century Global Bond Fund R5 C	025082868	AGBNX				0.65000		SELL ONLY
American Century Inves	American Century Global Bond Fund Y Cla	025082736	AGBWX				0.65000		SELL ONLY
American Century Inves	American Century Global Gold Fund A Cla	02507M204	ACGGX	5.75		.25	0.94000		SELL ONLY
American Century Inves	American Century Global Gold Fund C Cla	02507M485	AGYCX		1.00	1.00	1.69000		SELL ONLY
American Century Inves	American Century Global Gold Fund I Clas	02507M469	AGGNX				0.49000		SELL ONLY
American Century Inves	American Century Global Gold Fund Invest	02507M105	BGEIX				0.69000		SELL ONLY
American Century Inves	American Century Global Real Estate Fund	025076498	ARYMX	5.75		.25	1.37000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Century Inves	American Century Global Real Estate Fund	025076480	ARYTX		1.00	1.00	2.12000		SELL ONLY
American Century Inves	American Century Global Real Estate Fund	025076456	ARYNX				0.92000		SELL ONLY
American Century Inves	American Century Global Real Estate Fund	025076464	ARYVX				1.12000		SELL ONLY
American Century Inves	American Century Global Real Estate Fund	02508F851	ARYGX				0.92000		SELL ONLY
American Century Inves	American Century Global Real Estate Fund	02508F844	ARYYX				0.77000		SELL ONLY
American Century Inves	American Century Global Small Cap Fund	02509A307	AGCLX	5.75		.25	1.37000		SELL ONLY
American Century Inves	American Century Global Small Cap Fund	02509A406	AGCHX		1.00	1.00	2.12000		SELL ONLY
American Century Inves	American Century Global Small Cap Fund	02509A208	AGCSX				0.92000		SELL ONLY
American Century Inves	American Century Global Small Cap Fund	02509A109	AGCVX				1.12000		SELL ONLY
American Century Inves	American Century Government Bond Fund	025081860	ABTAX	4.50		.25	0.72000		SELL ONLY
American Century Inves	American Century Government Bond Fund	025081746	ABTCX		1.00	1.00	1.47000		SELL ONLY
American Century Inves	American Century Government Bond Fund	025081647	ABHTX				0.37000		SELL ONLY
American Century Inves	American Century Government Bond Fund	025081308	CPTNX				0.47000		SELL ONLY
American Century Inves	American Century Government Bond Fund	025081753	ABTIX				0.27000		SELL ONLY
American Century Inves	American Century Growth Fund A Class	025083403	TCRAX	5.75		.25	1.22000		SELL ONLY
American Century Inves	American Century Growth Fund C Class	02508H626	TWRCX		1.00	1.00	1.97000		SELL ONLY
American Century Inves	American Century Growth Fund I Class	025083205	TWGIX				0.77000		SELL ONLY
American Century Inves	American Century Growth Fund Investor C	025083106	TWCGX				0.97000		SELL ONLY
American Century Inves	American Century Growth Fund R5 Class	02508H386	AGWUX				0.77000		SELL ONLY
American Century Inves	American Century Growth Fund Y Class	02508H378	AGYWX				0.62000		SELL ONLY
American Century Inves	American Century Heritage Fund A Class	025083767	ATHAX	5.75		.25	1.26000		SELL ONLY
American Century Inves	American Century Heritage Fund C Class	025083262	AHGCX		1.00	1.00	2.01000		SELL ONLY
American Century Inves	American Century Heritage Fund I Class	025083783	ATHIX				0.81000		SELL ONLY
American Century Inves	American Century Heritage Fund Investor	025083791	TWHIX				1.01000		SELL ONLY
American Century Inves	American Century Heritage Fund R5 Class	02508H360	ATHGX				0.81000		SELL ONLY
American Century Inves	American Century Heritage Fund Y Class	02508H352	ATHYX				0.66000		SELL ONLY
American Century Inves	American Century High Income Fund A Cl	024932147	AHAX	4.50		.25	1.04000		SELL ONLY
American Century Inves	American Century High Income Fund I Cla	024932162	AHIIX				0.69000		SELL ONLY
American Century Inves	American Century High Income Fund Inves	024932170	AHIVX				0.79000		SELL ONLY
American Century Inves	American Century High Income Fund R5 C	024932139	AHIEX				0.59000		SELL ONLY
American Century Inves	American Century High Income Fund Y Cl	024932154	NPHIX				0.59000		SELL ONLY
American Century Inves	American Century High Yield Municipal Fu	024934861	AYMAX	4.50		.25	0.86000		SELL ONLY
American Century Inves	American Century High Yield Municipal Fu	024934879	AYMCX		1.00	1.00	1.61000		SELL ONLY
American Century Inves	American Century High Yield Municipal Fu	024934663	AYMIX				0.41000		SELL ONLY
American Century Inves	American Century High Yield Municipal Fu	024934804	ABHYX				0.61000		SELL ONLY
American Century Inves	American Century High-Yield Fund A Clas	024932881	AHYVX	4.50		.25	1.04000		SELL ONLY
American Century Inves	American Century High-Yield Fund C Clas	024932873	AHDCX		1.00	1.00	1.79000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1	Gross	Redemption	Buy/Sell Eligible
				Load	CDSC		Expense Ratio		
American Century Inves	American Century High-Yield Fund I Class	02508J507	AHYHX				0.69000		SELL ONLY
American Century Inves	American Century High-Yield Fund Investd	024932808	ABHIX				0.79000		SELL ONLY
American Century Inves	American Century High-Yield Fund R5 Cla	024932782	ACYIX				0.59000		SELL ONLY
American Century Inves	American Century High-Yield Fund Y Class	02508J606	AHYLX				0.59000		SELL ONLY
American Century Inves	American Century High-Yield Municipal Fu	024934622	AYMYX				0.38000		SELL ONLY
American Century Inves	American Century Inflation Adjusted Bond	025081829	AIAVX	4.50		.25	0.72000		SELL ONLY
American Century Inves	American Century Inflation Adjusted Bond	025081720	AINOX		1.00	1.00	1.47000		SELL ONLY
American Century Inves	American Century Inflation Adjusted Bond	025081639	AIAHX				0.37000		SELL ONLY
American Century Inves	American Century Inflation Adjusted Bond	025081704	ACITX				0.47000		SELL ONLY
American Century Inves	American Century Inflation Adjusted Bond	025081795	AIANX				0.27000		SELL ONLY
American Century Inves	American Century Inflation Adjusted Bond	025081621	AIAYX				0.27000		SELL ONLY
American Century Inves	American Century Intermediate Term Tax-	024934630	TWTCX		1.00	1.00	1.47000		SELL ONLY
American Century Inves	American Century Intermediate Term Tax-	024934846	AXBIX				0.27000		SELL ONLY
American Century Inves	American Century Intermediate Term Tax-	024934507	TWTIX				0.47000		SELL ONLY
American Century Inves	American Century Intermediate-Term Tax-	024934614	ATBYX				0.24000		SELL ONLY
American Century Inves	American Century International Bond Fund	025082207	AIBDX	4.50		.25	1.06000		SELL ONLY
American Century Inves	American Century International Bond Fund	025082504	AIQCX		1.00	1.00	1.81000		SELL ONLY
American Century Inves	American Century International Bond Fund	025082710	AIBHX				0.71000		SELL ONLY
American Century Inves	American Century International Bond Fund	025082108	BEGBX				0.81000		SELL ONLY
American Century Inves	American Century International Bond Fund	025082306	AIDIX				0.61000		SELL ONLY
American Century Inves	American Century International Bond Fund	025082694	AIBYX				0.61000		SELL ONLY
American Century Inves	American Century International Growth Fu	025086406	TWGAX	5.75		.25	1.43000		SELL ONLY
American Century Inves	American Century International Growth Fu	025086687	AIWCX		1.00	1.00	2.18000		SELL ONLY
American Century Inves	American Century International Growth Fu	025086208	TGRIX				0.98000		SELL ONLY
American Century Inves	American Century International Growth Fu	025086109	TWIEX				1.18000		SELL ONLY
American Century Inves	American Century International Growth Fu	02509A810	ATGGX				0.98000		SELL ONLY
American Century Inves	American Century International Growth Fu	02509A703	ATYGX				0.83000		SELL ONLY
American Century Inves	American Century International Opportunit	025086455	AIVOX	5.75		.25	1.65000		SELL ONLY
American Century Inves	American Century International Opportunit	025086448	AIOCX		1.00	1.00	2.40000		SELL ONLY
American Century Inves	American Century International Opportunit	025086737	ACIOX				1.20000		SELL ONLY
American Century Inves	American Century International Opportunit	025086745	AIOIX				1.40000		SELL ONLY
American Century Inves	American Century International Value Fund	025086562	MEQAX	5.75		.25	1.36000		SELL ONLY
American Century Inves	American Century International Value Fund	025086547	ACCOX		1.00	1.00	2.11000		SELL ONLY
American Century Inves	American Century International Value Fund	025086570	ACVUX				0.91000		SELL ONLY
American Century Inves	American Century International Value Fund	025086588	ACEVX				1.11000		SELL ONLY
American Century Inves	American Century Investments Focused D	02507H700	ACFDX	5.75		.25	1.27000		SELL ONLY
American Century Inves	American Century Investments Focused D	02507H601	ACFSX				0.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Century Inves	American Century Investments Focused D	02507H502	ACFOX				1.02000		SELL ONLY
American Century Inves	American Century Investments Ginnie Mae	025081761	BGNCX		1.00	1.00	1.55000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F605	ARWAX	5.75		.25	1.05000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F498	ARWCX		1.00	1.00	1.80000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F704	ARWFX				0.61000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F506	ARWIX				0.80000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F621	ARCMX	5.75		.25	1.08000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F480	ARWOX		1.00	1.00	1.83000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F647	ARCSX				0.64000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F654	ARCVX				0.83000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F878	ARYAX	5.75		.25	1.11000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F472	ARLCX		1.00	1.00	1.86000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F860	ARLIX				0.67000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F886	ARYIX				0.86000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F571	ARDMX	5.75		.25	1.14000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F464	ARNOX		1.00	1.00	1.89000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F597	ARDSX				0.69000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F613	ARDVX				0.89000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F837	AROAX	5.75		.25	1.16000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F456	AROCX		1.00	1.00	1.91000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F829	AOOIX				0.71000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F845	AROIX				0.91000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F530	ARFMX	5.75		.25	1.18000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F449	ARFDX		1.00	1.00	1.93000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F555	ARFSX				0.73000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F563	ARFVX				0.93000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F399	AREMX	5.75		.25	1.19000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F381	AREFX		1.00	1.00	1.94000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F415	ARENX				0.74000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F423	AREVX				0.94000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F167	ARGMX	5.75		.25	1.20000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F159	ARGHX		1.00	1.00	1.95000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F183	ARGNX				0.75000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F191	ARGVX				0.95000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507J847	ARHMX	5.75		.25	1.20000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507J839	ARHEX		1.00	1.00	1.95000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507J854	ARHUX				0.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
American Century Inves	American Century Investments One Choic	02507J862	ARHVX					0.95000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F787	ARTAX	5.75			.25	1.03000		BUY,SELL
American Century Inves	American Century Investments One Choic	02507F431	ATTCX		1.00	1.00		1.78000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F779	ATTIX					0.60000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F795	ARTOX					0.78000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F720	AOGIX					0.94000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F746	AOCIX					0.81000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F738	AOMIX					0.89000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F712	AOVIX					1.05000		SELL ONLY
American Century Inves	American Century Investments One Choic	02507F753	AONIX					0.71000		SELL ONLY
American Century Inves	American Century Investments? Focused	025076787	ALPAX	5.75			.25	1.09000		SELL ONLY
American Century Inves	American Century Investments? Focused	025076738	ALPCX		1.00	1.00		1.84000		SELL ONLY
American Century Inves	American Century Investments? Focused	025076779	ALVSX					0.64000		SELL ONLY
American Century Inves	American Century Investments? Focused	025076795	ALVIX					0.84000		SELL ONLY
American Century Inves	American Century Investments? Focused	02508F786	ALVGX					0.64000		SELL ONLY
American Century Inves	American Century Mid Cap Value Fund A	025076639	ACLAX	5.75			.25	1.23000		SELL ONLY
American Century Inves	American Century Mid Cap Value Fund C	025076514	ACCLX		1.00	1.00		1.98000		SELL ONLY
American Century Inves	American Century Mid Cap Value Fund I	025076647	AVUAX					0.78000		SELL ONLY
American Century Inves	American Century Mid Cap Value Fund In	025076654	ACMVX					0.98000		SELL ONLY
American Century Inves	American Century Mid Cap Value Fund R5	02508F778	AMVGX					0.78000		SELL ONLY
American Century Inves	American Century Mid Cap Value Fund Y	02508F760	AMVYX					0.63000		SELL ONLY
American Century Inves	American Century Non-U.S. IntrinsicValue	02509A778	ANVLX	5.75			.25	1.57000		SELL ONLY
American Century Inves	American Century Non-U.S. IntrinsicValue	02509A786	ANVHX					1.12000		SELL ONLY
American Century Inves	American Century Non-U.S. IntrinsicValue	025086158	ANTUX					1.32000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 201	02507J771	AAAJX	5.75			.25	0.85000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 201	02507J789	AAAHX					0.40000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 201	02507J797	AAAFX					0.60000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 202	02507J722	AABEX	5.75			.25	0.84000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 202	02507J730	AAAOX					0.39000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 202	02507J748	AAAMX					0.59000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 202	02507J664	AABQX	5.75			.25	0.84000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 202	02507J672	AABKX					0.39000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 202	02507J680	AABJX					0.59000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 203	02507J615	AABZX	5.75			.25	0.84000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 203	02507J623	AAEWX					0.39000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 203	02507J631	AABWX					0.59000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 203	02507J557	AACMX	5.75			.25	0.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Century Inves	American Century One Choice Blend+ 203	02507J565	AACLX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 203	02507J573	AACKX				0.58000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 204	02507J490	AACVX	5.75		.25	0.83000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 204	02507J516	AACUX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 204	02507J524	AACSX				0.58000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 204	02507J441	AADKX	5.75		.25	0.83000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 204	02507J458	AADJX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 204	02507J466	AADHX				0.58000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 205	02507J383	AADPX	5.75		.25	0.83000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 205	02507J391	AADOX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 205	02507J417	AADNX				0.58000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 205	02507J334	AADZX	5.75		.25	0.83000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 205	02507J342	AADWX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 205	02507J359	AADVX				0.58000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 206	02507J276	AAEHX	5.75			0.83000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 206	02507J284	AAEGX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 206	02507J292	AAEFX				0.58000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 206	02507J227	AAEOX	5.75		.25	0.83000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 206	02507J235	AAELX				0.38000		SELL ONLY
American Century Inves	American Century One Choice Blend+ 206	02507J243	AAEKX				0.58000		SELL ONLY
American Century Inves	American Century Real Estate Fund A Cla	025076860	AREEX	5.75		.25	1.41000		SELL ONLY
American Century Inves	American Century Real Estate Fund C Cla	025076555	ARYCX		1.00	1.00	2.16000		SELL ONLY
American Century Inves	American Century Real Estate Fund I Clas	025076878	REAIX				0.96000		SELL ONLY
American Century Inves	American Century Real Estate Fund Inves	025076886	REACX				1.16000		SELL ONLY
American Century Inves	American Century Real Estate Fund R5 Cl	02508F836	ARREX				0.96000		SELL ONLY
American Century Inves	American Century Real Estate Fund Y Cla	02508F828	ARYEX				0.81000		SELL ONLY
American Century Inves	American Century Select Fund A Class	025083809	TWCAX	5.75		.25	1.24000		SELL ONLY
American Century Inves	American Century Select Fund C Class	025083239	ACSLX		1.00	1.00	1.99000		SELL ONLY
American Century Inves	American Century Select Fund I Class	025083601	TWSIX				0.79000		SELL ONLY
American Century Inves	American Century Select Fund Investor Cl	025083502	TWCIX				0.99000		SELL ONLY
American Century Inves	American Century Select Fund R5 Class	02508H345	ASLGX				0.79000		SELL ONLY
American Century Inves	American Century Select Fund Y Class	02508H337	ASLWX				0.64000		SELL ONLY
American Century Inves	American Century Short Duration Fund A C	024932477	ACSQX	2.25		.25	0.85000		SELL ONLY
American Century Inves	American Century Short Duration Fund C C	024932451	ACSKX		1.00	1.00	1.60000		SELL ONLY
American Century Inves	American Century Short Duration Fund I C	02508J705	ASHHX				0.50000		SELL ONLY
American Century Inves	American Century Short Duration Fund Inv	024932436	ACSNX				0.60000		SELL ONLY
American Century Inves	American Century Short Duration Fund R5	024932428	ACSUX				0.40000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
American Century Inves	American Century Short Duration Inflation	024932758	APOAX	2.25			.25	0.82000		SELL ONLY
American Century Inves	American Century Short Duration Inflation	024932733	APOCX		1.00	1.00		1.57000		SELL ONLY
American Century Inves	American Century Short Duration Inflation	02508J804	APOHX					0.47000		SELL ONLY
American Century Inves	American Century Short Duration Inflation	024932774	APOIX					0.57000		SELL ONLY
American Century Inves	American Century Short Duration Inflation	024932766	APISX					0.37000		SELL ONLY
American Century Inves	American Century Short Duration Inflation	02508J887	APOYX					0.37000		SELL ONLY
American Century Inves	American Century Short Duration Strategid	024932295	ASADX	2.25			.25	0.78000		SELL ONLY
American Century Inves	American Century Short Duration Strategid	024932287	ASCDX		1.00	1.00		1.53000		SELL ONLY
American Century Inves	American Century Short Duration Strategid	02508J879	ASDHX					0.43000		SELL ONLY
American Century Inves	American Century Short Duration Strategid	024932253	ASDVX					0.53000		SELL ONLY
American Century Inves	American Century Short Duration Strategid	024932246	ASDJX					0.33000		SELL ONLY
American Century Inves	American Century Short Duration Strategid	02508J861	ASYDX					0.33000		SELL ONLY
American Century Inves	American Century Short-Term Governmen	025081845	TWAVX	2.25			.25	0.80000		SELL ONLY
American Century Inves	American Century Short-Term Governmen	025081696	TWACX		1.00	1.00		1.55000		SELL ONLY
American Century Inves	American Century Short-Term Governmen	025081662	ASGHX					0.45000		SELL ONLY
American Century Inves	American Century Short-Term Governmen	025081506	TWUSX					0.55000		SELL ONLY
American Century Inves	American Century Short-Term Governmen	025081670	TWUOX					0.35000		SELL ONLY
American Century Inves	American Century Small Cap Growth Fund	025083221	ANOAX	5.75			.25	1.47000		SELL ONLY
American Century Inves	American Century Small Cap Growth Fund	025083197	ANOCX		1.00	1.00		2.22000		SELL ONLY
American Century Inves	American Century Small Cap Growth Fund	025083320	ANONX					1.02000		SELL ONLY
American Century Inves	American Century Small Cap Growth Fund	025083338	ANOIX					1.22000		SELL ONLY
American Century Inves	American Century Small Cap Growth Fund	02508H329	ANOGX					1.02000		SELL ONLY
American Century Inves	American Century Small Cap Growth Fund	02508H311	ANOYX					0.87000		SELL ONLY
American Century Inves	American Century Small Cap Value Fund	025076837	ACSCX	5.75			.25	1.44000		SELL ONLY
American Century Inves	American Century Small Cap Value Fund	025076530	ASVNX		1.00	1.00		2.19000		SELL ONLY
American Century Inves	American Century Small Cap Value Fund	025076845	ACVIX					0.99000		SELL ONLY
American Century Inves	American Century Small Cap Value Fund	025076852	ASVIX					1.19000		SELL ONLY
American Century Inves	American Century Small Cap Value Fund	02508F752	ASVGX					0.99000		SELL ONLY
American Century Inves	American Century Small Cap Value Fund	02508F745	ASVYX					0.84000		SELL ONLY
American Century Inves	American Century Small Company Fund A	02507M824	ASQAX	5.75			.25	1.12000		SELL ONLY
American Century Inves	American Century Small Company Fund C	02507M329	ASQCX		1.00	1.00		1.87000		SELL ONLY
American Century Inves	American Century Small Company Fund I	02507M832	ASCQX					0.67000		SELL ONLY
American Century Inves	American Century Small Company Fund Ir	02507M840	ASQIX					0.87000		SELL ONLY
American Century Inves	American Century Small Company Fund R	02508G826	ASQGX					0.67000		SELL ONLY
American Century Inves	American Century Strategic Allocation Mod	025085762	ASTBX		5.00	1		2.0600		SELL ONLY
American Century Inves	American Century Strategic Allocation: Agg	025085887	ACVAX	5.75			.25	1.57000		BUY,SELL
American Century Inves	American Century Strategic Allocation: Agg	025085838	ASTAX		1.00	1.00		2.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Century Inves	American Century Strategic Allocation: Agg	025085853	AAAIX				1.12000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Agg	025085705	TWSAX				1.32000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Agg	025085499	ASAX				1.12000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Co	025085309	ACCAX	5.75		.25	1.36000		BUY,SELL
American Century Inves	American Century Strategic Allocation: Co	025085739	AACCX		1.00	1.00	2.11000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Co	025085879	ACCIX				0.91000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Co	025085101	TWSCX				1.11000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Co	025085523	AACGX				0.91000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Mo	025085606	ACOAX	5.75		.25	1.49000		BUY,SELL
American Century Inves	American Century Strategic Allocation: Mo	025085846	ASTCX		1.00	1.00	2.24000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Mo	025085861	ASAMX				1.04000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Mo	025085408	TWSMX				1.24000		SELL ONLY
American Century Inves	American Century Strategic Allocation: Mo	025085515	ASMUX				1.04000		SELL ONLY
American Century Inves	American Century Strategic Income Fund	024932360	ASIQX	4.50		.25	1.04000		SELL ONLY
American Century Inves	American Century Strategic Income Fund	024932352	ASIHX		1.00	1.00	1.79000		SELL ONLY
American Century Inves	American Century Strategic Income Fund	02508J853	ASIGX				0.69000		SELL ONLY
American Century Inves	American Century Strategic Income Fund	024932329	ASIEX				0.79000		SELL ONLY
American Century Inves	American Century Strategic Income Fund	024932311	ASIJX				0.59000		SELL ONLY
American Century Inves	American Century Strategic Income Fund	02508J846	ASYIX				0.59000		SELL ONLY
American Century Inves	American Century Sustainable Equity Fund	025083130	AFDAX	5.75		.25	1.04000		BUY,SELL
American Century Inves	American Century Sustainable Equity Fund	025083114	AFDCX		1.00	1.00	1.79000		SELL ONLY
American Century Inves	American Century Sustainable Equity Fund	02508H709	AFEIX				0.59000		SELL ONLY
American Century Inves	American Century Sustainable Equity Fund	02508H600	AFDIX				0.79000		SELL ONLY
American Century Inves	American Century Sustainable Equity Fund	02508H295	AFDGX				0.59000		SELL ONLY
American Century Inves	American Century Sustainable Equity Fund	02508H287	AFYDX				0.44000		SELL ONLY
American Century Inves	American Century Ultra? Fund A Class	025083858	TWUAX	5.75		.25	1.22000		SELL ONLY
American Century Inves	American Century Ultra? Fund C Class	025083288	TWCCX		1.00	1.00	1.97000		SELL ONLY
American Century Inves	American Century Ultra? Fund I Class	025083874	TWUIX				0.77000		SELL ONLY
American Century Inves	American Century Ultra? Fund Investor Cla	025083882	TWCUX				0.97000		SELL ONLY
American Century Inves	American Century Ultra? Fund R5 Class	02508H279	AULGX				0.77000		SELL ONLY
American Century Inves	American Century Ultra? Fund Y Class	02508H261	AULYX				0.62000		SELL ONLY
American Century Inves	American Century Utilities Fund Investor C	02507M881	BULIX				0.67000		SELL ONLY
American Century Inves	American Century Value Fund A Class	025076803	TWADX	5.75		.25	1.25000		SELL ONLY
American Century Inves	American Century Value Fund C Class	025076761	ACLCX		1.00	1.00	2.00000		SELL ONLY
American Century Inves	American Century Value Fund I Class	025076605	AVLIX				0.80000		SELL ONLY
American Century Inves	American Century Value Fund Investor Cla	025076506	TWVLX				1.00000		SELL ONLY
American Century Inves	American Century Value Fund R5 Class	02508F737	AVUGX				0.80000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Century Invest	American Century Value Fund Y Class	02508F729	AVUYX				0.65000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02630T100	AAATX	5.75		.25	0.64000		BUY,SELL
American Funds	American Funds 2010 Target Date Retire	02631C205	CCATX		1.00	1.00	1.40000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02631C304	FAATX			.25	0.67000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02631C403	FBATX				0.39000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02631V104	DJTFX				0.30000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02630T209	RAATX			1.00	1.43000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02630T308	RBATX			.75	1.41000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02631C536	RBEAX			.60	1.11000		SELL ONLY
American Funds	American Funds 2010 Target Date Retire	02630T407	RCATX			.50	0.96000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02630T704	AABTX	5.75		.24	0.63000		BUY,SELL
American Funds	American Funds 2015 Target Date Retire	02631C601	CCBTX		1.00	1.00	1.39000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02631C700	FAKTX			.25	0.66000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02631C809	FBBTX				0.39000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02631V203	FDBTX				0.30000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02630T803	RAJTX			1.00	1.43000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02630T886	RBJTX			.75	1.41000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02631C528	RBEJX			.60	1.11000		SELL ONLY
American Funds	American Funds 2015 Target Date Retire	02630T878	RCJTX			.50	0.95000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02630T845	AACTX	5.75		.26	0.65000		BUY,SELL
American Funds	American Funds 2020 Target Date Retire	02631C874	CCCTX		1.00	1.00	1.40000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02631C866	FAOTX			.25	0.67000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02631C858	FBCTX				0.39000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02631V302	FCCTX				0.31000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02630T837	RACTX			1.00	1.44000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02630T829	RBCTX			.75	1.41000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02631C510	RBEHX			.60	1.11000		SELL ONLY
American Funds	American Funds 2020 Target Date Retire	02630T811	RCCTX			.50	0.96000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02630T779	AADTX	5.75		.26	0.67000		BUY,SELL
American Funds	American Funds 2025 Target Date Retire	02631C833	CCDTX		1.00	1.00	1.42000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02631C825	FAPTX			.25	0.69000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02631C817	FBDTX				0.41000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02631V401	FDDTX				0.33000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02630T761	RADTX			1.00	1.46000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02630T753	RBDTX			.75	1.43000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02631C494	RBEDX			.60	1.13000		SELL ONLY
American Funds	American Funds 2025 Target Date Retire	02630T746	RCDTX			.50	0.98000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds 2030 Target Date Retire	02630T712	AAETX	5.75		.26	0.69000		BUY,SELL
American Funds	American Funds 2030 Target Date Retire	02631C783	CCETX		1.00	1.00	1.44000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02631C775	FAETX			.25	0.71000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02631C767	FBETX				0.43000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02631V500	FCETX				0.35000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02630T696	RAETX			1.00	1.48000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02630T688	RBETX			.75	1.45000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02631C486	RBEEEX			.60	1.15000		SELL ONLY
American Funds	American Funds 2030 Target Date Retire	02630T670	RCETX			.50	1.00000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02630T647	AAFTX	5.75		.25	0.70000		BUY,SELL
American Funds	American Funds 2035 Target Date Retire	02631C742	CCFTX		1.00	1.00	1.46000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02631C734	FAQTX			.25	0.73000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02631C726	FBFTX				0.45000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02631V609	FDFTX				0.37000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02630T639	RAFTX			1.00	1.50000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02630T621	RBFTX			.75	1.47000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02631C478	RBEFX			.60	1.17000		SELL ONLY
American Funds	American Funds 2035 Target Date Retire	02630T613	RCFTX			.50	1.02000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02630T571	AAGTX	5.75		.25	0.71000		BUY,SELL
American Funds	American Funds 2040 Target Date Retire	02631C692	CCGTX		1.00	1.00	1.47000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02631C684	FAUTX			.25	0.74000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02631C676	FBGTX				0.46000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02631V708	FCGTX				0.38000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02630T563	RAKTX			1.00	1.51000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02630T555	RBKTX			.75	1.48000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02631C460	RBEKX			.60	1.18000		SELL ONLY
American Funds	American Funds 2040 Target Date Retire	02630T548	RCKTX			.50	1.03000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02630T514	AAHTX	5.75		.25	0.72000		BUY,SELL
American Funds	American Funds 2045 Target Date Retire	02631C650	CCHTX		1.00	1.00	1.48000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02631C643	FATTX			.25	0.75000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02631C635	FBHTX				0.48000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02631V807	FCHTX				0.39000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02630T498	RAHTX			1.00	1.52000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02630T480	RBHTX			.75	1.49000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02631C452	RBHHX			.60	1.19000		SELL ONLY
American Funds	American Funds 2045 Target Date Retire	02630T472	RCHTX			.50	1.04000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02630T449	AALTX	5.75		.25	0.72000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds 2050 Target Date Retire	02631C619	CCITX		1.00	1.00	1.48000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02631C593	FAITX			.25	0.75000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02631C585	FBITX				0.47000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02631V880	DITFX				0.39000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02630T431	RAITX			1.00	1.52000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02630T423	RBITX			.75	1.49000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02630T191	RBHEX			.60	1.19000		SELL ONLY
American Funds	American Funds 2050 Target Date Retire	02630T415	RCITX			.50	1.04000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02630T274	AAMTX	5.75		.25	0.73000		BUY,SELL
American Funds	American Funds 2055 Target Date Retire	02631C569	CCJTX		1.00	1.00	1.48000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02631C551	FAJTX			.25	0.75000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02631C544	FBJTX				0.48000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02631V872	FCJTX				0.39000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02630T266	RAMTX			1.00	1.52000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02630T258	RBMTX			.75	1.50000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02630T183	RBEMX			.60	1.19000		SELL ONLY
American Funds	American Funds 2055 Target Date Retire	02630T241	RCMTX			.50	1.05000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C445	AANTX	5.75		.27	0.75000		BUY,SELL
American Funds	American Funds 2060 Target Date Retire	02631C429	CCKTX		1.00	1.00	1.49000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C411	FAWTX			.25	0.76000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C395	FBKTX				0.48000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631V864	FCKTX				0.40000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C387	RANTX			1.00	1.52000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C379	RBNTX			.75	1.50000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C361	RBENX			.60	1.20000		SELL ONLY
American Funds	American Funds 2060 Target Date Retire	02631C353	RCNTX			.50	1.05000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V740	AAOTX	5.75		.30	0.84000		BUY,SELL
American Funds	American Funds 2065 Target Date Retire	02631V732	CCLTX		1.00	1.00	1.55000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V716	FAXTX			.25	0.81000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V690	FBMTX				0.54000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V682	FCQTX				0.45000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V674	RAQTX			1.00	1.57000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V666	RBOTX			.75	1.55000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V658	RBEOX			.60	1.25000		SELL ONLY
American Funds	American Funds 2065 Target Date Retire	02631V641	RCPTX			.50	1.10000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class 529	023375504	CAFAX	3.50		.23	0.71000		BUY,SELL
American Funds	American Funds AMCAP Fund? Class 529	023375702	CAFCX		1.00	1.00	1.49000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
American Funds	American Funds AMCAP Fund? Class 529	023375884	CAFFX			.25	0.73000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class 529	023375744	FMMMXX				0.47000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class A	023375108	AMCPX	5.75		.25	0.68000		BUY,SELL
American Funds	American Funds AMCAP Fund? Class C	023375306	AMPCX		1.00	1.00	1.43000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class F-1	023375405	AMPFX			.25	0.71000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class F-2	023375827	AMCFX				0.45000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class F-3	023375777	FMACX				0.34000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class R-1	023375876	RAFAX			1.00	1.44000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class R-2	023375868	RAFBX			.75	1.44000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class R-2	023375793	RAEBX			.60	1.14000		SELL ONLY
American Funds	American Funds AMCAP Fund? Class R-3	023375850	RAFCX			.50	0.99000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071508	CLBAX	3.50		.24	0.62000		BUY,SELL
American Funds	American Funds American Balanced Fund	024071706	CLBCX		1.00	1.00	1.39000		BUY,SELL
American Funds	American Funds American Balanced Fund	024071888	CLBFX			.25	0.63000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071748	FBAFX				0.38000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071102	ABALX	5.75		.25	0.58000		BUY,SELL
American Funds	American Funds American Balanced Fund	024071300	BALCX		1.00	1.00	1.33000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071409	BALFX			.25	0.63000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071821	AMBFX				0.36000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071771	AFMBX				0.26000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071870	RLBAX			1.00	1.34000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071862	RLBBX			.75	1.34000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071797	RAMHX			.60	1.06000		SELL ONLY
American Funds	American Funds American Balanced Fund	024071854	RLBCX			.50	0.90000		SELL ONLY
American Funds	American Funds American High-Income T	026547505	CITAX	3.50		.23	0.76000		BUY,SELL
American Funds	American Funds American High-Income T	026547703	CITCX		1.00	1.00	1.53000		BUY,SELL
American Funds	American Funds American High-Income T	026547885	CITFX			.25	0.78000		SELL ONLY
American Funds	American Funds American High-Income T	026547745	FAHHX				0.47000		SELL ONLY
American Funds	American Funds American High-Income T	026547109	AHITX	3.75		.26	0.73000		BUY,SELL
American Funds	American Funds American High-Income T	026547307	AHTCX		1.00	1.00	1.48000		SELL ONLY
American Funds	American Funds American High-Income T	026547406	AHTFX			.25	0.73000		SELL ONLY
American Funds	American Funds American High-Income T	026547828	AHIFX				0.44000		SELL ONLY
American Funds	American Funds American High-Income T	026547778	HIGFX				0.34000		SELL ONLY
American Funds	American Funds American High-Income T	026547877	RITAX			1.00	1.45000		SELL ONLY
American Funds	American Funds American High-Income T	026547869	RITBX			.75	1.46000		SELL ONLY
American Funds	American Funds American High-Income T	026547794	RTEHX			.60	1.17000		SELL ONLY
American Funds	American Funds American High-Income T	026547851	RITCX			.50	1.01000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
American Funds	American Funds American Mutual Fund?	027681501	CMLAX	3.50		.24	0.63000		BUY,SELL
American Funds	American Funds American Mutual Fund?	027681709	CMLCX		1.00	1.00	1.40000		BUY,SELL
American Funds	American Funds American Mutual Fund?	027681881	CMLFX			.00	0.65000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681741	FFMMX				0.38000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681105	AMRMX	5.75		.24	0.59000		BUY,SELL
American Funds	American Funds American Mutual Fund?	027681303	AMFCX		1.00	1.00	1.34000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681402	AMFFX			.25	0.64000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681824	AMRFX				0.38000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681774	AFMFX				0.28000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681873	RMFAX			1.00	1.40000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681865	RMFBX			.75	1.38000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681790	RMEBX			.60	1.08000		SELL ONLY
American Funds	American Funds American Mutual Fund?	027681857	RMFCX			.50	0.93000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193509	CIRAX	3.50		.24	0.65000		BUY,SELL
American Funds	American Funds Capital Income Builder?	140193707	CIRCX		1.00	1.00	1.41000		BUY,SELL
American Funds	American Funds Capital Income Builder?	140193889	CIRFX			.25	0.66000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193756	FBCIX				0.38000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193103	CAIBX	5.75		.25	0.61000		BUY,SELL
American Funds	American Funds Capital Income Builder?	140193301	CIBCX		1.00	1.00	1.36000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193400	CIBFX			.25	0.65000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140194101	CAIFX				0.38000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193780	CFIHX				0.28000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193871	RIRAX			1.00	1.38000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193863	RIRBX			.75	1.38000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193814	RCEEX			.60	1.09000		SELL ONLY
American Funds	American Funds Capital Income Builder?	140193855	RIRCX			.50	0.93000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541509	CCWAX	3.50		.24	0.96000		BUY,SELL
American Funds	American Funds Capital World Bond Fund	140541707	CCWCX		1.00	1.00	1.74000		BUY,SELL
American Funds	American Funds Capital World Bond Fund	140541889	CCWFX			.25	0.97000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541756	FCWBX				0.65000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541103	CWBFX	3.75		.25	0.92000		BUY,SELL
American Funds	American Funds Capital World Bond Fund	140541301	CWBCX		1.00	1.00	1.68000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541400	WBFFX			.25	0.93000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541822	BFWFX				0.59000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541111	WFBFX				0.50000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541871	RCWAX			1.00	1.58000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541863	RCWBX			.75	1.59000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds Capital World Bond Fund	140541798	RCEBX			.60	1.31000		SELL ONLY
American Funds	American Funds Capital World Bond Fund	140541855	RCWCX			.50	1.14000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543505	CWIAX	3.50		.23	0.80000		BUY,SELL
American Funds	American Funds Capital World Growth and	140543703	CWICX		1.00	1.00	1.58000		BUY,SELL
American Funds	American Funds Capital World Growth and	140543885	CWIFX			.25	0.82000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543752	FCWGX				0.56000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543109	CWGIX	5.75		.24	0.76000		BUY,SELL
American Funds	American Funds Capital World Growth and	140543307	CWGCX		1.00	1.00	1.52000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543406	CWGFY			.25	0.81000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543828	WGIFX				0.52000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543117	FWGIX				0.42000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543877	RWIAA			1.00	1.52000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543869	RWIBX			.75	1.52000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543794	RWBEX			.60	1.22000		SELL ONLY
American Funds	American Funds Capital World Growth and	140543851	RWICX			.50	1.07000		SELL ONLY
American Funds	American Funds College 2024 Fund Class	02629M868	CFTAX	3.50		.24	0.66000		BUY,SELL
American Funds	American Funds College 2024 Fund Class	02629M843	CTFCX		1.00	1.00	1.42000		BUY,SELL
American Funds	American Funds College 2024 Fund Class	02629M827	CTFFX			.25	0.67000		SELL ONLY
American Funds	American Funds College 2024 Fund Class	02629M421	FACRX				0.42000		SELL ONLY
American Funds	American Funds College 2027 Fund Class	02629M603	CSTAX	3.50		.24	0.69000		BUY,SELL
American Funds	American Funds College 2027 Fund Class	02629M801	CTSCX		1.00	1.00	1.44000		BUY,SELL
American Funds	American Funds College 2027 Fund Class	02629M876	CTSEY			.25	0.69000		SELL ONLY
American Funds	American Funds College 2027 Fund Class	02629M454	FFCFX				0.44000		SELL ONLY
American Funds	American Funds College 2030 Fund Class	02629M108	CTHAX	3.50		.24	0.74000		BUY,SELL
American Funds	American Funds College 2030 Fund Class	02629M306	CTYCX		1.00	1.00	1.49000		BUY,SELL
American Funds	American Funds College 2030 Fund Class	02629M504	CTHFX			.25	0.74000		SELL ONLY
American Funds	American Funds College 2030 Fund Class	02629M488	FDFCX				0.49000		SELL ONLY
American Funds	American Funds College 2033 Fund Class	02629M587	CTLAX	3.50		.25	0.77000		BUY,SELL
American Funds	American Funds College 2033 Fund Class	02629M561	CTLCX		1.00	1.00	1.51000		BUY,SELL
American Funds	American Funds College 2033 Fund Class	02629M546	CTLFY			.25	0.76000		SELL ONLY
American Funds	American Funds College 2033 Fund Class	02629M520	FCCFX				0.51000		SELL ONLY
American Funds	American Funds College 2036 Fund Class	02629M298	CCFAX	3.50		.29	0.87000		BUY,SELL
American Funds	American Funds College 2036 Fund Class	02629M280	CTDCX		1.00	1.00	1.56000		BUY,SELL
American Funds	American Funds College 2036 Fund Class	02629M256	CTAFX			.25	0.82000		SELL ONLY
American Funds	American Funds College 2036 Fund Class	02629M249	CTAHX				0.58000		SELL ONLY
American Funds	American Funds College 2039 Fund Class	02629M223	CDJAX	3.50		.30	0.98000		BUY,SELL
American Funds	American Funds College 2039 Fund Class	02629M215	CTJCY		1.00	1.00	1.67000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds College 2039 Fund Class	02629M165	FCFGX				0.68000		SELL ONLY
American Funds	American Funds College Enrollment Fund	02629M645	CENAX	2.50			.24 0.69000		BUY,SELL
American Funds	American Funds College Enrollment Fund	02629M629	CENCX		1.00	1.00	1.44000		BUY,SELL
American Funds	American Funds College Enrollment Fund	02629M595	CENFX				.25 0.69000		SELL ONLY
American Funds	American Funds College Enrollment Fund	02629M322	FAADX				0.44000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y380	CIPAX	3.50			.25 0.64000		BUY,SELL
American Funds	American Funds Conservative Growth and	02630Y364	CIPCX		1.00	1.00	1.39000		BUY,SELL
American Funds	American Funds Conservative Growth and	02630Y349	CIPFX				.25 0.64000		SELL ONLY
American Funds	American Funds Conservative Growth and	02631T703	FTPPX				0.40000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y448	INPAX	5.75			.27 0.60000		BUY,SELL
American Funds	American Funds Conservative Growth and	02630Y422	INPCX		1.00	1.00	1.33000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y414	INPFX				.25 0.64000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y398	INPEX				0.39000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Q205	INPDX				0.29000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y331	RNCAX			1.00	1.40000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y323	RINBX				.75 1.35000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630R377	RNBEX				.60 1.11000		SELL ONLY
American Funds	American Funds Conservative Growth and	02630Y315	RNCCX				.50 0.91000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H604	COBAX	3.50			.24 0.88000		BUY,SELL
American Funds	American Funds Corporate Bond Fund Cla	02629H802	COBCX		1.00	1.00	1.65000		BUY,SELL
American Funds	American Funds Corporate Bond Fund Cla	02629H877	COBFX				.25 0.89000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H745	FFBBX				0.60000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H109	BFCAX	3.75			.30 0.89000		BUY,SELL
American Funds	American Funds Corporate Bond Fund Cla	02629H307	BFCCX		1.00	1.00	1.59000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H406	BFCFX				.25 0.85000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H505	BFCGX				0.58000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H778	CFBFX				0.49000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H869	RCBAX			1.00	1.52000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H851	RCBBX				.75 1.56000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H794	RCBGX				.60 1.29000		SELL ONLY
American Funds	American Funds Corporate Bond Fund Cla	02629H844	RCBCX				.50 1.13000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F605	CDWAX	3.50			.22 1.29000		BUY,SELL
American Funds	American Funds Developing World Growth	02630F803	CDWCX		1.00	1.00	2.07000		BUY,SELL
American Funds	American Funds Developing World Growth	02630F878	CDWFX				.25 1.31000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F746	FWDDX				0.96000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F100	DWGAX	5.75			.24 1.26000		BUY,SELL
American Funds	American Funds Developing World Growth	02630F308	DWGCX		1.00	1.00	2.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Funds	American Funds Developing World Growth	02630F407	DWGFXX			.25	1.22000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F506	DWGHXX				0.95000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F779	FDWGX				0.87000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F860	RDWAX			1.00	1.98000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F852	RDWBX			.75	1.94000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F795	RDEGX			.60	1.69000		SELL ONLY
American Funds	American Funds Developing World Growth	02630F845	RDWCX			.50	1.49000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F604	CBNAX	3.50		.22	1.06000		BUY,SELL
American Funds	American Funds Emerging Markets Bond	02631F802	CBNCX		1.00	1.00	1.84000		BUY,SELL
American Funds	American Funds Emerging Markets Bond	02631F877	CBNFX			.00	1.09000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F745	FEBMX				0.81000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F109	EBNAX	3.75		.25	1.08000		BUY,SELL
American Funds	American Funds Emerging Markets Bond	02631F307	EBNCX		1.00	1.00	1.79000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F406	EBNEX			.25	1.05000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F505	EBNFX				0.77000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F778	EBNGX				0.70000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F869	REGAX			1.00	1.78000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F851	REGBX			.75	1.73000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F844	REGHX			.60	1.51000		SELL ONLY
American Funds	American Funds Emerging Markets Bond	02631F836	REGCX			.50	1.31000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706508	CEUAX	3.50		.23	0.85000		BUY,SELL
American Funds	American Funds EuroPacific Growth Fund	298706706	CEUCX		1.00	1.00	1.64000		BUY,SELL
American Funds	American Funds EuroPacific Growth Fund	298706888	CEUFX			.25	0.88000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706763	FUEPX				0.61000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706102	AEPGX	5.75		.24	0.82000		BUY,SELL
American Funds	American Funds EuroPacific Growth Fund	298706300	AEPCX		1.00	1.00	1.58000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706409	AEGFX			.25	0.84000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	29875E100	AEPFX				0.57000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706110	FEUPX				0.46000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706870	RERAX			1.00	1.57000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706862	RERBX			.75	1.55000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706813	REEBX			.60	1.27000		SELL ONLY
American Funds	American Funds EuroPacific Growth Fund	298706854	RERCX			.50	1.11000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802508	CFNAX	3.50		.23	0.65000		BUY,SELL
American Funds	American Funds Fundamental Investors?	360802706	CFNCX		1.00	1.00	1.42000		BUY,SELL
American Funds	American Funds Fundamental Investors?	360802888	CFNFX			.25	0.67000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802748	FFFX				0.40000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds Fundamental Investors?	360802102	ANCFX	5.75		.25	0.61000		BUY,SELL
American Funds	American Funds Fundamental Investors?	360802300	AFICX		1.00	1.00	1.37000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802409	AFIFX			.25	0.65000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802821	FINFX				0.39000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802771	FUNFX				0.29000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802870	RFNAX			1.00	1.39000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802862	RFNBX			.75	1.38000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802797	RFEBX			.60	1.08000		SELL ONLY
American Funds	American Funds Fundamental Investors?	360802854	RFNCX			.50	0.93000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W601	CBFAX	3.50		.23	0.86000		BUY,SELL
American Funds	American Funds Global Balanced Fund Cl	02629W809	CBFCX		1.00	1.00	1.63000		BUY,SELL
American Funds	American Funds Global Balanced Fund Cl	02629W874	CBFFX			.25	0.88000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W742	FFGGX				0.61000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W106	GBLAX	5.75		.26	0.84000		BUY,SELL
American Funds	American Funds Global Balanced Fund Cl	02629W304	GBLCX		1.00	1.00	1.58000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W403	GBLEX			.25	0.86000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W502	GBLFX				0.60000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W775	GFBLX				0.49000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W866	RGBLX			1.00	1.60000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W858	RGBBX			.75	1.58000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W791	RGGHX			.60	1.30000		SELL ONLY
American Funds	American Funds Global Balanced Fund Cl	02629W841	RGBCX			.50	1.13000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R559	CPGAX	3.50		.24	0.85000		BUY,SELL
American Funds	American Funds Global Growth Portfolio C	02630R534	CPGCX		1.00	1.00	1.63000		BUY,SELL
American Funds	American Funds Global Growth Portfolio C	02630R518	CGGFX			.25	0.87000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R310	FGGPX				0.61000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R617	PGGAX	5.75		.25	0.82000		BUY,SELL
American Funds	American Funds Global Growth Portfolio C	02630R583	GGPCX		1.00	1.00	1.57000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R575	PGGFX			.25	0.82000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R567	PGWFX				0.56000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R344	PGXFX				0.46000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R492	RGGAX			1.00	1.52000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R484	RGBBX			.75	1.55000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R427	REBGX			.60	1.27000		SELL ONLY
American Funds	American Funds Global Growth Portfolio C	02630R476	RGLCX			.50	1.11000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E700	CGVYX	5.75		.30	1.03000		BUY,SELL
American Funds	American Funds Global Insight Fund Class	14020E809	CGVBX		1.00	1.00	1.72000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds Global Insight Fund Class	14020E866	CGVFX			.25	0.99000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E767	CGVGX				0.73000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E106	AGVFX	5.75		.30	0.98000		BUY,SELL
American Funds	American Funds Global Insight Fund Class	14020E205	AGVDX		1.00	1.00	1.67000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E403	AGVEX			.25	0.95000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E502	AGVGX				0.67000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E601	AGVHX				0.59000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E858	RGLAX			1.00	1.70000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E841	RGLBX			.75	1.64000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E833	RGLHX			.60	1.40000		SELL ONLY
American Funds	American Funds Global Insight Fund Class	14020E825	RGLDX			.50	1.21000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R708	CGNAX	3.50		.24	0.70000		BUY,SELL
American Funds	American Funds Growth and Income Portf	02630R880	CGNCX		1.00	1.00	1.46000		BUY,SELL
American Funds	American Funds Growth and Income Portf	02630R864	CGNFX			.25	0.71000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R229	FGGIX				0.45000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R203	GAIOX	5.75		.26	0.66000		BUY,SELL
American Funds	American Funds Growth and Income Portf	02630R401	GAITX		1.00	1.00	1.40000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R500	GAIFX			.25	0.69000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R609	GAIEX				0.44000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R351	GAIHX				0.33000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R856	RGNAX			1.00	1.42000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R849	RGNBX			.75	1.40000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R419	RBEGX			.60	1.13000		SELL ONLY
American Funds	American Funds Growth and Income Portf	02630R831	RAICX			.50	0.98000		SELL ONLY
American Funds	American Funds Growth Portfolio Class 52	02630R732	CGPAX	3.50		.25	0.78000		BUY,SELL
American Funds	American Funds Growth Portfolio Class 52	02630R716	CGPCX		1.00	1.00	1.54000		BUY,SELL
American Funds	American Funds Growth Portfolio Class 52	02630R682	CGPFX			.25	0.79000		SELL ONLY
American Funds	American Funds Growth Portfolio Class 52	02630R260	FGPGX				0.53000		SELL ONLY
American Funds	American Funds Growth Portfolio Class A	02630R781	GWPAW	5.75		.26	0.73000		BUY,SELL
American Funds	American Funds Growth Portfolio Class C	02630R765	GWPCX		1.00	1.00	1.49000		SELL ONLY
American Funds	American Funds Growth Portfolio Class F-	02630R757	GWPFX			.25	0.74000		SELL ONLY
American Funds	American Funds Growth Portfolio Class F-	02630R740	GWPEX				0.48000		SELL ONLY
American Funds	American Funds Growth Portfolio Class F-	02630R369	GWPDW				0.38000		SELL ONLY
American Funds	American Funds Growth Portfolio Class R-	02630R674	RGWAX			1.00	1.47000		SELL ONLY
American Funds	American Funds Growth Portfolio Class R-	02630R666	RGWBX			.75	1.46000		SELL ONLY
American Funds	American Funds Growth Portfolio Class R-	02630R393	RBGEX			.60	1.18000		SELL ONLY
American Funds	American Funds Growth Portfolio Class R-	02630R658	RGPCX			.50	1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
American Funds	American Funds Inflation Linked Bond Fur	02630D600	CNLAX	2.50		.27	0.73000		BUY,SELL
American Funds	American Funds Inflation Linked Bond Fur	02630D808	CNLCX		1.00	1.00	1.47000		BUY,SELL
American Funds	American Funds Inflation Linked Bond Fur	02630D873	CNLFX			.25	0.71000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D741	FIBLX				0.43000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D105	BFIAX	2.50		.30	0.70000		BUY,SELL
American Funds	American Funds Inflation Linked Bond Fur	02630D303	BFICX		1.00	1.00	1.40000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D402	BFIFX			.25	0.67000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D501	BFIGX				0.40000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D774	FILBX				0.33000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D865	RILAX			1.00	1.41000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D857	RILBX			.75	1.39000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D790	RILGX			.60	1.17000		SELL ONLY
American Funds	American Funds Inflation Linked Bond Fur	02630D840	RILCX			.50	0.96000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809506	CBOAX	2.50		.24	0.64000		BUY,SELL
American Funds	American Funds Intermediate Bond Fund	458809704	CBOCX		1.00	1.00	1.40000		BUY,SELL
American Funds	American Funds Intermediate Bond Fund	458809886	CBOFX			.25	0.65000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809753	FFOOX				0.35000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809100	AIBAX	2.50		.29	0.63000		BUY,SELL
American Funds	American Funds Intermediate Bond Fund	458809308	IBFCX		1.00	1.00	1.34000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809407	IBFFX			.25	0.63000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809829	IBAFX				0.36000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809787	IFBFX				0.24000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809878	RBOAX			1.00	1.37000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809860	RBOBX			.75	1.32000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809118	REBBX			.60	1.05000		SELL ONLY
American Funds	American Funds Intermediate Bond Fund	458809852	RBOCX			.50	0.89000		SELL ONLY
American Funds	American Funds International Growth and	45956T600	CGIAX	3.50		.23	0.95000		BUY,SELL
American Funds	American Funds International Growth and	45956T808	CIICX		1.00	1.00	1.72000		BUY,SELL
American Funds	American Funds International Growth and	45956T873	CGIFX			.25	0.97000		SELL ONLY
American Funds	American Funds International Growth and	45956T741	FGGGX				0.71000		SELL ONLY
American Funds	American Funds International Growth and	45956T105	IGAAX	5.75		.25	0.92000		BUY,SELL
American Funds	American Funds International Growth and	45956T303	IGICX		1.00	1.00	1.67000		SELL ONLY
American Funds	American Funds International Growth and	45956T402	IGIFX			.25	0.93000		SELL ONLY
American Funds	American Funds International Growth and	45956T501	IGFFX				0.66000		SELL ONLY
American Funds	American Funds International Growth and	45956T774	IGAIX				0.55000		SELL ONLY
American Funds	American Funds International Growth and	45956T865	RIGAX			1.00	1.69000		SELL ONLY
American Funds	American Funds International Growth and	45956T857	RIGBX			.75	1.66000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
American Funds	American Funds International Growth and	45956T790	RIIEX			.60	1.32000		SELL ONLY
American Funds	American Funds International Growth and	45956T840	RGICX			.50	1.20000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V861	CIVKX			.25	1.14000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V705	CIVAX	3.50		.30	1.19000		BUY,SELL
American Funds	American Funds International Vantage Fur	14019V804	CIVBX			1.00	1.94000		BUY,SELL
American Funds	American Funds International Vantage Fur	14019V762	CIVGX				0.85000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V101	AIVBX	5.75		.30	1.12000		BUY,SELL
American Funds	American Funds International Vantage Fur	14019V200	AIVCX			1.00	1.82000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V408	AIVEX			.25	1.07000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V507	AIVFX				0.81000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V606	AIVGX				0.71000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V853	RIVAX			1.00	1.98000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V846	RIVDX			.75	1.81000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V838	RIVHX			.60	1.53000		SELL ONLY
American Funds	American Funds International Vantage Fur	14019V820	RIVIX			.50	1.43000		SELL ONLY
American Funds	American Funds Investment Company of A	461308504	CICAX	3.50		.23	0.63000		BUY,SELL
American Funds	American Funds Investment Company of A	461308702	CICCX		1.00	1.00	1.40000		BUY,SELL
American Funds	American Funds Investment Company of A	461308884	CICFX			.25	0.65000		SELL ONLY
American Funds	American Funds Investment Company of A	461308744	FCIAX				0.39000		SELL ONLY
American Funds	American Funds Investment Company of A	461308108	AIVSX	5.75		.24	0.58000		BUY,SELL
American Funds	American Funds Investment Company of A	461308306	AICCX		1.00	1.00	1.34000		SELL ONLY
American Funds	American Funds Investment Company of A	461308405	AICFX			.25	0.65000		SELL ONLY
American Funds	American Funds Investment Company of A	461308827	ICAFX				0.38000		SELL ONLY
American Funds	American Funds Investment Company of A	461308777	FFICX				0.27000		SELL ONLY
American Funds	American Funds Investment Company of A	461308876	RICAX			1.00	1.37000		SELL ONLY
American Funds	American Funds Investment Company of A	461308868	RICBX			.75	1.36000		SELL ONLY
American Funds	American Funds Investment Company of A	461308793	RIBEX			.60	1.07000		SELL ONLY
American Funds	American Funds Investment Company of A	461308850	RICCX			.50	0.92000		SELL ONLY
American Funds	American Funds Limited Term Tax Exemp	532726304	LTXCX		1.00	1.00	1.30000		SELL ONLY
American Funds	American Funds Limited Term Tax Exemp	532726403	LTXFX			.25	0.63000		SELL ONLY
American Funds	American Funds Limited Term Tax Exemp	532726601	LTEFX				0.36000		SELL ONLY
American Funds	American Funds Limited Term Tax-Exemp	532726809	FLTEX				0.27000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y216	CBAAX	3.50		.25	0.68000		BUY,SELL
American Funds	American Funds Moderate Growth and Inc	02630Y182	CBPCX		1.00	1.00	1.45000		BUY,SELL
American Funds	American Funds Moderate Growth and Inc	02630Y166	CBAFX			.00	0.69000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02631T307	FBBPX				0.44000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y265	BLPAX	5.75		.27	0.66000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds Moderate Growth and Inc	02630Y240	BLPCX		1.00	1.00	1.39000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y232	BLPFX			.25	0.69000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y224	BLPEX				0.43000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Q106	BLPDX				0.33000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y158	RBAAX			1.00	1.44000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y141	RBABX			.75	1.40000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630R435	RBBEX			.60	1.14000		SELL ONLY
American Funds	American Funds Moderate Growth and Inc	02630Y133	RBACX			.50	0.97000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class 52	02630V600	CMFAX	3.50		.24	0.69000		BUY,SELL
American Funds	American Funds Mortgage Fund? Class 52	02630V808	CMFCX		1.00	1.00	1.46000		BUY,SELL
American Funds	American Funds Mortgage Fund? Class 52	02630V873	CMFFX			.25	0.70000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class 52	02630V741	FFMFX				0.46000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class A	02630V105	MFAAX	3.75		.25	0.65000		BUY,SELL
American Funds	American Funds Mortgage Fund? Class C	02630V303	MFACX		1.00	1.00	1.41000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class F	02630V402	MFAEX			.25	0.63000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class F	02630V501	MFAFX				0.37000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class F	02630V774	AFFMX				0.25000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class R	02630V865	RMAAX			1.00	1.39000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class R	02630V857	RMABX			.75	1.37000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class R	02630V790	RMBEX			.60	1.01000		SELL ONLY
American Funds	American Funds Mortgage Fund? Class R	02630V840	RMACX			.50	0.94000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W706	CMBKX	3.50		.30	1.20000		BUY,SELL
American Funds	American Funds Multi-Sector Income Fund	02631W805	CMBLX		1.00	1.00	1.90000		BUY,SELL
American Funds	American Funds Multi-Sector Income Fund	02631W862	CMBOX			.25	1.15000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W763	CMBPX				0.89000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W102	MIAQX	3.75		.30	1.14000		BUY,SELL
American Funds	American Funds Multi-Sector Income Fund	02631W201	MIAUX		1.00	1.00	1.83000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W409	MIAWX			.25	1.12000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W508	MIAYX				0.85000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W607	MIAZX				0.79000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W854	RMDNX			1.00	1.97000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W847	RMDOX			.75	2.04000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W839	RMDPX			.60	1.69000		SELL ONLY
American Funds	American Funds Multi-Sector Income Fund	02631W821	RMDQX			.50	1.48000		SELL ONLY
American Funds	American Funds New Perspective Fund? (648018505	CNPAX	3.50		.23	0.79000		BUY,SELL
American Funds	American Funds New Perspective Fund? (648018703	CNPCX		1.00	1.00	1.56000		BUY,SELL
American Funds	American Funds New Perspective Fund? (648018885	CNPFX			.25	0.81000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Funds	American Funds New Perspective Fund? Class	648018745	FFPNX				0.54000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018109	ANWPX	5.75		.25	0.76000		BUY,SELL
American Funds	American Funds New Perspective Fund? Class	648018307	NPFCX		1.00	1.00	1.51000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018406	NPFFX			.25	0.80000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018828	ANWFX				0.53000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018778	FNPFX				0.42000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018877	RNPAX			1.00	1.52000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018869	RNPBX			.75	1.52000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018794	RPEBX			.60	1.23000		SELL ONLY
American Funds	American Funds New Perspective Fund? Class	648018851	RNPCX			.50	1.07000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280500	CNWAX	3.50		.22	1.02000		BUY,SELL
American Funds	American Funds New World Fund? Class	649280708	CNWCX		1.00	1.00	1.81000		BUY,SELL
American Funds	American Funds New World Fund? Class	649280880	CNWFX			.25	1.05000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280740	FNFWX				0.76000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280104	NEWFX	5.75		.25	1.00000		BUY,SELL
American Funds	American Funds New World Fund? Class	649280302	NEWCX		1.00	1.00	1.76000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280401	NWFFX			.25	0.98000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280823	NFFFX				0.70000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280773	FNWFX				0.60000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280872	RNWAX			1.00	1.72000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280864	RNWBX			.75	1.70000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280799	RNEBX			.60	1.41000		SELL ONLY
American Funds	American Funds New World Fund? Class	649280856	RNWCX			.50	1.26000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y745	CPPAX	2.50		.24	0.66000		BUY,SELL
American Funds	American Funds Preservation Portfolio Class	02630Y729	CPPCX		1.00	1.00	1.43000		BUY,SELL
American Funds	American Funds Preservation Portfolio Class	02630Y695	CPPFX			.25	0.67000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02631T810	FPPPX				0.42000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y794	PPVAX	2.50		.30	0.66000		BUY,SELL
American Funds	American Funds Preservation Portfolio Class	02630Y778	PPVCX		1.00	1.00	1.36000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y760	PPVFX			.25	0.65000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y752	PPEFX				0.39000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Q403	PPFFX				0.30000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y687	RPPVX			1.00	1.41000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y679	RPPBX			.75	1.40000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630R385	RPBEX			.60	1.10000		SELL ONLY
American Funds	American Funds Preservation Portfolio Class	02630Y661	RPPCX			.50	0.94000		SELL ONLY
American Funds	American Funds Retirement Income Portfolio	02631L106	NAARX	5.75		.30	0.64000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
American Funds	American Funds Retirement Income Portf	02631L304	NGCRX		1.00	1.00	1.34000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L403	FAFWX			.25	0.65000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L502	FDFWX				0.41000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L544	FICWX				0.31000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L601	RARPX			1.00	1.42000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L700	RDRPX			.75	1.41000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L809	RGRPX			.60	1.41000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L882	RJRPX			.50	0.96000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L718	NDARX	5.75		.29	0.63000		BUY,SELL
American Funds	American Funds Retirement Income Portf	02631L684	NDCRX		1.00	1.00	1.34000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L676	FCFWX			.25	0.68000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L668	FGFWX				0.42000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L528	FIEWX				0.32000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L650	RCRPX			1.00	1.43000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L643	RFRPX			.75	1.43000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L635	RIRPX			.60	1.13000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L627	RLRPX			.50	0.97000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L841	NBARX	5.75		.30	0.62000		BUY,SELL
American Funds	American Funds Retirement Income Portf	02631L825	NBCRX		1.00	1.00	1.32000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L817	FBFWX			.25	0.64000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L791	FHFWX				0.39000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L536	FIMWX				0.30000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L783	RBRPX			1.00	1.37000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L775	RERPX			.75	1.41000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L767	RHRPX			.60	1.13000		SELL ONLY
American Funds	American Funds Retirement Income Portf	02631L759	RKRPX			.50	0.96000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A839	CAAFX	2.50		.24	0.70000		BUY,SELL
American Funds	American Funds Short-Term Bond Fund o	82524A607	CCAMX		1.00	1.00	1.46000		BUY,SELL
American Funds	American Funds Short-Term Bond Fund o	82524A805	CFAMX			.25	0.71000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A748	FTSBX				0.44000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A102	ASBAX	2.50		.30	0.70000		BUY,SELL
American Funds	American Funds Short-Term Bond Fund o	82524A300	ASBCX		1.00	1.00	1.40000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A409	ASBFX			.25	0.69000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A821	SBFFX				0.41000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A771	FSBTX				0.34000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A888	RAMAX			1.00	1.43000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund o	82524A870	RAMBX			.75	1.41000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds Short-Term Bond Fund of	82524A797	RAAEX			.60	1.17000		SELL ONLY
American Funds	American Funds Short-Term Bond Fund of	82524A862	RAMCX			.50	0.97000		SELL ONLY
American Funds	American Funds Short-Term Tax Exempt	02630W707	SFTEX				0.39000		SELL ONLY
American Funds	American Funds Short-Term Tax-Exempt	02630W509	FSTTX			.25	0.76000		SELL ONLY
American Funds	American Funds Short-Term Tax-Exempt	02630W608	ASTFX				0.45000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681507	CSPAX	3.50		.23	1.09000		BUY,SELL
American Funds	American Funds SMALLCAP World Fund?	831681705	CSPCX		1.00	1.00	1.86000		BUY,SELL
American Funds	American Funds SMALLCAP World Fund?	831681887	CSPFX			.25	1.11000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681747	FSWFX				0.81000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681101	SMCWX	5.75		.25	1.06000		BUY,SELL
American Funds	American Funds SMALLCAP World Fund?	831681309	SCWCX		1.00	1.00	1.81000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681408	SCWFX			.25	1.08000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681820	SMCFX				0.78000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681770	SFCWX				0.68000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681879	RSLAX			1.00	1.80000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681861	RSLBX			.75	1.78000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681796	RSEBX			.60	1.48000		SELL ONLY
American Funds	American Funds SMALLCAP World Fund?	831681853	RSLCX			.50	1.33000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E607	CANAX	3.50		.23	0.80000		BUY,SELL
American Funds	American Funds Strategic Bond Fund Clas	02631E805	CANCX		1.00	1.00	1.57000		BUY,SELL
American Funds	American Funds Strategic Bond Fund Clas	02631E870	CANFX			.00	0.81000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E748	FSBMX				0.54000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E102	ANBAX	3.75		.30	0.81000		BUY,SELL
American Funds	American Funds Strategic Bond Fund Clas	02631E300	ANBCX		1.00	1.00	1.51000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E409	ANBEX			.25	0.78000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E508	ANBFX				0.51000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E771	ANBGX				0.43000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E862	RANAX			1.00	1.48000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E854	RANBX			.75	1.47000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E847	RANHX			.60	1.23000		SELL ONLY
American Funds	American Funds Strategic Bond Fund Clas	02631E839	RANCX			.50	1.05000		SELL ONLY
American Funds	American Funds Tax Exempt Bond Fund?	876902800	TFEBX				0.24000		SELL ONLY
American Funds	American Funds Tax-Aware Conservative	02630Y620	TAIAX	3.75		.30	0.66000		BUY,SELL
American Funds	American Funds Tax-Aware Conservative	02630Y596	TAICX		1.00	1.00	1.36000		SELL ONLY
American Funds	American Funds Tax-Aware Conservative	02630Y588	TAIFX			.25	0.70000		SELL ONLY
American Funds	American Funds Tax-Aware Conservative	02630Y570	TXIFX				0.43000		SELL ONLY
American Funds	American Funds Tax-Aware Conservative	02630Q304	TYIFX				0.33000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds Tax-Exempt Bond Fund?	876902305	TEBCX		1.00	1.00	1.27000		SELL ONLY
American Funds	American Funds Tax-Exempt Bond Fund?	876902404	AFTFX			.25	0.60000		SELL ONLY
American Funds	American Funds Tax-Exempt Bond Fund?	876902602	TEAFX				0.34000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of Cali	02630B307	TECCX		1.00	1.00	1.36000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of Cali	02630B406	TECFX			.25	0.70000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of Cali	02630B604	TEFEX				0.43000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of Cali	02630B703	EXCAX				0.34000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of New	02630X309	NYACX		1.00	1.00	1.42000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of New	02630X408	NYAEX			.25	0.74000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of New	02630X507	NYAFX				0.49000		SELL ONLY
American Funds	American Funds Tax-Exempt Fund of New	02630X606	TFNYX				0.39000		SELL ONLY
American Funds	American Funds Tax-Exempt Preservation	02630Y307	TEPCX		1.00	1.00	1.37000		SELL ONLY
American Funds	American Funds Tax-Exempt Preservation	02630Y406	TEPFX			.25	0.70000		SELL ONLY
American Funds	American Funds Tax-Exempt Preservation	02630Y505	TXEFX				0.44000		SELL ONLY
American Funds	American Funds Tax-Exempt Preservation	02630Q502	TYEFX				0.34000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873509	CFAAX	3.50		.24	0.62000		BUY,SELL
American Funds	American Funds The Bond Fund of Americ	097873707	CFACX		1.00	1.00	1.39000		BUY,SELL
American Funds	American Funds The Bond Fund of Americ	097873889	CFAFX			.25	0.62000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873749	FFBOX				0.35000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873103	ABNDX	3.75		.25	0.57000		BUY,SELL
American Funds	American Funds The Bond Fund of Americ	097873301	BFACX		1.00	1.00	1.32000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873400	BFAFX			.25	0.60000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873822	ABNFX				0.32000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873772	BFFAX				0.22000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873871	RBFAX			1.00	1.34000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873863	RFBFX			.75	1.31000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873798	RBEBX			.60	1.02000		SELL ONLY
American Funds	American Funds The Bond Fund of Americ	097873855	RBFCX			.50	0.86000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874502	CGFAX	3.50		.23	0.67000		BUY,SELL
American Funds	American Funds The Growth Fund of Ame	399874700	CGFCX		1.00	1.00	1.44000		BUY,SELL
American Funds	American Funds The Growth Fund of Ame	399874882	CGFFX			.25	0.69000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874742	FAFGX				0.43000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874106	AGTHX	5.75		.25	0.64000		BUY,SELL
American Funds	American Funds The Growth Fund of Ame	399874304	GFACTX		1.00	1.00	1.39000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874403	GFAFX			.25	0.67000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874825	GFFFX				0.41000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874775	GAFFX				0.30000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
American Funds	American Funds The Growth Fund of Ame	399874874	RGAAAX			1.00	1.40000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874866	RGABX			.75	1.40000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874791	RGEBX			.60	1.10000		SELL ONLY
American Funds	American Funds The Growth Fund of Ame	399874858	RGACX			.50	0.95000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320509	CIMAX	3.50		.24	0.62000		BUY,SELL
American Funds	American Funds The Income Fund of Ame	453320707	CIMCX		1.00	1.00	1.38000		BUY,SELL
American Funds	American Funds The Income Fund of Ame	453320889	CIMFX			.00	0.38000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320749	FAIFX				0.36000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320103	AMECX	5.75		.25	0.57000		BUY,SELL
American Funds	American Funds The Income Fund of Ame	453320301	IFACX		1.00	1.00	1.32000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320400	IFAFX			.25	0.63000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320822	AMEFX				0.37000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320772	FIFAX				0.26000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320871	RIDAX			1.00	1.36000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320863	RIDBX			.75	1.37000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320798	RIEBX			.60	1.07000		SELL ONLY
American Funds	American Funds The Income Fund of Ame	453320855	RIDCX			.50	0.92000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822505	CNGAX	3.50		.22	0.79000		BUY,SELL
American Funds	American Funds The New Economy Fund	643822703	CNGCX		1.00	1.00	1.58000		BUY,SELL
American Funds	American Funds The New Economy Fund	643822885	CNGFX			.25	0.82000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822745	FNEEX				0.56000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822109	ANEFX	5.75		.24	0.76000		BUY,SELL
American Funds	American Funds The New Economy Fund	643822307	ANFCX		1.00	1.00	1.52000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822406	ANFFX			.25	0.80000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822828	NEFFX				0.52000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822778	FNEFX				0.43000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822877	RNGAX			1.00	1.52000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822869	RRGBX			.75	1.51000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822794	RNNEX			.60	1.22000		SELL ONLY
American Funds	American Funds The New Economy Fund	643822851	RNGCX			.50	1.07000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300509	CGTAX	3.50		.23	0.63000		BUY,SELL
American Funds	American Funds U.S. Government Securit	026300707	CGTCX		1.00	1.00	1.41000		BUY,SELL
American Funds	American Funds U.S. Government Securit	026300889	CGTFX			.25	0.66000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300749	FSUGX				0.40000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300103	AMUSX	3.75		.30	0.66000		BUY,SELL
American Funds	American Funds U.S. Government Securit	026300301	UGSCX		1.00	1.00	1.35000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300400	UGSFX			.25	0.62000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
American Funds	American Funds U.S. Government Securit	026300822	GVTFX				0.34000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300772	USGFX				0.24000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300871	RGVAX			1.00	1.34000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300863	RGVBX			.75	1.32000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300798	RGEVX			.60	1.05000		SELL ONLY
American Funds	American Funds U.S. Government Securit	026300855	RGVCX			.50	0.91000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330502	CWMAX	5.75		.23	0.62000		BUY,SELL
American Funds	American Funds Washington Mutual Inves	939330700	CWMCX		1.00	1.00	1.40000		BUY,SELL
American Funds	American Funds Washington Mutual Inves	939330882	CWMFX			.25	0.64000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330742	FWMMX				0.38000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330106	AWSHX	5.75		.24	0.58000		BUY,SELL
American Funds	American Funds Washington Mutual Inves	939330304	WSHCX		1.00	1.00	1.34000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330403	WSHFX			.25	0.64000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330825	WMFFX				0.38000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330775	FWMIX				0.27000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330874	RWMAX			1.00	1.37000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330866	RWMBX			.75	1.37000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330791	RWEBX			.60	1.08000		SELL ONLY
American Funds	American Funds Washington Mutual Inves	939330858	RWMCX			.50	0.93000		SELL ONLY
American Funds	American High-Income Municipal Bond Fu	026545301	AHICX		1.00	1.00	1.37000		SELL ONLY
American Funds	American High-Income Municipal Bond Fu	026545400	ABHFX			.25	0.70000		SELL ONLY
American Funds	American High-Income Municipal Bond Fu	026545608	AHMFX				0.43000		SELL ONLY
American Funds	American High-Income Municipal Bond Fu	026545806	HIMFX				0.33000		SELL ONLY
American Growth	American Growth Fund Series One Class	026393207	AMRAX	5.75			4.68000		SELL ONLY
American Growth	American Growth Fund Series One Class	026393306	AMRBX		5.00	1.00	8.69000		SELL ONLY
American Growth	American Growth Fund Series One Class	026393405	AMRCX		1.00	1.00	5.98000		SELL ONLY
American Growth	American Growth Fund Series One Class	026393108	AMRGX	5.75			4.68000		SELL ONLY
American Independence	American Independence Boyd Watterson \$	026762401	ISTSX	2.25		0.25	1.6300		SELL ONLY
American Independence	Carret Kansas Tax - Exempt Bond Fund Ir	02110A522	SEKSX				0.57000		SELL ONLY
American Independence	Carret Kansas Tax - Exempt Bond FundCl	02110A530	IKSTX	4.25		.25	0.86000		SELL ONLY
AMF	Asset Management Fund Large Cap Equit	045419801	IICAX			.25	1.94000		SELL ONLY
AMG Funds	AMG Beutel Goodman Core Plus Bond Fu	00171A761	ADLIX				0.51000		SELL ONLY
AMG Funds	AMG Beutel Goodman Core Plus Bond Fu	00171A779	ADBLX			.25	0.71000		SELL ONLY
AMG Funds	AMG Beutel Goodman Core Plus Bond Fu	00171C205	ADZIX				0.46000		SELL ONLY
AMG Funds	AMG Beutel Goodman International Equity	00171A530	APCTX				0.92000		SELL ONLY
AMG Funds	AMG Beutel Goodman International Equity	00171A548	APINX			.25	1.22000		SELL ONLY
AMG Funds	AMG Beutel Goodman International Equity	00171C403	APCZX				0.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
AMG Funds	AMG Boston Common Global Impact Fund	00170J300	BRWIX				0.93000		SELL ONLY
AMG Funds	AMG FQ Global Risk-Balanced Fund Clas	00170J888	MMASX				1.41000		SELL ONLY
AMG Funds	AMG FQ Global Risk-Balanced Fund Clas	00170J805	MMAVX			.25	1.66000		SELL ONLY
AMG Funds	AMG FQ Global Risk-Balanced Fund Clas	00170J821	MMAFX				1.26000		SELL ONLY
AMG Funds	AMG Frontier Small Cap Growth Fund Cla	00170J771	MSSCX				1.55000		SELL ONLY
AMG Funds	AMG Frontier Small Cap Growth Fund Cla	00170J789	MSSVX			.25	1.90000		SELL ONLY
AMG Funds	AMG Frontier Small Cap Growth Fund Cla	00170J763	MSSYX				1.50000		SELL ONLY
AMG Funds	AMG GW&K Core Bond ESG Fund - Class	00170J730	MBDFX				0.63000		SELL ONLY
AMG Funds	AMG GW&K Core Bond ESG Fund - Class	00170J722	MBGVX			.25	0.96000		SELL ONLY
AMG Funds	AMG GW&K Core Bond ESG Fund - Class	00170J714	MBDLX				0.56000		SELL ONLY
AMG Funds	AMG GW&K Emerging Markets Equity Fur	00170K679	TLESX				1.19000		SELL ONLY
AMG Funds	AMG GW&K Emerging Markets Equity Fur	00170K687	TLEVX			.25	1.52000		SELL ONLY
AMG Funds	AMG GW&K Emerging Markets Equity Fur	00170K661	TLEIX				1.12000		SELL ONLY
AMG Funds	AMG GW&K Emerging Wealth Equity Fun	00170K380	TYWSX				0.97000		SELL ONLY
AMG Funds	AMG GW&K Emerging Wealth Equity Fun	00170K398	TYWVX			.25	1.26000		SELL ONLY
AMG Funds	AMG GW&K Emerging Wealth Equity Fun	00170K372	TYWIX				0.86000		SELL ONLY
AMG Funds	AMG GW&K Enhanced Core Bond ESG F	00170M709	MFDSX				0.88000		SELL ONLY
AMG Funds	AMG GW&K Enhanced Core Bond ESG F	00170M600	MFDAX			.25	1.06000		SELL ONLY
AMG Funds	AMG GW&K Enhanced Core Bond ESG F	00170M881	MFDYX				0.81000		SELL ONLY
AMG Funds	AMG GW&K ESG Bond Fund - Class I	00170L834	MGBIX				0.49000		SELL ONLY
AMG Funds	AMG GW&K ESG Bond Fund - Class N	00170L842	MGFIX				0.69000		SELL ONLY
AMG Funds	AMG GW&K Global Allocation Fund Class	00170M402	MBESX				1.04000		SELL ONLY
AMG Funds	AMG GW&K Global Allocation Fund Class	00170M303	MBEAX			.25	1.19000		SELL ONLY
AMG Funds	AMG GW&K Global Allocation Fund Class	00170M501	MBEYX				0.94000		SELL ONLY
AMG Funds	AMG GW&K High Income Fund Class N	00170L826	MGGBX				1.78000		SELL ONLY
AMG Funds	AMG GW&K International Small Cap Fund	00170L503	MECIX				1.12000		SELL ONLY
AMG Funds	AMG GW&K International Small Cap Fund	00170L404	MECAX				1.27000		SELL ONLY
AMG Funds	AMG GW&K International Small Cap Fund	00170L727	MECZX				1.02000		SELL ONLY
AMG Funds	AMG GW&K Municipal Bond Fund Class I	00170K505	GWMIX				0.45000		SELL ONLY
AMG Funds	AMG GW&K Municipal Bond Fund Class N	00170K307	GWMTX			.25	0.77000		SELL ONLY
AMG Funds	AMG GW&K Municipal Enhanced Yield Fu	00170K802	GWMEX				0.72000		SELL ONLY
AMG Funds	AMG GW&K Municipal Enhanced Yield Fu	00170K604	GWMNX			.25	1.07000		SELL ONLY
AMG Funds	AMG GW&K Municipal Enhanced Yield Fu	00170K158	GWMZX				0.67000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Core Fund Class	00170K869	GWEIX				0.95000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Core Fund Class	00170K885	GWETX			.25	1.30000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Core Fund Class	00170K141	GWEZX				0.90000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Value Fund Class	00170K224	SKSIX				0.98000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
AMG Funds	AMG GW&K Small Cap Value Fund Class	00170K208	SKSEX				1.18000		SELL ONLY
AMG Funds	AMG GW&K Small Cap Value Fund Class	00170K216	SKSZX				0.93000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Fund Class I	00170K349	GWGIX				0.93000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Fund Class N	00170K364	GWGVX			.25	1.11000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Fund Class Z	00170K232	GWGZX				0.84000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Growth Fund	00171A639	ACWIX				1.11000		SELL ONLY
AMG Funds	AMG GW&K Small/Mid Cap Growth Fund	00171A647	ACWDX			.19	1.25000		SELL ONLY
AMG Funds	AMG Managers CenterSquare Real Estate	00170J698	MRASX				1.02000		SELL ONLY
AMG Funds	AMG Managers CenterSquare Real Estate	00170J748	MRESX				1.15000		SELL ONLY
AMG Funds	AMG Managers CenterSquare Real Estate	00170J680	MREZX				0.90000		SELL ONLY
AMG Funds	AMG Montrusco Bolton Large Cap Growth	00171A589	MCGIX				0.75000		SELL ONLY
AMG Funds	AMG Montrusco Bolton Large Cap Growth	00171A597	MCGFX			.17	0.95000		SELL ONLY
AMG Funds	AMG Renaissance Large Cap Growth Fun	00170K836	MRLSX				0.94000		SELL ONLY
AMG Funds	AMG Renaissance Large Cap Growth Fun	00170K844	MRLTX			.25	1.19000		SELL ONLY
AMG Funds	AMG Renaissance Large Cap Growth Fun	00170K828	MRLIX				0.85000		SELL ONLY
AMG Funds	AMG River Road Dividend All Cap Value F	00171A209	ARIDX				0.85000		SELL ONLY
AMG Funds	AMG River Road Dividend All Cap Value F	00171A100	ARDEX			.25	1.10000		SELL ONLY
AMG Funds	AMG River Road Dividend All Cap Value F	00171C601	ARZDX				0.81000		SELL ONLY
AMG Funds	AMG River Road Focused Absolute Value	00171A605	AFAVX				0.90000		SELL ONLY
AMG Funds	AMG River Road Focused Absolute Value	00171A506	ARRFX			.25	1.15000		SELL ONLY
AMG Funds	AMG River Road Focused Absolute Value	00171C809	ARRZX				0.86000		SELL ONLY
AMG Funds	AMG River Road International Value Equit	00171A803	ALSIX				1.28000		SELL ONLY
AMG Funds	AMG River Road International Value Equit	00171A704	ARLSX			.25	1.53000		SELL ONLY
AMG Funds	AMG River Road International Value Equit	00171C882	ARLZX				1.23000		SELL ONLY
AMG Funds	AMG River Road Large Cap Value Select	00170J797	MEQFX				0.78000		SELL ONLY
AMG Funds	AMG River Road Large Cap Value Select	00170J862	FQUAX			.25	1.08000		SELL ONLY
AMG Funds	AMG River Road Mid Cap Value Fund - Cl	00171A720	ABMIX				0.83000		SELL ONLY
AMG Funds	AMG River Road Mid Cap Value Fund - Cl	00171A738	CHTTX			.24	1.12000		SELL ONLY
AMG Funds	AMG River Road Mid Cap Value Fund - Cl	00171C304	ABIZX				0.78000		SELL ONLY
AMG Funds	AMG River Road Small Cap Value Fund C	00171A852	ARSIX				1.10000		SELL ONLY
AMG Funds	AMG River Road Small Cap Value Fund C	00171A860	ARSVX			.25	1.37000		SELL ONLY
AMG Funds	AMG River Road Small Cap Value Fund C	00171C874	ARZMX				1.02000		SELL ONLY
AMG Funds	AMG River Road Small-Mid Cap Value Fu	00171A878	ARIMX				1.06000		SELL ONLY
AMG Funds	AMG River Road Small-Mid Cap Value Fu	00171A886	ARSMX			.25	1.32000		SELL ONLY
AMG Funds	AMG River Road Small-Mid Cap Value Fu	00171C866	ARSZX				1.01000		SELL ONLY
AMG Funds	AMG TimesSquare Emerging Markets Sm	00170K273	TQEIX				3.26000		SELL ONLY
AMG Funds	AMG TimesSquare Emerging Markets Sm	00170K190	TQENX			.25	3.66000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
AMG Funds	AMG TimesSquare Emerging Markets Sm	00170K265	TQEZX				3.26000		SELL ONLY
AMG Funds	AMG TimesSquare Global Small Cap Fund	00170K125	TSYIX				6.23000		SELL ONLY
AMG Funds	AMG TimesSquare Global Small Cap Fund	00170K133	TSYNX			.25	6.48000		SELL ONLY
AMG Funds	AMG TimesSquare Global Small Cap Fund	00170K117	TSYZX				6.23000		SELL ONLY
AMG Funds	AMG TimesSquare International Small Ca	00170K182	TQTIX				1.08000		SELL ONLY
AMG Funds	AMG TimesSquare International Small Ca	00170K711	TCMPX				1.23000		SELL ONLY
AMG Funds	AMG TimesSquare International Small Ca	00170K695	TCMIX				0.98000		SELL ONLY
AMG Funds	AMG TimesSquare Mid Cap Growth Fund	00170K174	TQMIX				1.05000		SELL ONLY
AMG Funds	AMG TimesSquare Mid Cap Growth Fund	00170K752	TMDPX				1.18000		SELL ONLY
AMG Funds	AMG TimesSquare Mid Cap Growth Fund	00170K745	TMDIX				0.98000		SELL ONLY
AMG Funds	AMG TimesSquare Small Cap Growth Fur	00170K166	TSQIX				1.07000		SELL ONLY
AMG Funds	AMG TimesSquare Small Cap Growth Fur	00170K737	TSCPX				1.20000		SELL ONLY
AMG Funds	AMG TimesSquare Small Cap Growth Fur	00170K729	TSCIX				1.00000		SELL ONLY
AMG Funds	AMG Veritas Asia Pacific Fund - Class I	00170L859	MSEIX				0.98000		SELL ONLY
AMG Funds	AMG Veritas Asia Pacific Fund - Class N	00170L867	MGSEX				1.23000		SELL ONLY
AMG Funds	AMG Veritas China Fund Class I	00170J409	MIMFX				0.99000		SELL ONLY
AMG Funds	AMG Veritas China Fund Class N	00170J755	MMCFX				1.24000		SELL ONLY
AMG Funds	AMG Veritas Global Focus Fund Class I	00170J813	MFQTX				1.01000		SELL ONLY
AMG Funds	AMG Veritas Global Focus Fund Class N	00170J870	MFQAX			.25	1.26000		SELL ONLY
AMG Funds	AMG Veritas Global Real Return Fund - C	00170J201	BLUEX				1.17000		SELL ONLY
AMG Funds	AMG Yacktman Focused Fund - Class I	00170K562	YAFIX				1.10000		SELL ONLY
AMG Funds	AMG Yacktman Focused Fund - Class N	00170K570	YAFFX				1.29000		SELL ONLY
AMG Funds	AMG Yacktman Fund Class I	00170K588	YACKX				0.74000		SELL ONLY
AMG Funds	AMG Yacktman Global Fund - Class I	00170K240	YFSIX				0.99000		SELL ONLY
AMG Funds	AMG Yacktman Global Fund - Class N	00170K257	YFSNX				1.10000		SELL ONLY
AMG Funds	AMG Yacktman Special Opportunities Fun	00170K489	YASSX				1.24000		SELL ONLY
AMG Funds	AMG Yacktman Special Opportunities Fun	00170K471	YASLX				1.14000		SELL ONLY
Amundi US	Pioneer AMT-Free Municipal Fund Class C	72387W887	MNBCX		1.00	1.00	1.55000		SELL ONLY
Amundi US	Pioneer AMT-Free Municipal Fund Class Y	72387W747	PBYMX			.00	0.62000		SELL ONLY
Amundi US	Pioneer Balanced ESG Fund Class A	72387P106	AOBLX	4.50		.25	1.04000		BUY,SELL
Amundi US	Pioneer Balanced ESG Fund Class C	72387P304	PCBCX		1.00	1.00	1.76000		SELL ONLY
Amundi US	Pioneer Balanced ESG Fund Class K	72387P577	PCBKX			.00	0.71000		SELL ONLY
Amundi US	Pioneer Balanced ESG Fund Class Y	72387P403	AYBLX			.00	0.82000		SELL ONLY
Amundi US	Pioneer Bond Fund Class A	723622106	PIOBX	4.50		.25	0.82000		BUY,SELL
Amundi US	Pioneer Bond Fund Class C	723622304	PCYBX		1.00	1.00	1.45000		SELL ONLY
Amundi US	Pioneer Bond Fund Class K	723622809	PBFKX			.00	0.34000		SELL ONLY
Amundi US	Pioneer Bond Fund Class Y	723622403	PICYX			.00	0.45000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross Expense		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Ratio		
Amundi US	Pioneer Core Equity Fund Class A	724010103	PIOTX	5.75		.25	0.90000		BUY,SELL
Amundi US	Pioneer Core Equity Fund Class C	724010301	PCOTX		1.00	1.00	1.70000		SELL ONLY
Amundi US	Pioneer Core Equity Fund Class K	72388U203	PCEKX			.00	0.56000		SELL ONLY
Amundi US	Pioneer Core Equity Fund Class Y	724010509	PVIFYX			.00	0.67000		SELL ONLY
Amundi US	Pioneer Corporate High Yield Fund Class A	72388E100	RCRAX	4.50		.25	1.11000		SELL ONLY
Amundi US	Pioneer Corporate High Yield Fund Class C	72388E209	RCRCX		1.00	1.00	1.89000		SELL ONLY
Amundi US	Pioneer Corporate High Yield Fund Class Y	72388E308	RCRYX			.00	0.90000		SELL ONLY
Amundi US	Pioneer Disciplined Growth Fund Class A	723922100	PINDX	5.75		.25	1.05000		SELL ONLY
Amundi US	Pioneer Disciplined Growth Fund Class C	723922308	INDCX		1.00	1.00	1.83000		SELL ONLY
Amundi US	Pioneer Disciplined Growth Fund Class Y	723922407	INYDX			.00	0.81000		SELL ONLY
Amundi US	Pioneer Disciplined Value Fund Class A	72387X109	CVFCX	5.75		.25	1.16000		SELL ONLY
Amundi US	Pioneer Disciplined Value Fund Class C	72387X307	CVCFX		1.00	1.00	1.89000		SELL ONLY
Amundi US	Pioneer Disciplined Value Fund Class Y	72387X406	CVFYX			.00	0.87000		SELL ONLY
Amundi US	Pioneer Equity Income Fund Class A	72366V108	PEQIX	5.75		.25	1.06000		BUY,SELL
Amundi US	Pioneer Equity Income Fund Class C	72366V306	PCEQX		1.00	1.00	1.75000		SELL ONLY
Amundi US	Pioneer Equity Income Fund Class K	72366V702	PEQKX			.00	0.66000		SELL ONLY
Amundi US	Pioneer Equity Income Fund Class Y	72366V405	PYEQX			.00	0.77000		SELL ONLY
Amundi US	Pioneer Flexible Opportunities Fund Class A	72387S407	PMARX	4.50		.25	1.30000		SELL ONLY
Amundi US	Pioneer Flexible Opportunities Fund Class C	72387S506	PRRCX		1.00	1.00	2.04000		SELL ONLY
Amundi US	Pioneer Flexible Opportunities Fund Class Y	72387S605	PMYRX			.00	1.03000		SELL ONLY
Amundi US	Pioneer Floating Rate Fund Class A	72387S100	FLARX	4.50		.25	1.18000		BUY,SELL
Amundi US	Pioneer Floating Rate Fund Class C	72387S209	FLRCX		1.00	1.00	1.85000		SELL ONLY
Amundi US	Pioneer Floating Rate Fund Class Y	72387S308	FLYRX			.00	0.87000		SELL ONLY
Amundi US	Pioneer Fund Class A	723682100	PIODX	5.75		.25	1.08000		BUY,SELL
Amundi US	Pioneer Fund Class C	723682308	PCODX		1.00	1.00	1.85000		SELL ONLY
Amundi US	Pioneer Fund Class K	723682886	PIOKX			.00	0.73000		SELL ONLY
Amundi US	Pioneer Fund Class Y	723682407	PYODX			.00	0.83000		SELL ONLY
Amundi US	Pioneer Fundamental Growth Fund Class A	723695102	PIGFX	5.75		.25	1.07000		BUY,SELL
Amundi US	Pioneer Fundamental Growth Fund Class C	723695300	FUNCX		1.00	1.00	1.74000		SELL ONLY
Amundi US	Pioneer Fundamental Growth Fund Class K	723695706	PFGKX			.00	0.66000		SELL ONLY
Amundi US	Pioneer Fundamental Growth Fund Class Y	723695409	FUNYX			.00	0.76000		SELL ONLY
Amundi US	Pioneer Global Equity Fund Class A	72387N705	GLOSX	5.75		.25	1.39000		SELL ONLY
Amundi US	Pioneer Global Equity Fund Class C	72387N887	GCSLX		1.00	1.00	2.10000		SELL ONLY
Amundi US	Pioneer Global Equity Fund Class K	72387N663	PGEKX			.00	0.89000		SELL ONLY
Amundi US	Pioneer Global Equity Fund Class Y	72387N788	PGSYX			.00	1.01000		SELL ONLY
Amundi US	Pioneer Global High Yield Fund Class A	72369G108	PGHYX	4.50		.25	1.23000		SELL ONLY
Amundi US	Pioneer Global High Yield Fund Class C	72369G306	PGYCX		1.00	1.00	2.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	Gross		Redemption Fee	Buy/Sell Eligible
						12b-1 Fees	Expense Ratio		
Amundi US	Pioneer Global High Yield Fund Class Y	72369G405	GHYYX			.00	0.96000		SELL ONLY
Amundi US	Pioneer High Income Municipal Fund Clas	72387N838	HICMX		1.00	1.00	1.59000		SELL ONLY
Amundi US	Pioneer High Income Municipal Fund Clas	72387N820	HIMYX			.00	0.64000		SELL ONLY
Amundi US	Pioneer High Yield Fund Class A	72369B109	TAHYX	4.50		.25	1.17000		BUY,SELL
Amundi US	Pioneer High Yield Fund Class C	72369B307	PYICX		1.00	1.00	1.87000		SELL ONLY
Amundi US	Pioneer High Yield Fund Class Y	72369B406	TYHYX			.00	0.87000		SELL ONLY
Amundi US	Pioneer International Equity Fund Class A	723709101	PIIFX	5.75		.25	1.51000		SELL ONLY
Amundi US	Pioneer International Equity Fund Class C	723709309	PCITX		1.00	1.00	2.22000		SELL ONLY
Amundi US	Pioneer International Equity Fund Class Y	723709507	INVYX			.00	0.96000		SELL ONLY
Amundi US	Pioneer Mid Cap Value Fund Class A	72375Q108	PCGRX	5.75		.25	1.08000		BUY,SELL
Amundi US	Pioneer Mid Cap Value Fund Class C	72375Q306	PCCGX		1.00	1.00	1.96000		SELL ONLY
Amundi US	Pioneer Mid Cap Value Fund Class K	72375Q702	PMCKX			.00	0.72000		SELL ONLY
Amundi US	Pioneer Mid Cap Value Fund Class Y	72375Q405	PYCGX			.00	0.81000		SELL ONLY
Amundi US	Pioneer Multi-Asset Income Fund A	72387P643	PMAIX	4.50		.25	0.95000		SELL ONLY
Amundi US	Pioneer Multi-Asset Income Fund C	72387P635	PMACX		1.00	1.00	1.72000		SELL ONLY
Amundi US	Pioneer Multi-Asset Income Fund Class K	72387P619	PMFKX			.00	0.64000		SELL ONLY
Amundi US	Pioneer Multi-Asset Income Fund Y	72387P627	PMFYX			.00	0.74000		SELL ONLY
Amundi US	Pioneer Multi-Asset Ultrashort Income Fun	72388E407	MAFRX			.20	0.58000		SELL ONLY
Amundi US	Pioneer Multi-Asset Ultrashort Income Fun	72388E506	MCFRX			.50	0.89000		SELL ONLY
Amundi US	Pioneer Multi-Asset Ultrashort Income Fun	72388E803	MAUKX			.00	0.36000		SELL ONLY
Amundi US	Pioneer Multi-Asset Ultrashort Income Fun	72388E605	MYFRX			.00	0.44000		SELL ONLY
Amundi US	Pioneer Real Estate Fund Class A	723848107	PWREX	5.75		.25	1.65000		SELL ONLY
Amundi US	Pioneer Real Estate Fund Class C	723848305	PCREX		1.00	1.00	2.48000		SELL ONLY
Amundi US	Pioneer Real Estate Fund Class Y	723848404	PYREX			.00	1.33000		SELL ONLY
Amundi US	Pioneer Select Mid Cap Growth Fund Clas	72387W408	PGOFX	5.75		.25	1.01000		BUY,SELL
Amundi US	Pioneer Select Mid Cap Growth Fund Clas	72387W606	GOFCX		1.00	1.00	1.81000		SELL ONLY
Amundi US	Pioneer Select Mid Cap Growth Fund Clas	72387W721	PSMKX			.00	0.66000		SELL ONLY
Amundi US	Pioneer Select Mid Cap Growth Fund Clas	72387W762	GROYX			.00	0.78000		SELL ONLY
Amundi US	Pioneer Short Term Income Fund Class A	72387U105	STABX			.20	0.85000		SELL ONLY
Amundi US	Pioneer Short Term Income Fund Class C	72387U303	PSHCX			.50	1.06000		SELL ONLY
Amundi US	Pioneer Short Term Income Fund Class K	72387U600	STIKX			.00	0.47000		SELL ONLY
Amundi US	Pioneer Short Term Income Fund Class Y	72387U402	PSHYX			.00	0.59000		SELL ONLY
Amundi US	Pioneer Solutions - Balanced Fund Class A	723556106	PIALX	5.75		.25	1.14000		SELL ONLY
Amundi US	Pioneer Solutions - Balanced Fund Class C	723556304	PIDCX		1.00	1.00	1.82000		SELL ONLY
Amundi US	Pioneer Solutions - Balanced Fund Class Y	723556825	IMOYX			.00	0.90000		SELL ONLY
Amundi US	Pioneer Strategic Income Fund Class A	723884102	PSRAX	4.50		.25	1.06000		BUY,SELL
Amundi US	Pioneer Strategic Income Fund Class C	723884300	PSRCX		1.00	1.00	1.73000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
Amundi US	Pioneer Strategic Income Fund Class K	723884706	STRKX			.00	0.62000		SELL ONLY
Amundi US	Pioneer Strategic Income Fund Class Y	723884409	STRYX			.00	0.74000		SELL ONLY
Aquila	Aquila Churchill Tax Free Fund of Kentuck	03842A806	CHKCX		1.00	1.00	1.63000		SELL ONLY
Aquila	Aquila Churchill Tax Free Fund of Kentuck	03842A889	CHKIX			.40	0.98000		SELL ONLY
Aquila	Aquila Churchill Tax Free Fund of Kentuck	03842A871	CHKYX				0.63000		SELL ONLY
Aquila	Aquila Narragansett Tax-Free Income Fun	03842A855	NITCX		1.00	1.00	1.72000		SELL ONLY
Aquila	Aquila Narragansett Tax-Free Income Fun	03842A673	NIFFX				0.69000		SELL ONLY
Aquila	Aquila Narragansett Tax-Free Income Fun	03842A848	NITIX			.40	1.07000		SELL ONLY
Aquila	Aquila Narragansett Tax-Free Income Fun	03842A830	NITYX				0.72000		SELL ONLY
Aquila	Aquila Tax-Free Fund For Utah Class C	03842A814	UTACX		1.00	1.00	1.68000		SELL ONLY
Aquila	Aquila Tax-Free Fund For Utah Class F	03842A657	UTAFX				0.64000		SELL ONLY
Aquila	Aquila Tax-Free Fund For Utah Class Y	03842A798	UTAYX				0.68000		SELL ONLY
Aquila	Aquila Tax-Free Fund of Colorado Class C	03842A509	COTCX		1.00	1.00	1.67000		SELL ONLY
Aquila	Aquila Tax-Free Fund of Colorado Class Y	03842A608	COTYX				0.67000		SELL ONLY
Aquila	Aquila Tax-Free Trust of Arizona Class C	03842A202	AZTCX		1.00	1.00	1.56000		SELL ONLY
Aquila	Aquila Tax-Free Trust of Arizona Class Y	03842A301	AZTYX				0.56000		SELL ONLY
Aquila	Aquila Tax-Free Trust of Oregon Class C	03842N204	ORTCX		1.00	1.00	1.57000		SELL ONLY
Aquila	Aquila Tax-Free Trust of Oregon Class F	03842N501	ORFFX				0.54000		SELL ONLY
Aquila	Aquila Tax-Free Trust of Oregon Class Y	03842N303	ORTYX				0.57000		SELL ONLY
Aquila	Aquila Three Peaks High Income Fund Cla	03841H109	ATPAX	4.00		.20	1.22000		BUY,SELL
Aquila	Aquila Three Peaks High Income Fund Cla	03841H208	ATPCX		1.00	1.00	2.02000		SELL ONLY
Aquila	Aquila Three Peaks High Income Fund Cla	03841H406	ATIPX			.40	1.33000		SELL ONLY
Aquila	Aquila Three Peaks High Income Fund Cla	03841H307	ATPYX				1.02000	1.00	SELL ONLY
Aquila	Aquila Three Peaks Opportunity Growth Fu	03839M102	ATGAX	4.25		.30	1.55000		SELL ONLY
Aquila	Aquila Three Peaks Opportunity Growth Fu	03839M201	ATGCX		1.00	1.00	2.25000		SELL ONLY
Aquila	Aquila Three Peaks Opportunity Growth Fu	03839M300	ATGYX				1.24000		SELL ONLY
Aquila	Aquila Three Peaks Opportunity Growth Fu	03839M409	ATRIX			.40	1.52000		SELL ONLY
Aquila	Hawaiian Tax-Free Trust Class C	420016206	HULCX		1.00	1.00	1.64000		SELL ONLY
Aquila	Hawaiian Tax-Free Trust Class F	420016602	HULFX				0.60000		SELL ONLY
Aquila	Hawaiian Tax-Free Trust Class Y	420016305	HULYX				0.64000		SELL ONLY
Ariel Investments	Ariel Appreciation Fund Institutional Class	040337834	CAAIX				0.84000		SELL ONLY
Ariel Investments	Ariel Appreciation Fund Investor Class	040337206	CAAPX			.25	1.15000		SELL ONLY
Ariel Investments	Ariel Focus Fund Institutional Class	040337826	AFOYX				0.89000		SELL ONLY
Ariel Investments	Ariel Focus Fund Investor Class	04035F107	ARFFX			.25	1.25000		SELL ONLY
Ariel Investments	Ariel Fund Institutional Class	040337842	ARAIX				0.72000		SELL ONLY
Ariel Investments	Ariel Fund Investor Class	040337107	ARGFX			.25	1.04000		SELL ONLY
Ariel Investments	Ariel Global Fund Institutional Class	040337859	AGLYX				1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Ariel Investments	Ariel Global Fund Investor Class	040337867	AGLOX			.25	1.47000		SELL ONLY
Ariel Investments	Ariel International Fund Investor Class	040337883	AINTX			.25	1.34000		SELL ONLY
Ariel Investments	Ariel International Institutional Class	040337875	AINIX				0.97000		SELL ONLY
Artisan	Artisan Developing World Fund Advisor Sh	04314H618	APDYX				1.13000		SELL ONLY
Artisan	Artisan Developing World Fund Institutional	04314H592	APHYX				1.04000		SELL ONLY
Artisan	Artisan Developing World Fund Investor S	04314H626	ARTYX				1.28000		SELL ONLY
Artisan	Artisan Focus Fund Advisor Shares	04314H519	APDTX				1.15000		SELL ONLY
Artisan	Artisan Focus Fund Investor Shares	04314H543	ARTTX				1.32000		SELL ONLY
Artisan	Artisan Global Discovery Fund Investor Sh	04314H535	APFDX				1.36000		SELL ONLY
Artisan	Artisan Global Equity Fund Institutional Sh	04314H584	APHHX				1.05000		SELL ONLY
Artisan	Artisan Global Equity Fund Investor Class	04314H816	ARTHX				1.27000		SELL ONLY
Artisan	Artisan Global Opportunities Fund Advisor	04314H691	APDRX				1.02000		SELL ONLY
Artisan	Artisan Global Opportunities Fund Institutid	04314H782	APHRX				0.91000		SELL ONLY
Artisan	Artisan Global Opportunities Fund Investor	04314H824	ARTRX				1.14000		SELL ONLY
Artisan	Artisan Global Value Fund Advisor Class	04314H683	APDGX				1.15000		SELL ONLY
Artisan	Artisan Global Value Fund Institutional Cla	04314H741	APHGX				1.05000		SELL ONLY
Artisan	Artisan Global Value Fund Investor Class	04314H840	ARTGX				1.29000		SELL ONLY
Artisan	Artisan High Income Fund Advisor Shares	04314H717	APDFX				0.83000	2.00	SELL ONLY
Artisan	Artisan High Income Fund Institutional Sha	04314H568	APHFX				0.73000	2.00	SELL ONLY
Artisan	Artisan High Income Fund Investor Shares	04314H725	ARTFX				0.97000	2.00	SELL ONLY
Artisan	Artisan International Fund Advisor Class	04314H675	APDIX				1.05000		SELL ONLY
Artisan	Artisan International Fund Institutional Cla	04314H402	APHIX				0.96000		SELL ONLY
Artisan	Artisan International Fund Investor Class	04314H204	ARTIX				1.19000		SELL ONLY
Artisan	Artisan International Small-Mid Fund Advis	04314H485	APDJX				1.19000		SELL ONLY
Artisan	Artisan International Small-Mid Fund Institu	04314H576	APHJX				1.10000		SELL ONLY
Artisan	Artisan International Small-Mid Fund Inves	04314H808	ARTJX				1.34000		SELL ONLY
Artisan	Artisan International Value Fund Advisor C	04314H667	APDKX				1.12000		SELL ONLY
Artisan	Artisan International Value Fund Institution	04314H857	APHKX				1.03000		SELL ONLY
Artisan	Artisan International Value Fund Investor C	04314H881	ARTKX				1.26000		SELL ONLY
Artisan	Artisan Mid Cap Fund Advisor Class	04314H659	APDMX				1.05000		SELL ONLY
Artisan	Artisan Mid Cap Fund Institutional Class	04314H600	APHMX				0.96000		SELL ONLY
Artisan	Artisan Mid Cap Fund Investor Class	04314H303	ARTMX				1.18000		SELL ONLY
Artisan	Artisan Mid Cap Value Fund Advisor Class	04314H642	APDQX				1.06000		SELL ONLY
Artisan	Artisan Mid Cap Value Fund Institutional C	04314H774	APHQX				1.00000		SELL ONLY
Artisan	Artisan Mid Cap Value Fund Investor Class	04314H709	ARTQX				1.22000		SELL ONLY
Artisan	Artisan Small Cap Fund Advisor Shares	04314H550	APDSX				1.09000		SELL ONLY
Artisan	Artisan Small Cap Fund Institutional Share	04314H758	APHSX				0.99000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1	Gross	Redemption	Buy/Sell Eligible
				Load	CDSC		Expense Ratio		
Artisan	Artisan Small Cap Fund Investor Shares	04314H105	ARTSX				1.21000		SELL ONLY
Artisan	Artisan Sustainable Emerging Markets Fur	04314H865	APHEX				2.29000		SELL ONLY
Artisan	Artisan Sustainable Emerging Markets Fur	04314H832	ARTZX				1.73000		SELL ONLY
Artisan	Artisan Value Fund Advisor Class	04314H634	APDLX				0.93000		SELL ONLY
Artisan	Artisan Value Fund Institutional Class	04314H790	APHLX				0.83000		SELL ONLY
Artisan	Artisan Value Fund Investor Class	04314H873	ARTLX				1.09000		SELL ONLY
Auxier Funds	Auxier Focus Fund Class A	349903161	AUXAX	5.75		.25	1.51000	2.00	SELL ONLY
Auxier Funds	Auxier Focus Fund Class Investor	349903179	AUXFX				1.10000	2.00	SELL ONLY
Auxier Funds	Auxier Focus Fund Institutional	34984T410	AUXIX				1.10000	2.00	SELL ONLY
Ave Maria Mutual Funds	Ave Maria Bond Fund	808530505	AVEFX				0.43000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Focused Fund	808530885	AVEAX				1.30000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Growth Fund	808530307	AVEGX				0.92000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Rising Dividend Fund	808530604	AVEDX				0.93000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria Value Fund	808530208	AVEMX				0.97000		SELL ONLY
Ave Maria Mutual Funds	Ave Maria World Equity Fund	808530802	AVEWX				1.27000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Developed EAFE All Cap Fu	056823321	BSGPX				0.76000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Developed EAFE All Cap Fu	056823339	BGPKX				0.64000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford EAFE Plus All Cap Fund Cla	056823347	BGCSX				0.72000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford EAFE Plus All Cap Fund Cla	056823354	BKGCX				0.62000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Emerging Markets Equities F	056823388	BGEGX				0.89000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Emerging Markets Equities F	056823396	BGKEX				0.80000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Global Alpha Equities Fund	056823255	BGASX				0.74000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Global Alpha Equities Fund	056823263	BGAKX				0.65000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Global Stewardship Equities	056823222	BGSKX				5.22000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Global Stewardship Equities	056823214	BGSSX				5.22000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Alpha Fund Cla	056823362	BINSX				0.67000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Alpha Fund Cla	056823370	BGIKX				0.59000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Concentrated G	056823198	BTLKX				0.79000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Concentrated G	056823180	BTLSX				0.87000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Growth Fund C	056823412	BGESX				0.64000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford International Growth Fund C	056823420	BGEKX				0.58000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Long Term Global Growth F	056823297	BSSLX				0.79000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Long Term Global Growth F	056823313	BGLKX				0.71000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Multi Asset Fund Class K	056823156	BGBKX				2.68000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Multi Asset Fund Institutional	056823149	BGBIX				2.67000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Positive Change Equities Fu	056823172	BPEKX				1.02000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford Positive Change Equities Fu	056823164	BPESX				1.05000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Baillie Gifford Funds	Baillie Gifford The U.S. Equity Growth Fund	056823271	BGGSX				1.06000		SELL ONLY
Baillie Gifford Funds	Baillie Gifford The U.S. Equity Growth Fund	056823289	BGGKX				0.97000		SELL ONLY
Baird	Baird Aggregate Bond Fund Class Institutional	057071854	BAGIX				0.30000		SELL ONLY
Baird	Baird Aggregate Bond Fund Class Investor	057071862	BAGSX			.25	0.55000		SELL ONLY
Baird	Baird Core Intermediate Municipal Bond Fund	057071656	BMNIX				0.30000		SELL ONLY
Baird	Baird Core Intermediate Municipal Bond Fund	057071649	BMNSX			.25	0.55000		SELL ONLY
Baird	Baird Core Plus Bond Fund Class Institutional	057071870	BCOIX				0.30000		SELL ONLY
Baird	Baird Core Plus Bond Fund Class Investor	057071888	BCOSX			.25	0.55000		SELL ONLY
Baird	Baird Intermediate Bond Fund Class Institutional	057071805	BIMIX				0.30000		SELL ONLY
Baird	Baird Intermediate Bond Fund Class Investor	057071706	BIMSX			.25	0.55000		SELL ONLY
Baird	Baird MidCap Fund Institutional Class	057071813	BMDIX				0.82000		SELL ONLY
Baird	Baird MidCap Fund Investor Class	057071821	BMDSX			.25	1.07000		SELL ONLY
Baird	Baird Quality Intermediate Municipal Bond	057071607	BMBIX				0.30000		SELL ONLY
Baird	Baird Quality Intermediate Municipal Bond	057071508	BMBSX			.25	0.55000		SELL ONLY
Baird	Baird Short-Term Bond Fund Institutional Class	057071409	BSBIX				0.30000		SELL ONLY
Baird	Baird Short-Term Bond Fund Investor Class	057071730	BSBSX			.25	0.55000		SELL ONLY
Baird	Baird Short-Term Municipal Bond Fund Institutional	057071631	BTMIX				0.30000		SELL ONLY
Baird	Baird Short-Term Municipal Bond Fund Investor	057071623	BTMSX			.25	0.55000		SELL ONLY
Baird	Baird Small/Mid Cap Growth Fund Institutional	057071540	BSGIX				1.43000		SELL ONLY
Baird	Baird Small/Mid Cap Growth Fund Investor	057071532	BSGSX			.25	1.68000		SELL ONLY
Baird	Baird Small/Mid Cap Value Fund Institutional	057071599	BMVIX				1.65000		SELL ONLY
Baird	Baird Small/Mid Cap Value Fund Investor	057071615	BMVSX			.25	1.90000		SELL ONLY
Baird	Baird SmallCap Value Fund Institutional Class	057071748	BSVIX				1.64000		SELL ONLY
Baird	Baird SmallCap Value Fund Investor Class	057071755	BSVSX			.25	1.89000		SELL ONLY
Baird	Baird Ultra Short Bond Fund Institutional Class	057071722	BUBIX				0.30000		SELL ONLY
Baird	Baird Ultra Short Bond Fund Investor Class	057071714	BUBSX			.25	0.55000		SELL ONLY
Baird	Chautauqua Global Growth Fund Institutional	057071581	CCGIX				1.04000	2.00	SELL ONLY
Baird	Chautauqua Global Growth Fund Investor	057071573	CCGSX			.25	1.29000	2.00	SELL ONLY
Baird	Chautauqua International Growth Fund Institutional	057071557	CCWIX				0.90000	2.00	SELL ONLY
Baird	Chautauqua International Growth Fund Investor	057071565	CCWSX			.25	1.15000	2.00	SELL ONLY
Baron Capital Group, Inc	Baron Asset Fund Institutional Class	068278605	BARIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc	Baron Asset Fund Retail Class	068278100	BARAX			.25	1.30000		SELL ONLY
Baron Capital Group, Inc	Baron Discovery Fund Institutional Shares	068278852	BDFIX			.00	1.08000		SELL ONLY
Baron Capital Group, Inc	Baron Discovery Fund Retail Shares	068278860	BDFFX			.25	1.35000		SELL ONLY
Baron Capital Group, Inc	Baron Durable Advantage Fund Institutional	068278761	BDAIX			.00	2.40000		SELL ONLY
Baron Capital Group, Inc	Baron Durable Advantage Fund Retail Shares	068278779	BDAFX			.25	2.80000		SELL ONLY
Baron Capital Group, Inc	Baron Emerging Markets Fund Institutional	06828M876	BEXIX			.00	1.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Baron Capital Group, Inc	Baron Emerging Markets Fund Retail Shares	06828M884	BEXFX			.25	1.35000		SELL ONLY
Baron Capital Group, Inc	Baron Fifth Avenue Growth Fund Institutional Shares	068278878	BFTIX			.00	0.78000		SELL ONLY
Baron Capital Group, Inc	Baron Fifth Avenue Growth Fund Retail Class	068278506	BFTHX			.25	1.05000		SELL ONLY
Baron Capital Group, Inc	Baron Focused Growth Fund Institutional Shares	06828M504	BFGIX			.00	1.07000		SELL ONLY
Baron Capital Group, Inc	Baron Focused Growth Fund Retail Shares	06828M207	BFGFX			.25	1.35000		SELL ONLY
Baron Capital Group, Inc	Baron Global Advantage Fund Institutional Shares	06828M835	BGAIX			.00	0.92000		SELL ONLY
Baron Capital Group, Inc	Baron Global Advantage Fund Retail Shares	06828M843	BGAFX			.25	1.17000		SELL ONLY
Baron Capital Group, Inc	Baron Growth Fund Institutional Shares	068278704	BGRIX			.00	1.04000		SELL ONLY
Baron Capital Group, Inc	Baron Growth Fund Retail Shares	068278209	BGRFX			.25	1.30000		SELL ONLY
Baron Capital Group, Inc	Baron International Growth Fund Institutional Shares	06828M603	BINIX			.00	1.01000		SELL ONLY
Baron Capital Group, Inc	Baron International Growth Fund Retail Shares	06828M306	BIGFX			.25	1.28000		SELL ONLY
Baron Capital Group, Inc	Baron Opportunity Fund Institutional Class	068278886	BIOIX			.00	1.08000		SELL ONLY
Baron Capital Group, Inc	Baron Opportunity Fund Retail Class	068278407	BIOPX			.25	1.34000		SELL ONLY
Baron Capital Group, Inc	Baron Partners Fund Institutional Shares	06828M405	BPTIX			.00	1.30000		SELL ONLY
Baron Capital Group, Inc	Baron Partners Fund Retail Shares	06828M108	BPTRX			.25	1.56000		SELL ONLY
Baron Capital Group, Inc	Baron Real Estate Fund Institutional Shares	06828M801	BREIX			.00	1.08000		SELL ONLY
Baron Capital Group, Inc	Baron Real Estate Fund Retail Shares	06828M702	BREFX			.25	1.34000		SELL ONLY
Baron Capital Group, Inc	Baron Real Estate Income Fund Institutional Shares	06828M736	BRIIX				3.45000		SELL ONLY
Baron Capital Group, Inc	Baron Real Estate Income Fund Retail Shares	06828M744	BRIFX			.25	4.40000		SELL ONLY
Baron Capital Group, Inc	Baron Small Cap Fund Institutional Class	068278803	BSFIX			.00	1.05000		SELL ONLY
Baron Capital Group, Inc	Baron Small Cap Fund Retail Class	068278308	BSCFX			.25	1.31000		SELL ONLY
Baron Capital Group, Inc	Baron WealthBuilder Fund Institutional Shares	06828M694	BWBIX				1.22000		SELL ONLY
Baron Capital Group, Inc	Baron WealthBuilder Fund Retail Shares	06828M710	BWBFX			.25	1.47000		SELL ONLY
Barrett	Barrett Opportunity Fund	06847P108	SAOPX				1.25000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Class	09257E753	BKCPX				0.41000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Institutional Shares	09256H765	BICPX				0.49000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Institutional Shares	091937177	BACPX	5.25		.25	0.74000		SELL ONLY
BlackRock	BlackRock 20/80 Target Allocation Fund Institutional Shares	091937169	BCCPX		1.00	1.00	1.48000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Class	09257E746	BKMPX				0.38000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Institutional Shares	09256H740	BIMPX				0.52000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Institutional Shares	091937151	BAMPX	5.25		.25	0.71000		SELL ONLY
BlackRock	BlackRock 40/60 Target Allocation Fund Institutional Shares	091937144	BCMPX		1.00	1.00	1.46000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Class	09257E738	BKGPX				0.35000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Institutional Shares	09256H724	BIGPX				0.49000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Institutional Shares	091937136	BAGPX	5.25		.25	0.70000		SELL ONLY
BlackRock	BlackRock 60/40 Target Allocation Fund Institutional Shares	091937128	BCGPX		1.00	1.00	1.44000		SELL ONLY
BlackRock	BlackRock 80/20 Target Allocation Fund Class	09256H799	BAAPX	5.25		.25	0.73000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BlackRock	BlackRock 80/20 Target Allocation Fund C	09256H781	BCAPX		1.00	1.00	1.50000		SELL ONLY	
BlackRock	BlackRock 80/20 Target Allocation Fund C	09256H690	BIAPX				0.54000		SELL ONLY	
BlackRock	BlackRock 80/20 Target Allocation Fund C	09257E720	BKAPX				0.38000		SELL ONLY	
BlackRock	Blackrock Advantage Emerging Markets F	09258N323	BLSKX				1.37000		SELL ONLY	
BlackRock	BlackRock Advantage Emerging Markets F	091936690	BLSIX				1.41000		SELL ONLY	
BlackRock	BlackRock Advantage Emerging Markets F	091936724	BLSAX	5.25		.25	1.75000		SELL ONLY	
BlackRock	BlackRock Advantage Emerging Markets F	091936716	BLSCX		1.00	1.00	2.57000		SELL ONLY	
BlackRock	BlackRock Advantage ESG Emerging Mar	09260J864	BLZIX				2.88000		SELL ONLY	
BlackRock	BlackRock Advantage ESG Emerging Mar	09260J872	BLZAX	5.25		.25	3.10000		SELL ONLY	
BlackRock	BlackRock Advantage ESG International E	09260J849	BRZIX				2.87000		SELL ONLY	
BlackRock	BlackRock Advantage ESG International E	09260J831	BRZAX	5.25		.25	3.23000		SELL ONLY	
BlackRock	BlackRock Advantage ESG U.S. Equity Fu	09258N406	BIRKX				0.74000		SELL ONLY	
BlackRock	BlackRock Advantage ESG U.S. Equity Fu	091936120	BIRIX				0.79000		SELL ONLY	
BlackRock	BlackRock Advantage ESG U.S. Equity Fu	091936146	BIRAX	5.25		.25	1.08000		BUY,SELL	
BlackRock	BlackRock Advantage ESG U.S. Equity Fu	091936138	BIRCX		1.00	1.00	1.83000		SELL ONLY	
BlackRock	BlackRock Advantage Global Fund, Inc.Cl	09252A608	MKGCX				1.09000		SELL ONLY	
BlackRock	BlackRock Advantage Global Fund, Inc.Ins	09252A509	MAGCX				1.15000		SELL ONLY	
BlackRock	BlackRock Advantage Global Fund, Inc.Inv	09252A103	MDGCX	5.25		.25	1.46000		BUY,SELL	
BlackRock	BlackRock Advantage Global Fund, Inc.Inv	09252A301	MCGCX		1.00	1.00	2.32000		SELL ONLY	
BlackRock	BlackRock Advantage International Fund I	091937268	BROIX				0.82000		SELL ONLY	
BlackRock	BlackRock Advantage International Fund I	091937243	BROAX	5.25		.25	1.08000		BUY,SELL	
BlackRock	BlackRock Advantage International Fund I	091937227	BROCX		1.00	1.00	1.84000		SELL ONLY	
BlackRock	BlackRock Advantage International Fund I	09258N315	BROKX				0.65000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Core Fu	09250J718	MLRKX				0.51000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Core Fu	09250J502	MALRX				0.65000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Core Fu	09250J106	MDLRX	5.25		.25	0.90000		BUY,SELL	
BlackRock	BlackRock Advantage Large Cap Core Fu	09250J304	MCLRX		1.00	1.00	1.68000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Growth F	09258N299	BMCKX				0.70000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Growth F	091928812	CMVIX				0.86000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Growth F	091928788	BMCAX	5.25		.25	1.12000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Growth F	091928762	BMCCX		1.00	1.00	1.83000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Value Fu	09250J692	MLVKX				0.61000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Value Fu	09250J817	MALVX				0.70000		SELL ONLY	
BlackRock	BlackRock Advantage Large Cap Value Fu	09250J858	MDLVX	5.25		.25	0.96000		BUY,SELL	
BlackRock	BlackRock Advantage Large Cap Value Fu	09250J833	MCLVX		1.00	1.00	1.72000		SELL ONLY	
BlackRock	BlackRock Advantage Small Cap Core Fu	09258N802	BDSKX				0.56000		SELL ONLY	
BlackRock	BlackRock Advantage Small Cap Core Fu	091936450	BDSIX				0.64000		SELL ONLY	

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BlackRock	BlackRock Advantage Small Cap Core Fu	091936476	BDSAX	5.25			.25	1.01000		BUY,SELL
BlackRock	BlackRock Advantage Small Cap Core Fu	091936468	BDSCX		1.00	1.00		1.71000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Growth F	09258N281	PSGKX					0.58000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Growth F	091928101	PSGIX					0.73000		SELL ONLY
BlackRock	BlackRock Advantage Small Cap Growth F	091928309	CSGEX	5.25			.25	1.02000		BUY,SELL
BlackRock	BlackRock Advantage Small Cap Growth F	091928507	CGICX		1.00	1.00		1.80000		SELL ONLY
BlackRock	BlackRock Advantage SMID Cap Fund, Inc	09252L604	MKSPX					0.59000		SELL ONLY
BlackRock	BlackRock Advantage SMID Cap Fund, Inc	09252L505	MASPX					0.69000		SELL ONLY
BlackRock	BlackRock Advantage SMID Cap Fund, Inc	09252L109	MDSPX	5.25			.25	0.93000		BUY,SELL
BlackRock	BlackRock Advantage SMID Cap Fund, Inc	09252L307	MCSPX		1.00	1.00		1.75000		SELL ONLY
BlackRock	BlackRock Asian Dragon Fund, Inc. Class K	09252J609	MPCKX					1.01000		SELL ONLY
BlackRock	BlackRock Asian Dragon Fund, Inc. Instituti	09252J500	MAPCX					0.99000		SELL ONLY
BlackRock	BlackRock Asian Dragon Fund, Inc. Investo	09252J104	MDPCX	5.25			.25	1.23000		BUY,SELL
BlackRock	BlackRock Asian Dragon Fund, Inc. Investo	09252J302	MCPCX		1.00	1.00		2.02000		SELL ONLY
BlackRock	BlackRock Balanced Capital Fund Class K	09251C605	MKCPX					0.75000		SELL ONLY
BlackRock	BlackRock Balanced Capital Fund Instituti	09251C506	MACPX					0.81000		SELL ONLY
BlackRock	BlackRock Balanced Capital Fund Investo	09251C100	MDCPX	5.25			.25	1.07000		BUY,SELL
BlackRock	BlackRock Balanced Capital Fund Investo	09251C308	MCCPX		1.00	1.00		1.83000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunit	09253A664	MKCMX					0.53000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunit	09252Y606	MACMX					0.65000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunit	09252Y200	MDCMX				.10	0.74000		SELL ONLY
BlackRock	BlackRock California Municipal Opportunit	09252Y408	MFCMX		1.00	1.00		1.65000		SELL ONLY
BlackRock	BlackRock Capital Appreciation Fund Insti	09251R503	MAFGX					0.75000		SELL ONLY
BlackRock	BlackRock Capital Appreciation Fund Inve	09251R107	MDFGX	5.25			.25	1.01000		BUY,SELL
BlackRock	BlackRock Capital Appreciation Fund Inve	09251R305	MCFGX		1.00	1.00		1.79000		SELL ONLY
BlackRock	BlackRock Capital Appreciation Fund K Sh	09251R602	BFGBX					0.64000		SELL ONLY
BlackRock	BlackRock China A Opportunities Fund Cl	09258N216	CHKLX					2.32000		SELL ONLY
BlackRock	BlackRock China A Opportunities Fund Ins	09258N224	CHILX					2.31000		SELL ONLY
BlackRock	BlackRock Commodity Strategies Portfolio	09258N273	BCSKX					0.95000		SELL ONLY
BlackRock	BlackRock Commodity Strategies Portfolio	091936666	BICSX					1.04000		SELL ONLY
BlackRock	BlackRock Commodity Strategies Portfolio	091936682	BCSAX	5.25			.25	1.40000		BUY,SELL
BlackRock	BlackRock Commodity Strategies Portfolio	091936674	BCSCX		1.00	1.00		2.07000		SELL ONLY
BlackRock	BlackRock Core Bond Portfolio Class K	09260B507	CCBBX					0.44000		SELL ONLY
BlackRock	BlackRock Core Bond Portfolio Institutional	09260B309	BFMCX					0.56000		SELL ONLY
BlackRock	BlackRock Core Bond Portfolio Investor A	09260B101	BCBAX	4.00			.25	0.83000		BUY,SELL
BlackRock	BlackRock Core Bond Portfolio Investor C	09260B200	BCBCX		1.00	1.00		1.59000		SELL ONLY
BlackRock	BlackRock CoreAlpha Bond Fund Instituti	09260T300	BCRIX					0.29000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
BlackRock	BlackRock CoreAlpha Bond Fund Investor	09260T102	BCRAX	4.00		.25	0.54000		SELL ONLY
BlackRock	BlackRock CoreAlpha Bond Fund Investor	09260T201	BCRCX		1.00	1.00	1.29000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Emerging	09260J724	BIDEX				2.52000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Emerging	09260J732	BADEX	5.25		.25	2.81000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Internatic	09260J757	BDAYX				2.01000		SELL ONLY
BlackRock	BlackRock Defensive Advantage Internatic	09260J765	BDAAX	5.25		.25	2.27000		SELL ONLY
BlackRock	BlackRock Defensive Advantage U.S. Fun	09260J781	BIDUX				1.90000		SELL ONLY
BlackRock	BlackRock Defensive Advantage U.S. Fun	09260J799	BADUX	5.25		.25	2.18000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio	09257E779	BDHKX				0.88000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio	09257E795	BDHIX				0.86000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio	09257E829	BDHAX	5.25		.25	1.10000		SELL ONLY
BlackRock	BlackRock Dynamic High Income Portfolio	09257E811	BDHCX		1.00	1.00	1.86000		SELL ONLY
BlackRock	BlackRock Emerging Markets Bond Fund	09260B853	BEHKX				1.60000		SELL ONLY
BlackRock	BlackRock Emerging Markets Bond Fund	09260B861	BEHIX				1.73000		SELL ONLY
BlackRock	BlackRock Emerging Markets Flexible Dyr	09260B812	BREDX				1.10000		SELL ONLY
BlackRock	BlackRock Emerging Markets Flexible Dyr	09260B820	BEDIX				1.18000		SELL ONLY
BlackRock	BlackRock Emerging Markets Flexible Dyr	09260B846	BAEDX	4.00		.25	1.55000		SELL ONLY
BlackRock	BlackRock Emerging Markets Flexible Dyr	09260B838	BCEDX		1.00	1.00	2.36000		SELL ONLY
BlackRock	BlackRock Emerging Markets Fund, Inc. Ir	09251J402	MADCX				1.03000		SELL ONLY
BlackRock	BlackRock Emerging Markets Fund, Inc. Ir	09251J105	MDDCX	5.25		.25	1.29000		BUY,SELL
BlackRock	BlackRock Emerging Markets Fund, Inc. Ir	09251J303	MCDCX		1.00	1.00	2.14000		SELL ONLY
BlackRock	BlackRock Emerging Markets Fund, Inc. K	09251J501	MKDCX				0.86000		SELL ONLY
BlackRock	BlackRock Energy Opportunities Fund Inst	091937284	BACIX				1.31000		SELL ONLY
BlackRock	BlackRock Energy Opportunities Fund Inve	091937334	BACAX	5.25		.25	1.85000		SELL ONLY
BlackRock	BlackRock Energy Opportunities Fund Inve	091937318	BACCX		1.00	1.00	2.52000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund Institution	09251M504	MADVX				0.71000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund Investor	09251M108	MDDVX	5.25		.25	0.96000		BUY,SELL
BlackRock	BlackRock Equity Dividend Fund Investor	09251M306	MCDVX		1.00	1.00	1.70000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund K Shares	09251M801	MKDVX				0.60000		SELL ONLY
BlackRock	BlackRock Equity Dividend Fund Service S	09251M603	MSDVX			.25	1.02000		SELL ONLY
BlackRock	BlackRock EuroFund Class K	09251N700	MKEFX				1.06000		SELL ONLY
BlackRock	BlackRock EuroFund Institutional Shares	09251N502	MAEFX				1.24000		SELL ONLY
BlackRock	BlackRock EuroFund Investor A Shares	09251N106	MDEFX	5.25		.25	1.40000		BUY,SELL
BlackRock	BlackRock EuroFund Investor C Shares	09251N304	MCEFX		1.00	1.00	2.19000		SELL ONLY
BlackRock	BlackRock Event Driven Equity Fund Instit	09250J734	BILPX				1.43000		SELL ONLY
BlackRock	BlackRock Event Driven Equity Fund Inves	09250J759	BALPX	5.25		.25	1.68000		SELL ONLY
BlackRock	BlackRock Event Driven Equity Fund Inves	09250J742	BCLPX		1.00	1.00	2.41000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BlackRock	BlackRock Exchange Portfolio BlackRock	091937391	STSEX					0.83000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Fund Cla	09260B739	BFRKX					0.67000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Fund Ins	09260B747	BFRIX					0.72000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Fund Inv	09260B762	BFRCX		1.00	1.00		1.76000		SELL ONLY
BlackRock	BlackRock Floating Rate Income Portfolio	09260B770	BFRAX	2.50			.25	0.99000		BUY,SELL
BlackRock	BlackRock GA Disciplined Volatility Equity	561656703	BGDVX					5.00000		SELL ONLY
BlackRock	BlackRock GA Disciplined Volatility Equity	561656877	BIDVX					5.19000		SELL ONLY
BlackRock	BlackRock GA Dynamic Equity Fund Class	561656802	BGEEX					5.16000		SELL ONLY
BlackRock	BlackRock GA Dynamic Equity Fund Instit	561656885	BIIEEX					5.35000		SELL ONLY
BlackRock	BlackRock Global Allocation Fund, Inc. Cla	09258N778	MKLOX					0.80000		SELL ONLY
BlackRock	BlackRock Global Allocation Fund, Inc. Ins	09251T509	MALOX					0.88000		SELL ONLY
BlackRock	BlackRock Global Allocation Fund, Inc. Inv	09251T103	MDLOX	5.25			.25	1.15000		BUY,SELL
BlackRock	BlackRock Global Allocation Fund, Inc. Inv	09251T301	MCLOX		1.00	1.00		1.91000		SELL ONLY
BlackRock	BlackRock Global Dividend Portfolio Class	09257E712	BKBDX					0.69000		SELL ONLY
BlackRock	BlackRock Global Dividend Portfolio Insitu	09256H328	BIBDX					0.74000		SELL ONLY
BlackRock	BlackRock Global Dividend Portfolio Invest	09256H385	BABDX	5.25			.25	1.00000		BUY,SELL
BlackRock	BlackRock Global Dividend Portfolio Invest	09256H377	BCBDX		1.00	1.00		1.75000		SELL ONLY
BlackRock	BlackRock Global Impact Fund Institutional	09260J609	BGIMX					0.98000		SELL ONLY
BlackRock	BlackRock Global Impact Fund Investor A	09260J500	BGAMX	5.25			.25	1.27000		SELL ONLY
BlackRock	BlackRock Global Long/Short Credit Fund	09260C703	BDMKX					1.78000		SELL ONLY
BlackRock	BlackRock Global Long/Short Credit Fund	09260C604	BGCIX					1.86000		SELL ONLY
BlackRock	BlackRock Global Long/Short Credit Fund	09260C406	BGCAX	4.00			.25	2.11000		SELL ONLY
BlackRock	BlackRock Global Long/Short Credit Fund	09260C505	BGCCX		1.00	1.00		2.87000		SELL ONLY
BlackRock	BlackRock Global Long/Short Equity Fund	09258N703	BGCKX					1.52000		SELL ONLY
BlackRock	BlackRock Global Long/Short Equity Fund	091936526	BDMIX					1.57000		SELL ONLY
BlackRock	BlackRock Global Long/Short Equity Fund	091936542	BDMAX	5.25			.25	1.82000		SELL ONLY
BlackRock	BlackRock Global Long/Short Equity Fund	091936534	BDMCX		1.00	1.00		2.61000		SELL ONLY
BlackRock	BlackRock GNMA Portfolio Class K	09260B689	BBGPX					0.54000		SELL ONLY
BlackRock	BlackRock GNMA Portfolio Institutional Sh	09260B697	BGNIX					0.65000		SELL ONLY
BlackRock	BlackRock GNMA Portfolio Investor A Sha	09260B721	BGPAX	4.00			.25	0.93000		SELL ONLY
BlackRock	BlackRock GNMA Portfolio Investor C Sha	09260B713	BGPCX		1.00	1.00		1.63000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities	09258N752	SHSKX					0.75000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities	091937540	SHSSX					0.85000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities	091937573	SHSAX	5.25			.25	1.11000		BUY,SELL
BlackRock	BlackRock Health Sciences Opportunities	091937557	SHSCX		1.00	1.00		1.85000		SELL ONLY
BlackRock	BlackRock Health Sciences Opportunities	091937359	SHISX				.25	1.15000		SELL ONLY
BlackRock	BlackRock High Equity Income Fund Class	09258N141	BHEIX					0.98000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
BlackRock	BlackRock High Equity Income Fund Instit	091929760	BMCIX				1.13000		SELL ONLY
BlackRock	BlackRock High Equity Income Fund Inves	091929810	BMEAX	5.25		.25	1.41000		SELL ONLY
BlackRock	BlackRock High Equity Income Fund Inves	091929786	BMECX		1.00	1.00	2.17000		SELL ONLY
BlackRock	BlackRock High Yield Bond Portfolio Class	09260B614	BRHYX				0.52000		SELL ONLY
BlackRock	BlackRock High Yield Bond Portfolio Institu	09260B630	BHYIX				0.63000		SELL ONLY
BlackRock	BlackRock High Yield Bond Portfolio Inves	09260B663	BHYAX	4.00		.25	0.94000		BUY,SELL
BlackRock	BlackRock High Yield Bond Portfolio Inves	09260B655	BHYCX		1.00	1.00	1.65000		SELL ONLY
BlackRock	BlackRock High Yield Bond Portfolio Servi	09260B598	BHYSX			.25	0.90000		SELL ONLY
BlackRock	BlackRock High Yield Municipal Fund Clas	09253C710	MKYHX				0.70000		SELL ONLY
BlackRock	BlackRock High Yield Municipal Fund Insti	09253C769	MAYHX				0.67000		SELL ONLY
BlackRock	BlackRock High Yield Municipal Fund Inve	09253C777	MCYHX		1.00	1.00	1.70000		SELL ONLY
BlackRock	BlackRock Income Fund Class K Shares	09260B879	BMSKX				0.68000		SELL ONLY
BlackRock	BlackRock Income Fund Institutional Share	09260B887	BMSIX				0.76000		SELL ONLY
BlackRock	BlackRock Income Fund Investor A Shares	09260B705	BMSAX	2.50		.25	1.00000		BUY,SELL
BlackRock	BlackRock Income Fund Investor C Share	09260B804	BMSCX		1.00	1.00	1.76000		SELL ONLY
BlackRock	BlackRock Inflation Protected Bond Fund	09260B549	BPLBX				0.44000		SELL ONLY
BlackRock	BlackRock Inflation Protected Bond Fund I	09260B556	BPRIX				0.62000		SELL ONLY
BlackRock	BlackRock Inflation Protected Bond Fund I	09260B572	BPRAX	4.00		.25	0.94000		BUY,SELL
BlackRock	BlackRock Inflation Protected Bond Fund I	09260B564	BPRCX		1.00	1.00	1.58000		SELL ONLY
BlackRock	BlackRock International Dividend Fund Cla	09258N257	BREKX				1.08000		SELL ONLY
BlackRock	BlackRock International Dividend Fund Ins	091929109	BISIX				1.17000		SELL ONLY
BlackRock	BlackRock International Dividend Fund Inv	091929307	BREAX	5.25		.25	1.48000		BUY,SELL
BlackRock	BlackRock International Dividend Fund Inv	091929505	BRECX		1.00	1.00	2.27000		SELL ONLY
BlackRock	BlackRock International Fund of BlackRoc	09248G503	MKILX				0.71000		SELL ONLY
BlackRock	BlackRock International Fund of BlackRoc	09248G404	MAILX				0.88000		SELL ONLY
BlackRock	BlackRock International Fund of BlackRoc	09248G107	MDILX	5.25		.25	1.15000		BUY,SELL
BlackRock	BlackRock International Fund of BlackRoc	09248G305	MCILX		1.00	1.00	2.04000		SELL ONLY
BlackRock	BlackRock International Impact Fund Instit	09260J401	BIBHX				1.38000		SELL ONLY
BlackRock	BlackRock International Impact Fund Inves	09260J302	BIBGX	5.25		.25	1.64000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Growth Fund	09251P507	MKFOX				0.73000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Growth Fund	09251P408	MAFOX				0.83000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Growth Fund	09251P101	MDFOX	5.25		.25	1.10000		BUY,SELL
BlackRock	BlackRock Large Cap Focus Growth Fund	09251P309	MCFOX		1.00	1.00	1.88000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Value Fund C	09251G606	MBVKX				0.45000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Value Fund I	09251G507	MABAX				0.53000		SELL ONLY
BlackRock	BlackRock Large Cap Focus Value Fund I	09251G101	MDBAX	5.25		.25	0.83000		BUY,SELL
BlackRock	BlackRock Large Cap Focus Value Fund I	09251G309	MCBAX		1.00	1.00	1.65000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
BlackRock	BlackRock Latin America Fund, Inc. Institu	09250X402	MALTX				1.44000		SELL ONLY
BlackRock	BlackRock Latin America Fund, Inc. Invest	09250X105	MDLTX	5.25		.25	1.70000		BUY,SELL
BlackRock	BlackRock Latin America Fund, Inc. Invest	09250X303	MCLTX		1.00	1.00	2.72000		SELL ONLY
BlackRock	BlackRock Latin America Fund, Inc. K Sha	09250X501	MKLTX				1.40000		SELL ONLY
BlackRock	BlackRock LifePath Dynamic 2065 Fund Ir	09260W204	LPWIX				1.39000		SELL ONLY
BlackRock	BlackRock LifePath Dynamic 2065 Fund Ir	09260W105	LPWAX	5.25		.25	1.64000		BUY,SELL
BlackRock	BlackRock LifePath Dynamic 2065 Fund Ir	09260W303	LPWCX		1.00	1.00	2.39000		SELL ONLY
BlackRock	BlackRock LifePath Index 2065 Fund Instit	066923178	LIWIX				0.44000		SELL ONLY
BlackRock	BlackRock LifePath Index 2065 Fund Inves	066923186	LIWAX			.25	0.69000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2025 Fund	066922337	LPBKX				0.66000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2025 Fund	066922311	LPBIX				0.91000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2025 Fund	066922352	LPBAX	5.25		.25	1.16000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2025 Fund	066922345	LPBCX		1.00	1.00	1.91000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2030 Fund	066922576	LPSDX				0.66000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2030 Fund	066922857	STLDX				0.91000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2030 Fund	066922840	LPRDX	5.25		.25	1.16000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2030 Fund	066922386	LPCNX		1.00	1.00	1.91000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2035 Fund	066922279	LPJKX				0.69000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2035 Fund	066922253	LPJIX				0.94000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2035 Fund	066922295	LPJAX	5.25		.25	1.19000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2035 Fund	066922287	LPJCX		1.00	1.00	1.94000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2040 Fund	066922568	LPSFX				0.69000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2040 Fund	066922832	STLEX				0.94000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2040 Fund	066922824	LPREX	5.25		.25	1.19000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2040 Fund	066922378	LPCCKX		1.00	1.00	1.94000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2045 Fund	066922220	LPHKX				0.73000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2045 Fund	066922196	LPHIX				0.98000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2045 Fund	066922246	LPHAX	5.25		.25	1.23000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2045 Fund	066922238	LPHCX		1.00	1.00	1.98000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2050 Fund	06739T531	LPSGX				0.70000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2050 Fund	06739T556	STLFX				0.95000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2050 Fund	06739T549	LPRFX	5.25		.25	1.20000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2050 Porfo	066922360	LPCPX		1.00	1.00	1.95000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2055 Fund	066922162	LPVKX				0.74000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2055 Fund	066922147	LPVIX				0.99000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2055 Fund	066922188	LPVAX	5.25		.25	1.24000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2055 Fund	066922170	LPVCX		1.00	1.00	1.99000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
BlackRock	BlackRock LifePath? Dynamic 2060 Fund	066923376	LPDKX				1.02000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2060 Fund	066923392	LPDIX				1.27000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2060 Fund	066923426	LPDAX	5.25		.25	1.52000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic 2060 Fund	066923418	LPDCX		1.00	1.00	2.27000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic 2065 Fund	09260W501	LPWKX				1.14000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic Retirement	066922618	LPSAX				0.62000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic Retirement	066922600	STLAX				0.87000		SELL ONLY
BlackRock	BlackRock LifePath? Dynamic Retirement	066922709	LPRAX	5.25		.25	1.12000		BUY,SELL
BlackRock	BlackRock LifePath? Dynamic Retirement	066922410	LPCRX		1.00	1.00	1.87000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2025 Fund Cla	066923830	LIBKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2025 Fund Ins	066923848	LIBIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2025 Fund Inv	066923855	LILAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2030 Fund Cla	066923798	LINKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2030 Fund Ins	066923814	LINIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2030 Fund Inv	066923822	LINAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2035 Fund Cla	066923764	LIJKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2035 Fund Ins	066923772	LIJIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2035 Fund Inv	066923780	LIJAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2040 Fund Cla	066923731	LIKXX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2040 Fund Ins	066923749	LIKIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2040 Fund Inv	066923756	LIKAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2045 Fund Cla	066923699	LIHKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2045 Fund Ins	066923715	LIHIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2045 Fund Inv	066923723	LIHAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2050 Fund Cla	066923665	LIPKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2050 Fund Ins	066923673	LIPIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2050 Fund Inv	066923681	LIPAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2055 Fund Cla	066923632	LIVKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2055 Fund Ins	066923640	LIVIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2055 Fund Inv	066923657	LIVAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2060 Fund Cla	066923442	LIZKX				0.16000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2060 Fund Inv	066923475	LIZAX			.25	0.46000		SELL ONLY
BlackRock	BlackRock LifePath? Index 2065 Fund Cla	066923152	LIWKX				0.39000		SELL ONLY
BlackRock	BlackRock LifePath? Index Retirement Fu	066923806	LIRKX				0.15000		SELL ONLY
BlackRock	BlackRock LifePath? Index Retirement Fu	066923707	LIRIX				0.20000		SELL ONLY
BlackRock	BlackRock LifePath? Index Retirement Fu	066923608	LIRAX			.25	0.45000		SELL ONLY
BlackRock	BlackRock Long-Horizon Equity Fund Insti	09251W403	MAEGX				1.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BlackRock	BlackRock Long-Horizon Equity Fund Inve	09251W106	MDEGX	5.25			.25	1.29000		BUY,SELL
BlackRock	BlackRock Long-Horizon Equity Fund Inve	09251W304	MCEGX			1.00	1.00	2.18000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Cl	09260B440	CLDBX					0.39000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Ins	09260B465	BFMSX					0.46000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Inv	09260B523	BLDAX	2.25			.25	0.77000		BUY,SELL
BlackRock	BlackRock Low Duration Bond Portfolio Inv	09260B515	CMGAX				.10	0.61000		SELL ONLY
BlackRock	BlackRock Low Duration Bond Portfolio Inv	09260B499	BLDCX			1.00	1.00	1.51000		SELL ONLY
BlackRock	BlackRock Managed Income Fund Class K	091937193	BLDRX					0.59000		SELL ONLY
BlackRock	BlackRock Managed Income Fund Instituti	091937185	BLDIX					0.69000		SELL ONLY
BlackRock	BlackRock Managed Income Fund Investo	091937219	BLADX	4.00			.25	0.90000		BUY,SELL
BlackRock	BlackRock Managed Income Fund Investo	09257E688	BMICX			1.00	1.00	1.67000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfoli	09258N604	BMGKX					0.73000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfoli	091928861	CMGIX					0.85000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfoli	091928846	BMGAX	5.25			.25	1.14000		BUY,SELL
BlackRock	BlackRock Mid-Cap Growth Equity Portfoli	091928820	BMGCX			1.00	1.00	1.84000		SELL ONLY
BlackRock	BlackRock Mid-Cap Growth Equity Portfoli	091928853	CMGSX				.25	1.11000		SELL ONLY
BlackRock	BlackRock Mid-Cap Value Fund Class K	09255V609	MJRFX					0.78000		SELL ONLY
BlackRock	BlackRock Mid-Cap Value Fund Institution	09255V500	MARFX					0.88000		SELL ONLY
BlackRock	BlackRock Mid-Cap Value Fund Investor A	09255V104	MDRFX	5.25			.25	1.19000		BUY,SELL
BlackRock	BlackRock Mid-Cap Value Fund Investor C	09255V302	MCRFX			1.00	1.00	2.01000		SELL ONLY
BlackRock	BlackRock Multi-Asset Income Portfolio Cl	09257E662	BKMIX					0.62000		SELL ONLY
BlackRock	BlackRock Multi-Asset Income Portfolio Ins	09256H336	BIICX					0.70000		SELL ONLY
BlackRock	BlackRock Multi-Asset Income Portfolio Inv	09256H351	BAICX	5.25			.25	0.92000		BUY,SELL
BlackRock	BlackRock Multi-Asset Income Portfolio Inv	09256H344	BCICX			1.00	1.00	1.70000		SELL ONLY
BlackRock	BlackRock National Municipal Fund Institu	09253C876	MANLX					0.55000		SELL ONLY
BlackRock	BlackRock National Municipal Fund Invest	09253C801	MFNLX			1.00	1.00	1.53000		SELL ONLY
BlackRock	BlackRock National Municipal Fund K Sha	09253C744	BNMLX					0.48000		SELL ONLY
BlackRock	BlackRock National Municipal Fund Servic	09253C751	BNMSX				.25	0.76000		SELL ONLY
BlackRock	BlackRock Natural Resources Trust Institu	09252H405	MAGRX					0.94000		SELL ONLY
BlackRock	BlackRock Natural Resources Trust Invest	09252H108	MDGRX	5.25			.25	1.24000		SELL ONLY
BlackRock	BlackRock Natural Resources Trust Invest	09252H306	MCGRX			1.00	1.00	2.06000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fu	09253A656	MKNJX					0.73000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fu	09253A847	MANJX					0.82000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fu	09253A805	MDNJX				.10	0.86000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fu	09253A862	MFNJX			1.00	1.00	1.77000		SELL ONLY
BlackRock	BlackRock New Jersey Municipal Bond Fu	09253A839	MSNJX				.25	1.03000		SELL ONLY
BlackRock	BlackRock New York Municipal Opportunit	09253A631	MKNKX					0.60000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption Fee	Buy/Sell Eligible
							Expense Ratio		
BlackRock	BlackRock New York Municipal Opportunit	09253A763	MANKX				0.64000		SELL ONLY
BlackRock	BlackRock New York Municipal Opportunit	09253A813	MDNKX			.10	0.74000		SELL ONLY
BlackRock	BlackRock New York Municipal Opportunit	09253A789	MFNKX		1.00	1.00	1.65000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond F	09253A649	MKPYX				0.81000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond F	09253A680	MAPYX				0.94000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond F	09253A748	MDPYX			.10	0.97000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond F	09253A714	MFPYX		1.00	1.00	1.86000		SELL ONLY
BlackRock	BlackRock Pennsylvania Municipal Bond F	09253A672	MSPYX			.25	1.15000		SELL ONLY
BlackRock	BlackRock Real Estate Securities Fund Ins	091936583	BIREX				1.16000		SELL ONLY
BlackRock	BlackRock Real Estate Securities Fund Inv	091936617	BAREX	5.25		.25	1.40000		SELL ONLY
BlackRock	BlackRock Real Estate Securities Fund Inv	091936591	BCREX		1.00	1.00	2.22000		SELL ONLY
BlackRock	BlackRock Short Obligations Fund Class K	091936658	BBSOX				0.33000		SELL ONLY
BlackRock	BlackRock Short Obligations Fund Instituti	091936419	BISOX				0.43000		SELL ONLY
BlackRock	BlackRock Short Obligations Fund Investo	09258N240	BASOX			.25	0.59000		SELL ONLY
BlackRock	BlackRock Short-Term Municipal Fund Cla	09253C793	MPLMX				0.37000		SELL ONLY
BlackRock	BlackRock Short-Term Municipal Fund Ins	09253C819	MALMX				0.46000		SELL ONLY
BlackRock	BlackRock Short-Term Municipal Fund Inv	09253C850	MDLMX			.10	0.51000		SELL ONLY
BlackRock	BlackRock Short-Term Municipal Fund Inv	09253C835	MFLMX		1.00	1.00	1.43000		SELL ONLY
BlackRock	BlackRock SMID-Cap Growth Equity Fund	09260J690	BSMDX				0.88000		SELL ONLY
BlackRock	BlackRock SMID-Cap Growth Equity Fund	09260J682	BSDAX	5.25		.25	1.13000		SELL ONLY
BlackRock	BlackRock Strategic Global Bond Fund, In	09252X608	MKWIX				0.72000		SELL ONLY
BlackRock	BlackRock Strategic Global Bond Fund, In	09252X509	MAWIX				0.83000		SELL ONLY
BlackRock	BlackRock Strategic Global Bond Fund, In	09252X103	MDWIX	4.00		.25	1.13000		BUY,SELL
BlackRock	BlackRock Strategic Global Bond Fund, In	09252X301	MHWIX		1.00	1.00	1.96000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities	09260B374	BSIKX				0.68000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities	09260B382	BSIIX				0.76000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities	09260B416	BASIX	4.00		.25	1.05000		SELL ONLY
BlackRock	BlackRock Strategic Income Opportunities	09260B390	BSICX		1.00	1.00	1.78000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of E	09253E807	MKMTX				0.70000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of E	09253E609	MAMTX				0.76000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of E	09253E203	MDMTX			.10	0.83000		SELL ONLY
BlackRock	Blackrock Strategic Municipal Opp Fd Of E	09253E401	MFMTX		1.00	1.00	1.74000		SELL ONLY
BlackRock	BlackRock Sustainable High Yield Bond Fu	09260B267	BSIHX				0.76000		SELL ONLY
BlackRock	BlackRock Sustainable High Yield Bond Fu	09260B275	BSHAX	4.00			1.07000		SELL ONLY
BlackRock	BlackRock Systematic ESG Bond Fund Cl	09260C869	BIAKX				1.63000		SELL ONLY
BlackRock	BlackRock Systematic ESG Bond Fund Ins	09260C877	BIIX				1.50000		SELL ONLY
BlackRock	BlackRock Systematic ESG Bond Fund Inv	09260C802	BIAAX	4.00		.25	1.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BlackRock	BlackRock Systematic ESG Bond Fund Inv	09260C885	BIACX		1.00	1.00	2.62000		SELL ONLY	
BlackRock	BlackRock Systematic Multi-Strategy Fund	09260C307	BIMBX				1.02000		SELL ONLY	
BlackRock	BlackRock Systematic Multi-Strategy Fund	09260C109	BAMBX	4.00		.25	1.34000		SELL ONLY	
BlackRock	BlackRock Systematic Multi-Strategy Fund	09260C208	BMBCX		1.00	1.00	2.10000		SELL ONLY	
BlackRock	BlackRock Tactical Opportunities Fund Cla	09258N570	PBAKX				0.69000		SELL ONLY	
BlackRock	BlackRock Tactical Opportunities Fund Ins	091927848	PBAIX				0.87000		SELL ONLY	
BlackRock	BlackRock Tactical Opportunities Fund Inv	091927822	PCBAX	5.25		.25	1.16000		SELL ONLY	
BlackRock	BlackRock Tactical Opportunities Fund Inv	091927798	BRBCX		1.00	1.00	1.87000		SELL ONLY	
BlackRock	BlackRock Tactical Opportunities Fund Se	091927830	PCBSX			.25	1.09000		SELL ONLY	
BlackRock	BlackRock Technology Opportunities Fund	09258N158	BTEKX				0.88000		SELL ONLY	
BlackRock	BlackRock Technology Opportunities Fund	091929612	BGSIX				0.99000		SELL ONLY	
BlackRock	BlackRock Technology Opportunities Fund	091929570	BGSAX	5.25		.25	1.26000		BUY,SELL	
BlackRock	BlackRock Technology Opportunities Fund	091929596	BGSCX		1.00	1.00	1.99000		SELL ONLY	
BlackRock	BlackRock Technology Opportunities Fund	091929620	BSTSX			.25	1.20000		SELL ONLY	
BlackRock	BlackRock Total Factor Fund Class K	09258N380	BSTKX				0.78000		SELL ONLY	
BlackRock	BlackRock Total Factor Fund Institutional	091936484	BSTIX				0.85000		SELL ONLY	
BlackRock	BlackRock Total Factor Fund Investor A S	091936518	BSTAX	5.25		.25	1.23000		SELL ONLY	
BlackRock	BlackRock Total Factor Fund Investor C S	091936492	BSTCX		1.00	1.00	1.93000		SELL ONLY	
BlackRock	BlackRock Total Return Fund Class K Sha	09252M743	MPHQX				0.38000		SELL ONLY	
BlackRock	BlackRock Total Return Fund Institutional	09252M883	MAHQX				0.48000		SELL ONLY	
BlackRock	BlackRock Total Return Fund Investor A S	09252M107	MDHQX	4.00		.25	0.77000		BUY,SELL	
BlackRock	BlackRock Total Return Fund Investor A1	09252M206	MEHQX			.10	0.62000		SELL ONLY	
BlackRock	BlackRock Total Return Fund Investor C S	09252M503	MFHQX		1.00	1.00	1.50000		SELL ONLY	
BlackRock	BlackRock Total Return Fund Service Sha	09252M768	MSHQX			.25	0.75000		SELL ONLY	
BlackRock	BlackRock U.S. Government Bond Portfoli	09260B317	BIGLX				0.65000		SELL ONLY	
BlackRock	BlackRock U.S. Government Bond Portfoli	09260B325	PNIGX				0.77000		SELL ONLY	
BlackRock	BlackRock U.S. Government Bond Portfoli	09260B358	CIGAX	4.00		.25	1.03000		BUY,SELL	
BlackRock	BlackRock U.S. Government Bond Portfoli	09260B341	BIGCX		1.00	1.00	1.81000		SELL ONLY	
BlackRock	BlackRock U.S. Impact Fund Institutional	09260J203	BIBFX				1.43000		SELL ONLY	
BlackRock	BlackRock U.S. Impact Fund Investor A	09260J104	BUAMX	5.25		.25	1.69000		SELL ONLY	
BlackRock	BlackRock US Mortgage Portfolio Institutio	56166L303	MSUMX				0.58000		SELL ONLY	
BlackRock	BlackRock US Mortgage Portfolio Investor	56166L105	BMPAX	4.00		.25	0.90000		SELL ONLY	
BlackRock	BlackRock US Mortgage Portfolio Investor	56166L204	BMPCX		1.00	1.00	1.66000		SELL ONLY	
BlackRock	iShares Developed Real Estate Index Fund	091936187	BKRDY				0.14000		SELL ONLY	
BlackRock	iShares Developed Real Estate Index Fund	091936195	BIRDY				0.20000		SELL ONLY	
BlackRock	iShares Developed Real Estate Index Fund	091936211	BARDY			.25	0.65000		SELL ONLY	
BlackRock	iShares MSCI EAFE International Index Fu	09253F879	BTMKX				0.04000		SELL ONLY	

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
BlackRock	iShares MSCI EAFE International Index Fu	09253F408	MAIIX				0.09000		SELL ONLY
BlackRock	iShares MSCI EAFE International Index Fu	09253F309	MDIIX			.25	0.34000		SELL ONLY
BlackRock	iShares MSCI Total International Index Fu	066923590	BDOKX				0.12000		SELL ONLY
BlackRock	iShares MSCI Total International Index Fu	066923616	BDOIX				0.16000		SELL ONLY
BlackRock	iShares MSCI Total International Index Fu	066923624	BDOAX			.25	0.42000		SELL ONLY
BlackRock	iShares Municipal Bond Index Fund Class	09258N331	BIDKX				0.24000		SELL ONLY
BlackRock	iShares Municipal Bond Index Fund Institu	09258N349	BIDIX				0.23000		SELL ONLY
BlackRock	iShares Municipal Bond Index Fund Invest	09258N356	BIDAX			.25	0.50000		SELL ONLY
BlackRock	iShares Russell 1000 Large-Cap Index Fu	066923301	BRGKX				0.08000		SELL ONLY
BlackRock	iShares Russell 1000 Large-Cap Index Fu	066923202	BRGNX				0.13000		SELL ONLY
BlackRock	iShares Russell 1000 Large-Cap Index Fu	066923103	BRGAX			.25	0.68000		SELL ONLY
BlackRock	iShares Russell 2000 Small-Cap Index Fu	09253F887	BDBKX				0.08000		SELL ONLY
BlackRock	iShares Russell 2000 Small-Cap Index Fu	09253F804	MASKX				0.16000		SELL ONLY
BlackRock	iShares Russell 2000 Small-Cap Index Fu	09253F705	MDSKX			.25	0.41000		SELL ONLY
BlackRock	iShares Russell Mid-Cap Index Fund Class	091936286	BRMKX				0.08000		SELL ONLY
BlackRock	iShares Russell Mid-Cap Index Fund Instit	091936294	BRMIX				0.10000		SELL ONLY
BlackRock	iShares Russell Mid-Cap Index Fund Invest	09258N307	BRMAX			.25	0.36000		SELL ONLY
BlackRock	iShares Russell Small/Mid-Cap Index Fund	091936229	BSMKX				0.16000		SELL ONLY
BlackRock	iShares Russell Small/Mid-Cap Index Fund	091936237	BSMIX				0.21000		SELL ONLY
BlackRock	iShares Russell Small/Mid-Cap Index Fund	091936245	BSMAX			.25	0.44000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Class K	066922204	WFSPX				0.03000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Institutional S	066923558	BSPIX				0.10000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Investor A S	066923566	BSPAX			.25	0.35000		SELL ONLY
BlackRock	iShares S&P 500 Index Fund Service Shar	066923533	BSPSX			.15	0.22000		SELL ONLY
BlackRock	iShares Short-Term TIPS Bond Index Fund	09258N869	BKIPX				0.71000		SELL ONLY
BlackRock	iShares Total U.S. Stock Market Index Fur	091936153	BKTSX				0.04000		SELL ONLY
BlackRock	iShares Total U.S. Stock Market Index Fur	091936161	BITSX				0.09000		SELL ONLY
BlackRock	iShares Total U.S. Stock Market Index Fur	091936179	BASMIX			.25	0.31000		SELL ONLY
BlackRock	iShares U.S. Aggregate Bond Index Fund	066922105	WFBIX				0.06000		SELL ONLY
BlackRock	iShares U.S. Aggregate Bond Index Fund	066923509	BMOIX				0.11000		SELL ONLY
BlackRock	iShares U.S. Aggregate Bond Index Fund	066923400	BMOAX			.25	0.36000		SELL ONLY
BMO Funds	BMO Aggressive Allocation Fund Class I	09658L281	BDSHX				0.77000		SELL ONLY
BMO Funds	BMO Aggressive Allocation Fund Class Y	09658L257	BDSYX				1.02000		SELL ONLY
BMO Funds	BMO Balanced Allocation Fund Class I	09658L240	BGRHX				0.74000		SELL ONLY
BMO Funds	BMO Balanced Allocation Fund Class Y	09658L216	BGRYX				0.99000		SELL ONLY
BMO Funds	BMO Conservative Allocation Fund Class	09658L331	BDVIX				1.08000		SELL ONLY
BMO Funds	BMO Conservative Allocation Fund Class	09658L299	BDVYX				1.33000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
BMO Funds	BMO Core Plus Bond Fund Class A	09658W725	BATCX	3.50		.25	0.56000		SELL ONLY
BMO Funds	BMO Core Plus Bond Fund Class I	09658L885	MCBIX				0.31000		SELL ONLY
BMO Funds	BMO Core Plus Bond Fund Class Y	09658L877	MCYBX				0.56000		SELL ONLY
BMO Funds	BMO Corporate Income Fund Class A	09658W733	BATIX	3.50		.25	0.66000		SELL ONLY
BMO Funds	BMO Corporate Income Fund Class I	09658L869	MCIIX				0.41000		SELL ONLY
BMO Funds	BMO Corporate Income Fund Class Y	09658L851	MCIYX				0.66000		SELL ONLY
BMO Funds	BMO Disciplined International Equity Fund	09658W667	BDAQX	5.00		.25	1.43000	2.00	SELL ONLY
BMO Funds	BMO Disciplined International Equity Fund	09658W659	BDIQX				1.18000	2.00	SELL ONLY
BMO Funds	BMO Dividend Income Fund Class A	09658W204	BADIX	5.00		.25	1.01000		SELL ONLY
BMO Funds	BMO Dividend Income Fund Class I	09658L604	MDIVX				0.76000		SELL ONLY
BMO Funds	BMO Dividend Income Fund Class Y	09658L505	MDIYX				1.0500		SELL ONLY
BMO Funds	BMO Global Low Volatility Equity Fund Cla	09658V776	BGLVX				13.5200		SELL ONLY
BMO Funds	BMO Growth Allocation Fund Class I	09658L422	BABHX				0.84000		SELL ONLY
BMO Funds	BMO Growth Allocation Fund Class Y	09658L380	BABYX				1.09000		SELL ONLY
BMO Funds	BMO Intermediate Tax Free Fund Class Y	09658L760	MITFX				0.56000		SELL ONLY
BMO Funds	BMO Intermediate Tax-Free Fund Class A	09658W766	BITAX	3.50		.25	0.56000		SELL ONLY
BMO Funds	BMO Intermediate Tax-Free Fund Class I	09658L778	MIITX				0.31000		SELL ONLY
BMO Funds	BMO Large-Cap Growth Fund Class A	09658W402	BALGX	5.00		.25	0.83000		SELL ONLY
BMO Funds	BMO Large-Cap Growth Fund Class I	09658L752	MLCIX				0.58000		SELL ONLY
BMO Funds	BMO Large-Cap Growth Fund Class Y	09658L745	MASTX				0.83000		SELL ONLY
BMO Funds	BMO Large-Cap Value Fund Class A	09658W303	BALVX	5.00		.25	0.85000		SELL ONLY
BMO Funds	BMO Large-Cap Value Fund Class I	09658L844	MLVIX				0.60000		SELL ONLY
BMO Funds	BMO LGM Emerging Markets Equity Fund	09658W824	BAEMX	5.00		.25	1.51000	2.00	SELL ONLY
BMO Funds	BMO LGM Emerging Markets Equity Fund	09658L489	MIEMX				1.26000	2.00	SELL ONLY
BMO Funds	BMO LGM Emerging Markets Equity Fund	09658L471	MEMYX				1.5500	2.00	SELL ONLY
BMO Funds	BMO Low Volatility Equity Fund Class A	09658W105	BLVAX	5.00		.25	0.90000		SELL ONLY
BMO Funds	BMO Low Volatility Equity Fund Class I	09658L208	MLVEX				0.65000		SELL ONLY
BMO Funds	BMO Low Volatility Equity Fund Class Y	09658L109	MLVYX				1.1400		SELL ONLY
BMO Funds	BMO Mid-Cap Growth Fund Class A	09658W600	BGMAX	5.00		.25	1.34000		SELL ONLY
BMO Funds	BMO Mid-Cap Growth Fund Class I	09658L737	MRMIX				1.09000		SELL ONLY
BMO Funds	BMO Mid-Cap Value Fund Class A	09658W501	BAMCX	5.00		.25	1.30000		SELL ONLY
BMO Funds	BMO Mid-Cap Value Fund Class I	09658L711	MRVIX				1.05000		SELL ONLY
BMO Funds	BMO Moderate Allocation Fund Class I	09658L190	BMBHX				0.89000		SELL ONLY
BMO Funds	BMO Moderate Allocation Fund Class Y	09658L166	BMBYX				1.14000		SELL ONLY
BMO Funds	BMO Money High Yield Bond Fund Class	09658L307	MHBYX				1.1000		SELL ONLY
BMO Funds	BMO Pyrford Global Strategic Return Fund	09658L463	MGRNX				1.6100	2.00	SELL ONLY
BMO Funds	BMO Pyrford Global Strategic Return Fund	09658L455	MGRYX				1.8600	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
BMO Funds	BMO Pyrford International Stock Fund Class A	09658W840	BPIAX	5.00		.25	1.20000	2.00	SELL ONLY
BMO Funds	BMO Pyrford International Stock Fund Class I	09658L513	MISNX				0.95000	2.00	SELL ONLY
BMO Funds	BMO Short Tax-Free Fund Class A	09658W782	BASFX	2.00		.25	0.74000		SELL ONLY
BMO Funds	BMO Short Tax-Free Fund Class I	09658L646	MTFIX				0.49000		SELL ONLY
BMO Funds	BMO Short Term Income Advisor Fund Class A	09658L570	MSIFX				0.45000		SELL ONLY
BMO Funds	BMO Short-Term Income Fund Class A	09658W774	BTMAX	2.00		.25	0.70000		SELL ONLY
BMO Funds	BMO Small-Cap Growth Fund Class A	09658V339	BSLAX	5.00		.25	1.34000		SELL ONLY
BMO Funds	BMO Small-Cap Growth Fund Class I	09658L620	MSGIX				1.09000		SELL ONLY
BMO Funds	BMO Small-Cap Value Fund Class A	09658W709	BACVX	5.00		.25	1.49000		SELL ONLY
BMO Funds	BMO Small-Cap Value Fund Class I	09658L596	MRSNX				1.24000		SELL ONLY
BMO Funds	BMO Small-Cap Value Fund Class Y	09658L588	MRSYX						SELL ONLY
BMO Funds	BMO Strategic Income Fund Class A	09658W758	BMTAX	3.50		.25	1.01000		SELL ONLY
BMO Funds	BMO Strategic Income Fund Class I	09658L828	MGIIX				0.76000		SELL ONLY
BMO Funds	BMO Strategic Income Fund Class Y	09658L810	MRGIX				1.01000		SELL ONLY
BMO Funds	BMO TCH Intermediate Income Fund Class A	09658L653	MAIBX				0.9000		SELL ONLY
BMO Funds	BMO Ultra Short Tax-Free Fund Class I	09658L539	MUISX				0.38000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class A	05587K105	DNLDX	5.75			1.16000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class C	05587K204	DNLGX		1.00	.75	2.09000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class I	05587K501	DNLRX				0.96000		SELL ONLY
BNY Mellon	BNY Mellon Active MidCap Fund - Class Y	05587K873	DNLVX				0.85000		SELL ONLY
BNY Mellon	BNY Mellon Alternative Diversifier Strategy	05588L862	DRNAX	5.75			2.15000		SELL ONLY
BNY Mellon	BNY Mellon Alternative Diversifier Strategy	05588L854	DRNCX		1.00	.75	2.96000		SELL ONLY
BNY Mellon	BNY Mellon Alternative Diversifier Strategy	05588L847	DRNIX				1.63000		SELL ONLY
BNY Mellon	BNY Mellon Alternative Diversifier Strategy	05588L839	DRYNX				1.52000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund	05588R505	DMUAX	4.50			0.93000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund	05588R703	DMUCX		1.00	.75	1.70000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund	05588R604	DMBIX				0.68000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund	05588R406	DMUYX				0.95000		SELL ONLY
BNY Mellon	BNY Mellon AMT-Free Municipal Bond Fund	05588R208	DRMBX				0.69000		SELL ONLY
BNY Mellon	BNY Mellon Appreciation Fund, Inc. - Class A	05589Q308	DGIGX				0.66000		SELL ONLY
BNY Mellon	BNY Mellon Appreciation Fund, Inc. - Class C	05589Q209	DGYGX				0.59000		SELL ONLY
BNY Mellon	BNY Mellon Appreciation Fund, Inc. - Investment	05589Q100	DGAGX				0.89000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class A	09662F106	DBOAX	5.75			1.24000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class C	09662F205	DBOCX		1.00	.75	2.01000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class I	09662F403	THPBX				0.99000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class Y	09662F601	DBOYX				0.94000		SELL ONLY
BNY Mellon	BNY Mellon Balanced Opportunity Fund - Class Z	09662F700	DBOZX				1.07000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
BNY Mellon	BNY Mellon Balanced Opportunity Fund- C	09662F304	DBORX				1.00000		SELL ONLY
BNY Mellon	BNY Mellon Bond Market Index Fund - Cla	05589K855	DBIRX				0.16000		SELL ONLY
BNY Mellon	BNY Mellon Bond Market Index Fund - Inv	05589K848	DBMIX			.25	0.41000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipa	05587E109	DCAAX	4.50			0.99000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipa	05587E208	DCACX		1.00	.75	1.76000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipa	05587E406	DCMIX				0.75000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipa	05587E505	DCAYX				0.69000		SELL ONLY
BNY Mellon	BNY Mellon California AMT-Free Municipa	05587E307	DRCAX				0.77000		SELL ONLY
BNY Mellon	BNY Mellon Connecticut Fund Class A	05587R860	PSCTX	4.50			0.95000		SELL ONLY
BNY Mellon	BNY Mellon Connecticut Fund Class C	05587R852	PMCCX		1.00	.75	1.76000		SELL ONLY
BNY Mellon	BNY Mellon Connecticut Fund Class I	05587R845	DTCIX				0.71000		SELL ONLY
BNY Mellon	BNY Mellon Connecticut Fund Class Y	05587R407	DPMYX				0.68000		SELL ONLY
BNY Mellon	BNY Mellon Connecticut Fund Class Z	05587R837	DPMZX				0.73000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class A	05580W866	DCPAX	4.50			0.77000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class C	05580W858	DCPCX		1.00	.75	1.53000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class I	05580W841	DCPIX				0.50000		SELL ONLY
BNY Mellon	BNY Mellon Core Plus Fund Class Y	05580W833	DCPYX				0.43000		SELL ONLY
BNY Mellon	BNY Mellon Diversified Emerging Markets	05588D738	DBEAX	5.75			2.09000		SELL ONLY
BNY Mellon	BNY Mellon Diversified Emerging Markets	05588D746	DBECX		1.00	.75	2.98000		SELL ONLY
BNY Mellon	BNY Mellon Diversified Emerging Markets	05586X504	SBCEX				1.48000		SELL ONLY
BNY Mellon	BNY Mellon Diversified Emerging Markets	05588D811	SBYEX				1.38000		SELL ONLY
BNY Mellon	BNY Mellon Diversified International Fund	05588F774	DFPAX	5.75			4.16000		SELL ONLY
BNY Mellon	BNY Mellon Diversified International Fund	05588F782	DFPCX		1.00	.75	2.48000		SELL ONLY
BNY Mellon	BNY Mellon Diversified International Fund	05588F790	DFPIX				0.99000		SELL ONLY
BNY Mellon	BNY Mellon Diversified International Fund	05588F600	DDIFX				0.94000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Total Return Fund C	05587N109	AVGAX	5.75			1.58000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Total Return Fund C	05587N208	AVGCX		1.00	.75	2.33000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Total Return Fund C	05587N307	AVGRX				1.31000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Total Return Fund C	05587N505	AVGYX				1.22000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class A	05587N679	DAGVX	5.75			0.97000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class C	05587N661	DCGVX		1.00	.75	1.73000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class I	05587N653	DRGVX				0.71000		SELL ONLY
BNY Mellon	BNY Mellon Dynamic Value Fund Class Y	05587N703	DRGYX				0.65000		SELL ONLY
BNY Mellon	BNY Mellon Emerging Markets Securities	09661K106	DRFMX	5.75			2.10000		SELL ONLY
BNY Mellon	BNY Mellon Emerging Markets Securities	09661K205	DCPEX		1.00	.75	2.95000		SELL ONLY
BNY Mellon	BNY Mellon Emerging Markets Securities	09661K304	DRPEX				1.83000		SELL ONLY
BNY Mellon	BNY Mellon Emerging Markets Securities	09661K502	DYPEX				1.67000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
BNY Mellon	BNY Mellon Equity Income Fund Class A	05588E801	DQIAX	5.75			1.14000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class C	05588E702	DQICX		1.00	.75	1.82000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class I	05588E603	DQIRX				0.82000		SELL ONLY
BNY Mellon	BNY Mellon Equity Income Fund Class Y	05588E207	DQIYX				0.76000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - C	05589K707	DFLAX	2.50			1.19000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - C	05589K608	DFLCX		1.00	.75	1.84000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - C	05589K509	DFLIX				0.85000		SELL ONLY
BNY Mellon	BNY Mellon Floating Rate Income Fund - C	05589K400	DFLYX				0.75000		SELL ONLY
BNY Mellon	BNY Mellon Global Dynamic Bond Income	05587N802	DGDAX	4.50			4.89000		SELL ONLY
BNY Mellon	BNY Mellon Global Dynamic Bond Income	05587N885	DGDCX		1.00	.75	1.84000		SELL ONLY
BNY Mellon	BNY Mellon Global Dynamic Bond Income	05587N877	DGDIX				0.73000		SELL ONLY
BNY Mellon	BNY Mellon Global Dynamic Bond Income	05587N851	DGDYX				0.65000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fun	05588L102	DGEAX	5.75			1.29000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fun	05588L201	DGECX		1.00	.75	2.07000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fun	05588L300	DGIEX				1.02000		SELL ONLY
BNY Mellon	BNY Mellon Global Emerging Markets Fun	05588L409	DGEYX				0.93000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - C	05588E504	DEQAX	5.75			1.19000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - C	05588E405	DEQCX		1.00	.75	1.94000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - C	05588E306	DQEIX				0.94000		SELL ONLY
BNY Mellon	BNY Mellon Global Equity Income Fund - C	05588E777	DEQYX				0.85000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - C	05588D100	DHGAX	4.50			0.78000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - C	05588D209	DHGCX		1.00	.75	1.55000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - C	05586X801	SDGIX				0.52000		SELL ONLY
BNY Mellon	BNY Mellon Global Fixed Income Fund - C	05588D761	DSDYX				0.45000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Estate Securities	05588F816	DRLAX	5.75			2.44000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Estate Securities	05588F824	DGBCX		1.00	.75	2.24000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Estate Securities	05588F832	DRLIX				1.09000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Estate Securities	05588F709	DRLYX				1.03000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Cla	05587N844	DRRAX	5.75			1.27000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Cla	05587N836	DRRCX		1.00	.75	2.05000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Cla	05587N828	DRRIX				1.06000		SELL ONLY
BNY Mellon	BNY Mellon Global Real Return Fund - Cla	05587N794	DRRYX				0.95000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class A	05587K683	DGLAX	5.75			1.23000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class C	05587K691	DGLCX		1.00	.75	1.98000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class I	05587K717	DGLRX				0.96000		SELL ONLY
BNY Mellon	BNY Mellon Global Stock Fund - Class Y	05587K840	DGLYX				0.89000		SELL ONLY
BNY Mellon	BNY Mellon Growth Fund Class I	05586X702	SSETX				2.65000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
BNY Mellon	BNY Mellon High Yield Fund - Class A	05588E835	DPLTX	4.50		.25	0.97000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Fund - Class C	05588E843	PTHIX		1.00	1.00	1.72000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Fund - Class I	05588E850	DLHRX				0.72000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund	05588R877	DHYCX		1.00	.75	1.68000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund	05588R109	DHYYX				0.67000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund	05588R851	DHMBX			.09	0.76000		SELL ONLY
BNY Mellon	BNY Mellon High Yield Municipal Bond Fund	05588R885	DYBIX				0.68000		SELL ONLY
BNY Mellon	BNY Mellon Institutional S&P 500 Stock In	05589K863	DSPIX				0.21000		SELL ONLY
BNY Mellon	BNY Mellon Intermediate Municipal Bond F	05588V100	DITEX				0.74000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class	05588E868	DIBAX	4.50			1.66000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class	05588E876	DIBCX		1.00	.75	1.71000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class	05588E884	DIBRX				0.69000		SELL ONLY
BNY Mellon	BNY Mellon International Bond Fund Class	05588E108	DIBYX				0.59000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund	09661F107	DIEAX	5.75			1.64000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund	09661F206	DIECX		1.00	.75	2.15000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund	09661F305	DIERX				1.19000		SELL ONLY
BNY Mellon	BNY Mellon International Core Equity Fund	09661F602	DIEYX				0.98000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Clas	05588D845	NIEAX	5.75			1.19000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Clas	05588D852	NIECX		1.00	.75	1.96000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Clas	05588D837	SNIEX				0.88000		SELL ONLY
BNY Mellon	BNY Mellon International Equity Fund Clas	05588D753	NIEYX				0.82000		SELL ONLY
BNY Mellon	BNY Mellon International Small Cap Fund	09661F875	DYAPX	5.75			1.60000		SELL ONLY
BNY Mellon	BNY Mellon International Small Cap Fund	09661F883	DYCPX		1.00	.75	2.27000		SELL ONLY
BNY Mellon	BNY Mellon International Small Cap Fund	09661F800	DYIPX				1.19000		SELL ONLY
BNY Mellon	BNY Mellon International Small Cap Fund	09661F701	DYYPX				1.10000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Fund Clas	05587K725	DISAX	5.75			1.30000		BUY,SELL
BNY Mellon	BNY Mellon International Stock Fund Clas	05587K733	DISCX		1.00	.75	1.98000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Fund Clas	05587K741	DISRX				0.91000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Fund Clas	05587K857	DISYX				0.89000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Index Fund	09661L203	DINIX				0.36000		SELL ONLY
BNY Mellon	BNY Mellon International Stock Index Fund	09661L500	DIISX				0.61000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class	05588F840	DLQAX	5.75			1.07000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class	05588F857	DEYCX		1.00	.75	1.96000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class	05588F865	DLQIX				0.78000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Equity Fund Class	05588F501	DLACX				0.75000		SELL ONLY
BNY Mellon	BNY Mellon Large Cap Securities Inc	05588K104	DREVX				0.71000		SELL ONLY
BNY Mellon	BNY Mellon Massachusetts Fund Class A	05587R803	PSMAX	4.50			1.01000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BNY Mellon	BNY Mellon Massachusetts Fund Class C	05587R886	PCMAX		1.00	.75	1.99000		SELL ONLY	
BNY Mellon	BNY Mellon Massachusetts Fund Class Z	05587R878	PMAZX				0.78000		SELL ONLY	
BNY Mellon	BNY Mellon MidCap Index Fund Class I	05588M100	DMIDX				0.27000		SELL ONLY	
BNY Mellon	BNY Mellon MidCap Index Fund Investor S	05588M209	PESPX				0.52000		SELL ONLY	
BNY Mellon	BNY Mellon Municipal Bond Fund	05588B104	DRTAX				0.72000		SELL ONLY	
BNY Mellon	BNY Mellon Natural Resources Fund Clas	05587A834	DNLAX	5.75			1.26000		SELL ONLY	
BNY Mellon	BNY Mellon Natural Resources Fund Clas	05587A842	DLDCX		1.00	.75	1.98000		SELL ONLY	
BNY Mellon	BNY Mellon Natural Resources Fund Clas	05587A859	DLDRX				1.00000		SELL ONLY	
BNY Mellon	BNY Mellon Natural Resources Fund Clas	05587A867	DLDYX				0.87000		SELL ONLY	
BNY Mellon	BNY Mellon New Jersey Municipal Bond F	05587D101	DRNJX	4.50			0.95000		SELL ONLY	
BNY Mellon	BNY Mellon New Jersey Municipal Bond F	05587D200	DCNJX		1.00	.75	1.79000		SELL ONLY	
BNY Mellon	BNY Mellon New Jersey Municipal Bond F	05587D408	DNMIX				0.72000		SELL ONLY	
BNY Mellon	BNY Mellon New Jersey Municipal Bond F	05587D507	DNJYX				0.76000		SELL ONLY	
BNY Mellon	BNY Mellon New Jersey Municipal Bond F	05587D309	DZNJX				0.76000		SELL ONLY	
BNY Mellon	BNY Mellon New York AMT-Free Municipa	05588G301	PSNYX	4.50			0.97000		SELL ONLY	
BNY Mellon	BNY Mellon New York AMT-Free Municipa	05588G400	PNYCX		1.00	.75	1.73000		SELL ONLY	
BNY Mellon	BNY Mellon New York AMT-Free Municipa	05588G509	DNYYX				0.72000		SELL ONLY	
BNY Mellon	BNY Mellon New York AMT-Free Municipa	05588G103	DNYYX				0.70000		SELL ONLY	
BNY Mellon	BNY Mellon New York Tax Exempt Bond F	05589N107	DRNYX				0.75000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Midcap Value F	05587N646	DMCVX	5.75			1.18000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Midcap Value F	05587N786	DVLCX		1.00	.75	1.97000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Midcap Value F	05587N778	DVLIX				0.96000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Midcap Value F	05587N752	DMCYX				0.84000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Municipal Secur	05589A105	PTEBX	4.50			0.73000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Municipal Secur	05589A204	DMBCX		1.00	.75	1.51000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Municipal Secur	05589A402	DMBVX				0.48000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Municipal Secur	05589A501	DMBYX				0.47000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Municipal Secur	05589A303	DMBZX				0.67000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Small Cap Fund	05587N745	DOPIX				0.96000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Small Cap Fund	05587N737	DSCYX				0.83000		SELL ONLY	
BNY Mellon	BNY Mellon Opportunistic Small Cap Fund	05587N638	DSCVX				1.13000		SELL ONLY	
BNY Mellon	BNY Mellon Pennsylvania Fund Class A	05587R506	PTPAX	4.50			1.01000		SELL ONLY	
BNY Mellon	BNY Mellon Pennsylvania Fund Class C	05587R605	PPACX		1.00	.75	1.84000		SELL ONLY	
BNY Mellon	BNY Mellon Pennsylvania Fund Class Z	05587R704	DPENX				0.80000		SELL ONLY	
BNY Mellon	BNY Mellon Research Growth Fund, Inc. C	05588Q200	DWOAX	5.75			1.11000		SELL ONLY	
BNY Mellon	BNY Mellon Research Growth Fund, Inc. C	05588Q309	DWOCX		1.00	.75	1.87000		SELL ONLY	
BNY Mellon	BNY Mellon Research Growth Fund, Inc. C	05588Q408	DWOIX				0.84000		SELL ONLY	

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
BNY Mellon	BNY Mellon Research Growth Fund, Inc. C	05588Q507	DRYQX				0.80000		SELL ONLY
BNY Mellon	BNY Mellon Research Growth Fund, Inc. C	05588Q101	DREQX				0.87000		SELL ONLY
BNY Mellon	BNY Mellon S&P 500 Index Fund	09661L302	PEOPX				0.51000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap G	05587K659	DSGAX	5.75			1.39000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap G	05587K667	DSGCX		1.00	.75	2.16000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap G	05587K675	DSGIX				1.01000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap G	05587K865	DSGYX				0.97000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap V	05587K782	DMVAX	5.75			1.44000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap V	05587K790	DMECX		1.00	.75	2.39000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap V	05587K816	DMVIX				1.03000		SELL ONLY
BNY Mellon	BNY Mellon Select Managers Small Cap V	05587K824	DMVYX				0.98000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Income Fund Clas	05600G107	DSTIX				1.07000		SELL ONLY
BNY Mellon	BNY Mellon Short Term Income Fund Clas	05600G503	DSHPX				1.23000		SELL ONLY
BNY Mellon	BNY Mellon Short-Intermediate Municipal I	05589J304	DMBAX	2.50			0.67000		SELL ONLY
BNY Mellon	BNY Mellon Short-Intermediate Municipal I	05589J106	DSIBX			.10	0.53000		SELL ONLY
BNY Mellon	BNY Mellon Short-Intermediate Municipal I	05589J205	DIMIX				0.47000		SELL ONLY
BNY Mellon	BNY Mellon Short-Intermediate Municipal I	05589J403	DMYBX				0.75000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Growth Fund Class	05588D787	SSYGX				2.64000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Stock Index Fund C	09661L104	DISIX				0.27000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Stock Index Fund I	09661L401	DISSX				0.52000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class	05588D308	RUDAX	5.75			1.42000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class	05588D407	BOSCX		1.00	.75	2.31000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class	05586X884	STSVX				1.07000		SELL ONLY
BNY Mellon	BNY Mellon Small Cap Value Fund Class	05588D506	BOSYX				1.01000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund C	05586X108	DBMAX	5.75			0.96000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund C	05588D720	DBMCX		1.00	.75	1.73000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund C	05586X405	SDSCX				0.73000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund C	05588D795	DBMYX				0.64000		SELL ONLY
BNY Mellon	BNY Mellon Small/Mid Cap Growth Fund C	05588D829	DBMZX			.07	0.84000		SELL ONLY
BNY Mellon	BNY Mellon Structured Midcap Fund - Clas	05587N620	DPSAX	5.75			1.55000		SELL ONLY
BNY Mellon	BNY Mellon Structured Midcap Fund Class	05587N612	DPSCX		1.00	.75	2.13000		SELL ONLY
BNY Mellon	BNY Mellon Structured Midcap Fund Class	05587N596	DPSRX				1.10000		SELL ONLY
BNY Mellon	BNY Mellon Structured MidCap Fund Clas	05587N711	DPSYX				0.96000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund	09661D102	DTCAX	5.75			1.02000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund	09661D201	DTCCX		1.00	.75	1.82000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund	09661D300	DRTCX				0.72000		SELL ONLY
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund	09661D508	DTCYX				0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End		12b-1		Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	Fees	Expense Ratio	Fee		
BNY Mellon	BNY Mellon Sustainable U.S. Equity Fund	09661D409	DRTHX					0.79000		SELL ONLY
BNY Mellon	BNY Mellon Tax Managed Growth Fund C	05589K871	DTMGX	5.75			.25	1.21000		SELL ONLY
BNY Mellon	BNY Mellon Tax Managed Growth Fund C	05589K889	DPTAX		1.00	1.00		1.96000		SELL ONLY
BNY Mellon	BNY Mellon Tax Managed Growth Fund C	05589K103	DPTRX					0.96000		SELL ONLY
BNY Mellon	BNY Mellon Tax Sensitive Total Return Bo	05586X306	DSDAX	4.50				0.94000		SELL ONLY
BNY Mellon	BNY Mellon Tax Sensitive Total Return Bo	05586X207	DSDCX		1.00		.75	2.34000		SELL ONLY
BNY Mellon	BNY Mellon Tax Sensitive Total Return Bo	05586X603	SDITX					0.65000		SELL ONLY
BNY Mellon	BNY Mellon Tax Sensitive Total Return Bo	05588D779	SDYTX					0.67000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Clas	05587N588	DTGRX	5.75				1.20000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Clas	05587N570	DTGCX		1.00		.75	1.96000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Clas	05587N562	DGVRX					0.98000		SELL ONLY
BNY Mellon	BNY Mellon Technology Growth Fund Clas	05587N687	DTEYX					0.88000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Equity Fund Class A	05587K774	DPUAX	5.75				1.17000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Equity Fund Class C	05587K758	DPUCX		1.00		.75	2.35000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Equity Fund Class I	05587K766	DPUIX					0.82000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Equity Fund Class Y	05587K832	DPUYX					0.80000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Mortgage Fund Class A	05588P301	GPGAX	4.50				0.95000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Mortgage Fund Class C	05588P400	GPNCX		1.00		.75	1.91000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Mortgage Fund Class I	05588P509	GPNIX					0.75000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Mortgage Fund Class Y	05588P202	GPNYX					0.68000		SELL ONLY
BNY Mellon	BNY Mellon U.S. Mortgage Fund Class Z	05588P103	DRGMX				.17	0.85000		SELL ONLY
BNY Mellon	BNY Mellon Ultra Short Income Fund Clas	05588T204	DSDDX				.25	0.78000		SELL ONLY
BNY Mellon	BNY Mellon Ultra Short Income Fund Clas	05588T105	DSIGX					0.72000		SELL ONLY
BNY Mellon	BNY Mellon Ultra Short Income Fund Instit	05588T303	DSYDX					0.58000		SELL ONLY
BNY Mellon	BNY Mellon Worldwide Growth Fund Clas	05588J107	PGROX	5.75				1.14000		BUY,SELL
BNY Mellon	BNY Mellon Worldwide Growth Fund Clas	05588J206	PGRCX		1.00		.75	1.89000		SELL ONLY
BNY Mellon	BNY Mellon Worldwide Growth Fund Clas	05588J305	DPWRX					0.89000		SELL ONLY
BNY Mellon	BNY Mellon Worldwide Growth Fund Clas	05588J404	DPRIX					0.81000		SELL ONLY
BNY Mellon	BNY Mellon Yield Enhancement Strategy F	05588L805	DABKX					0.66000		SELL ONLY
BNY Mellon	BNY Mellon Yield Enhancement Strategy F	05588L888	DABJX					0.61000		SELL ONLY
BNY Mellon	BNY Mellon Yield Enhancement Strategy F	05588L607	DABMX	4.50				1.04000		SELL ONLY
BNY Mellon	BNY Mellon Yield Enhancement Strategy F	05588L706	DABLX		1.00		.75	1.66000		SELL ONLY
BNY Mellon Funds	BNY Mellon Asset Allocation Fund Class M	05569M657	MPBLX					0.95000		SELL ONLY
BNY Mellon Funds	BNY Mellon Asset Allocation Fund Investo	05569M640	MIBLX					1.20000		SELL ONLY
BNY Mellon Funds	BNY Mellon Bond Fund Class Investor	05569M822	MIBDX					0.80000		SELL ONLY
BNY Mellon Funds	BNY Mellon Bond Fund Class M	05569M830	MPBFX					0.55000		SELL ONLY
BNY Mellon Funds	BNY Mellon Corporate Bond Fund Class M	05569M368	BYMMX					0.56000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End			Gross	Redemption	Buy/Sell Eligible
				Load	CDSC	12b-1 Fees	Expense Ratio		
BNY Mellon Funds	BNY Mellon Corporate Bond Fund Investo	05569M350	BYMIX				0.81000		SELL ONLY
BNY Mellon Funds	BNY Mellon Emerging Markets Fund Class	05569M848	MIEGX				1.64000		SELL ONLY
BNY Mellon Funds	BNY Mellon Emerging Markets Fund Class	05569M855	MEMKX				1.39000		SELL ONLY
BNY Mellon Funds	BNY Mellon Focused Equity Opportunities	05569M475	MFOMX				0.87000		SELL ONLY
BNY Mellon Funds	BNY Mellon Focused Equity Opportunities	05569M459	MFOIX				1.12000		SELL ONLY
BNY Mellon Funds	BNY Mellon Income Stock Fund Class A	05569M343	BMIAX	5.75			1.21000		SELL ONLY
BNY Mellon Funds	BNY Mellon Income Stock Fund Class C	05569M335	BMISX		1.00	.75	1.91000		SELL ONLY
BNY Mellon Funds	BNY Mellon Income Stock Fund Class I	05569M327	BMIIX				0.87000		SELL ONLY
BNY Mellon Funds	BNY Mellon Income Stock Fund Class M	05569M301	MPISX				0.81000		SELL ONLY
BNY Mellon Funds	BNY Mellon Income Stock Fund Class Y	05569M319	BMIYX				0.83000		SELL ONLY
BNY Mellon Funds	BNY Mellon Income Stock Fund Investor s	05569M400	MIISX				1.06000		SELL ONLY
BNY Mellon Funds	BNY Mellon Intermediate Bond Fund Class	05569M798	MIIDX				0.80000		SELL ONLY
BNY Mellon Funds	BNY Mellon Intermediate Bond Fund Class	05569M814	MPIBX				0.55000		SELL ONLY
BNY Mellon Funds	BNY Mellon International Equity Income C	05569M384	MLIMX				1.14000		SELL ONLY
BNY Mellon Funds	BNY Mellon International Equity Income In	05569M376	MLIIX				1.39000		SELL ONLY
BNY Mellon Funds	BNY Mellon International Fund Class Inves	05569M863	MIINX				1.28000		SELL ONLY
BNY Mellon Funds	BNY Mellon International Fund Class M	05569M871	MPITX				1.03000		SELL ONLY
BNY Mellon Funds	BNY Mellon MA Intermediate Municipal Bo	05569M673	MMBIX				0.79000		SELL ONLY
BNY Mellon Funds	BNY Mellon MA Intermediate Municipal Bo	05569M681	MMBMX				0.54000		SELL ONLY
BNY Mellon Funds	BNY Mellon Mid Cap Multi-Strategy Fund C	05569M608	MIMSX				1.15000		SELL ONLY
BNY Mellon Funds	BNY Mellon Mid Cap Multi-Strategy Fund C	05569M509	MPMCX				0.90000		SELL ONLY
BNY Mellon Funds	BNY Mellon Municipal Opportunities Fund	05569M483	MOTIX				0.94000		SELL ONLY
BNY Mellon Funds	BNY Mellon Municipal Opportunities Fund	05569M491	MOTMX				0.69000		SELL ONLY
BNY Mellon Funds	BNY Mellon National Intermediate Municip	05569M756	MINMX				0.75000		SELL ONLY
BNY Mellon Funds	BNY Mellon National Intermediate Municip	05569M764	MPNIX				0.50000		SELL ONLY
BNY Mellon Funds	BNY Mellon National Short Term Municipa	05569M723	MINSX				0.76000		SELL ONLY
BNY Mellon Funds	BNY Mellon National Short Term Municipa	05569M731	MPSTX				0.51000		SELL ONLY
BNY Mellon Funds	BNY Mellon New York Intermediate Tax-E	05569M525	MNYMX				0.73000		SELL ONLY
BNY Mellon Funds	BNY Mellon New York Intermediate Tax-E	05569M517	MNYIX				0.98000		SELL ONLY
BNY Mellon Funds	BNY Mellon Pennsylvania Intermediate Mu	05569M699	MIPAX				0.97000		SELL ONLY
BNY Mellon Funds	BNY Mellon Pennsylvania Intermediate Mu	05569M715	MPPIX				0.72000		SELL ONLY
BNY Mellon Funds	BNY Mellon Short Term U.S. Government	05569M772	MISTX				0.80000		SELL ONLY
BNY Mellon Funds	BNY Mellon Short Term U.S. Government	05569M780	MPSUX				0.55000		SELL ONLY
BNY Mellon Funds	BNY Mellon Small Cap Multi-Strategy Fund	05569M889	MISCX				1.28000		SELL ONLY
BNY Mellon Funds	BNY Mellon Small Cap Multi-Strategy Fund	05569M806	MPSSX				1.03000		SELL ONLY
BNY Mellon Funds	BNY Mellon Small/Mid Cap Multi-Strategy	05569M442	MMCMX				0.95000		SELL ONLY
BNY Mellon Funds	BNY Mellon Small/Mid Cap Multi-Strategy	05569M467	MMCIX				1.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
BNY Mellon Funds	BNY Mellon Tax-Sensitive Large Cap Mult	05569M426	MTSIX				1.11000		SELL ONLY
BNY Mellon Funds	BNY Mellon Tax-Sensitive Large Cap Mult	05569M434	MTSMX				0.86000		SELL ONLY
Bridge Builder	Bridge Builder Core Bond Fund	10803R108	BBTBX				0.34000		SELL ONLY
Bridge Builder	Bridge Builder Core Plus Bond Fund	10803R702	BBCPX				0.39000		SELL ONLY
Bridge Builder	Bridge Builder International Equity Fund	10803R603	BBIEX				0.63000		SELL ONLY
Bridge Builder	Bridge Builder Large Cap Growth Fund	10803R207	BBGLX				0.46000		SELL ONLY
Bridge Builder	Bridge Builder Large Cap Value Fund	10803R306	BBVLX				0.46000		SELL ONLY
Bridge Builder	Bridge Builder Municipal Bond Fund	10803R801	BBMUX				0.38000		SELL ONLY
Bridge Builder	Bridge Builder Small/Mid Cap Growth Fund	10803R405	BBGSX				0.66000		SELL ONLY
Bridge Builder	Bridge Builder Small/Mid Cap Value Fund	10803R504	BBVSX				0.66000		SELL ONLY
Brookfield Investment Fi	Brookfield Center Coast Mlp Focus Cl A	112740667	CCCAX	4.75		0.25	1.4400		SELL ONLY
Brookfield Investment Fi	Brookfield Center Coast Mlp Focus Cl C	112740659	CCCCX		1.00	1	2.1900		SELL ONLY
Brookfield Investment Fi	Brookfield Center Coast Mlp Focus Cl Y	112740626	CCCNX				1.1900		SELL ONLY
Brookfield Investment Fi	Brookfield Global Listed Infrastructure Fun	112740501	BGLAX	4.75		.25	1.38000		SELL ONLY
Brookfield Investment Fi	Brookfield Global Listed Infrastructure Fun	112740600	BGLCX		1.00	1.00	2.13000		SELL ONLY
Brookfield Investment Fi	Brookfield Global Listed Infrastructure Fun	112740709	BGLYX				1.13000		SELL ONLY
Brookfield Investment Fi	Brookfield Global Listed Real Estate Fund	112740105	BLRAX	4.75		.25	1.30000		SELL ONLY
Brookfield Investment Fi	Brookfield Global Listed Real Estate Fund	112740204	BLRCX		1.00	1.00	1.94000		SELL ONLY
Brookfield Investment Fi	Brookfield Global Listed Real Estate Fund	112740303	BLRYX				0.93000		SELL ONLY
Brookfield Investment Fi	Brookfield Real Assets Securities Fund Cla	112740758	RASAX	4.75		.25	1.78000		SELL ONLY
Brookfield Investment Fi	Brookfield Real Assets Securities Fund Cla	112740741	RASCX		1.00	1.00	2.47000		SELL ONLY
Brookfield Investment Fi	Brookfield Real Assets Securities Fund Cla	112740725	RASYX				1.42000		SELL ONLY
Brookfield Investment Fi	Center Coast Brookfield Midstream Focus	112740667	CCCAX	4.75		.25	1.50000		SELL ONLY
Brookfield Investment Fi	Center Coast Brookfield Midstream Focus	112740659	CCCCX		1.00	1.00	2.25000		SELL ONLY
Brookfield Investment Fi	Center Coast Brookfield Midstream Focus	112740626	CCCNX				1.25000		SELL ONLY
Brown Advisory Funds	Brown Advisory - Beutel Goodman Large-C	115233421	BVALX				0.58000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory - Beutel Goodman Large-C	115233314	BIAVX				0.73000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Emerging Markets Select	115233637	BAQAX			.25	1.57000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Emerging Markets Select	115233652	BAFQX				1.17000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Emerging Markets Select	115233645	BIAQX				1.32000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Equity Income Fund Advis	115233678	BADAX			.25	1.21000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Equity Income Fund Inst S	115233660	BAFDX				0.81000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Equity Income Fund Inves	115233686	BIADX				0.96000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Flexible Equity Fund Advis	115233850	BAFAX			.25	0.97000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Flexible Equity Fund Institu	115233843	BAFFX				0.57000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Flexible Equity Fund Inves	115233868	BIAFX				0.72000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Global Leaders Fund Instit	115233355	BAFLX				0.82000	1.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Brown Advisory Funds	Brown Advisory Global Leaders Fund Invest	115233462	BIALX				0.97000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Growth Equity Fund Advis	115233603	BAGAX			.25	1.09000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Growth Equity Fund Institu	115233702	BAFGX			.00	0.69000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Growth Equity Fund Invest	115233504	BIAGX			.00	0.84000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Intermediate Income Advis	115233736	BAIAX			.25	0.85000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Intermediate Income Fund	115233744	BIAIX				0.60000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Maryland Bond Fund Invest	115233751	BIAMX				0.49000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Mid-Cap Growth Fund Ins	115233413	BAFMX				0.89000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Mid-Cap Growth Fund Invest	115233439	BMIDX				1.04000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Mortgage Securities Fund	115233546	BAFZX				0.47000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Mortgage Securities Fund	115233587	BIAZX				0.52000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Fundamental M	115233785	BAUAX			.25	1.64000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Fundamental M	115233777	BAUUX				1.24000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Fundamental M	115233793	BIAUX				1.39000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Growth Fund A	115233827	BASAX			.25	1.39000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Growth Fund Ir	115233819	BAFSX				0.99000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Small-Cap Growth Fund Ir	115233835	BIASX				1.14000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Bond Fund In	115233389	BAISX				0.49000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Bond Fund In	115233447	BASBX				0.54000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Growth Fund	115233405	BAWAX			.25	1.11000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Growth Fund	115233207	BAFWX				0.71000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Sustainable Growth Fund	115233306	BIAWX				0.86000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Tax Exempt Bond Fund In	115233371	BTEIX				0.42000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Tax Exempt Bond Fund In	115233108	BIAEX				0.47000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Tax-Exempt Sustainable E	115233348	BITEX				0.57000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Total Return Fund Instituti	115233538	BAFTX				0.45000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory Total Return Fund Investo	115233520	BIATX				0.50000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory WMC Strategic European	115233595	BAHAX			.25	1.50000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory WMC Strategic European	115233629	BAFHX				1.10000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory WMC Strategic European	115233611	BIAHX				1.25000	1.00	SELL ONLY
Brown Advisory Funds	Brown Advisory-Beutel Goodman Large-C	115233421	BVALX						SELL ONLY
Brown Capital Managem	Brown Capital Management International E	115291767	BCISX				1.55000	2.00	SELL ONLY
Brown Capital Managem	Brown Capital Management International E	115291858	BCIIX			.25	1.80000	2.00	SELL ONLY
Brown Capital Managem	Brown Capital Management International S	115291759	BCSFX				1.08000	2.00	SELL ONLY
Brown Capital Managem	Brown Capital Management International S	115291742	BCSVX			.25	1.33000	2.00	SELL ONLY
Brown Capital Managem	Brown Capital Management Mid Company	115291783	BCMIX				1.39000		SELL ONLY
Brown Capital Managem	Brown Capital Management Mid Company	115291809	BCMSX			.25	1.64000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross	Redemption	Buy/Sell Eligible
							Expense Ratio	Fee	
Brown Capital Management	Brown Capital Management Small Company	115291403	BCSSX				1.04000		SELL ONLY
Brown Capital Management	Brown Capital Management Small Company	115291833	BCSIX			.20	1.24000		SELL ONLY
Buffalo	Buffalo Discovery Fund	119530103	BUFTX				1.01000		SELL ONLY
Buffalo	Buffalo Discovery Fund Institutional Class	119530707	BUITX				0.86000		SELL ONLY
Buffalo	Buffalo Dividend Focus Fund	119530608	BUFDX				0.94000		SELL ONLY
Buffalo	Buffalo Dividend Focus Fund Institutional Class	119530806	BUIDX				0.79000		SELL ONLY
Buffalo	Buffalo Early Stage Growth Fund	119530301	BUFOX				1.51000		SELL ONLY
Buffalo	Buffalo Early Stage Growth Fund Institutional Class	119530889	BUIOX				1.36000		SELL ONLY
Buffalo	Buffalo Flexible Income Fund	119428100	BUFBX				1.01000		SELL ONLY
Buffalo	Buffalo Flexible Income Fund Institutional Class	119530871	BUIBX				0.86000		SELL ONLY
Buffalo	Buffalo Growth Fund	119826105	BUFGX				0.92000		SELL ONLY
Buffalo	Buffalo Growth Fund Institutional Class	119530863	BIIGX				0.77000		SELL ONLY
Buffalo	Buffalo High Yield Fund	119539104	BUFHX				1.03000		SELL ONLY
Buffalo	Buffalo High Yield Fund Institutional Class	119530855	BUIHX				0.87000		SELL ONLY
Buffalo	Buffalo International Fund	119530509	BUFIX				1.04000		SELL ONLY
Buffalo	Buffalo International Fund Institutional Class	119530848	BUIIX				0.89000		SELL ONLY
Buffalo	Buffalo Large Cap Fund	119628105	BUFEX				0.95000		SELL ONLY
Buffalo	Buffalo Large Cap Fund Institutional Class	119530830	BUIEX				0.80000		SELL ONLY
Buffalo	Buffalo Mid Cap Fund	119530202	BUFMX				1.03000		SELL ONLY
Buffalo	Buffalo Mid Cap Fund Institutional Class	119530822	BUIMX				0.88000		SELL ONLY
Buffalo	Buffalo Small Cap Fund	119804102	BUFSX				1.01000		SELL ONLY
Buffalo	Buffalo Small Cap Fund Institutional Class	119530814	BUISX				0.86000		SELL ONLY