

# 2017 Form 1099-B from Edward Jones

Form 1099-B reports information about sales, redemptions and exchanges of shares, bonds, certificates of deposit (CDs) and debt instruments. For sales of stock purchased on or after Jan. 1, 2011 (unless acquired through a dividend reinvestment plan), mutual funds and stocks acquired through a dividend reinvestment plan on or after Jan. 1, 2012, certain fixed-income securities purchased on or after Jan. 1, 2014, and certain fixed-income securities purchased on or after Jan. 1, 2016, brokerage firms are required to report cost basis, holding period and proceeds to you and the IRS on Form 1099-B. Edward Jones also provides cost basis information for other securities on Form 1099-B as a convenience, but does not provide the information to the IRS.

## What's New for 2017?

In the event the payor makes a correction or change to a previously filed information return or furnished payee statement, the payor is generally not required to correct the information return or payee statement if the error relates to an incorrect dollar amount and the error differs from the correct amount by no more than \$100 (\$25 in the case of an error with respect to an amount of tax withheld). You may elect, however, to receive all corrected Forms 1099 regardless of the dollar amounts involved. To make an election, please contact the Tax Hotline at 1-800-282-0829.

## Box Explanations

Following are descriptions of the boxes on Form 1099-B included on your Edward Jones Consolidated 1099 Tax Statement.

**Applicable check box on Form 8949:** Indicates where to report the transaction on Form 8949 and Schedule D, and which check box is applicable. See Form 8949 and/or Schedule D instructions.

**CUSIP:** Committee on Uniform Security Identification Procedures number for the security reported

**Box 1a:** Security description and quantity sold or exchanged

**Box 1b:** Date shares were acquired

**Box 1c:** Date of sale or exchange

**Box 1d:** Proceeds

**Box 1e:** Cost or other basis of securities sold

**Unadjusted Gain/Loss:** Amount of gain or loss of securities sold prior to any adjustments

**Box 1f:** Amount of accrued market discount. For more information, see Schedule D (Form 1040), 8949 instructions and Publication 550.

**Box 1g:** Shows the amount of nondeductible loss in a wash sale transaction. For more information, see Schedule D (Form 1040) and 8949 instructions and Pub. 550.

**Box 2:** The type of gain or loss from the transaction

The image shows two identical copies of the 2017 Consolidated 1099 Statement from Edward Jones. The top copy is for 'Short Term (Box 2 Covered (Box 2))' and the bottom copy is for 'Long Term (Box 2 Covered (Box 2))'. Both forms show a table of transactions for ABC COUNTRY NEW HOP / 000000000 / AEPXK, including columns for Date, Quantity Sold, Date Acquired, Proceeds, Cost or Other Basis, Unadjusted Gain/Loss, Accrued Market Discount, Wash Sale Loss, and Federal Income Tax Withheld. Totals are shown at the bottom of each table.

**Box 3:** If checked, the securities sold were “covered” by the IRS cost basis reporting regulations. The basis in Box 1e is reported to the IRS.

**Box 4:** Federal income tax withheld

**Box 5:** The securities sold were “noncovered” by the IRS cost basis reporting regulations. The basis in Box 1e is not reported to the IRS.

**Box 6:** Gross proceeds are reported to the IRS.

**Box 7:** If checked, generally the IRS does not allow you to take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in Box 1d. See Form 8949 and Schedule D instructions for details.

**Box 12:** Amount of unadjusted gain/loss attributed to proceeds from collectibles when displayed

## If you receive a Form 1099-B, you may be required to file:

- IRS Form 8949, to report the transactions listed on your 1099-B
- IRS Form 1040, Schedule D, to report capital gains and losses

### Form 8949

Form 8949 lists sales and exchanges of capital assets, including stocks, bonds, mutual funds and similar investments. The totals from this form are then carried over to Schedule D (Form 1040), where gains and losses are calculated.

### Form 1040, Schedule D

Schedule D is a summary of capital gain and loss transactions.

**Form 8949 Sales and Other Dispositions of Capital Assets** OMB No. 1545-0074  
 2017 Attachment Sequence No. 12A  
 Department of the Treasury Internal Revenue Service  
 ▶ Go to [www.irs.gov/Form8949](http://www.irs.gov/Form8949) for instructions and the latest information.  
 ▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.  
 Name(s) shown on return: \_\_\_\_\_ Social security number or taxpayer identification number: \_\_\_\_\_

**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are short term. For long-term transactions, see page 2.  
**Note:** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions).  
 You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)  
 (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS  
 (C) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS

**Form 8949 (2017)** Attachment Sequence No. 12A Page 2  
 Name(s) shown on return, Name and SSN or taxpayer identification no. not required if shown on other side: \_\_\_\_\_ Social security number or taxpayer identification number: \_\_\_\_\_

**Part II Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.  
**Note:** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 8a; you aren't required to report these transactions on Form 8949 (see instructions).  
 You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)  
 (E) Long-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS  
 (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions.	Adjustment, if any, to gain or loss if you enter an amount in column (f). See the separate instructions.		(g) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
	145,592 SHARES ABC COUNTY NM RCP	VARIOUS	11/14/2017	423.21				2219

**SCHEDULE D (Form 1040) Capital Gains and Losses** OMB No. 1545-0074  
 2017 Attachment Sequence No. 12  
 Department of the Treasury Internal Revenue Service  
 ▶ Attach to Form 1040 or Form 1040NR.  
 ▶ Go to [www.irs.gov/ScheduleD](http://www.irs.gov/ScheduleD) for instructions and the latest information.  
 ▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9, and 10.  
 Name(s) shown on return: \_\_\_\_\_ Your social security number: \_\_\_\_\_

**Part I Short-Term Capital Gains and Losses—Assets Held One Year or Less**  
 See instructions for how to figure the amounts to enter on the lines below.  
 This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b . . . . .	695	701		(6)
1b Totals for all transactions reported on Form(s) 8949 with Box A checked . . . . .				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked . . . . .				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked . . . . .				
4 Short-term gain from Form 6252 and short-term gain or (loss) from Forms 4684, 6781, and 8824 . . . . .				4
5 Net short-term gain or (loss) from partnerships, S corporations, estates, and trusts from Schedule(s) K-1 . . . . .				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 8 of your Capital Loss Carryover Worksheet in the instructions . . . . .				6
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). If you have any long-term capital gains or losses, go to Part II below. Otherwise, go to Part III on the back . . . . .				7

**Part II Long-Term Capital Gains and Losses—Assets Held More Than One Year**  
 See instructions for how to figure the amounts to enter on the lines below.  
 This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b . . . . .				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked . . . . .	15,773	12,661		3,112
9 Totals for all transactions reported on Form(s) 8949 with Box E . . . . .				

## If you have questions regarding:

### Your Consolidated 1099 Tax Statement and other important tax reporting information, including cost basis reporting requirements:

See [www.edwardjones.com/taxcenter](http://www.edwardjones.com/taxcenter) or contact the Edward Jones Tax Hotline at 800-282-0829:

- Mid-January through April 17 – Monday through Friday, 7 a.m. to 7 p.m. Central time, and Saturday, 8 a.m. to 2 p.m. Central time

- April 18 through mid-October – Monday through Friday, 8 a.m. to 6 p.m. Central time

**Your tax return:** Contact your tax professional, call the IRS at 800-829-1040 or visit [www.irs.gov](http://www.irs.gov).

**Investments:** Contact your Edward Jones financial advisor.

Edward Jones, its employees and financial advisors cannot provide tax or legal advice. This content should not be depended upon for other than broadly informational purposes. You should consult your attorney or qualified tax advisor regarding your situation. Illustrations and instructions are based on the IRS information available at the time of publication. Please visit [www.irs.gov](http://www.irs.gov) for the most complete and current forms, instructions and other information.

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